On Kepler’s First Law
The Law of Ellipses

Shailesh Shirali

Kepler analysed the observations of the planets to discover the elliptical shape of planetary orbits. The mathematical connection between the shape of the orbit and the law of force came with Newton. This article explores both Newton’s general approach and later simplifications.

Introduction

This article is about Kepler’s first law or the law of ellipses, named after its discoverer and shown by Newton to follow from an inverse square law of force: *A planet revolving about the sun moves in an elliptical orbit with the sun at one focus of the ellipse*. We present two proofs of this classical result: Newton’s proof, from the *Principia Mathematica*, and Feynman’s proof, from *Feynman’s Lost Lecture* (see D Goodstein and J Goodstein in Suggested Reading). (The latter proof has been discovered independently and at different times by others; e.g., by Hamilton and Maxwell. See A Lenard in Suggested Reading for an unusual treatment.)

Preliminaries

Given two points $S$ and $H$ and a constant $a$ such that $2a > SH$, the ellipse with foci at $S$ and $H$ and semi-major axis equal to $a$ is defined to be the locus

$$\{P : PS + PH = 2a\}.$$

The mid-point $C$ of $SH$ is the *centre* of the ellipse. A chord $PG$ of the ellipse which passes through $C$ is a *diameter* of the ellipse; and if $DK$ is the diameter parallel to the tangent to the ellipse at $P$, then $DK$ and $PG$ are *conjugate diameters*.

The lemmas listed below refer to a fixed ellipse $E$ with centre
C, foci S and H, semi-major axis CA = a and semi-minor axis CB; see Figure 1. For an arbitrary point P on E, the tangent to the ellipse at P is \( t_P \) and the diameter of the ellipse through P is PG.

Following standard convention, we denote vectors with an arrow above the letters.

**Lemma 1.** The area \( |\vec{CP} \times \vec{CD}| \) of the parallelogram spanned by \( \vec{CP} \) and \( \vec{CD} \) is constant for all pairs of conjugate diameters PG and DK

**Proof.** The simplest proof is via affine projection of the ellipse to a circle. The diameters PG and DK map to a pair of perpendicular diameters of the circle, and the area of the parallelogram spanned by \( \vec{CP} \) and \( \vec{CD} \) is now the same for all pairs of diameters. Since all areas change by the same scale factor under an affine map, the result follows.

**Lemma 2.** Let PG and DK be a pair of conjugate diameters of the ellipse, and let PS meet DK at E. Then PE = a.

**Proof.** Referring to Figure 1, where HI is parallel to KD, we have: PI = PH (by Lemma 4, a standard result); SE = EI, because SC = CH; PS + PH = 2a (by definition). These relations yield PE = a. (Note: The lemma is Newton's own.)
Lemma 3. Let $PG$ be any fixed diameter of $E$, and let $Q$ be a variable point on $E$. Let the line through $Q$ parallel to $\ell_P$ meet $PG$ at $V$. Then $QV^2/(PV \cdot VG) = DC^2/PC^2$.

**Proof.** Suppose that $DK$ is perpendicular to $PG$. Let the equation of $E$ with respect to $CP$ and $CD$ as the $x$- and $y$-axes be $x^2/p^2 + y^2/d^2 = 1$; let $V = (v, 0)$. Then $PV \cdot VG = p^2 - v^2$, and

$$QV^2 = \frac{d^2}{d^2} \left(1 - \frac{v^2}{p^2}\right) = \frac{D^2}{p^2 - v^2} = \frac{DC^2}{PC^2}.$$

If $DK$ is not perpendicular to $PG$, we use a shear with axis $GP$ to transform the figure to one where the image of $DK$ is perpendicular to $GP$ (which by definition remains invariant under the shear). Let the image of an arbitrary point $X$ be denoted by $X'$; then $D'C'$ and $Q'V'$ are both perpendicular to $GP$. Writing $\theta$ for the angle between $CD'$ and $CD$, the following equality holds:

$$\frac{Q'V'}{QV} = \cos \theta = \frac{D'C'}{DC};$$

so $QV/DC$ remains invariant through the shear. It follows that

$$\frac{QV^2}{DC^2} = \frac{Q'V'^2}{D'C'^2} = \frac{PV \cdot VG}{CP^2},$$

as required.

