



## Primes in Beatty sequence

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**Abstract.** For a polynomial  $g(x)$  of  $\deg k \geq 2$  with integer coefficients and positive integer leading coefficient, we prove an upper bound for the least prime  $p$  such that  $g(p)$  is in non-homogeneous Beatty sequence  $\{\lfloor \alpha n + \beta \rfloor : n = 1, 2, 3, \dots\}$ , where  $\alpha, \beta \in \mathbb{R}$  with  $\alpha > 1$  is irrational and we prove an asymptotic formula for the number of primes  $p$  such that  $g(p) = \lfloor \alpha n + \beta \rfloor$ . Next, we obtain an asymptotic formula for the number of primes  $p$  of the form  $p = \lfloor \alpha n + \beta \rfloor$  which also satisfies  $p \equiv f \pmod{d}$ , where  $f, d$  are integers with  $1 \leq f < d$  and  $(f, d) = 1$ .

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### 1. Introduction

Given a real number  $\alpha > 0$  and a non-negative real  $\beta$ , the Beatty sequence associated with  $\alpha, \beta$  is defined by

$$\mathcal{B}(\alpha, \beta) = \{\lfloor n\alpha + \beta \rfloor : n \in \mathbb{N}\},$$

where  $\lfloor x \rfloor$  denotes the largest integer less than or equal to  $x$ . Beatty sequences appear in a variety of apparently unrelated mathematical settings and because of their versatility, the arithmetic properties of these sequences have been widely studied in the literature; see, for example, [1, 5, 9–11] and the references contained therein.

If  $\alpha$  is rational, then  $\mathcal{B}(\alpha, \beta)$  is a union of residue classes, hence *we always assume that  $\alpha$  is irrational*. An irrational number  $\theta$  is said to be of finite type  $t \geq 1$ , if  $t$  is the infimum of  $\rho \in \mathbb{R}$  such that for every  $\varepsilon > 0$ , there exists  $q_0(\varepsilon) > 0$  such that

$$\left| \theta - \frac{p}{q} \right| > \frac{1}{q^{\rho+1+\varepsilon}}$$

holds for all integers  $q > q_0(\varepsilon)$ . In 2016, Jörn Steuding and Marc Technau [13] proved that for every  $\varepsilon > 0$ , there exists a computable positive integer  $l$  such that for every irrational  $\alpha > 1$  the least prime  $p$  in the Beatty sequence  $\mathcal{B}(\alpha, \beta)$  satisfies the inequality

$$p \leq L^{35-16\varepsilon} \alpha^{2(1-\varepsilon)} B p_{m+l}^{1+\varepsilon},$$

where  $B = \max\{1, \beta\}$ ,  $L = \log(2\alpha B)$ ,  $p_n$  denotes the numerator of the  $n$ -th convergent to the regular continued fraction expansion of  $\alpha = [a_0, a_1, \dots]$  and  $m$  is the unique integer such that

$$p_m \leq L^{16}\alpha^2 < p_{m+1}.$$

The first result of this paper is the following.

**Theorem 1.** *Let  $g(x) = a_0 + a_1x + \dots + a_kx^k$ , where  $a_0, \dots, a_k \in \mathbb{Z}$  with  $a_k \geq 1$  and  $k \geq 2$ . Put  $\gamma = 4^{1-k}$ . Then for any positive integer  $N \geq 3$ , positive real number  $\alpha$  with  $\left| \frac{a_k}{\alpha} - \frac{a}{q} \right| \leq \frac{1}{q^2}$ ,  $(a, q) = 1$  and any  $\varepsilon > 0$ , we have*

$$\sum_{\substack{p \leq N \\ g(p) \in \mathcal{B}(\alpha, \beta)}} \log p = \frac{1}{\alpha} \sum_{p \leq N} \log p + O\left(N^\varepsilon \left(Nq^{-\gamma} + N^{1-\gamma/2} + q^{\frac{\gamma}{1-\gamma}} N^{\frac{1-(k+1)\gamma}{1-\gamma}}\right)\right).$$

