



# Ringling non-Gaussianity from inflation with a step in the second derivative of the potential

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**Abstract.** Inflationary model driven by a scalar field whose potential has a step in the second derivative with respect to the field is considered. For the best-fit potential parameter values, the 3-point function and the non-Gaussianity associated with the featured model is calculated. We study the shape and scale dependence of the 3-point function. The distinctive feature of this model is its characteristic ringing behaviour of  $f_{\text{NL}}$ . We can see that the oscillations in  $f_{\text{NL}}$  in this model last for a much longer range of  $k$  values, than the previously studied models. In that sense, this model is potentially distinguishable from models with other features in the potential.

**Keywords.** Inflation; 3-point function; non-Gaussianity.

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## 1. Introduction

In standard slow-roll inflation, the deviation from the Gaussian distribution of the primordial perturbations [1–3] is predicted to be small. It is of the order of the slow-roll parameters [4–7]. This result does not hold if the inflaton undergoes a period of slow-roll violation during its evolution [8–11], as can happen if the inflaton potential has some localised features [12]. The resulting non-Gaussianity [13] then becomes shape and scale-dependent and modes that exit Hubble scale around the time the field crosses the feature can pick up large non-Gaussianities. An inflationary model, where the inflaton potential has a feature in its second derivative with respect to the inflaton, has been proposed in Minu Joy *et al* [14], where they showed that the power spectrum picks of small oscillations superimposed on a flat spectrum and the spectral index can experience a jump around the feature. This scenario helps to explain the local running in the spectral index observed in the WMAP and Planck data [15–18]. In the present work, we are interested in studying the non-Gaussianity predicted by this model. The analysis of the running of non-Gaussianity using Planck data is given in [19], in the context of some well-defined inflationary models. Inflationary models that predict a mildly scale-dependent bispectrum, termed as the running of the bispectrum

[20–23], is discussed there. In the present work, we compute the 3-point function of the curvature perturbation and study the shape and scale dependence of the 3-point function.

The paper is organised as follows: in §2 we describe our model and give its background evolution and resulting power spectrum of curvature perturbations. In §3 we compute the 3-point function and the non-Gaussianity from the model and then conclude by summarising the results in §4.

## 2. The model with a step in the second derivative of the potential

A model in which the inflaton potential experiences a sudden small change in its second derivative (the effective mass of the inflaton) is considered. Let  $[\ ]$  denote a jump in the relevant quantity, so that  $[A] \equiv A(\varphi_c + 0) - A(\varphi_c - 0)$  where  $\varphi = \varphi_c$  is the point at which the feature occurs. We consider the case for which  $[V] = [V'] = 0$ ,  $[V''] \neq 0$  and  $|[V'']| \ll H^2$ . The last inequality guarantees that slow-roll inflation continues during and after the phase transition, in contrast to the case of the hybrid inflation, or the case  $|[V'']| \sim H^2$ . A field theoretic model giving rise to such a feature of the

**Table 1.** Best-fit values of potential parameters.

Parameter	Values for $N = 60$	Values for $N = 40$
$M/m_{pl}$	$7.43 \times 10^{-4}$	$8.22 \times 10^{-4}$
$m/m_{pl}$	$4.60 \times 10^{-7}$	$6.90 \times 10^{-7}$
$g$	$2.77 \times 10^{-4}$	$3.75 \times 10^{-4}$
$\lambda$	0.1	0.1

inflationary potential, based on a fast phase transition experienced by a second scalar field weakly coupled to the inflaton is described in [14].

In [14], we showed that the inflationary model with a Higgs-like potential which is used in the hybrid inflationary scenario too,

$$V(\psi, \phi) = \frac{1}{4\lambda}(M^2 - \lambda\psi^2)^2 + \frac{1}{2}m^2\phi^2 + \frac{g^2}{2}\phi^2\psi^2, \quad (1)$$

could successfully give rise to a step in the spectral index of primordial spectrum. At the critical value of the inflaton,  $\phi_c = M/g$ , the curvature of the potential  $V(\psi, \phi)$  along the  $\psi$  direction vanishes and the effective mass of the  $\psi$  field  $m_\psi^2 > 0$  for  $\phi > \phi_c$  while  $m_\psi^2 < 0$  for  $\phi < \phi_c$ . This implies that for large values of the inflaton  $\phi$ , the auxiliary field  $\psi$  rolls towards  $\psi = 0$ . However, once the value of  $\phi$  falls below  $\phi_c$ , the  $\psi = 0$  configuration is destabilised resulting in a rapid cascade (mini-waterfall) which takes  $\psi$  from  $\psi = 0$  to its minimum value. In the original hybrid inflationary models [24,25] with waterfalls, the inflation comes to an end soon after the phase transition. In the present model, the conditions are set [14] such that the inflation field continues the slow-roll, even after the phase transition.

