

## Microscopic theory of soliton propagation in $^4\text{He}$ films

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**Abstract.** A microscopic theory has been provided for propagation of solitons in superfluid  $^4\text{He}$  films at temperature  $T = 0^\circ\text{K}$ .

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Nonlinear excitations in  $^4\text{He}$  films have received much attention during the recent years. Condat and Guyer (1982) and Nakajima *et al* (1980) treated the problem by using the two-fluid hydrodynamic equations. The validity of the phenomenological equations for films is, however, doubtful. We propose to give a microscopic treatment of the problem. Let us consider a  $^4\text{He}$  film as shown in figure 1.

$$\text{Let } H = \sum_{i=1}^N \frac{p_i^2}{2m} + \sum_{i>j} V(|r_i - r_j|) + \sum_i W(r_i) \quad (1)$$

be the Hamiltonian of the system, where  $V(|r_i - r_j|)$  is the potential between two  $^4\text{He}$  particles and  $W(r_i)$  is the potential felt by a  $^4\text{He}$  particle due to the substrate. Then the many-body equations can be derived from the action

$$L = \int dt \langle \psi | i\hbar \frac{\partial}{\partial t} - H | \psi \rangle, \quad (2)$$

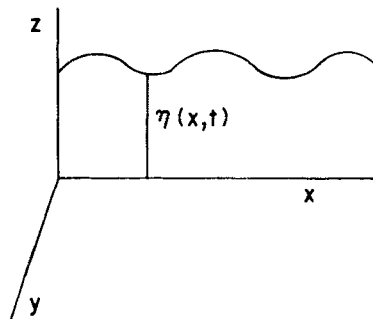


Figure 1.

where  $\psi(\mathbf{r}_1, \mathbf{r}_2, \dots, \mathbf{r}_N)$  is the  $N$ -particle wave function. We shall use a Hartree-Fock approximation for the wave function and write

$$\psi(\mathbf{r}_1, \mathbf{r}_2, \dots, \mathbf{r}_N) = \prod_{i=1}^N \phi(\mathbf{r}_i, t). \quad (3)$$

Further following Condat and Guyer (1982) we shall assume that the fluid is incompressible. Let  $z = \eta(x, t)$  define the surface of the film. We shall assume

$$\phi(\mathbf{r}_i, t) = \frac{[\rho_0 \Theta(z_i - \eta_i)]^{1/2}}{\sqrt{L}} \exp[i\theta(x, z, t)], \quad (4)$$

where  $\eta_i = \eta(x_i, t)$  and  $\phi(\mathbf{r}_i, t)$  depend only on  $x, z, t$  and independent of the  $y$ -coordinate,  $\Theta(x)$  is the Heaviside theta function. We define the film in an experimental situation where  $L_x = l$  the extent in the  $x$ -direction is finite but quite large compared with the thickness of the film. The extent in the  $y$ -direction  $L_y = L$  is very large. We assume that the system looks the same for all  $y = \text{constant}$  planes.  $\rho_0$  is the uniform density of the fluid. Substituting (3) and (4) in (2) and carrying out the differentiations we get the action in the form

$$\begin{aligned} L = & -i\hbar \frac{1}{2} \rho_0 \int dt dx \frac{\partial \eta_i}{\partial t} - \hbar \int dt dx \rho_0 \int_0^\eta \frac{\partial \theta}{\partial t} dz \\ & - \frac{\hbar^2}{2m} \int dt dx \rho_0 \left[ \frac{1}{4} \frac{1}{h_0} \left( -\frac{\partial \eta}{\partial x} \right)^2 + \frac{1}{2} \left( -\frac{\partial^2 \eta}{\partial x^2} \right) \right. \\ & \left. + \frac{1}{2} i \left( -\frac{\partial \eta}{\partial x} \right) \frac{\partial \theta}{\partial x} \Big|_{z=\eta} - \int_0^\eta \left( \frac{\partial \theta}{\partial x} \right)^2 dz + i \int_0^\eta \frac{\partial^2 \theta}{\partial x^2} dz \right] \\ & - \frac{\hbar^2}{2m} \int dt dx \rho_0 \left[ \frac{1}{4} \frac{1}{h_0} + i \frac{\partial \theta}{\partial z} \Big|_{z=\eta} - \rho_0 \int_0^\eta \left( \frac{\partial \theta}{\partial z} \right)^2 dz \right. \\ & \left. + i \int_0^\eta \frac{\partial^2 \theta}{\partial z^2} dz - \int dt \frac{dx dx' dy dy'}{L^2} \int_0^\eta \int_0^{\eta'} V(|r - r'|) dz dz' \right. \\ & \left. - \int dt \frac{dx dy}{L} \int_0^\eta W(r) dz, \right. \quad (5) \end{aligned}$$