In particular, if  $a_k/\alpha$  is an irrational number of finite type  $t > 0$ , then we have

$$\sum_{\substack{p \leq N \\ g(p) \in \mathcal{B}(\alpha, \beta)}} \log p = \frac{1}{\alpha} \sum_{p \leq N} \log p + O(N^{1-\frac{k\gamma}{t+1}+\varepsilon} + N^{1-\frac{\gamma}{2}+\varepsilon}).$$

**Theorem 2.** *Let  $g(x) = a_0 + a_1x + \dots + a_kx^k$ , where  $a_0, \dots, a_k \in \mathbb{Z}$  with  $a_k \geq 1$  and  $k \geq 2$ . Put  $\gamma = 4^{1-k}$ . For every  $\varepsilon > 0$ , there exists a computable positive integer  $l$  such that for every irrational  $\alpha > 1$ , the least prime number  $p$  such that  $g(p)$  is in the Beatty sequence  $\mathcal{B}(\alpha, \beta)$  satisfies the inequality*

$$p \leq \alpha^{\frac{2k-1}{k\gamma} - \frac{(\gamma+1)\varepsilon}{\gamma}} B^{\frac{k-1}{k} - \frac{\varepsilon}{\gamma}} p_{m+l}^{\frac{1}{k} + \frac{\varepsilon}{\gamma}},$$

where  $B = \max\{1, \beta\}$ ,  $p_n$  denotes the numerator of the  $n$ -th convergent to the regular continued fraction expansion of  $\frac{\alpha}{a_k}$  and  $m$  is the unique integer such that

$$p_m \leq \alpha^{\frac{1+\gamma}{\gamma}} B < p_{m+1}. \tag{1}$$

*Remark 1.* Instead of using Proposition 1 in (5) if we use Theorem 1 of [15], we may prove Theorem 1 with following error term:

$$\sum_{\substack{p \leq N \\ g(p) \in \mathcal{B}(\alpha, \beta)}} \log p = \frac{1}{\alpha} \sum_{p \leq N} \log p + O\left(N^{1-\frac{1}{25k^2 \log(k+2)}} + N^{1-\frac{37\chi}{100k^2 \log(\frac{k^2}{\chi} + 4)}}\right), \tag{2}$$

where  $\chi > 0$  is a real number satisfying the condition  $N^\chi \ll q$  and if  $\frac{ak}{\alpha}$  of finite type  $t > 0$ ,

$$\sum_{\substack{p \leq N \\ g(p) \in \mathcal{B}(\alpha, \beta)}} \log p = \frac{1}{\alpha} \sum_{p \leq N} \log p + O(N^{1-\rho}), \tag{3}$$

where

$$\rho = \frac{1}{25k^2 \log(k+2)} \quad \text{if } 4k \leq t+1,$$

$$\rho = \frac{37}{100k(t+1) \log(k(t+1)+4)} \quad \text{if } 4k > t+1.$$

It is easy to see that error terms obtained in (2) and (3) is better than the error term stated in Theorem 1 for large  $k$ .

For irrational  $\alpha$  of finite type  $\tau = \tau(\alpha)$ , Banks and Yeager (Theorem 2, [3]) proved that for any fixed  $\varepsilon > 0$ , for all integers  $1 \leq c < d < N^{\frac{1}{4\tau+2}}$  with  $\gcd(c, d) = 1$ , we have

$$\sum_{\substack{n \leq x, n \in \mathcal{B}(\alpha, \beta) \\ n \equiv c \pmod{d}}} \Lambda(n) = \frac{1}{\alpha} \sum_{\substack{n \leq x \\ n \equiv c \pmod{d}}} \Lambda(n) + O(x^{1-\frac{1}{4\tau+2}+\varepsilon}).$$

The following theorem improves the error term.

