Study of Bhakra reservoir operation

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Abstract. The study outlines the methodology for working out a multiobjective reservoir operation with conjunctive utilization of surface and groundwater. Irrigation and power have been considered the two objectives for operation of the reservoir. The results of this study are compared with those achieved with conventional operation in the past and show that there is an increase in irrigation by 7 to 8% due to conjunctive use of surface and groundwater. The trade-off between irrigation and power has been developed and discussed. The transformation surface between three objectives *viz.*, power, irrigation and carry-over storage has been developed and the merits of carry-over storage have been discussed.

Keywords. Reservoir operation; multiobjective analysis; conjunctive use of water.

1. Introduction

Irrigation and power are two of the most important purposes for which surface and groundwater resources are used. The integrated operation of a complex system is necessary for efficient management of limited resources to meet irrigation and power demands. The importance of the conjunctive utilization of surface and groundwater in an integrated framework has been realised (Irrigation Commission 1972) but has not been put into practice perhaps due to institutional constraints and lack of coordination. However, using a systems analysis procedure it seems possible to study the integrated operation of a multiobjective reservoir system with conjunctive utilization of surface and groundwater. A case study of the Bhakra reservoir, which has been in operation since 1966 is described in this paper. The object of this study is to analyse the past operation of the reservoir within a multiobjective framework to evaluate the trade-offs between irrigation and power demands in the past. The study also demonstrates the methodology and procedures in multiobjective analysis within an integrated framework.

2. System description

2.1 The Bhakra

The Bhakra system in Punjab constitutes one of India's biggest projects (figure 1). The Bhakra dam is a 225.55 m high concrete gravity dam built on the river Sutlej. The river, the largest tributary of the river Indus, begins in Tibet and enters the Indo-Gangetic plains near Bhakra. The total catchment area upstream of Bhakra is 56,980 km². The rainfall in the catchment varies over the basin with an annual average of around 875 mm. Govindsagar, the reservoir formed by the Bhakra dam, has a gross capacity of

Figure 1. Interconnected system of the rivers Ravi, Beas and Sutlej.

9876 m cu m and a live storage capacity of 7814 m cu m above a dead storage level of 445.62 m. It covers an area of 168.35 km² when full. The total run-off at Bhakra for a dependable year works out to 13,723 m cu m and that for a mean year to 16,441 m cu m. A dependable year has been adopted by the planners as a hypothetical year in which the mean discharge of any 10-day period is equalled or exceeded by the corresponding 10-day mean discharges in 67% of the years for which data are considered.

The river flows are high for the four months from June to September due to snowmelt and monsoon rains. Almost 47% of the river flow of a dependable year occurs during these four months. Water from Govindsagar (Bhakra reservoir) can be passed through the turbines of two power houses, one on the right bank and the other on the left bank at the foot of the Bhakra dam. Both the power houses have 5 turbines each. Each of the generators in the right-bank power house has a maximum capacity of 120 MW when the head is 116 m or more, whereas each of the left-bank generators has a maximum capacity of 90 MW when the head is 110 m or more. These capacities fall respectively to 70 MW and 53 MW when the head falls to about 80 m. Thus the power-generating capacity varies from a maximum of 1050 MW to a minimum of 615 MW.

About 11 km downstream of the Bhakra dam is the Nangal reservoir formed by the 28.95 m high Nangal dam. It serves as a head regulator for control of irrigation releases. Part of the water from Nangal is released to the Nangal hydel channel which is 64.48 km long and has a carrying capacity of 353.75 cumecs. The remaining water is released to the Sutlej. The Nangal hydel channel supplies water to two power houses in its path at Ganguwal and Kotla with a total installed capacity of 154 MW. Water from the Nangal hydel channel is then divided between the Bhakra main canal and the Sirhind canal. The Bhakra main canal serves some of the canals of the old western Jamuna canal system which were merged with the Bhakra system and so receives some supplies from the western Jamuna canal.

Downstream of Nangal, there are headworks at two places on the river Sutlej at Ropar and Harike. At Ropar, water is diverted to the Bist Doab and Sirhind canals. The Beas river joins the Sutlej at Harike. Water is diverted at Harike to the Rajasthan feeder

and the Ferozepur feeder for the Eastern and Bikaner canals and the Sirhind feeder. Water from all these canals is distributed through the network of the branch canals of the irrigation distribution system. The details of the system are given in figure 1.

Punjab possesses large resources of groundwater which are generally suitable for irrigation. Due to the presence of alluvium, a large-scale programme to install tubewells has been undertaken. The State in 1974 had about 126,000 tubewells and another 75,000 were proposed under the fifth plan (1973–1978). An area of 0.6 to 0.8 m ha suffers from waterlogging. The installation of tubewells would also solve the waterlogging problem to some extent.

2.2 Irrigation demands

Bhakra was primarily designed to improve irrigation over an area of 1.42 mha and irrigate an additional 2.43 million hectares. The area served consists of parts of Punjab, Haryana and Rajasthan. The main crops grown in this region are bajra, cotton, maize, rice, jowar, sugarcane, oilseeds, pulses and fodder during the monsoon (kharif) season; and wheat, gram, oilseeds, barley, potatoes and fodder during the winter (rabi) season. Irrigation requirements given in table 1 were assessed when the project was planned

Table 1. Irrigation requirements of the Bhakra system

Month	Period	No. of days	Daily irrigation requirements (in cumec days)	Total irrigation requirements (in cumec days)
Filling period (from 1st of June to 20th of September)				
June	1–30	30	715.71	21471
July	1–31	31	561.13	17395
August	1–31	31	561.13	17395
September	1–20	20	711.63	14233
				70494 or 6100 m cu m
Depletion period (from 21st September to 31st of May)				
September	21–30	10	711.63	7116
October	1–15	15	727.71	10916
	16–31	16	654.63	10474
November	1–30	30	654.64	19639
December	1–10	10	611.76	6118
	11–15	5	363.67	1818
	16–31	16	345.80	5533
January	1–15	15	345.80	5187
	16–31	16	363.67	5819
February	1–10	10	390.46	3905
	11–28	18	545.52	9819
March	1–31	31	545.52	16911
April	1–15	15	345.80	5187
	16–30	15	418.89	6283
May	1–31	31	703.50	21809
				136534 or 11800 m cu m
Total 6100 + 11800 = 17900 m cu m				

(Mehndiratta & Hoon 1973)

and were modified only slightly since, although the irrigation demands have changed due to the introduction of high-yielding varieties of crops which require more water. The need to reassess irrigation requirements and revise the demands has been well recognised.

Irrigation requirements are high from September to November owing to the water required for the maturing of the kharif crops and the preparation and sowing of the rabi crops. The requirements are low from December to April, except in the latter half of February and in March when water is required for the maturing of the rabi crops. The rabi crop requirements are smaller than the kharif crop requirements. In May and June, the requirements are again high due to the preparation and sowing of the kharif crops. During the monsoon season also the requirements are quite high as the areas where such water is to be utilized have low rainfall.