This potential has four parameters, namely  $M$ ,  $m$ ,  $g$  and  $\lambda$ . The model confrontation with WMAP-7 data has been done in [26] and the best-fit values of potential parameters were obtained with  $N = 40$  and  $N = 60$ , where  $N$  represents the number of  $e$ -folds after the phase transition. Table 1 gives the potential parameters best fit with the Bicep–Keck–Planck likelihoods (combined BICEP2 and Keck Array October 2018 data in combination with the 2018 Planck data) [27–29].

### 2.1 Background evolution

With this potential, by initiating evolution with initial field value  $\phi_i > \phi_c$ , the coupled system of equations of the background inflaton and scale factor of expansion of space–time exhibits inflation with the inflaton rolling the potential, till inflation ends and  $\phi$  finally oscillating about the potential minimum. The slow-roll conditions  $\epsilon, \eta < 1$ , where  $\epsilon, \eta$  are given by

$$\epsilon \equiv 3 \frac{\dot{\phi}^2/2}{\dot{\phi}^2/2 + V}, \quad \eta \equiv -3 \frac{\ddot{\phi}}{3H\dot{\phi}}$$

are satisfied throughout the inflationary period. However,  $\eta$  has a discontinuity at  $\phi = \phi_c$  as it is proportional to  $V''$ .

We explore the consequences of this discontinuity in the behaviour of the 2-point and 3-point functions of the perturbations of the dynamical variables.

### 2.2 Two-point function and power spectrum of scalar perturbations

The Fourier modes of the curvature perturbation satisfies the equation

$$\mathcal{R}_k'' + 2 \frac{z'}{z} \mathcal{R}_k' + k^2 \mathcal{R}_k = 0, \quad (2)$$

where the prime denotes derivative with respect to the conformal time and the quantity  $z$  is given by

$$z \equiv \frac{a}{H} \sqrt{\rho + p} = \frac{a\dot{\phi}}{H}. \quad (3)$$

$\mathcal{R}$  is related to the Mukhanov–Sasaki variable  $u$  as  $\mathcal{R} = u/z$ . The scalar power spectrum is then defined as

$$\mathcal{P}_s(k) = \frac{k^3}{2\pi^2} |\mathcal{R}_k|^2 \quad (4)$$

with the amplitude of the curvature perturbation  $\mathcal{R}_k$  evaluated, in general, at the end of inflation.

Under slow-roll, the power spectrum is approximately given by

$$\mathcal{P}_s(k) \simeq \frac{1}{2\epsilon} \frac{H^2}{2\pi} \left( \frac{k}{aH} \right)^2 \quad (5)$$

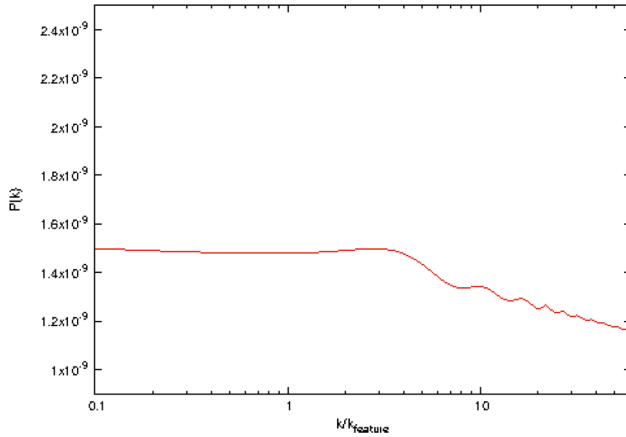
with  $n_s$  given in terms of slow-roll parameters as

$$n_s \simeq 1 - 2\epsilon - \eta. \quad (6)$$

For the potential given in eq. (1), one can immediately notice that  $n_s$  will have discontinuity due to its dependence on  $\eta$ . This will result in the power spectrum having a jump in its slope at a scale set by  $\phi_c$ . The full analytic expression for the power spectrum has small oscillations superimposed around the scale of change of slope, as shown in [14]. Figure 1 shows the quasi-flat  $\mathcal{P}_k$  for this mini-waterfall hybrid model.