**Theorem 3.** For any positive integers  $N \geq 3, 1 \leq f < d \leq \min\{q^{1/2}, N^{1/6}\}$  such that  $(f, d) = 1$  and positive real number  $\alpha$  with  $\left| \frac{1}{\alpha} - \frac{a}{q} \right| \leq \frac{1}{q^2}$  with  $(a, q) = 1$ , for any  $\varepsilon > 0$ , we have

$$\sum_{\substack{p \leq N \\ p \in \mathcal{B}(\alpha, \beta) \\ p \equiv f \pmod{d}}} \log p = \frac{1}{\alpha} \sum_{\substack{p \leq N \\ p \equiv f \pmod{d}}} \log p + O\left(N^\varepsilon \left(\frac{N}{q^{1/2}} + N^{1/2}q^{1/2} + N^{3/4}d^{1/2} + \frac{N^{4/5}}{d^{1/5}}\right)\right).$$

Furthermore, if  $\alpha$  is an irrational number of finite type  $t > 0$ , then for all integers  $1 \leq f < d \leq \min\{N^{\frac{1}{2(t+1)}}, N^{1/6}\}$  with  $(f, d) = 1$  and for any  $0 < \varepsilon < \frac{1}{4(t+1)}$ , we have

$$\sum_{\substack{p \leq N \\ p \in \mathcal{B}(\alpha, \beta) \\ p \equiv f \pmod{d}}} \log p = \frac{1}{\alpha} \sum_{\substack{p \leq N \\ p \equiv f \pmod{d}}} \log p + O(N^{1-\frac{1}{2(t+1)}+\varepsilon} + N^{\frac{3}{4}+\varepsilon}d^{1/2} + N^{\frac{4}{3}+\varepsilon}d^{-1/5}).$$

The proof of Theorem 3 depends on the estimation of exponential sum of the type

$$S(\vartheta) = \sum_{|l| \leq L} \left| \sum_{\substack{n \leq N \\ n \equiv f \pmod{d}}} \Lambda(n)e(\ln \vartheta) \right|, \tag{4}$$

where  $\vartheta$  is irrational,  $L, N \geq 1$  and  $f < d$ ,  $(f, d) = 1$ .

We obtain an upper bound for  $S(\vartheta)$  in Proposition 2 which is of independent interest.

*Remark 2.* Let  $d, f$  be natural numbers such that  $1 \leq f < d \leq 500$  and  $(f, d) = 1$ . For every  $\varepsilon > 0$ , there exists a computable positive integer  $l$  such that for every irrational  $\alpha > 1$ , the least prime number  $p \in \mathcal{B}(\alpha, \beta)$  such that  $p \equiv f \pmod{d}$  satisfies the inequality

$$p \leq \alpha^{3-7\varepsilon} B^{\frac{1}{2}(1-3\varepsilon)} d^{3-10\varepsilon} p_{m+l}^{1+3\varepsilon},$$

where  $B = \max\{1, \beta\}$  and  $p_n$  denotes the numerator of the  $n$ -th convergent to the regular continued fraction expansion of  $\alpha$  and  $m$  is the unique integer such that

$$p_m \leq \alpha^{7/3} B^{1/2} d^{10/3} < p_{m+1}.$$

This fact can be proved in a similar way as Theorem 2 using Theorem 3 and Corollary 1.6 of [4].

## 2. Notation

Throughout this paper, the implied constants in the symbols  $O$  and  $\ll$  are either absolute or depend only on  $\alpha$  and  $\varepsilon$ . We recall that the notation  $f = O(g)$  and  $f \ll g$  are equivalent to the assertion that the inequality  $|f| \leq cg$  holds for some constant  $c > 0$ . The notation  $f \approx g$  means that  $f \ll g$  and  $f \gg g$ . It is important to note that our bounds are uniform with respect to all of the involved parameters other than  $\alpha, \varepsilon$  and degree of the polynomial  $k$ ; in particular, our bounds are uniform with respect to  $\beta$ .

The letters  $a, d, f, q$  always denote non-negative integers and  $m, n, l, u, v$  and  $t$  denote integers. We use  $\lfloor x \rfloor$  and  $\{x\}$  to denote the greatest integer less than or equal to  $x$  and the fractional part of  $x$  respectively. Finally, recall that the discrepancy  $D(M)$  of a sequence of (not necessarily distinct) real numbers  $a_1, a_2, \dots, a_M \in [0, 1)$  is defined by

$$D(M) = \sup_{I \subset [0,1)} \left| \frac{V(I, M)}{M} - |I| \right|,$$

where the supremum is taken over all sub-intervals  $I$  of  $[0, 1)$ ,  $V(I, M)$  is the number of positive integers  $m \leq M$  such that  $a_m \in I$  and  $|I|$  is the length of  $I$ .