where  $h_0$  is a lower limit to the thickness of the film for which our theory is valid i.e. we have  $\eta(x, t) \geq h_0$ . This involves an assumption that there exists a regime  $\xi_0 > \eta > Z \geq h_0$  where density variations can be neglected,  $\xi_0$  is a coherence length. We have neglected for simplicity the effect of gravity and surface tension.

We have further that the total number of particles is conserved i.e.

$$\prod_i \iiint dx dy dz \frac{|\phi_i(x, t)|^2}{L} = \text{constant}. \quad (6)$$

From (6) it follows that

$$\int dx \frac{\partial \eta}{\partial t} = 0 \quad (7)$$

so that the first term in (5) vanishes.

Let  $l$  be the extension of the system in the  $x$ -direction. We shall scale all lengths occurring in (5) w.r.t  $l$  with respect to which all lengths are small.

Then  $L$  becomes

$$\begin{aligned}
 L = & -\hbar \rho_0 \int dt dx l \int_0^{\bar{\eta}} \frac{\partial \theta}{\partial t} d\bar{z} - \frac{\hbar^2}{2m} \int dt dx \rho_0 \left[ \frac{1}{4} \frac{1}{h_0} \left( -\frac{\partial \bar{\eta}}{\partial \bar{x}} \right)^2 \right. \\
 & + \frac{1}{2} \left( -l \frac{\partial^2 \bar{\eta}}{\partial \bar{x}^2} \right) + \frac{1}{2} i \left( -\frac{\partial \bar{\eta}}{\partial \bar{x}} \frac{1}{l} \frac{\partial \theta}{\partial \bar{x}} \right) \Big|_{\bar{z}=\bar{\eta}} - \int_0^{\bar{\eta}} \left( \frac{\partial \theta}{\partial \bar{x}} \right)^2 \frac{1}{l} d\bar{z} \\
 & + i \int_0^{\bar{\eta}} \frac{\partial^2 \theta}{\partial \bar{x}^2} \frac{1}{l} d\bar{z} \Big] - \frac{\hbar^2}{2m} \int dt dx \rho_0 \left( \frac{1}{4} \frac{1}{h_0} + i \frac{1}{l} \frac{\partial \theta}{\partial \bar{z}} - \frac{1}{l} \right. \\
 & \left. \int_0^{\bar{\eta}} \left( \frac{\partial \theta}{\partial \bar{z}} \right)^2 d\bar{z} + i \int_0^{\bar{\eta}} \frac{1}{l} \frac{\partial^2 \theta}{\partial \bar{z}^2} d\bar{z} \right) - \int \frac{dt dx dy dx' dy'}{L^2} \\
 & \int_0^{\bar{\eta}} \int_0^{\bar{\eta}} V(|r-r'|) d\bar{z} d\bar{z}' - \int \frac{dt dx dy}{L} l \int_0^{\bar{\eta}} W(r) d\bar{z}. \tag{8}
 \end{aligned}$$