Lemma 4. (a) For any arbitrary point $P$ on $E$, the focal radii $SP$ and $HP$ make equal angles with $\ell_P$. (b) The locus of images of $H$ under reflection in a variable tangent $\ell_P$ to the ellipse is a circle with radius $2a$, centred at $S$.

(Result (a) is well-known; for a nice ‘variational’ proof by Feynman. See D Goodstein and J Goodstein in Suggested Reading. Result (b) follows from (a) and the relation $PS + PH = 2a$. The latter result allows for a pretty paper folding exercise. From a sheet of wax paper, cut out a circular disk $\Omega$ with centre $S$, and mark a point $H$ close to its periphery. Repeatedly fold points on the periphery of $\Omega$ upon $H$, making a neat crease each time. After working one's way around the periphery, the creases are seen to envelope an ellipse with foci at $S$ and $H$; see Figure 2.)

**Remark.** Characteristically, Newton waves away these lemmas with the comment, “This is demonstrated by the writers on the conic sections.”

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Figure 2. Paper folding construction of an ellipse.
Newton's Proof of the Area Theorem

Before presenting Newton's proof of the ellipse theorem, we briefly present his proof of the area theorem: The areas which revolving bodies describe by radii drawn to an immovable centre of force ... are proportional to the times in which they are described. For the proof we discretise the situation. In Figure 3, S is the immovable centre of force, and A, B, C, D ... are the positions of the revolving body after equal intervals of time Δt. Imagine that the force acts in 'bursts' (at the points A, B, C, D, ...). At B the force induces a fall $\vec{BV}$, as a result of which the body moves to C; in the absence of the force its inertia would have carried it to c, say. Observe that $\vec{AB} = \vec{Bc}$ and that $CcBV$ is a parallelogram. It is immediate that the area of triangle $CSB$ is equal to that of triangle $BSA$, for both are equal in area to triangle $cSB$. In the same way we see that triangles $DSC$ and $CSB$ have equal area, and so on. Letting $\Delta t \to 0$, the law of areas follows and Kepler's second law is explained. Note that all that the proof requires is that the force be a central one; the inverse square nature of the force plays no role whatever.

Newton's Proof of the Law of Ellipses

One notes with surprise that questions have been raised of late concerning Newton's treatment of the proposition that an inverse square law of force implies a conic section orbit. (See R Weinstock; B Pourciau in Suggested Reading for details.) Indeed one finds in the Principia that Newton actually addresses the converse proposition. The famous proposition XI poses the problem thus: A body revolves in an ellipse; it is required to find the law of centripetal force tending to the focus of the ellipse. (Note the precise and packed nature of the sentence, a style of writing at once characteristic of Newton.) This is followed by an elegant proof showing that the law of force must be an inverse square one. In the first edition of the Principia, Newton left it at this, but inevitably there were protests (one came from Johann Bernoulli); and a later edition carried a brief addendum which in essence is a uniqueness argument; it shows

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how, arguing from curvature considerations (note that curvature is a locally defined quantity), one can indeed conclude that orbits under an inverse square law of force are conic sections. With the addendum in place there can be no further controversy about the proof, and we shall not dwell upon the matter.

A word needs to be added regarding Newton’s style of writing. Several writers have remarked on the ‘icy grandeur’ of the *Principia*, and dense and packed the text certainly is. Chandrasekhar remarks (See S Chandrasekhar in Suggested Reading) on the ‘directness, absence of superfluity, and the entirely elementary character’ of Newton’s proofs, and on how nevertheless these tend to be obscured by his style of writing mathematical derivations in continuous prose. Newton may have deliberately chosen this style. Indeed he is reported to have told a friend that

“...to avoid being bated by little smatterers in mathematics [he] designedly made [his] principle abstruse; but yet so as to be able to be understood by able mathematicians.”