## 3. Preliminaries

### 3.1 Case of polynomial values of prime

Note that an integer  $m \in \mathcal{B}(\alpha, \beta)$  if and only if  $\frac{m}{\alpha} \in \left( \frac{\beta-1}{\alpha}, \frac{\beta}{\alpha} \right] \pmod{1}$  and  $m > \alpha + \beta - 1$ .

This is equivalent to

$$\left\| \frac{m}{\alpha} + \frac{1-2\beta}{2\alpha} \right\| < \frac{1}{2\alpha}.$$

Hence

$$\#\{m \leq N : m \in \mathcal{B}(\alpha, \beta)\} = \sum_{m \leq N} \chi_{\frac{1}{2\alpha}} \left( \frac{m}{\alpha} + \frac{1-2\beta}{2\alpha} \right),$$

where  $\chi_\delta$  for  $\delta > 0$  is defined by

$$\chi_\delta(\theta) = \begin{cases} 1 & \text{if } \|\theta\| < \delta, \\ 0 & \text{otherwise,} \end{cases}$$

for  $\theta \in \mathbb{R}$ . Let  $g(x) = a_0 + a_1x + \dots + a_kx^k$ , where  $a_0, \dots, a_k \in \mathbb{Z}$  with  $a_k \geq 1, k \geq 2$ . Therefore,

$$\#\{p \leq N : g(p) \in \mathcal{B}(\alpha, \beta)\} = \sum_{p \leq N} \chi_{\frac{1}{2\alpha}} \left( \frac{g(p)}{\alpha} + \frac{1-2\beta}{2\alpha} \right).$$

*Lemma 1* ([8], Lemma 2.1). For any  $L \in \mathbb{N}$ , there are coefficients  $C_l^\pm$  such that

$$2\delta - \frac{1}{L+1} + \sum_{1 \leq l \leq L} C_l^- e(l\theta) \leq \chi_\delta(\theta) \leq 2\delta + \frac{1}{L+1} + \sum_{1 \leq l \leq L} C_l^+ e(l\theta),$$

with  $|C_l^\pm| \leq \min \left( 2\delta + \frac{1}{L+1}, \frac{3}{2l} \right)$ .

Using Lemma 1, we get

$$\begin{aligned} \sum_{n \leq N} \Lambda(n) \chi_{\frac{1}{2\alpha}} \left( \frac{g(n)}{\alpha} + \frac{1-2\beta}{2\alpha} \right) &= \frac{1}{\alpha} \sum_{n \leq N} \Lambda(n) + O \left( \frac{N}{L+1} \right) \\ &\quad + O \left( \sum_{1 \leq |l| \leq L} |C_l| \left| \sum_{n \leq N} \Lambda(n) e \left( \frac{lg(n)}{\alpha} \right) \right| \right), \end{aligned} \tag{5}$$

where  $|C_l| \leq \min \left( \frac{1}{\alpha} + \frac{1}{L+1}, \frac{3}{2l} \right)$ . To estimate the exponential sum, we use the following proposition.

**PROPOSITION 1** (Equation (22) of [7])

Suppose  $\varepsilon > 0$  is given. Let  $f(x)$  be a real-valued polynomial in  $x$  of degree  $k \geq 2$ . Put  $\gamma = 4^{1-k}$ . Suppose  $\alpha$  is the leading coefficient of  $f$  and there are integers  $a, q$  with  $(a, q) = 1$  such that

$$|q\alpha - a| < q^{-1}.$$

Then we have

$$\sum_{l \leq L} \left| \sum_{n \leq N} \Lambda(n) e(lf(n)) \right| \ll (NL)^{1+\varepsilon} (q^{-1} + N^{-1/2} + qN^{-k}L^{-1})^\gamma.$$