By varying  $L$  w.r.t  $\bar{\eta}$  and  $\theta$  we get the following set of equations

$$-\frac{\partial \bar{\eta}}{\partial \bar{x}} \frac{\partial \theta}{\partial \bar{x}} + \frac{\partial^2 \theta}{\partial \bar{x}^2} + \frac{\partial^2 \theta}{\partial \bar{z}^2} - \frac{\partial \theta}{\partial z} = 0 \tag{9}$$

$$\begin{aligned}
 & -\hbar \frac{\partial \theta}{\partial t} \Big|_{z=\bar{\eta}} - \frac{\hbar^2}{2m} \frac{1}{4lh_0} \frac{\partial^2 \bar{\eta}}{\partial \bar{x}^2} - \frac{\hbar^2}{2m} \left( \frac{\partial \theta}{\partial z} \right)^2 \Big|_{z=\bar{\eta}} \\
 & - \frac{\hbar^2}{2m} \left( \frac{\partial \theta}{\partial x} \right)^2 \Big|_{z=\bar{\eta}} - \int V(|r-r'|) \Big|_{z=\bar{\eta}} dx' dy' - W(r) \Big|_{z=\bar{\eta}} = 0. \tag{10}
 \end{aligned}$$

Let us expand  $\theta(x, z, t)$  as a power series in  $z$  i.e. we write

$$\theta = \sum_{n=0}^{\infty} \theta_n(\bar{x}, t) \bar{z}^n \tag{11}$$

$$\theta_z = 0 \text{ at } \bar{z} = 0, \theta_1 \equiv 0.$$

Substituting in (9)

$$\frac{d^2 \theta_n}{d\bar{x}^2} + (n+2)(n+1) \theta_{n+2} - \eta_x \frac{d\theta_n}{d\bar{x}} - (n+1) \theta_{n+1} = 0.$$

This gives

$$\theta_2 = \frac{1}{2!} \left( -\theta_{0\bar{x}\bar{x}} + \eta_x \theta_{0\bar{x}} \right) \tag{12}$$

$$\theta_3 = \frac{1}{3!} \left( -\theta_{0\bar{x}\bar{x}} + \eta_{\bar{x}} \theta_{0\bar{x}} \right). \tag{13}$$

Assuming  $\theta(\bar{x}, \bar{z}, t)$  to be a very slowly varying function of  $\bar{z}$  we have

$$\theta_{0\bar{x}\bar{x}} \simeq \bar{\eta}_{\bar{x}} \theta_{0\bar{x}}$$

or

$$\theta_{0\bar{x}} \simeq C e^{\bar{\eta}}.$$

The constant  $C$  can be evaluated to be  $C = mVl/h$  where  $V$  is the uniform velocity of the fluid at infinitesimal thickness.

We have

$$\theta_{0\bar{x}} = \frac{mVl}{h} e^{\bar{\eta}}. \tag{14}$$

From (10) neglecting smaller terms we have

$$-\bar{h} \frac{\partial \theta_0}{\partial t} - \frac{\bar{h}^2}{8mlh_0} \frac{\partial^2 \bar{\eta}}{\partial \bar{x}^2} - \alpha - (\beta + \beta') \bar{\eta}^2 - 2\beta d \bar{\eta} + \beta d^2 = 0, \tag{15}$$

where  $\alpha, \beta, \beta', d$  are constants. Differentiating (15) w.r.t  $\bar{x}$  and substituting from (14)

$$-\bar{h} \frac{\partial}{\partial t} \frac{mVl}{h} \left( 1 + \bar{\eta} + \frac{\bar{\eta}^2}{2} \right) - \frac{\bar{h}^2}{8mlh_0} \frac{\partial^3 \bar{\eta}}{\partial \bar{x}^3} - 2(\beta + \beta') \bar{\eta} \frac{\partial \bar{\eta}}{\partial \bar{x}} - 2\beta d \frac{\partial \bar{\eta}}{\partial \bar{x}} = 0 \tag{16}$$

or

$$-mVl \left[ \frac{\partial \bar{\eta}}{\partial t} + \bar{\eta} \frac{\partial \bar{\eta}}{\partial t} \right] - 2(\beta + \beta') \bar{\eta} \frac{\partial \bar{\eta}}{\partial \bar{x}} + 2\beta d \frac{\partial \bar{\eta}}{\partial \bar{x}} - \frac{\bar{h}^2}{8mlh_0} \frac{3}{\bar{x}^3} = 0 \tag{17}$$

or

$$\gamma \frac{\partial \bar{\eta}}{\partial t} + \gamma \bar{\eta} \frac{\partial \bar{\eta}}{\partial t} + 2(\beta + \beta') l \bar{\eta} \frac{\partial \bar{\eta}}{\partial \bar{x}} - 2\beta d \frac{\partial \bar{\eta}}{\partial \bar{x}} + \frac{\bar{h}^2}{8mlh_0} \frac{\partial^3 \bar{\eta}}{\partial \bar{x}^3} = 0. \tag{18}$$

