

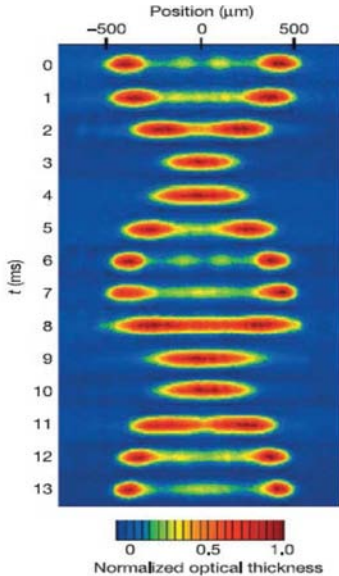
Thermalization in integrable models and conformal field theories

Gautam Mandal
TIFR, Mumbai

Mid-year meeting, IASc, 23 June, Bengaluru

Based on: GM, R. Sinha, N. Sorokhaibam (1405.6695, 1501.04580), GM, T. Morita (1302.0859), P. C Gupta, GM, R. Sinha (1306.4974), ongoing work with S. Paranjape, R. Sinha, N. Sorokhaibam and T. Ugajin

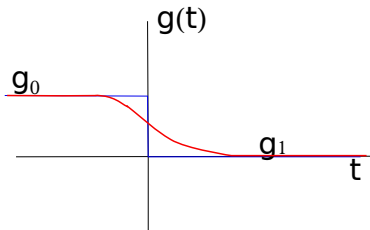
To thermalize or not to thermalize?



Quantum quench

Consider a quantum system in its ground state. Turn on a time-dependent coupling $g(t)$ for some time up to $t = t_1$.

$$\text{e.g. } H(t) = -J \sum_{i=1}^L [\sigma_i^x \sigma_{i+1}^x + h(t) \sigma_i^z]$$



The post-quench dynamics is described by a final Hamiltonian H and an 'initial state' $|\psi_1\rangle$, which depends on $g(t)$.

Late time dynamics: thermalization

Post-quench:

$$|\psi(t)\rangle = \exp[-iH(t - t_1)]|\psi_1\rangle$$

Does the system reach a steady state at ‘late times’?

Does the final state ‘forget’ most features of the initial state? In particular, is the state ‘thermal’?

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Of course, we cannot have pure state \rightarrow mixed state.

A more accurate statement of thermalization is ...

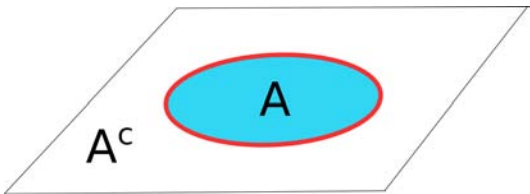
Definition of thermalization

$$\langle \psi_1 | O_1(x_1, t) \dots O_n(x_n, t) | \psi_1 \rangle \xrightarrow{t > t_{eqm}} (O_1(x_1) \dots O_n(x_n) \rho_{eqm})$$

Equivalent statement in terms of density matrix of subsystem A

$$\rho_A(t) \xrightarrow{t > t_{eqm}} \rho_{A,eqm}$$

where $\rho_A(t) = \text{Tr}_{A^c} |\psi(t)\rangle \langle \psi(t)|$, $\rho_{A,eqm} = \text{Tr}_{A^c} \rho_{eqm}$



This formalizes the concept of the rest of the system as a ‘bath’.

Quantum Ergodic Hypothesis

QEH: An equilibrium state ρ_{eqm} exists, and it is given by the microcanonical ensemble

$$\rho_{eqm} = \rho_{micro}$$

where the microcanonical ensemble is defined by the energy of the pure state $|\psi_1\rangle$.

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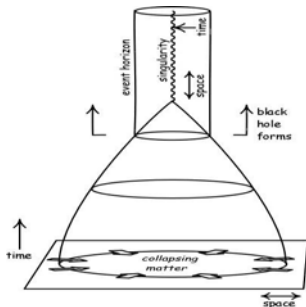
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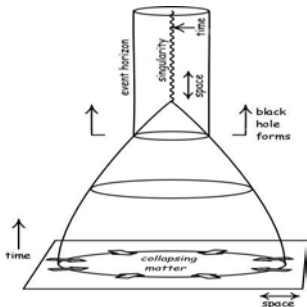
Is the QEH true?