### 3.2 Case of primes in arithmetic progression

Now we are interested in prime numbers  $p$  of the form  $p \equiv f \pmod{d}$ , which is in  $\mathcal{B}(\alpha, \beta)$ , where  $(f, d) = 1$  and  $f < d$ . As we discussed above, in order to find a prime number  $p \in \mathcal{B}(\alpha, \beta)$ , we need to show that

$$\left\| \frac{p}{\alpha} + \frac{1-2\beta}{2\alpha} \right\| < \frac{1}{2\alpha}.$$

By Lemma 1, we have

$$\begin{aligned} \sum_{\substack{n \leq N \\ n \equiv f(d)}} \Lambda(n) \chi_{\frac{1}{2\alpha}} \left( \frac{n}{\alpha} + \frac{1-2\beta}{2\alpha} \right) &= \frac{1}{\alpha} \sum_{\substack{n \leq N \\ n \equiv f(d)}} \Lambda(n) + O \left( \frac{N}{L\varphi(d)} \right) \\ &+ O \left( \sum_{1 \leq |l| \leq L} |C_l| \left| \sum_{\substack{n \leq N \\ n \equiv f(d)}} \Lambda(n) e(ln/\alpha) \right| \right), \end{aligned} \quad (6)$$

where  $|C_l| \leq \min \left( \frac{1}{\alpha} + \frac{1}{L+1}, \frac{3}{2l} \right)$ . Now we want to estimate the exponential sum of the form (4). To estimate the exponential sum, we use the following proposition.

#### PROPOSITION 2

Let  $S(\vartheta)$  be defined by 4 with  $\left| \vartheta - \frac{a}{q} \right| \leq q^{-2}$ , where  $a$  and  $q$  are positive integers satisfying  $(a, q) = 1$ . Then for any real number  $\varepsilon > 0$ , we have

$$S(\vartheta) \ll_{\varepsilon} (NL)^{\varepsilon} \left( \frac{NL}{q^{1/2}} + L^{1/2} N^{1/2} q^{1/2} + LN^{3/4} d^{1/2} + \frac{LN^{4/5}}{d^{1/5}} \right).$$

We will give the proof of Proposition 2 in Section 6. In the end of this section, we give some lemmas require for the proof. The following lemma gives explicit bound for the average of von Mangoldt function.

*Lemma 2* [12]. For any  $N \in \mathbb{N}$ ,

$$\sum_{n \leq N} \Lambda(n) \leq c_0 N,$$

for some constant  $c_0$ , where one may take  $c_0 = 1.04$ .

*Lemma 3.* Let  $\alpha$  be of finite type  $t$  if and only if  $\frac{1}{\alpha}$  is of finite type  $t$ .

*Proof.* Let  $\alpha$  be an irrational number of finite type  $t$ . Let  $\frac{p_m}{q_m}$  be the  $m$ -th convergent to the continued fraction expansion of  $\alpha$ . We denote

$$\mu(\alpha) = \inf \{ \rho \in \mathbb{R} : q_{m+1} \leq q_m^{\rho} \text{ holds for all but finitely many } m \in \mathbb{N} \}.$$

We will first show that  $\mu(\alpha) = t$ . Since  $\mu(\alpha)$  is the infimum, for every  $\varepsilon > 0$ ,  $q_{m+1} \geq q_m^{\mu(\alpha) - \varepsilon}$  holds for infinitely many  $m \in \mathbb{N}$ . By using Theorem 171 of [6], we have

$$\left| \alpha - \frac{p_m}{q_m} \right| < \frac{1}{q_m q_{m+1}} \leq \frac{1}{q_m^{\mu(\alpha) + 1 - \varepsilon}}.$$

Therefore  $\mu(\alpha) - \varepsilon < t$ , for every  $\varepsilon > 0$  so that  $\mu(\alpha) \leq t$ . Since the convergents are alternatively less and greater than  $\alpha$ , we have

$$\frac{1}{q_m q_{m+1}} = \left| \frac{p_{m+1}}{q_{m+1}} - \frac{p_m}{q_m} \right| = \left| \alpha - \frac{p_m}{q_m} \right| + \left| \alpha - \frac{p_{m+1}}{q_{m+1}} \right| \leq 2 \left| \alpha - \frac{p_m}{q_m} \right|.$$