This is a variation of the  $K - dV$  equation obeyed by the film surface  $\eta(x, t)$ ,  $\gamma, \beta, \beta'$  are constants.  $\gamma = mVl$  and

$$\beta = \left\langle \varepsilon \left( \frac{\sigma}{[(x-x')^2 + (y-y')^2]^{1/2}} \right)^{12} \frac{6}{(x-x')^2 + (y-y')^2} \right\rangle - \left\langle \varepsilon \left( \frac{\sigma}{[(x-x')^2 + (y-y')^2]^{1/2}} \right)^6 \frac{3}{(x-x')^2 + (y-y')^2} \right\rangle, \tag{19}$$

$$\beta' = \frac{3}{2} \varepsilon' \left\langle \frac{\sigma^{3/2}}{(x^2 + y^2)^{3/2} (x^2 + y^2)} \right\rangle, \tag{20}$$

where we have taken  $V(|\mathbf{r} - \mathbf{r}'|)$  as the Lennard-Jones potential and  $W(\mathbf{r})$  as the van der Waal's potential due to the substrate. The brackets indicate averages over the whole  $x$ - $y$  plane with suitable distribution functions so that they are finite.  $d$  is the equilibrium thickness of the film.

Linearization of the nonlinear equation (18) gives the dispersion relation

$$\begin{aligned} \omega_k &= \frac{2\beta d}{\gamma} k - \frac{\bar{h}^2}{8mlh_0 \gamma} k^3 \\ &= C_3 k \left( 1 - \frac{\bar{h}^2}{16mlh_0 \beta d} k^2 \right) \end{aligned} \tag{21}$$

where  $C_3 = 2\beta d/\gamma$  is the third sound velocity. [Here  $C_3$  has the dimension of  $(\text{time})^{-1}$  since  $K$  is dimensionless]. Let us change time  $t$  to a dimensionless time  $\tau$  by  $\tau = C_3 t$ . The equation becomes

$$\frac{\partial \bar{\eta}}{\partial t} + \bar{\eta} \frac{\partial \bar{\eta}}{\partial \tau} + \alpha_1 \bar{\eta} \frac{\partial \bar{\eta}}{\partial \bar{x}} - \frac{\partial \bar{\eta}}{\partial \bar{x}} + \alpha_2 \frac{\partial^3 \bar{\eta}}{\partial \bar{x}^3} = 0, \quad (22)$$

where

$$\alpha_1 = \frac{2(\beta + \beta')l}{C_3 \gamma}, \quad \alpha_2 = \frac{\hbar^2}{8mh_0 \gamma C_3 l}$$

We put  $\bar{\eta} = f(\bar{x} - C\tau) = f(\xi)$ . Then  $f(\xi)$  satisfies the equation

$$-(C+1)f' + (\alpha_1 - C)ff' + \alpha_2 f''' = 0,$$

which can be integrated twice to give

$$f = A \operatorname{sech}^2 \frac{1}{2} \left( \frac{1+C}{\alpha_2} \right)^{\frac{1}{2}} \xi, \quad (23)$$

where  $A = \{[3(1+C)]/(\alpha_1 - C)\}$ . Note that  $\alpha_1, \alpha_2, C$  are all dimensionless quantities. Thus we get the soliton solution given by

$$f = A \operatorname{sech}^2 \frac{1}{2} \left( \frac{1+C}{\alpha_2} \right)^{\frac{1}{2}} (\bar{x} - C\tau). \quad (24)$$

The amplitude  $A$  of the soliton is given by  $A = \{[3(1+C)]/(\alpha_1 - C)\}$  and is a function of the velocity  $C$  of the soliton. The width  $\Delta$  of the soliton is given by  $\Delta = 2[\alpha_2/(1+C)]^{\frac{1}{2}}$  which also depends on the velocity  $C$  of the soliton.

## References

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