(The reasons for this are complex and have much to do with the unpleasant priority battles Newton had with Hooke and later with Leibnitz; but this need not concern us here.) Present-day readers certainly do find the *Principia* heavy going, but this owes in part to changing fashions in mathematics education. Students nowadays are exposed very little to ‘old fashioned geometry’, for instance the geometry of the conic sections, and Newton’s arguments tend to rely heavily on elementary geometry.

Two elementary principles used repeatedly and very effectively by Newton are [P1] (he refers to this as ‘Galileo’s principle’) and [P2] as given below.

[P1] Under an acceleration of magnitude $a$ directed towards a point $S$, the distance $s$ which a particle falls towards $S$ in a small time interval $t$ is given by $s = \frac{1}{2}at^2$. 

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[P2] For a particle in a curved orbit, at any instant its normal acceleration (directed towards the instantaneous centre of curvature) is given by $v^2/r$, where $r$ is the instantaneous radius of curvature and $v$ the tangential velocity at that instant.

We proceed to give Newton's proof. The diagram employed by him is reproduced in Figure 4, except that (with due apologies) we have replaced the labels $x$ and $v$ by $X$ and $V$ respectively. The figure shows an elliptical orbit $\mathcal{E}$, with centre $C$ and foci $S$ and $H$; it is supposed that forces are directed towards $S$ (the 'sun'). For an arbitrary point $P$ on $\mathcal{E}$, let $PG$ and $DK$ be the associated conjugate diameters ($DK$ is parallel to the tangent $YZ$ to $\mathcal{E}$ at $P$). Let the planet move from $P$ to $Q$ in a small interval of time $t$. Completing the parallelogram $PRQX$, with $R$ on $PY$ and $X$ on $PS$, we view the movement $\vec{PQ}$ as composed of a movement $\vec{PR}$ due to inertia, which would have taken place in the absence of attraction towards $S$, and a 'fall' $\vec{PX}$ re-
resulting from the central force. Invoking [P1], we take the acceleration at $P$ towards $S$ to be measured by the quantity $2PX/t^2$ or $2QR/t^2$. From the law of areas we know that $t$ is proportional to the area of sector $QSP$. This area is approximately equal to the area of triangle $QSP$, which equals $SP \cdot QT/2$, where $T$ is the foot of the perpendicular from $Q$ to $PS$. It follows that the acceleration at $P$ towards $S$ is proportional to

$$\frac{QR}{(SP \cdot QT)^2}. \quad (1)$$

(The constant of proportionality is of no consequence for the moment.)

So everything hinges on the behaviour of $QR/QT^2$ as $Q$ tends to $P$ along the curve. We shall show that in our situation, the limit of $QR/QT^2$ as $Q \to P$ does not depend on $P$; indeed, that the limit equals $1/L$ where $L = 2BC^2/AC$ is the latus rectum of the ellipse. This will imply that the acceleration is inversely proportional to $SP^2$, which is what was to be proved.

We have, using similarity of triangles and Lemmas 2 and 3,

$$\frac{QR}{PV} \cdot \frac{PE}{PC} = \frac{AC}{PC'}$$

$$\frac{PV}{QV^2} = \frac{PC^2}{CD^2}. \tag{2}$$

Again, using similarity of triangles and Lemma 1,

$$\frac{QT}{QX} = \frac{PF}{PE} = \frac{PF}{AC} = \frac{BC}{CD'}$$

Next, $QV \approx QX$ since $Q$ is close to $P$. It follows that

$$\frac{QT^2}{QR} = \frac{QX^2 \cdot BC^2}{CD^2} \cdot \frac{PC}{PV \cdot AC} = \frac{L}{2} \cdot \frac{QX^2}{PV} \cdot \frac{PC}{CD^2}$$

$$\approx \frac{L}{2} \cdot \frac{QV^2}{PV} \cdot \frac{VG \cdot PC}{CD^2}$$

$$= \frac{L}{2} \cdot \frac{CD^2}{PC^2} \cdot \frac{VG \cdot PC}{CD^2} = \frac{L}{2} \cdot \frac{VG}{PC} \approx L,$$

since $VG \approx 2PC$. It follows that $QR/QT^2 \to 1/L$ as $Q \to P$, and so the inverse square law of force holds.