Thermalization in gravity: gravitational collapse



No hair theorem: different forms and descriptions of matter, collapse into a black hole characterized by only the total mass (and angular momentum and charge) of the collapsing matter.

Thermalization in gravity: gravitational collapse

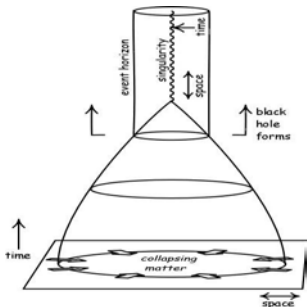


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$$\rho_{\text{pure}} = |\psi_1\rangle\langle\psi_1| \xrightarrow{?} \rho_{M,J,Q} \quad \text{information loss}$$

Correct way to understand this is in terms of the reduced density matrix: $|\rho_A(t)\rangle \rightarrow \rho_{A,M,J,Q}$

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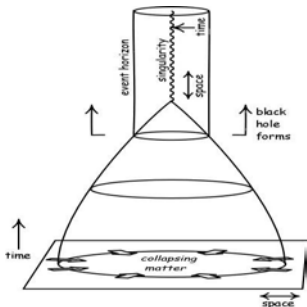
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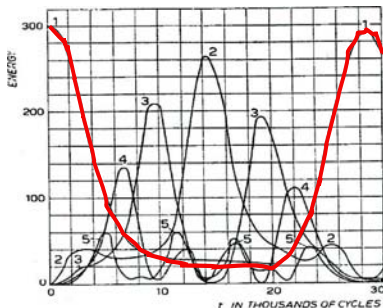
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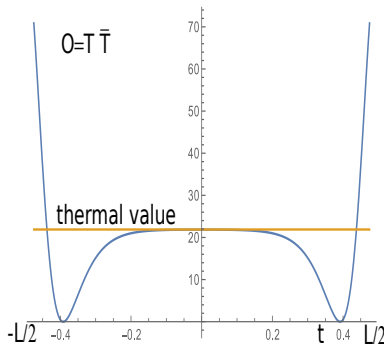
AdS/CFT: gravitational collapse = thermalization in field theory.

Non-thermalization



Weakly anharmonically coupled chain of oscillators show 'revival'

Fermi, Pasta, Ulam 1953



1+1 dimensional critical system with spatial boundaries; shows periodicity $\Delta T = L/2$.

Mandal, Sinha, Ugajin 2015; see also Cardy 2014,

Kuns, Marolf 2014

AdS/CFT: For gravitational duals of non-ergodic systems, see Balasubramanian,

Buchel, Green, Lehner, Leibling 2014

Integrable systems: recent surprises

Expect: integrability \Rightarrow non-ergodicity.

But, examples of 2D integrable models have been discovered in the last 8 years, where QEH holds.

Transverse field Ising (Calabrese et al 2005)

$$H = -J \sum_{i=1}^L [\sigma_i^x \sigma_{i+1}^x + h(t) \sigma_i^z]$$

Hard core boson chain (Rigol et al 2007)

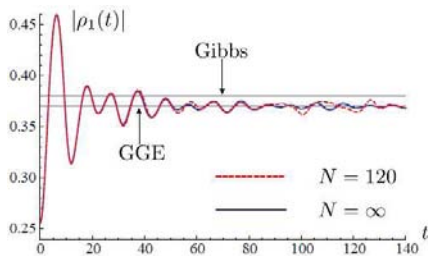
$$H = -J \sum_{i=1}^{L(t)} b_i^\dagger b_{i+1} + \text{h.c.}$$

Massive Scalar (Sotiriadis, Cardy 2010)

$$S = \int d^2x \left[(\partial\phi)^2 - m^2(t)\phi^2 \right]$$

Matrix QM model (Morita, GM 2013)

$$S = \int dt \left[\text{Tr}(U^\dagger \partial_t U + a(t)(U + U^\dagger)) \right]$$

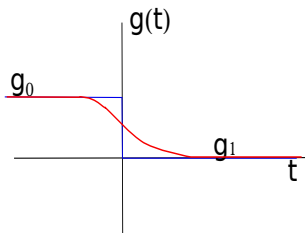


(a) $\rho_1(t)$ and GGE vs. Gibbs ensemble

Critical Quench

RG flow to a critical point leads to universality classes of systems.