## 3. Three-point function and non-Gaussianity from the model

Our approach is based on the numerical evaluation of both the perturbation equations and the integrals, which contribute to the 3-point function described by Chen *et*



**Figure 1.** Primordial spectrum for a model with step in the second derivative of the potential.

al [8,9]. To compute the 3-point correlation function, one can substitute the mode solutions  $u_k$  into eq. (3.17) of [8],

$$H_{\text{int}}(\tau) = - \int d^3x \left\{ a\epsilon^2 \zeta \zeta'^2 + a\epsilon^2 \zeta (\partial \zeta)^2 - 2\epsilon \zeta' (\partial \zeta) (\partial \chi) + \frac{a}{2} \epsilon \eta' \zeta^2 \zeta' + \frac{\epsilon}{2a} (\partial \zeta) (\partial \chi) (\partial^2 \chi) + \frac{\epsilon}{4a} (\partial^2 \zeta) (\partial \chi)^2 \right\} \quad (7)$$

and integrate the mode functions from  $\tau_0$  (where  $\tau_0$  is an arbitrary time when all the three modes are well inside the horizon) through to the end of inflation. This integral can be done semi-analytically for simple models, provided the slow-roll parameters are small and relatively constant. For standard single-field slow-roll inflation, the terms of order  $\epsilon^2$  in the aforementioned equation are the dominant contributors to the 3-point function and the other terms of order  $\epsilon \eta'$  and  $\epsilon^3$  were neglected in refs [4–6]. In the presence of a step in the potential, the  $\epsilon \eta'$  term becomes large [8,9]. The step in the second-order derivative of the potential will make the  $\epsilon \eta'$  term more significant than the  $\epsilon^2$  term and hence leads to a modification of the standard slow-roll results. Thus, the term of our particular interest is the  $\epsilon \eta'$  term

$$I_{\epsilon \eta'} \propto i \left( \prod_i u_i(\tau_{\text{end}}) \right) \int_{-\infty}^{\tau_{\text{end}}} d\tau a^2 \epsilon \eta' (2\pi)^3 \delta^3 \times \left( \sum_i \mathbf{k}_i \right) \left( u_1^*(\tau) u_2^*(\tau) \frac{d}{d\tau} u_3^*(\tau) + \text{two perm} \right) + \text{c.c.}, \quad (8)$$

where ‘two perm’ stands for two other terms that are symmetric under permutations of the indices 1, 2 and 3, where 1, 2, 3 are short-hand for  $k_1, k_2$  and  $k_3$ . For our

featured model,  $\epsilon \eta'$  can be written as

$$\epsilon \eta' = 6aH \left( 2\epsilon^2 - \frac{\epsilon \eta}{2} + \frac{5}{6} \epsilon^2 \eta - \frac{2}{3} \epsilon^3 - \frac{\epsilon \eta^2}{12} - \epsilon \frac{V_{\phi\phi}}{3H^2} \right). \quad (9)$$

In order to integrate eq. (8) numerically, we follow the procedure detailed in [9]. For the present model with a step in the second derivative of the potential,

$$v_k(\tau_0) = \frac{\sqrt{\pi \tau}}{2} H_{\mu_1}^{(2)}(k\tau_0), \quad (10)$$

where  $H_{\mu_1}^{(2)}(k\tau_0)$  is the Hankel function and

$$\mu_1 = \frac{3}{2} - \frac{V''}{3H_0^2} + 3\epsilon_0,$$

where

$$V'' \equiv \left( \frac{d^2 V}{d\phi^2} \right)_{\text{before phase transition}}.$$

Every 3-point correlation function has two main attributes: shape and scale. Following [8,9], we define the parameter,  $\mathcal{G}$ , to describe non-Gaussianities with both shape and scale dependence:

$$\frac{\mathcal{G}(k_1, k_2, k_3)}{k_1 k_2 k_3} \equiv \frac{1}{\delta^3(\mathbf{k}_1 + \mathbf{k}_2 + \mathbf{k}_3)} \frac{(k_1 k_2 k_3)^2}{P_k^2 (2\pi)^7} \times \langle \zeta(\mathbf{k}_1) \zeta(\mathbf{k}_2) \zeta(\mathbf{k}_3) \rangle. \quad (11)$$