Newton now adds the following comments.
For the focus, the point of contact, and the position of
the tangent, being given, a conic section may be de­
scribed, which at that point shall have a given curva­
ture. But the curvature is given from the centripetal
force and the velocity of the body being given; and
two orbits, touching one the other, cannot be de­
scribed by the same centripetal force and the same
velocity.

As stated earlier, this is essentially a uniqueness argument.
It states that for a particle in an orbit $\mathcal{O}$ under a known cen­
tral force, if the position and tangential velocity of a particle
at a given point $P$ are known, the curvature of $\mathcal{O}$ at $P$ is
known (via $[P1]$ and $[P2]$); and with the focus being given,
together with a point $P$ on the curve, the tangent to the
curve at $P$ and the curvature of the curve at $P$, a unique
conic section $C$ may be described. The two curves $\mathcal{O}$ and $C$
now coincide at $P$ upto curvature, a second degree quantity.
The fact that the governing differential equation is of the
second degree now forces $\mathcal{O}$ and $C$ to agree everywhere.

We remark here that Newton’s analysis yields a simple ex­
planation of Kepler’s third law: if $2a$ is the major axis of
the orbit (an ellipse, by the second law) and $T$ the orbital
time period, then $T^2/a^3$ is the same for all orbits. Indeed
it yields a version of the law which holds for open orbits
too (the original version becomes meaningless in this case).
Consider again the analysis leading to equation (1). Writing
$r$ for the radial distance $SP$ and $h$ for the constant rate at
which area is swept out by the radius vector, we see that the
acceleration at $P$ towards $S$ is given by $2PV/t^2$ as well as by
$k/r^2$, where $k$ depends only on the mass of the sun and the
gravitational constant; and that $h \cdot t \approx \frac{1}{2}r \cdot QT$. Therefore,

$$\frac{k}{r^2} \approx \frac{8 \cdot PV}{r^2 \cdot QT^2}.$$ 

Since $PV/QT^2 \to 1/L$ as $P \to Q$, it follows that

$$\frac{L}{h^2} = \frac{8}{k} = \text{constant}; \quad (2)$$
Newton is clearly in an expansive mood when writing about orbits: we see the mathematician in Newton rather than the scientist!

that is, \( L/h^2 \) has the same value for all orbits. This is Newton's generalisation of Kepler's third law. Observe that it is meaningful for open orbits. In the particular case when the orbit is an ellipse with \( a \) and \( b \) as the major and minor axes, we have \( L = 2b^2/a \) and \( h = \pi ab/T \), where \( T \) is the orbital period; so we obtain,

\[
\frac{T^2}{a^3} = \frac{4\pi^2}{k} = \text{constant},
\]

and we have recovered the original form of Kepler's third law.

Newton is clearly in an expansive mood when writing about orbits: he examines not only the conditions that imply an inverse square law of force, but also those which would give rise to:

1. an elliptical orbit, with the force tending to the centre of the ellipse;
2. an equiangular spiral orbit, with the force tending to the pole of the spiral.

(We see here the mathematician in Newton rather than the scientist!) Here are his findings: in (1) the force varies directly as the distance (acceleration \( \propto r \)), and in (2) the force varies inversely as the cube of the distance (acceleration \( \propto 1/r^3 \)).