Suppose in course of a quench, $g(t)$ stops at a value g_1 for which the system is critical.



Does the 'dynamics' of various systems show some universality in this case?

Rest of the talk

- Develop a general description of critical quench in $1+1$ dimensions; extend to integrable models.

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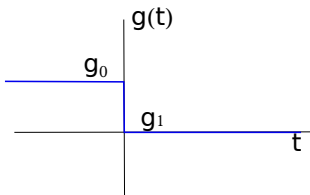
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- Develop a general description of critical quench in 1+1 dimensions; extend to integrable models.
- **Prove QEH for an arbitrary integrable conformal field theory.**
- Compute the **relaxation rate** of the density matrix to equilibrium, leading to **new universality relations.**
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Critical quench: Calabrese-Cardy ansatz

For a sudden quench to a quantum critical coupling g_1

$$S = S_{CFT} + \delta g \int d^2x \theta(-t) O_{\Delta}(x, t), \quad \delta g \equiv g_0 - g_1$$



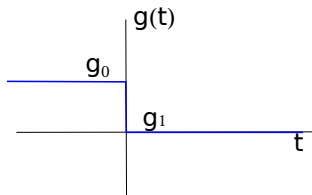
Calabrese and Cardy (2005)
modelled the state at $t = 0$
as $|\psi_1\rangle = e^{-\kappa H} |Bd\rangle$

Here $\kappa \sim (\delta g)^{\frac{1}{\Delta-2}} = [\text{length}]$. $|Bd\rangle = \underline{\text{conformal}}$ boundary state.

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Logic: At low energies $\kappa E \ll 1$, $\langle \psi_k | E \rangle = f(\kappa E) = \text{constant}$ (\Rightarrow conformality)

Generalized Calabrese-Cardy states

CC state fails to consider:

- (a) Multiple scales: when the quench protocol $g(t)$ has more scales, e.g. $g(t) = g_0 f(t/\delta t)$,
- (b) Multiple charges: when there are other conserved charges, the simple QEH $\rho_{eqm} = \rho_{micro}(E)$ is inadequate.

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We propose that in a CFT with additional charges W_n we can solve both issues with a generalized Calabrese-Cardy (gCC) state

$$|\psi_1\rangle = e^{-(\kappa_2 H + \sum_n \kappa_n W_n + \dots)} |Bd\rangle,$$

Require: W_n obtained from local currents which are primary or quasiprimary operators.

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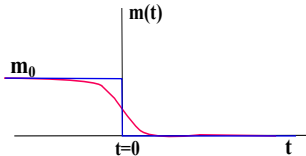
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This includes integrable conformal theories with ∞ number of conserved charges.

Example of scalar field theory

$$S = \int d^2x \left[(\partial\phi)^2 - m(t)^2 \phi^2 \right]$$



Time-dependent coupling induces a Bogoliubov transformation:

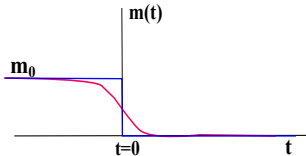
$$a_{in}(k) \propto a_{out}(k) - \gamma(k) a_{out}^\dagger(k)..$$

Hence

$$|0, in\rangle = e^{\sum_k \gamma(k) a_{out}^\dagger(k) a_{out}^\dagger(-k)} |0, out\rangle$$

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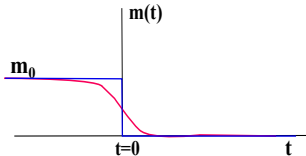
For a simple form $m^2(t) = m_0^2(1 - \tanh(t/\delta t))/2$, explicit value of $\gamma(k)$: [Birrell, Davies 1994](#)

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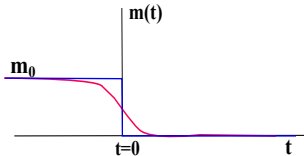
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Here $W_n \sim \sum_k k^{n-1} a_{out}^\dagger(k)a_{out}(k)$, $n = 2, 4, 6, \dots$

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- This example verifies the generalized quench model (gCC).