2.3 Power demands

The releases from the reservoir were originally planned mainly for irrigation. Only 987 mcum (revised upwards to 1360 mcum recently) was earmarked for releases for power generation. These releases were in addition to those made for irrigation. It was assessed that the releases for power made would yield a firm power of 282 mw from the Bhakra power houses during an empirically-defined dry year.

2.4 Current operating policy

Each year the Bhakra Management Board (BMB) conducts operational planning studies, referred to as water power studies, of the system as part of the planning to regulate the supplies from the Bhakra reservoir. The water year from 1 June to 31 May is divided into two periods. June 1 to 20 September is the 'filling period' when supplies are generally available due to snowmelt and the monsoons and the reservoir fills up. September 21 to 31 May is the 'depletion period' when water is released from reservoir storage to meet the demands of irrigation and power.

During the filling period, irrigation demands are met in full subject to the constraint that the reservoir is not allowed to deplete. This means that demands are not fully met if they exceed inflows. This could happen because of the delayed arrival of monsoon, during breaks in monsoon and also in relatively dry years.

Water power studies are conducted for the depletion period using the available utilizable stored volume of water in the reservoir and dependable year or dry year inflows for the depletion period. Although on the basis of 10-day inflows any particular inflow figure of a river in a dependable year would have a probable availability of 67%, the total yearly inflow of a river at any particular site, corresponding to the dependable year has a probable availability of about 85%. A dry year is taken as the driest year on record. It is not possible to meet the full irrigation requirements of the depletion period every year. The ratio of deliveries to demands is called the reservoir factor (RF) defined as

$$RF = \frac{\text{(Total storage available in reservoir + expected inflow during depletion period} \pm \text{gains or losses upto the point of offtake of canals.)}}{\text{(Total canal requirements during the depletion period.)}}$$

The water power studies of BMB indicate that the firm power level is not a constant throughout the depletion period. The firm power level is 15–30% higher from 21

September to 10 December than from 10 December to 31 May. These studies include the possibility of carryover storage from a good year to the subsequent year. Based on water power studies a decision is taken on 21 September regarding regulation of supplies from the reservoir during the depletion period. The decision implies choice of (i) RF (ii) firm power level, and (iii) minimum reservoir drawdown level to be reached on 31 May (this determines the carryover storage, if any).

3. Review of earlier studies

The Bhakra system has been studied and reported by economists (Raj 1960; Ansari 1968; Minhas *et al* 1972; Reidinger 1974) and by engineers (Singh 1964; Mehndiratta & Hoon 1973). Raj concerned himself with some economic aspects of the Bhakra Nangal project and a preliminary analysis of selected investment criteria. Ansari studied the economics of irrigation rates and examined the basic arguments for incurring financial deficits on irrigation supplies. Reidinger studied the institutional rationing of canal water in an area in Hissar district which is served by one of the Bhakra canals.

Minhas *et al* (1972) made extensive simulation studies of the operations of the Bhakra system (i) to determine for the depletion period the efficient combinations of firm power with certainty and irrigation supplied with a given confidence level, (ii) to evaluate the increase in availability of both irrigation and firm power due to conjunctive utilization of surface and groundwater and to compare the economics of this scheme with that of a thermal back-up. They observed that "in the absence of a satisfactory economic measure of the relative worth of irrigation and power, one cannot determine an ideal operating policy". But the important question of devising such a satisfactory economic measure has been left unanswered in the study. As such the trade-off possibilities delineated in a probabilistic sense cannot be used by decision-makers in choosing the point of operation of the reservoir at the end of the filling period.

Singh (1964) put forward the idea of using seasonally available secondary power for lifting groundwater by tubewells in the general framework of conjunctive utilization of surface and ground waters. Minhas *et al* (1972) noted that the tubewells, when operated solely to augment irrigation supplies, can utilize power which would otherwise go waste and which therefore is 'free' in the economic sense of the term (since the economic value of goods is based on their alternative uses). Operated solely to increase the level of firm power output, tubewells can convert useless secondary power to valuable firm power. Singh also pointed out the role of groundwater development as an antiwaterlogging measure.

Mehndiratta & Hoon (1973) report the methodology adopted by BMB to regulate supplies from the Bhakra reservoir from 1966 to 1972. This report greatly helped in understanding the system and serves as the source material for the present study. Water power studies of BMB, given in tables 2 and 3, reveal that according to the planning studies for the operation of the reservoir in 1969–70 and 1970–71, considerable secondary or dump power was generated during the early part of the depletion period.

From the studies reviewed, it is clear that (i) the sudden peak in the irrigation demands during the rabi sowing season, which is the early part of the depletion period of the reservoir, necessitates the release of water with high potential for power generation for irrigation; (ii) consumers of power who get used to the higher level of firm power supplied during this period find it difficult to reduce their consumption of

Table 2. Water power study for the year 1969-70

Month	Period	Inflows in river Sutlej (cumecs)	Gains or losses between Bhakra & Ropar (cumecs)	Contributions from Sirhind feeder (cumecs)	Total requirements of canals to be fed from Bhakra (cumecs)	Actual release (cumecs)	Actual RF (%)	Reservoir elevations (m)	Available average power (MW)
1	2	3	4	5	6	7	8	9	10
September October	23-30	318.10	..	84.95	711.62	519.92	85	512.36	631
	1-10	275.75	..	79.29	727.72	539.27	85	510.85	650
	11-15	231.18	..	70.79	727.72	539.27	85	509.93	655
	16-20	231.18	..	70.79	654.64	485.65	85	509.32	579
	21-31	191.19	..	56.63	654.64	499.79	85	507.49	590
November	1-10	170.89	..	56.63	654.64	499.79	85	505.36	582
	11-20	152.12	..	42.48	654.64	513.96	85	503.22	590
	21-30	137.65	..	28.32	654.64	528.12	85	501.09	597
	1-10	130.06	+2.83	21.24	611.75	498.75	85	498.65	552
December	11-15	111.25	+2.83	21.24	363.67	396.55	116	497.73	438
	16-20	111.25	+2.83	21.24	345.81	399.15	122	496.82	438
	21-31	114.09	+2.83	21.24	345.81	403.66	124	494.69	438