Rather than present Newton's geometrical proofs, we tackle the problems using coordinates. Suppose that the revolving body moves in the xy-plane along a curve \( y = f(x) \) under the influence of a force directed towards the origin \( S = (0,0) \). Let \( P = (a, f(a)) \) and \( Q = (b, f(b)) \) be neighbouring points on the curve, and let \( X, T \) be points on \( SP \) such that \( QX \) is parallel to the tangent to the curve at \( P \), while \( QT \) is perpendicular to \( SP \). Then, from (1), the acceleration at \( P \) towards \( S \) is given by the expression

\[
\frac{1}{SP^2} \lim_{Q \to P} \frac{PX}{QT^2}.
\]

Crunching through a few computations, we find that

\[
\frac{PX}{QT^2} = \frac{f(a) - f(b) - f'(a)(a - b)}{(bf(a) - af(b))^2} \frac{r^3}{f(a) - af'(a)}.
\]
(Here $r = |SP|$. ) We need the limit of (5) as $b \to a$; l'Hospital's rule yields:

$$
\lim_{{Q \to P}} \frac{PX}{QT^2} = \frac{r^3 \cdot f''(a)}{2(a f'(a) - f(a))^3}.
$$

Changing notation and writing $(x, y)$ for $(a, f(a))$, $y'$ for $f'(a)$ and $y''$ for $f''(a)$, we see that the acceleration at $P$ towards $S$ is proportional to the following quantity:

$$
\frac{r \cdot y''}{(xy' - y)^3}.
$$

Following Newton we present three applications of the basic formula (6).

(i) **Parabolic orbit, with the force directed towards the focus.**

We take the equation of the parabola as $y = x^2 - 1/4$ (observe that its focus is at the origin). Substituting $y' = 2x$ and $y'' = 2$, we find that

$$xy' - y = x^2 + \frac{1}{4}, \quad r^2 = x^2 + y^2 = \left(x^2 + \frac{1}{4}\right)^2$$

so the acceleration varies as $1/r^2$, implying an inverse square law of force.

(ii) **Elliptical orbit, with the force directed towards the centre of the ellipse.**

Taking the equation of the ellipse as $b^2 x^2 + a^2 y^2 = a^2 b^2$ (the axes being $2a$ and $2b$ respectively), we find that

$$y' = \frac{-b^2 x}{a^2 y}, \quad xy' - y = \frac{-b^2}{y}, \quad y'' = \frac{-b^4}{y^3}.$$ 

This leads to

$$\frac{r \cdot y''}{(xy' - y)^3} = \frac{r}{b^2},$$

so the acceleration varies as $r$. This agrees with Newton's result.

(iii) **Equiangular spiral orbit, with the force directed towards the pole $S$ of the spiral.**
Feynman chooses to view the system at instants of time when the radius vector has advanced around the orbit through equal angles. In so doing he arrives at the following insight: As the particle orbits around S, the velocity vector moves in a circle.

Suppose that the spiral is such that for any point P on the curve the angle between SP and the tangent to the curve at P is 45°. With S as the origin, the differential equation of the spiral takes the form
\[
\frac{y' - y/x}{1 + yy'/x} = 1.
\]

This leads to the following relations:
\[
y' = \frac{x + y}{x - y}, \quad xy' - y = \frac{x^2}{x - y}, \quad y'' = \frac{2x^2}{(x - y)^3}.
\]
Substituting these into (6), we obtain
\[
\frac{r \cdot y''}{(xy' - y)^3} = \frac{2}{r^3},
\]
so the acceleration varies as \(1/r^3\). Once again, this agrees with Newton’s result.

The Maxwell-Feynman Proof of the Law of Ellipses

In his proof of the law of areas, Newton views the system at equally spaced instants of time. Feynman chooses to view the system at instants of time when the radius vector has advanced around the orbit through equal angles. In so doing he arrives at the following insight: As the particle orbits around S, the velocity vector moves in a circle.