Reduced density matrix

Consider a 2-site 'lattice' of quantum Ising spins. Prepare a wavefunction

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$$\langle s_1 | \rho_A | s'_1 \rangle = \sum_{s_2=\uparrow, \downarrow} \langle s_1, s_2 | \psi \rangle \langle \psi | s'_1, s_2 \rangle = \begin{bmatrix} 1/2 & 0 \\ 0 & 1/2 \end{bmatrix}$$

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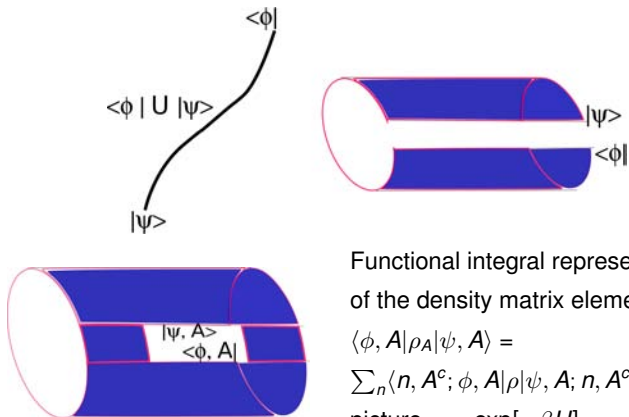
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ρ_A can calculate all operators on site 1. Note $\rho_A^2 \neq \rho_A$.

Reduced density matrix- contd.

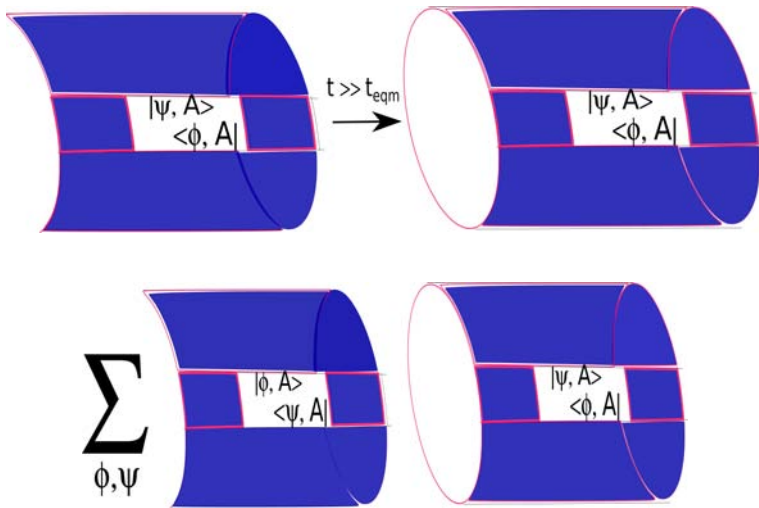


Functional integral representation of the density matrix element

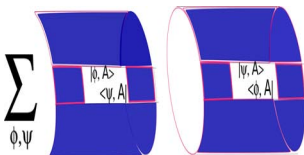
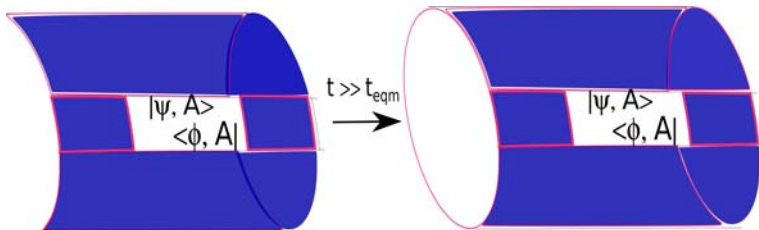
$$\langle \phi, A | \rho_A | \psi, A \rangle = \sum_n \langle n, A^c; \phi, A | \rho | \psi, A; n, A^c \rangle.$$

In the picture $\rho \sim \exp[-\beta H]$.