January	1-10	112.90	+5.66	42.48	345.81	410.06	133	492.55	438
	11-15	114.66	+5.66	42.88	345.81	415.04	134	491.64	438
	16-20	114.66	+5.66	42.88	363.67	418.52	129	490.42	438
February	21-31	106.98	+5.66	42.48	363.67	424.53	130	487.98	438
	1-10	105.37	+11.33	16.99	390.46	433.16	118	485.24	438
	11-20	105.90	+11.33	16.99	545.52	442.14	86	482.49	438
March	21-28/29	109.21	+11.33	14.16	545.52	451.00	87	480.36	438
	1-10	118.76	+5.66	14.16	545.52	461.03	88	477.31	438
	11-20	116.19	+5.66	14.16	545.52	473.29	90	473.96	438
April	21-31	133.74	+5.66	14.16	545.52	488.13	93	470.30	438
	1-10	150.56	-8.50	60.88	345.81	505.43	161	466.65	438
	11-15	149.12	-8.50	60.88	345.81	509.85	163	464.52	438
May	16-20	149.12	-8.50	60.88	362.25	528.93	160	462.38	438
	21-30	186.79	-8.50	60.88	362.25	545.27	165	458.11	438
	1-10	243.67	-16.99	109.02	703.50	568.01	94	454.15	438
	11-20	274.56	-16.99	109.02	703.50	621.08	98	449.88	438
	21-31	400.26	-16.99	109.02	703.50	620.42	101	446.53	438

(Mehndiratta & Hoon 1973)

Table 3. Water power study for the year 1970-71

Month	Period	Inflows in river at Bhakra (cumecs)	Gains or losses between Bhakra & Ropar (cumecs)	Contributions from Sirhind feeder (cumecs)	Total requirement of canal to be fed from Bhakra (cumecs)	Actual releases (cumecs)	Actual RF (%)	Reservoir elevation (m)	Available average power (MW)
1	2	3	4	5	6	7	8	9	10
October 1970	21-31	191.19	..	96.45	654.64	410.60	77.5	492.86	439.00
November	1-10	170.89	..	65.07	654.64	420.75	74.9	491.34	447.8
	11-20	152.12	..	58.96	654.64	420.75	74.0	489.20	441.5
	21-30	137.65	..	55.87	654.64	420.75	73.5	487.07	434.5
December	1-10	130.06	+2.83	53.58	611.75	396.44	74.0	484.94	399.9
	11-15	111.25	+2.83	55.64	363.67	311.96	101.8	484.02	311.9
	16-20	111.25	+2.83	47.68	345.81	313.88	105.3	483.41	311.9
	21-31	114.09	+2.83	47.68	345.81	317.17	106.3	481.58	311.9
January 1971	1-10	112.90	+5.66	29.08	345.81	322.00	103.1	479.76	311.9
	11-15	114.66	+5.66	41.40	345.81	325.70	107.8	478.84	311.9
	16-20	114.66	+5.66	39.04	363.67	328.27	102.5	477.92	311.9
	21-31	106.98	+5.66	53.32	363.67	332.72	107.7	475.79	311.8
February	1-10	105.37	+11.33	63.94	390.46	339.17	106.1	473.35	311.8
	11-20	105.90	+11.33	48.99	545.52	345.92	74.4	474.22	311.9
	21-28/29	109.21	+11.33	70.79	545.52	352.45	79.6	468.09	311.9
March	1-10	118.76	+5.66	84.95	545.52	359.54	82.5	466.65	311.9
	11-20	116.19	+5.66	84.95	545.52	368.20	84.1	463.91	311.9
	21-31	133.74	+5.66	84.95	545.52	378.25	85.9	460.55	311.9
April	1-10	150.56	-8.50	53.83	345.81	389.11	125.6	458.11	311.9
	11-15	149.12	-8.50	63.17	345.81	307.67	131.6	456.59	311.9
	16-20	149.12	-8.50	63.17	418.89	404.18	110.2	455.07	311.8
	21-30	186.79	-8.50	72.21	418.89	414.44	114.1	452.02	311.9
May	1-10	243.67	-16.99	96.45	703.50	427.18	72.0	449.58	311.9
	11-20	274.56	-16.99	87.75	703.50	438.34	72.3	447.14	311.8
	21-31	400.26	-16.99	108.82	703.50	446.30	76.4	446.23	311.9

(Mehndiratta & Hoon 1973).

power subsequently; (iii) it has not been possible for BMB to satisfactorily coordinate the releases for irrigation and power; and (iv) conjunctive utilization of surface and groundwaters may lead to increased firm power level and reliability of irrigation.

4. Conjunctive utilization

4.1 Conjunctive-use concept

The Bhakra surface water system has been described in §2.1. There has also been intensive development of groundwater by the public and private sectors. Such combined use of surface and groundwaters as is now practised was not preplanned but has come into being out of necessity. Though the concept of conjunctive utilization of surface and groundwaters has been put forward and widely advocated, it has not been applied systematically. The Irrigation Commission (1972) recognized this fact and recommended that schemes for conjunctive use of surface and groundwaters in existing irrigation schemes should be accorded high priority and that a systematic study should be made by each State to identify areas where conjunctive use is feasible. It appears that conjunctive utilization is considered as the planned development of surface and ground waters for irrigation. It has not been sufficiently realised, despite the good work of Singh (1964) and Minhas *et al* (1972) that conjunctive utilization enables the operating authorities to cope with the differences in time distribution of irrigation and power demands in an optimal way and that it is a means of converting secondary power to valuable firm power. The model described below and applied to the water power studies of BMB for 1969–70 and 1970–71 seeks to emphasize the conjunctive utilization concept and demonstrate the benefits of its application.

4.2 Conjunctive-use model

A linear programming model which is an adaptation of a model proposed by Thomas & Revelle (1966) for the Aswan High dam on the Nile river has been developed. Figure 2 is a schematic representation of the model.

The objective is to minimize the discharge from the reservoir during the period 23 September to 30 November. The model is based on the fact that if secondary or dump power generated could be used to lift groundwater and supply part of the irrigation demand, then it is possible to reduce the discharges from the reservoir during this period.

$$\text{Objective function: Minimize } \sum_{i=1}^N x_i, \quad (1)$$

where i = index for the subperiod i ; subscript i denotes the value of the variable in subperiod i , N = number of subperiods, and X = release from the reservoir in cumec days.

4.3 Constraints

4.3a *Power constraint*: The reservoir release in each subperiod should generate the firm power supplied in that subperiod.

$$X_i \geq P_i/K_i \quad (\text{for all } i), \quad (2)$$

Figure 2. Conjunctive use model for the Bhakra reservoir.

where P = firm power demand, and K = power conversion factor (energy rate function) *i.e.*, power generated by unit volume of water in mw per cumec.

4.3b *Irrigation constraint*: The irrigation demand will be met from two sources: (i) releases from the reservoir and (ii) groundwater lifted by the excess, if any, of power generated over firm power level. The amount of dump power generated should be sufficient to lift the groundwater component of the irrigation demand. This is the modification introduced in the model of Thomas & Revelle (1966) to adapt it to the present problem. Whereas surplus surface water is stored in the aquifers for later use in their model, surplus power is used to lift existing groundwater in this model,

$$X_i \geq A_i - m_i(K_i X_i - P_i), \quad (3)$$

where A = irrigation demand, and m = volume of groundwater lifted by unit power (cumecs/mw). When the irrigation demand is overwhelming, this constraint is binding and hence holds as an equality.