To prove reverse inequality, we use the definition of finite type to obtain

$$\frac{1}{2q_m q_{m+1}} \leq \left| \alpha - \frac{p_m}{q_m} \right| \leq \frac{1}{q_m^{t+1-\varepsilon}}$$

which holds for every  $\varepsilon > 0$  and for all but finitely many  $m \in \mathbb{N}$ . Hence, we have  $q_m^{t-\varepsilon} \leq 2q_{m+1}$ , which implies  $q_m^{t-\frac{\varepsilon}{2}} \leq \frac{2}{q_m^{\varepsilon/2}} q_{m+1} \leq q_{m+1}$  holds for all but finitely many  $m \in \mathbb{N}$ . Therefore,  $t - \frac{\varepsilon}{2} < \mu(\alpha)$  for every  $\varepsilon > 0$  from which it follows that  $t \leq \mu(\alpha)$ . Thus we have  $\mu(\alpha) = t$ .

Since the convergents of  $\frac{1}{\alpha}$  are reciprocals of the convergents  $\frac{p_n}{q_n}$  to the continued fraction expansion of  $\alpha$ , and  $p_n$  satisfies same recurrence relation that is satisfied by  $q_n$ , we have

$$\mu\left(\frac{1}{\alpha}\right) = \inf\{\rho \in \mathbb{R} : p_{m+1} \ll p_m^\rho \text{ holds for all but finitely many } m \in \mathbb{N}\} = t.$$

This completes the proof of Lemma 3. □

#### 4. Proof of Theorem 1 and Theorem 2

In the previous section, we stated essential results to prove Theorem 1 and Theorem 2. In this section, we give proof of these theorems.

*Proof of Theorem 1.* It follows from Proposition 1 and partial summation formula that

$$\begin{aligned} & \sum_{1 \leq |l| \leq L} C_l \sum_{n \leq N} \Lambda(n) e\left(l \left( \frac{g(n)}{\alpha} + \frac{1-2\beta}{2\alpha} \right)\right) \\ & \ll_\varepsilon N^{1+\varepsilon} L^\varepsilon (q^\gamma + N^{-\gamma/2} + q^\gamma N^{-k\gamma} L^{-\gamma}) \\ & \quad + N^{1+\varepsilon} q^\gamma N^{-k\gamma}. \end{aligned} \tag{7}$$

By (5) and (7), we have

$$\begin{aligned} & \sum_{n \leq N} \Lambda(n) \chi_{\frac{1}{2\alpha}} \left( \frac{g(n)}{\alpha} + \frac{1-2\beta}{2\alpha} \right) = \frac{1}{\alpha} \sum_{n \leq N} \Lambda(n) + O\left(\frac{N}{L+1}\right) \\ & \quad + O(N^{1+\varepsilon} L^\varepsilon (q^{-\gamma} + N^{-\gamma/2}) + N^{1+\varepsilon} q^\gamma N^{-k\gamma}). \end{aligned}$$

Choosing  $L = q^\gamma$ , we have

$$\sum_{n \leq N} \Lambda(n) \chi_{\frac{1}{2\alpha}} \left( \frac{g(n)}{\alpha} + \frac{1-2\beta}{2\alpha} \right) = \frac{1}{\alpha} \sum_{n \leq N} \Lambda(n)$$

$$+ O\left(N^\varepsilon \left(Nq^{-\gamma} + N^{1-\gamma/2} + q^{\frac{\gamma}{1-\gamma}} N^{\frac{1-(k+1)\gamma}{1-\gamma}}\right)\right).$$

This leads to

$$\sum_{\substack{p^v \leq N \\ g(p^v) \in \mathcal{B}(\alpha, \beta)}} \log p + \sum_{\substack{p^v \leq \alpha + \beta - 1 \\ g(p^v) \in \mathcal{B}(\alpha, \beta - \lfloor \alpha + \beta \rfloor)}} \log p = \frac{1}{\alpha} \sum_{p^v \leq N} \log p + \xi(N, q), \quad (8)$$

where

$$|\xi(N, q)| \leq_\varepsilon N^\varepsilon \left(Nq^{-\gamma} + N^{1-\gamma/2} + q^{\frac{\gamma}{1-\gamma}} N^{\frac{1-(k+1)\gamma}{1-\gamma}}\right). \quad (9)$$