Proof of Quantum ergodicity



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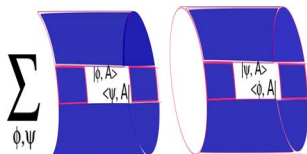
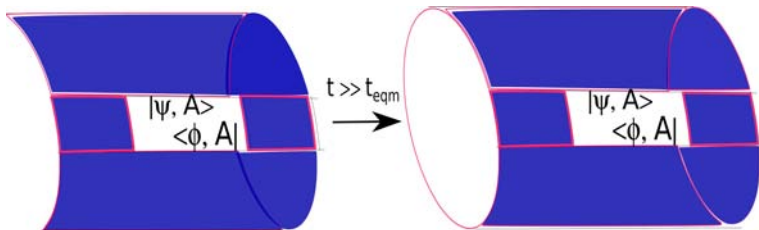
$$= \sum_{k_1, k_2} C_{k_1, k_2} \langle O_{k_1} \rangle_{strip} \langle O_{k_2} \rangle_{cylinder}.$$

$$= 1 - \alpha e^{-2\gamma t}$$

Thus,

$$\rho_A(\kappa_2, \{\kappa_n\}; t) \xrightarrow{t \gg t_{eqm}} \rho_A(\beta, \{\mu_n\}) \text{ where } \beta = 4\kappa_2, \mu_n = 4\kappa_n.$$

Proof of Quantum ergodicity



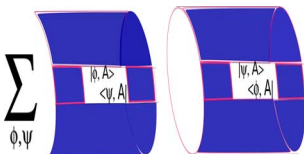
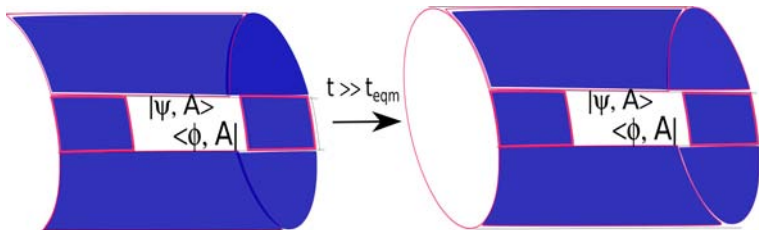
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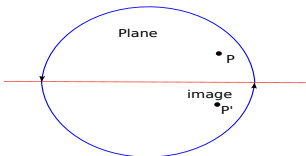
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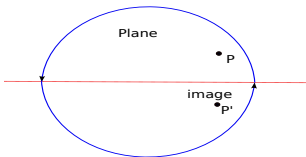
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Relaxation rates \Leftarrow critical exponents

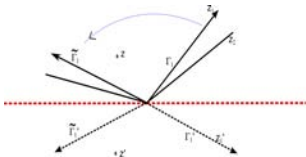


$$\langle \kappa | O_{\Delta}(t) | \kappa \rangle \sim \text{Tr}(\rho_{\beta} O_{\Delta}) + C e^{-\gamma t}, \quad \gamma = 2\pi\Delta/\beta. \quad \beta = 4\kappa.$$

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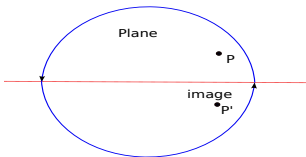


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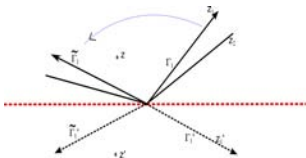


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$$\gamma_i = \frac{2\pi}{\beta} \left[\Delta_i + \sum_n \tilde{\mu}_n Q_{n,i} + O(\tilde{\mu}^2) \right], \quad \tilde{\mu}_n \equiv \frac{\mu_n}{\beta^{n-1}}$$

New Universality relations

Cardy-Calabrese state: ratios $\gamma_i/\gamma_j = \Delta_i/\Delta_j$ are universal;
independent of quench protocol.