4.3c *Continuity constraint*: The continuity constraint specifies that releases upto any time cannot exceed the sum of storage and inflows upto that time. As the reservoir is nearly full during the early depletion period considered in this problem this constraint will not be binding.

$$\sum_{i=1}^s X_i \leq V + \sum_{i=1}^s I_i \text{ for all } s \text{ with } s = 1, 2, \dots, N, \quad (4)$$

where V = reservoir storage volume at the beginning of the depletion period, and I = inflow into the reservoir.

4.4 Data and assumptions

The model is used to study the regulation of the Bhakra reservoir during the depletion period for 1969–70 and 1970–71. Data from the water power studies of BMB (tables 2 and 3) are used in the study.

Turbine efficiencies of the order of 0.85 and pump efficiencies of 0.7 are common. The product of the turbine and pump efficiencies is assumed to be 0.6. Tail race elevation (357 m) is taken from Singh (1965). The power conversion factors K_i are computed from the data in tables 2 and 3. They are assumed constant during the subperiods. As the length of the subperiod is short (8 to 10 days), the variation in the reservoir elevation is small and the head is high, the effect of this approximation is negligible.

The depth to groundwater is assumed to be 15 m in October and 17 m in November. Minhas *et al* (1972) mentioned that in Haryana and Punjab groundwater level rises to 15 to 25 m (50 to 80 ft) below ground surface even for deep tubewells. In many areas groundwater is available much nearer the surface at depths of 3 to 4 m especially after the rainy season in October and November. The assumption of 15 to 17 m depth to groundwater is conservative.

The firm power level and reservoir factor adopted by BMB for the early depletion period up to November 30 are respectively 552 MW and 0.85 in 1969–70 and 400 MW and 0.75 in 1970–71. These values are used in this study.

4.5 Discussion of results

The model is applied to study the regulation of the Bhakra reservoir for 1969–70 and 1970–71 during the early depletion period when irrigation alone is constraining. The results given in tables 4 and 5 show that the power used for lifting groundwater is much smaller than the secondary power generated according to BMB studies and that the actual releases of water from the reservoir are reduced by conjunctive use. For example, it is seen from table 4 that during 11–15 October the secondary power generated is 103 MW whereas the model suggests 9.9 MW of power for lifting the groundwater component of the irrigation demand resulting in a saving of 364.35 cumec days of water in the reservoir. It is found that 2800 cumec days (*i.e.* 243 m cu m) of water could be saved in the reservoir during the period 23 September to 30 November (table 4). This is 7.87% of the total releases made during the same period (table 2). A similar value of 7.28% is computed for 1970–71 from table 5.

The water so conserved in the reservoir can be used either to expand the irrigated area and help enhance food production under the rabi crop or alternatively it can subsequently help reduce the depletion rate of the reservoir when power demand is constraining and it has a twofold effect. The conserved water increases the storage in the reservoir and also increases the head available for power generation. At the end of the depletion period, the reservoir could end up at a higher elevation. Although 7–8% of saving of water appears small, it corresponds to 243 m cu m of water and is higher in volume than the evaporation loss of 155.63 m cu m from Bhakra reservoir during 1969–70. Since irrigation is generally not constraining during the remainder of the depletion period a 7 to 8% increase in irrigation supply during this period can increase the total output of irrigation by a corresponding percentage and this is significant.

5. Multiobjective analysis

5.1 The concept

Multiple purposes should be treated as multiple objectives when there is conflict between them as the nature of their consequences is noncommensurable and the linkages between the purposes and the objectives they set out to serve are not known.

Table 4. Early depletion period operation of Bhakra reservoir 1969-70.

Month	Period	Secondary power in BMB Study (MW)	Releases suggested by Model		Available Power (MW)	Power for Groundwater (MW)	Water saved in reservoir	
			cumecs	cumec days			cumecs	cumec days
September October	23-30	79	464.25	3714	563.4	11.4	55.67	445.36
	1-10	98	469.7	4697	565.9	13.9	69.57	695.70
	11-15	103	466.4	2332	561.9	9.9	72.87	364.35
November	16-20	27	465.4	2327	556.0	4.0	30.40	101.25
	21-31	38	472.5	5197	557.9	5.9	27.29	300.19
	1-10	30	479.0	4791	557.0	5.0	20.79	207.90
	11-20	38	485.6	4856	558.2	6.2	28.36	283.60
	21-31	45	488.0	4880	552.0	0	40.12	401.20
Total:			32793 cumec days				Total:	2799.55 cumec days.

Table 5. Early depletion period operation of Bhakra reservoir 1970-71

Month	Period	Secondary power in BMB study (MW)	Releases suggested by model		Available power (MW)	Power for groundwater (MW)	Water saved in reservoir	
			cumecs	cumec days			cumecs	cumec days
October November	21-31	39.0	382	4199.3	406.0	6.0	28.60	314.6
	1-10	47.8	384	3841.5	408.5	8.5	36.75	367.5
	11-20	41.5	390	3898.6	408.4	8.4	30.75	307.5
	21-30	34.5	395	3946.3	407.2	7.2	25.75	257.5
Total			15885.7				Total	1247.0

There has been sharp competition for and conflict in the use of the Bhakra reservoir storage for irrigation and power (Mehndiratta & Hoon 1973; Reidinger 1974). In the planning stage Bhakra was considered more of an irrigation project and the power benefits were secondary. A firm power of 282 MW was expected from Bhakra power houses in a dry year. With the rapid increase in power load in the region, the position has completely changed. The power demand overrides other interests of the project during most of the months and it has not been possible to satisfactorily coordinate the releases. It is therefore worthwhile to consider irrigation and power as the two objectives to operate the Bhakra system and to study the complementarity and conflict between the two for the six years for which data are available.

5.2 The model

Thomas & Revelle (1966) used a linear programming (LP) model and for a given storage in Aswan High dam derived the transformation curve between irrigation and power by maximizing power for different levels of irrigation demands. A similar approach is used to study the planned and actual depletion period operation of the Bhakra reservoir. The LP model is as follows:

$$\text{Objective function: maximize } P, \quad (5)$$

where P = firm power level in MW.

5.3 Constraints

5.3a Irrigation constraint: The reservoir release in each subperiod should meet the irrigation requirements to be supplied from the reservoir,

$$X_i \geq A_i, \text{ with } i = 1, 2, \dots, N, \quad (6)$$

where N = number of subperiods during the depletion phase of the reservoir, X = reservoir release in cumec days, and A = irrigation requirements to be supplied from the reservoir in cumec days.

5.3b Power constraint: The reservoir release should generate the committed firm power in each subperiod.

$$X_i \geq \frac{P \cdot \beta_i n_i}{K_i} \text{ with } i = 1, 2, \dots, N, \quad (7)$$

where β_i = firm power coefficient in subperiod i , n_i = number of days in subperiod i , and K = power conversion factor (MW/cu mec).