In the absence of the sharp feature, eq. (11) reduces to the local form with

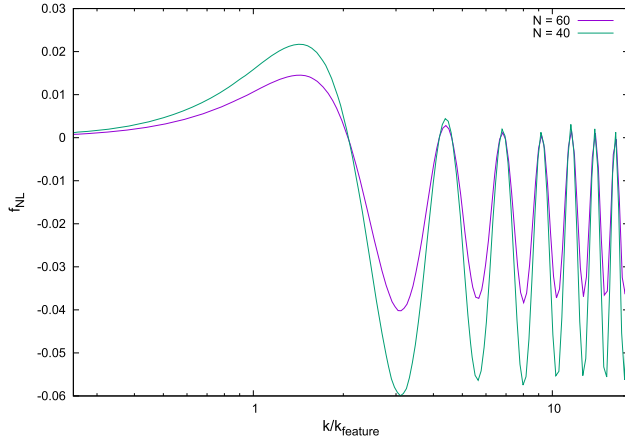
$$\mathcal{G} = (3/10) f_{\text{NL}}^{\text{local}} \sum_i k_i^3. \quad (12)$$

Using eqs (11) and (12), we can calculate the non-Gaussianity parameter  $f_{\text{NL}}^{\text{local}}$ ,

$$\langle \zeta(\mathbf{k}_1) \zeta(\mathbf{k}_2) \zeta(\mathbf{k}_3) \rangle = (2\pi)^7 \delta^3(\mathbf{k}_1 + \mathbf{k}_2 + \mathbf{k}_3) \left( -\frac{3}{10} f_{\text{NL}} \mathcal{P}_k^2 \right) \frac{\sum_i k_i^3}{\prod_i k_i^3}. \quad (13)$$

For the best-fit potential parameter values given in table 1, we compute the resulting 3-point function. Figure 2 gives  $f_{\text{NL}}$  for our model, for the equilateral configuration ( $k_1 = k_2 = k_3$ ). Purple line is for  $N = 60$  and green line is for  $N = 40$ . The numerical value is not much larger than those in standard single-field slow-roll inflation,  $f_{\text{NL}} \sim$  the first-order slow-roll parameters  $\sim \mathcal{O}(10^{-2})$ . The distinctive feature of this non-Gaussianity is its characteristic ringing behaviour of  $f_{\text{NL}}$ .

From eq. (9), we see that if  $V_{\phi\phi} \ll 3H^2$  is satisfied and  $V_{\phi\phi}/3H^2 \sim \epsilon$ , then the  $\epsilon \eta'$  term contributes three



**Figure 2.**  $f_{\text{NL}}^{\text{equil}}$  for the equilateral case.  $x$ -axis is  $k/k_{\text{feature}}$ .

terms which are of second order in slow-roll parameters. These terms affect scales that exit Hubble horizon around the time the field crosses the feature in the potential. These contributions will then modify the standard slow-roll results for the non-Gaussianity. As it is clear from figure 2, the sudden small jump in  $f_{\text{NL}}$  occurs at a scale set by  $\phi_c$ . The  $f_{\text{NL}}$  for this model is modulated by characteristic oscillations and the oscillations last for a much longer range of  $k$  values, than in the previously studied models [8,9].

#### 4. Conclusion

The single-field, slow-roll models of inflation generically yields a negligible primordial non-Gaussianity. Thus, the bispectrum analysis of CMB data can be considered as a promising candidate for discriminating between the degenerate inflationary models. In the present work, we considered a variant of hybrid inflation where the potential has a discontinuity in its second derivative with respect to the field. This describes a fast second-order phase transition during inflation that occurs in some other scalar field weakly coupled to the inflaton. The 3-point correlation function is numerically integrated for this anomalous inflationary model where slow-roll is violated for a brief moment. The transient violation of the slow-roll leads to an oscillating and scale dependent 3-point function. The present mini-waterfall model gives an  $f_{\text{NL}}$  value comparable to that of the standard single-field inflationary model,  $\mathcal{O}(10^{-2})$ . The non-Gaussianity  $f_{\text{NL}}$  associated with the present model might be observable by the next generation of experiments.

For the typical potential parameter values, non-Gaussianity associated with the featured potential model is found to be oscillating. The distinctive feature of this

non-Gaussianity is its characteristic ringing behaviour;  $f_{\text{NL}}$  oscillates between a maximum and a minimum value. The oscillations in  $f_{\text{NL}}$  in this model last for a much longer range of  $k$  values, than in the previously studied models. Based on the above, this model is potentially distinguishable from models with other features in the potential.

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