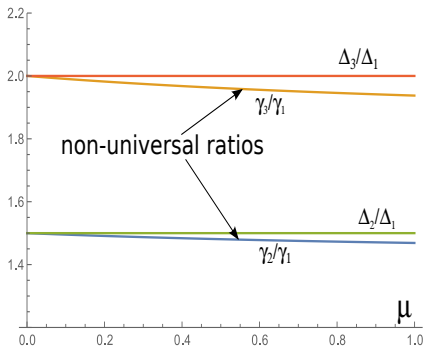
New Universality relations

Cardy-Calabrese state: ratios $\gamma_i/\gamma_j = \Delta_i/\Delta_j$ are universal; independent of quench protocol.

Generalized CC state (multi-scale quench): these ratios \neq universal. E.g. in the presence of one extra charge, we have

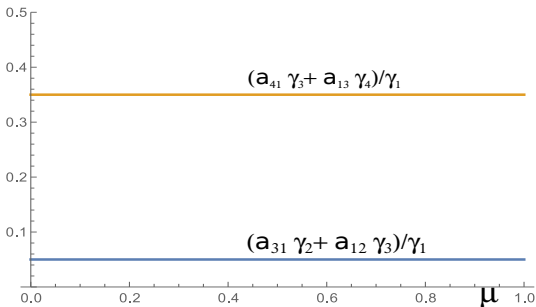
$$\gamma_i = \frac{2\pi}{\beta} [\Delta_i + \tilde{\mu} Q_i].$$

The ratios γ_i/γ_j now depend on μ :

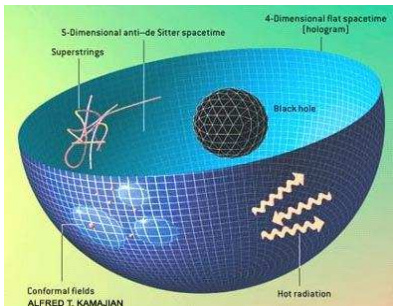


New Universality relations- contd.

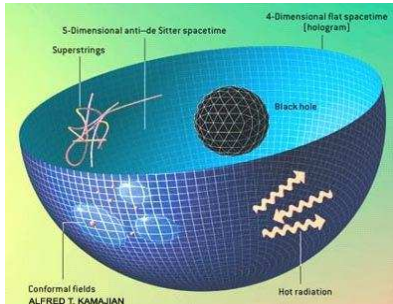
μ -dependence can be eliminated by considering new ratios:
 $(a_{31}\gamma_2 + a_{12}\gamma_3)/\gamma_1$, $(a_{41}\gamma_3 + a_{13}\gamma_4)/\gamma_1$, with $a_{ij} = \Delta_{[i}Q_{j]}$, are independent of μ , and depend only on the spectrum of the final CFT.



AdS/CFT Dictionary (holography)



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Thermalization in the boundary field theory= gravitational collapse into a black hole in the bulk!

Thermalization and holography

| | |
|----------------------------------|----------------------------------|
| CFT | Gravity |
| Vacuum | Anti de Sitter space |
| Thermal state (β) | AdS-Schwarzschild ($M(\beta)$) |
| Thermal state with μ | Charged BH |
| Quantum Quench | Gravitational collapse |
| Ergodicity | No hair theorem |
| Thermal decay | Quasinormal mode |
| Thermalization/relaxation rate | Quasinormal frequency (QNF) |
| Integrable CFT (2D) | ? |
| GGE (generalized Gibbs ensemble) | ? |
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QNF has been calculated for bulk scalar [Cabo-Bizet, Gava, Giraldo-Rivera, Narain](#)
[2014](#) for a HS BH with a single chemical potential μ_3

Match made!

Imaginary part of QNF

$$\text{Im } \omega = \frac{2\pi}{\beta} \left(1 + \lambda + \frac{\tilde{\mu}_3}{3} (1 + \lambda)(2 + \lambda) \right)$$

Thermalization rate of the dual CFT operator is

$$\gamma_{CFT} = \frac{2\pi}{\beta} \left[\Delta + \sum_n \tilde{\mu}_n Q_n \right] ?$$

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