5.3c Continuity constraint: The total volume of water released from the reservoir during the depletion period should not exceed the total utilizable storage in the reservoir at the beginning of the depletion period and the inflows in the depletion period.

$$\sum_{i=1}^N X_i \leq V + \sum_{i=1}^N I_i, \quad (8)$$

where V = volume of reservoir storage utilized during depletion period in cumec days, and I = river inflow into the reservoir.

Table 6a. Availability of water in filling period

Year	River inflows (m cu m)	Contribution from Sirhind feeder (m cu m)	Contribution from WJC* (m cu m)	Releases made (m cu m)	Starting reservoir level at the beginning of filling period in meters/ Date of occurrence	Closing reservoir level at end of filling period in meters/ Date of occurrence	Stored supply available at end of filling period up to dead storage reservoir level 445.62 meters (m cu m)
1966-67	11114	913	382	4367	439.83 4-6-66	509.26 14-9-66	6463
1967-68	11397	1172	308	4305	443.80 3-6-67	512.23 21-9-67	6944
1968-69	9819	950	271	4317	454.74 31-5-68	506.76 12-9-68	6056
1969-70	12002	962	74	5230	451.03 27-5-69	513.30 21-9-69	7142
1970-71	7722	1011	247	3256	444.92 25-6-70	495.98 3-10-70	4527
1971-72	11077	999	358	3910	443.23 28-5-71	512.76 16-9-71	7043

(Mehndiratta & Hoon 1973) * West Yamuna canal

Table 6b. Availability of water in depletion period

Year	Reservoir level at beginning of depletion period (in metres)/ Date of occurrence	Reservoir level at end of depletion period (in metres)/ Date of occurrence	Stored supply utilised during depletion period (m cu m)	River inflows (m cu m)	Ravi-Beas contribution (m cu m)	Total supply utilised (m cu m)
1966-67	509.26 14-9-66	443.30 3-6-67	6599	3848	604	11051
1967-68	512.23 21-9-67	454.74 31-5-68	6352	4576	1011	11939
1968-69	506.76 12-9-68	451.03 27-5-69	5711	4317	1493	11521
1969-70	513.30 21-9-69	444.92 25-6-70	7179	5033	1369	13581
1970-71	495.98 3-10-70	443.23 28-5-71	4663	3071	1604	9338
1971-72	512.76 16-9-71	445.54 16-6-72	7043	5205	1850	14098

(Mehndiratta & Hoon 1973)

5.4 Data and assumptions

5.4a Number of subperiods: The water and power studies of BMB generally divides the depletion period from 23 September to 31 May into 29 periods (table 2). An LP package on the time-sharing computer terminal at the Harvard University Centre for Population Studies limits the total number of constraints to 50. To facilitate its use, the depletion period is divided into 24 subperiods by aggregating some of the subperiods in the waterpower studies.

5.4b Irrigation requirements: The irrigation requirements in cumecs are given in table 1. During the depletion period, the irrigation requirements may be satisfied only partly as defined by the reservoir factor (RF). They are computed for values of RF ranging from 0.6 to 1 at increments of 0.05.

The expected inflow during depletion period has two components: (i) the inflow into the reservoir and (ii) the inflows of the Ravi and Beas rivers that contribute through the Sirhind feeder and supply part of the irrigation requirements of the Bhakra command. The Sirhind feeder (Ravi-Beas) contributions vary from year to year and the data are shown in table 6. While the break-up of these contributions period-wise is available for 1969-70 and 1970-71 from tables 2 and 3, an assumption is needed for the other four years. The proportion of the total flow in each subperiod for these years is assumed to be the average of such proportions for 1969-70 and 1970-71. Gains or losses upto the point of offtake of canals are available for each of the subperiods from tables 2 and 3.

5.4c Firm power level: The BMB chose for operation the results of water study no. VI for 1969-70 (table 7), study no. 1 for 1970-71 (table 8) and study no. VIII for 1971-72

Table 7. Summary of water power studies for 1969-70

Study No.	Starting reservoir level in meters	Pattern of inflow	Minimum reservoir level in meters	Reservoir factor %	Power
I	513.28	Dry year	445.62	75	22-9-69 to 31-12-69 550 MW 1-1-70 to 20-5-70 450 MW 21-5-70 to 31-5-70 289 MW
II	513.28	Dry year	445.62	75	22-9-69 to 31-12-69 512 MW 1-1-70 to 31-5-70 441 MW
III	513.28	Dry year	445.62	97	Minimum power 282 MW was ensured and releases were proposed in the interest of irrigation
IV	513.28	Dependable year	445.62	78	22-9-69 to 31-12-69 500 MW 1-1-70 to 30-4-70 450 MW 1-5-70 to 31-5-70 500 MW
V	513.28	Dependable year	445.62	80	22-9-69 to 10-12-69 524 MW 11-12-69 to 31-5-70 450 MW
VI	513.28	Dependable year	445.62	85	22-9-69 to 10-12-69 552 MW 11-12-69 to 31-5-70 438 MW
VII	513.28	Dependable year	445.62	100	Minimum power of 282 MW was ensured and releases were proposed in the interest of irrigation

Table 8. Summary of water power studies for 1970–71

Study No.	Starting reservoir level in metres	Pattern of inflows	Minimum reservoir level in metres	Reservoir factor %	Power
I	494.76 on 1st October	Dependable year	445.62	75	Up to 10th Dec. 1970—400 MW 11th December 1970 to 31st May 1971—312 MW
II	494.76 on 31st October	Dry year	445.62	75	Up to 10th Dec. 1970—400 MW 11th December 1970 to 31st May 1971—300 MW

(Mehndiratta & Hoon 1973)

(table 9). The β_i values corresponding to the same were used in this study for the respective years. The β_i were found to be respectively 1.26 in 1969–70 and 1.282 in 1970–71. For 1966 to 1969 for which no data are available, a distribution similar to 1969–70 and 1970–71 with a β_i value of 1.27 is used.

5.4d *Power conversion factors*: The power conversion factors K_i for 1969–70 and 1970–71 are deduced from the data in tables 2 and 3 respectively and the relationship between K_i and reservoir elevation is derived. For the other four years, the approximate reservoir elevations for each of the subperiods are estimated from the anticipated and actual depletion curves in figures 3 (a to f) and the power conversion factors corresponding to these elevations are used in this study. These depletion curves generally correspond to RF values in the ranges of 0.75 to 0.85. For other RF values, the depletion curves would be somewhat different and the reservoir elevations and hence the power conversion factors would also be different. However, for simplicity, it is assumed that the conversion factors are independent of the RF value and the same conversion factors as in the water power studies of BMB are used in each of the subperiods. From the solution thus obtained, it is possible to determine the reservoir elevations implied and hence determine a new set of values of K_i and repeat the procedure till convergence is obtained. However, the irrigation requirement is constraining generally only from 23 September to 10 December when the reservoir elevation is very high and the depletion rate is low. Such refinement is not considered here.