Feynman’s argument has most likely been done using vectors, but he describes it in geometrical language. Let position vectors be measured with reference to the sun S as origin. In a small interval of time \(\Delta t\), let the position \(\vec{r}\), velocity \(\vec{v}\) and radial angle \(\theta\) change by \(\Delta \vec{r}\), \(\Delta \vec{v}\) and \(\Delta \theta\) respectively. Writing \(\hat{r} = \vec{r}/r\) for the unit vector in the radial direction, we have,
\[
\frac{\Delta \vec{v}}{\Delta t} = -\frac{k}{r^2} \hat{r}, \quad \frac{1}{2} r^2 \frac{\Delta \theta}{\Delta t} = h,
\]
where \(k\) is a constant and \(h\) is the rate at which area is swept out by the radius vector. By division we obtain
\[
\frac{\Delta \vec{v}}{\Delta \theta} = -\frac{k}{2h} \hat{r},
\]
Viewing the system at instants of time such that $\vec{r}$ advances around the orbit through equal angles $\Delta \theta$, we see from equation (8) that the polygon whose vertices are the tips of the $v$'s has equal sides (each side measures $(k/2h) \Delta \theta$) and equal angles (each exterior angle measures $\Delta \theta$). This means that the polygon is regular! In the limit as $\Delta \theta \to 0$, the $\vec{v}$'s span a circle; that is, the particle describes a circle in velocity space, say with centre $\vec{c}$ (and diameter $w = k/h$).

Feynman shows next that as the particle orbits around $S$, the vectors $\vec{v} - \vec{c}$ and $\vec{r}$ stay at right angles to one another. For the proof, let $\vec{r}_X$ and $\vec{v}_X$ respectively denote the position and velocity vectors corresponding to an arbitrary point $X$ on the orbit. Let $A, B, C, \ldots$ be $n$ points on the orbit corresponding to advances by $\vec{r}$ around the orbit through equal angles of $360^\circ/n$. Then, as per the argument above, in velocity space the points $\vec{v}_A, \vec{v}_B, \vec{v}_C, \ldots$ are the vertices of a regular polygon whose sides subtend angles of $360^\circ/n$ at its centre $\vec{c}$. The equality of angles shows that as the planet moves in its orbit, the position vector $\vec{r}$ turns at the same rate as $\vec{v} - \vec{c}$. Let $J$ be the point of closest approach to the sun; then $\vec{v}_J$ is perpendicular to $\vec{r}_J$. (Else $J$ would not be the point of closest approach). By the law of areas, the velocity $\vec{v}_J$ at $J$ has the largest magnitude amongst all the $\vec{v}$'s and therefore passes through $\vec{c}$ (remember that the origin of the $\vec{v}$'s is some off-centre point); so $\vec{v}_J - \vec{c}$ is perpendicular to $\vec{r}_J$. The equality of rates of turn now implies that $\vec{v} - \vec{c}$ is perpendicular to $\vec{r}$ at each point on the orbit.

Our task therefore reduces to finding a curve $E$ with the following property (see Figure 5A). Given: (a) a circle $\Gamma$ with centre $C$, an off-centre point $O$ which represents the origin, and the point $j$ where the ray $OC$ meets $\Gamma$, (b) a fixed point $S$, and a point $J$ on $E$ such that $SJ$ is perpendicular to $OJ$. Then $E$ must be such that for each point $P$ on $E$, if $p$ is the point on $\Gamma$ such that angle $pCJ$ equals angle $PSJ$, then the tangent at $P$ to $E$ is parallel to $Op$.

If we interchange the phrases 'perpendicular to' and 'parallel to', we arrive at an equivalent problem which luckily for us is easier to solve—because we already know the so-

Figure 5. Feynman's proof of the ellipse theorem.
Suggested Reading


Address for Correspondence
Shailesh Shirali
Rishi Valley School
Chittoor District
Rishi Valley 517 352
Andhra Pradesh, India.

As a final comment, we note that the Maxwell-Feynman approach yields another form of Kepler’s third law which holds for open orbits as well as closed orbits: *The product of the radius of the velocity circle and the rate at which area is swept out by the radius vector has the same value for all orbits.* (See A Lenard in Suggested Reading for details.)

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'Tomorrow is going to be wonderful, because tonight I do not understand anything'

*Niels Bohr*