5.4e *Sutlej river inflows*: This study assumes the dependable year inflows for planning as in the case of water power studies of BMB. They are obtained from Mehndiratta & Hoon (1973) and Lamba & Prem (1975) for all years except for 1971–72 for which there is a discrepancy between the two reported values. The dependable year inflow for that year is corrected by interpolation. These are shown in table 10. For actual operation, actual river inflows (table 6b) are used.

Table 9. Summary of water power studies 1971-72

Study No.	Starting reservoir level in metres	Pattern of inflows	Minimum reservoir level in metres	Reservoir factor up to 10th Dec. 71	Power
I	512.06	Dependable year	445.92	85%	512 MW up to 10th December 1971 and 444 MW thereafter up to 31-5-72
II	512.06	Dependable year	451.41	85%	512 MW up to 10-12-71 and 430 MW from 11-12-71 to 31-5-72
III	512.06	Dependable year	456.90	85%	512 MW up to 10-12-71 and 414 MW from 11-12-71 to 31-5-72
IV	512.06	Dry year	445.92	81%	484 MW up to 10-12-71 and 432 MW from 11-12-71 to 31-5-72
V	512.06	Dry year	451.41	81%	484 MW up to 10-12-71 and 419 MW from 11-12-71 to 31-5-72
VI	512.06	Dry year	457.20	81%	484 MW up to 10-12-71 and 402 MW from 11-12-71 to 31-5-72
VII	512.05	Dependable year with month of May as per driest year	446.23	75%	512 MW or more up to 10-12-71 and 425 MW from 11-12-71 to 31-5-72
VIII	512.06	Dependable year	452.32	85%	500 MW up to 31-12-71 and 430 MW for 1/72, 3/72 and 5/72 and 420 MW for 2/72 and 4/72
IX	512.76	Dependable year	452.32	85%	500 MW upto 31-12-71 and 430 MW for 1/72, 3/72 and 5/72 and 420 MW for 2/72 and 4/72.
X	512.76	-do-	445.62	85%	-do-
XI	512.76	Actual	445.62	85%	-do-

Note:

(1) Study Nos. I to VIII are BMB Water Power Studies for 1971-72 (Mehndiratta & Hoon 1973).

(2) Study No. XI is one of actual operation.

5.4f Stored volume: For planning studies, live storage available above dead storage level (DSL) is used in the different studies. In case carryover was planned for, carryover storage was estimated from volume elevation curves and deducted from live storage above DSL to give utilizable storage with carryover. It is presumed that the losses due to evaporation and infiltration from the reservoir are considered by BMB in the specification of demands or in specifying inflows. The total volume of water for

utilization during the years is assumed to be the sum of dependable year inflows and the utilizable storage from the reservoir as in the water power studies of BMB. For studies of actual operation the volume of water actually utilized during the depletion period is used. The details of the data are given in table 10.

a. 1966-67

b. 1967-68

c. 1968-69

d. 1969-70

Figure 3. a, b; c, d; e, f; Anticipated and actual depletion curves. (Mehndiratta & Hoon 1973)

5.5 Derivation of irrigation and power transformation curves

The maximum level of firm power that can be developed for a given RF is determined by solving the LP problem. The values of RF used are 0.6, 0.65, 0.7, 0.75, 0.8, 0.85, 0.9, 0.95 and 1.0. The results of the studies of the planned and actual utilization of the reservoir storage from 1966 to 1972 are given in table 11 and the corresponding transformation curves are plotted in figure 4.

Carryover storage was an additional variable besides irrigation and power in 1971-72. The details and results of the studies with various carryover storages considered in the planning studies in 1971-72 are given in tables 12 and 13. The graphical representation of the concept of transformation surfaces and the resulting transformation surface for Bhakra are plotted in figures 5 and 6. The transformation curves, between irrigation (RF) and carryover storage, and between firm power and carryover storage, are given in figures 7 and 8 and explained later in § 5.7b.

5.6 Analysis of transformation curves

The transformation curves for the planned and actual operation of the reservoir for six years are generally similar in shape. The curves for 1966-67 are a little different in shape at higher RF levels and this is presumably due to very low Ravi-Beas contributions in the depletion period of that year (table 6b).

The difference between the curves for planning and operation in each year is due to the difference in the assumed depletion period inflows and actual inflows. The actual inflow is much smaller than the assumed inflow in the dry year 1970-71; slightly smaller

Table 10. Supplies planned for and actually utilized

Year	Stored supply above D.S.L. (m cu m)	Depletion period inflows (dependable year) (m cu m)	Total supply planned for utilization		Stored supply utilized (m cu m)	Actual depletion period inflows (m cu m)	Total supply actually utilized	
			(m cu m)	(cumec days)			(m cu m)	(cumec days)
1966-67	6463	4145	10608	122777	6599	3848	10447	120914
1967-68	6944	3812	10756	124490	6352	4576	10928	126481
1968-69	6056	4231	10287	119062	5711	4317	10028	116064
1969-70	7142	3812	10954	126782	7179	5033	12212	141342
1970-71	4527	3454	7981	92372	4663	3071	7734	89513
1971-72	6463*	4059**	10522	121743	7043	5205	12248	141758

* Minimum reservoir level is 452.32 m; ** Corrected value.

Table 11. Maximum firm power for different reservoir factors.

Reservoir factor	1966-67		1967-68		1968-69		1969-70		1970-71		1971-72	
	Firm power (MW)	Case 1	Case 2	Firm power (MW)	Case 1	Case 2	Firm power (MW)	Case 1	Case 2	Firm power (MW)	Case 1	Case 2
0.60	430.8	424.25	445.3	465.0	413.6	401.2	461.9	514.9	320.9	310.1	447.7	521.3
0.65	430.8	424.25	445.3	465.0	413.6	401.2	461.9	514.9	320.9	310.1	447.7	521.3
0.70	430.8	424.0	445.3	465.0	413.6	401.2	461.9	514.9	318.8	305.8	447.7	521.3
0.75	428.1	420.7	445.3	465.0	413.6	401.2	461.9	514.9	308.2	288.4	447.7	521.3
0.80	420.9	411.8	442.5	464.4	412.5	397.8	461.0	514.9	279.4	250.5	446.3	521.3
0.85	404.7	390.3	432.5	459.6	404.0	386.0	454.4	514.9	227.6	N.F.	437.6	521.3
0.90	353.0	339.0	414.5	442.6	383.5	350.8	439.8	512.0	N.F.	N.F.	422.5	520.5
0.95	263.1	N.F.	367.8	397.9	334.2	299.3	409.0	503.7	N.F.	N.F.	402.4	513.7
1.00	N.F.	N.F.	N.F.	339.7	N.F.	N.F.	354.1	488.0	N.F.	N.F.	352.0	499.9

N.F.: Not feasible; Case 1: Study based on stored supply planned for utilisation and dependable year inflows during the depletion period; Case 2: Study based on utilized stored supply and the actual inflows during the depletion period.

Figure 4. Transformation curves for the Bhakra reservoir (1966-72)

Figure 5. Graphical representation of transformation surface.

Table 12. Details of water power studies for 1970-71

	Study I	Study II	Study III	Study VIII	Study IX	Study X	Study XI
Reservoir level at the beginning of depletion period (m)	512.06	512.06	512.06	512.06	512.76	512.76	512.76
Reservoir level at the end of depletion period (m)	445.92	451.41	456.90	452.32	452.32	445.62	445.62
Stored supply utilized during depletion period (m cu m)	6,871.61	6,521.69	6,162.52	6,462.68	6,634	7,043	7,043
Dependable year inflows (m cu m)	4,059	4,059	4,059	4,059	4,059	4,059	5,205*
Total supply utilized (cumec days)	10,931	10,581	10,222	10,522	10,693	11,102	12,248
Total supply utilizable (m cu m)	126,475	122,476	118,270	121,743	123,726	128,458	141,758**
Carryover storage (m cu m)	19.43	349.92	709.08	409.93	408.93	0	0
Carryover storage as percent of total storage of 6871.61 m cu m	0.3	4.94	10.32	5.95	5.95	0	0

* Actual inflows; ** Total supplies utilized

Table 13. Maximum firm power for different reservoir factors and carryover storages (water power studies for 1971–72)

Reservoir factor	Firm power (MW)						
	Study 1	Study 2	Study 3	Study 8	Study 9	Study 10	Study 11*
0.60	465.1	450.2	434.9	447.7	455.0	472.4	521.3
0.65	465.1	450.2	434.9	447.7	455.0	472.4	521.3
0.70	465.1	450.2	434.9	447.7	455.0	472.4	521.3
0.75	465.1	450.2	434.6	447.7	455.0	472.4	521.3
0.80	464.7	449.1	431.9	446.3	454.2	472.3	521.3
0.85	461.3	441.2	419.3	437.6	447.9	469.8	521.3
0.90	448.2	426.3	403.2	422.5	433.5	458.6	520.5
0.95	432.2	407.7	369.2	402.4	416.4	443.2	513.7
1.00	400.9	359.0	314.1	352.0	372.5	420.5	500.0
Carryover storage (m cu m)	19.4	349.9	709.1	408.9	408.9	0	0

* Actual operation

in the below average years 1966–67 and 1968–69; slightly larger in the above average year 1967–68 and very much larger in the wet years 1969–70 and 1971–72. It shows that using dependable year inflows for planning every year irrespective of how wet the year has been and how high the reservoir has filled up led to very conservative planning in wet years and the opposite of it in a dry year. It is not sound practice. It seems possible to delineate an average year with a transformation curve between the above average and

Figure 6. Transformation surface for the Bhakra reservoir.

Figure 7. Transformation curve at constant firm power (1971-72).

Figure 8. Transformation curve at constant reservoir factor (1971-72).

below average years for which the assumption of dependable year inflows in depletion period would be correct. Forecasting models for the stochastic inflows (Krishnasami 1976) are required to predict depletion period inflows for use in planning.

The points of operation D chosen in the planning studies in 1969-70 and 1971-72 (figure 4) lie inside the respective transformation curves indicating that the planned operation is inferior and nonoptimal. The point D could be moved on to the curve at D_1 or D_2 and the operation would be Pareto-superior to that at D . A higher firm power level would be attained for the same RF of 0.85 at D_1 while a higher RF would be obtained for the same firm power level at D_2 . RF planned was never higher than 0.85 and so it seems reasonable to suppose that the points D_1 would be the decision points of BMB for operation in 1969-70 and 1971-72.

The RF planned for was 0.75 in the dry year 1970-71. The decision point chosen in planning is not feasible with respect to the actual transformation curve. The firm power

level planned for was stuck to and the adverse impact of the shortage must have been borne by irrigation only. So the actual RF provided would have been lower than 0.75.

Irrigation supplies at RF of 1 were feasible in 3 out of 6 years and at RF of 0.9 to 0.95 in 2 out of 6 years. In the dry year an RF of only 0.8 was feasible.

Firm power levels planned for varied from 312 MW in a dry year to 438 MW in a wet year. In actual operation it seemed that 510 to 520 MW of power was generated in the wet years of 1969–70 and 1971–72 and 280 to 300 MW in the dry year of 1970–71. The high order of fluctuations in firm power from year to year can be reduced by carryover storage from wet to dry years. For example, a carryover of about 1500 m³ storage from 1969–70 to 1970–71 would have facilitated operation in both years around the level of an average year (1968–69) with firm power of about 400 to 420 MW. The recommendation of Minhas *et al* (1972) that a high dead storage level should be maintained for high levels of firm power (which are possible in years of good inflows) implies, in a way, the concept of carryover storage. Carryover storage was planned for in 1971–72 possibly because of the experience of the previous two years, but in actual operation this sound policy was not carried out.

Irrigation and power are almost wholly complementary up to an RF level of about 0.9 in years of good inflows but the complementarity could not be exploited because of the uncertainty of depletion period inflows. This again points to using appropriate streamflow forecasting models for planning reservoir operation.

5.7 “Top-down” and “bottom-up” planning

The transformation curves provide the frontier on which an optimal decision point for operation should lie. If the social welfare function or the preference function of the decision makers is available, the optimal point is obtained as the point of tangency between the transformation curve and the welfare function or preference curve respectively and the slope of the tangent gives the trade-off between the objectives. The slope of the tangent to the transformation curve gives the marginal rate of technical transformation between the objectives at that level of attainment of the objectives. The slope of the tangent to the preference curve provides a measure of the relative marginal economic worth of the two objectives (ratio of the shadow prices) at that level of attainment of the objectives. At the decision point they are equal and the converse is also true.

Well-defined preference functions do not exist. Shadow prices of the objectives vary from time to time and depend on the levels of attainment of the objectives. They need to be derived from an overall economic framework by a central planning organisation and given to the project operators for use in decision-making. This is “top-down” planning. If the ratio of shadow prices of the objectives are so defined exogenously (along the transformation curve) the point on the transformation curve where the slope equals this ratio defines the decision point. If it is not available from decision makers it is possible to arrive at a decision point by interaction with the decision makers using techniques like SWT method or Paretian analysis. In the absence of these, a “bottom-up” planning procedure seems possible.

5.7a Analysis and discussion of implied trade-offs: The results presented in § 5.4 are analysed and discussed in the framework of “bottom-up” methodology to elicit the trade-offs implied in the choice of the decision points in the planned and actual operation of the reservoir during six years.

The trade-offs between irrigation and firm power in the planned and actual operation for the six years are given in table 14. The trade-offs implied in planned operation vary from 200 MW/RF in average years to 360 MW/RF in a dry year. Since the results indicate a higher trade-off for irrigation in a dry year, the value system adopted in planning is consistent.

In 1969–70 (figure 4), the trade-offs of 200 MW/RF at D_1 and 500 MW/RF at D_2 provide the bounds for the marginal rates of transformation implied in the choice of decision point D for operation. The BMB has used a maximum RF of 0.85 for operation (tables 7, 8 and 9). Assuming, therefore, an RF of 0.85 corresponding to D_1 the trade-off implied is 200 MW/RF. The trade-off on the transformation curve of actual operation at the level of D_1 corresponding to RF of 0.85 is 0.0 MW/RF. This implies that, in actual operation, there is complete complementarity between irrigation and firm power up to RF of 0.85 and that the irrigation supply could have been increased upto RF levels of 0.9 or even upto 1 with a small loss of firm power. Even at an RF of 1.0, 50 MW more of firm power than that planned for would have been produced (table 11).

In the dry year 1970–71 (figure 4) the trade-off between irrigation and power implied by the decision is 360 MW/RF. The planned operation at point D is not feasible for actual inflows. If an RF level of 0.75 was maintained, a firm power level of 288.4 MW would have been reached on the transformation curve for actual operation and it would have meant a trade-off of 490 MW/RF at that point. This is larger than the trade-off in an average year. During the early part of the depletion period from 3 October 1970 to about 15 December 1970 the operation was as per the plan, i.e. at point D with RF of 0.75 and firm power level of 400 MW (figure 3e). The adverse effect of the shortage seems to have been borne by the latter part of the depletion period from 15 December 1970 to 31 May 1971. The average power generation for the year 1970–71 was 335 MW. It can be deduced from these that the RF level achieved was certainly less than 0.75 and must have been somewhere between 0.65 and 0.7 with a trade-off of 160 MW/RF or less. This, in turn, implies a higher value for power than irrigation compared to an average year. This indicates not only a contradiction between planning and operation but also an inconsistency in economic evaluation. If, as pointed out earlier, the reservoir was not depleted as it was in 1969–70 but some storage was carried over to 1970–71, it would have greatly cushioned the adverse impact due to poor inflows in 1970–71 and enabled the achievement of a higher RF for irrigation.

Table 14. Trade-off between firm power and irrigation

Year	Irrigation level (RF)	Trade-off (MW/RF)	
		Planned operation	Actual operation
1966–67*	0.85	450	600
1867–68	0.85	260	210
1968–69	0.85	240	360
1969–70	0.85	200	0
1970–71	0.75	360	490
1971–72	0.85	230	0

* Ravi-Beas contribution is low as compared to other years.

5.7b Transformation surface for 1971–72: The concept of the transformation surface among the three objectives has been illustrated in figure 5. ABC constitutes the surface bounded by the three coordinate planes. D is the decision point for operation. If it is on the surface, it is Pareto-optimal. Curves a_2a_3 , b_2b_3 and c_2c_3 pass through the decision point and lie respectively in planes $a_1a_2a_3$ parallel to the coordinate plane BOC , $b_1b_2b_3$ parallel to OAC and $c_1c_2c_3$ parallel to OAB . The trade-off implied by decision point D between any two of the objectives can be derived as the negative of the slope of the curve in the plane parallel to the coordinate plane defined by the same objectives.

For 1971–72, water power studies for planning the reservoir operation were conducted by BMB assuming different levels of irrigation and firm power supplies and different carryover storages. They are listed in table 9. Four of the studies, viz., I, II, III and VIII assumed an irrigation level at RF 0.85 and dependable year inflows during depletion period but they differ in that they assume different carryover storages. Details of these studies are given in table 12.

The maximum firm power levels for different reservoir factors and carryover storages are given in table 13. The transformation surface among the three objectives, irrigation, firm power and carryover storage for the four planning studies is shown in figure 6. The BMB decided to operate the reservoir according to study No. VIII with a carryover storage of 408.93 m cu m, a firm power level of 420 MW and RF of 0.85. A firm power level of 437.6 MW was possible for an RF of 0.85 and carryover storage of 408.93 m cu m (table 13, figure 4). Hence the decision point D (figure 4) is inside the transformation curve and though feasible is not Pareto-optimal. For the same carryover storage, any operation between D_1 (RF of 0.85 and firm power of 437.6 MW) and D_2 (RF of 0.905 and firm power of 420 MW) will be better than the point D chosen and will be Pareto-superior. The trade-offs implied by choice of D_1 or D_2 as decision points can be obtained from the transformation curves in figures 4, 7 and 8 and are respectively 230 to 350 MW/RF between irrigation and power, 4824 to 5976 m cu m/RF between carryover storage and irrigation, and 60.6 to 67.6 MW/b cu m (billion cubic metres) between firm power and carryover storage. As the RF level of 0.85 was always adhered to as an upper limit, it appears that D_1 would have been the choice. Hence the trade-offs implied by D_1 are relevant indicators of decision makers' values.

The planning studies of BMB (table 9) assumed that the reservoir would reach an elevation of 512.06 m on 16 September 1971. But the reservoir actually filled to an elevation of 512.76 m on that date with 171 m cu m more storage than planned for in these studies. Study Nos. IX and X (table 9) are revisions to account for this increase. Study No. IX assumes carryover storage of 408.9 m cu m as in study No. VI and corresponds to planned operation. Study No. X assumes no carryover storage as in Study No. XI and corresponds to actual operation. They are reported in tables 12 and 13. The transformation curve for actual operation (figure 4) indicates that irrigation could have been supplied at an RF of 0.9 instead of 0.85 without any loss of firm power and this too at a power level of 521.30 MW as against 420 MW planned for. An increase in RF to 1 would have resulted in a loss of only about 20 MW.

If the reservoir was operated according to the decision on 16 September, 1971 the carryover storage would have been much more than what was planned for because the excess of actual inflows over the assumed dependable year inflows, would have been stored, and carried over to the subsequent year. The reservoir elevation at the end of the depletion period would have been about 8 m higher than the planned elevation of 452.32 m. In actual operation the reservoir was drawn down to about the dead storage

elevation of 445-62 m. Thus 1746 m cu m of water consisting of excess storage and excess inflows was used over and above the planned volume of 10,522 m cu m and all the excess was used in the interest of power alone and the trade-off implied is 0.0 MW/RF which considered irrigation above RF of 0.85 to be completely worthless. Such a value judgement needs justification. Thus, carryover storage, though planned for, was not realized in practice. This was particularly striking after the dismal experience of the previous year.

6. Summary of results

The analysis of the results of the study of the Bhakra reservoir operation from 1966 to 1972 indicates that (i) the depletion period inflows are correlated to the filling period inflows and planning should be based on appropriate estimates of depletion period inflows; (ii) an RF of 0.85 or above can be attained in an average year and an RF of 1 can be obtained at a loss of firm power of about 80 MW in an average year; (iii) planning and operation should be based on consistent estimates of economic parameters; and (iv) carryover storage will serve to reduce year to year fluctuations in firm power and irrigation levels.

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