The number of prime powers  $p^v \leq N$  with  $v \geq 2$  is  $O(\pi(N^{1/2}))$ , thus we have

$$\begin{aligned} \sum_{\substack{p \leq N \\ g(p) \in \mathcal{B}(\alpha, \beta)}} \log p &= \frac{1}{\alpha} \sum_{p \leq N} \log p + O\left(\frac{N^{1/2}}{\alpha \log N}\right) \\ &+ O\left(N^\varepsilon \left(Nq^{-\gamma} + N^{1-\gamma/2} + q^{\frac{\gamma}{1-\gamma}} N^{\frac{1-(k+1)\gamma}{1-\gamma}}\right)\right). \quad (10) \end{aligned}$$

Suppose we assume  $\frac{a_k}{\alpha}$  is an irrational number of finite type  $t$ . For any positive  $\varepsilon$ , there is a positive constant  $c$  such that

$$\left|\frac{a_k}{\alpha} - \frac{p}{q}\right| \geq \frac{c}{q^{t+1+\varepsilon}}, \quad (11)$$

holds for all integers  $p, q$  with  $q > 0$ . On the other hand, by using Dirichlet's approximation theorem with  $Q = N^{\frac{kt}{t+1}}$ , we obtain a rational  $p/q$  with  $1 \leq q \leq N^{\frac{kt}{t+1}}$  such that

$$\left|\frac{a_k}{\alpha} - \frac{p}{q}\right| \leq \frac{1}{qN^{\frac{kt}{t+1}}}. \quad (12)$$

Suppose we assume that there exists a convergent to the simple continued fraction expansion of  $\frac{a_k}{\alpha}$  which satisfies (12). Then by (11), its denominator satisfies

$$N^{\frac{k}{t+1} + \varepsilon} \ll q \leq N^{\frac{kt}{t+1}}. \quad (13)$$

Therefore, by (10) and (13), we obtain

$$\sum_{\substack{p \leq N \\ g(p) \in \mathcal{B}(\alpha, \beta)}} \log p = \frac{1}{\alpha} \sum_{p \leq N} \log p + O(N^{1-\frac{k\gamma}{t+1} + \varepsilon} + N^{1-\frac{\gamma}{2} + \varepsilon}).$$

To complete the proof, we want to show that there exists a convergent to the continued fraction of  $\frac{a_k}{\alpha}$  which satisfies (12). Let  $\frac{p}{q}$  be a rational number which satisfies (12). By using



recurrence relation satisfied by the denominator of convergent to the continued fraction of  $\frac{a_k}{\alpha}$ , we obtain unique  $n \in \mathbb{N}$  such that  $q_n \leq q \leq q_{n+1}$ . It follows from Theorem 171 of [6] that  $\frac{1}{q_n N^{\frac{k}{i+1}}} < \left| \frac{a_k}{\alpha} - \frac{p_n}{q_n} \right|$  and  $q_{n+1} > N^{\frac{k}{i+1}}$  both cannot hold simultaneously. Therefore, by Theorem 181 of [6], we get that either  $\frac{p_n}{q_n}$  or  $\frac{p_{n+1}}{q_{n+1}}$  satisfies (12). This completes the proof of Theorem 1.

*Proof of Theorem 2.* By (8) and (9), we have

$$\sum_{\substack{p^v \leq N \\ g(p^v) \in \mathcal{B}(\alpha, \beta)}} \log p + \sum_{\substack{p^v \leq \alpha + \beta - 1 \\ g(p^v) \in \mathcal{B}(\alpha, \beta - \lfloor \alpha + \beta \rfloor)}} \log p = \frac{1}{\alpha} \sum_{p^v \leq N} \log p + \xi(N, q), \tag{14}$$

where

$$|\xi(N, q)| \leq_\varepsilon N^\varepsilon (Nq^{-\gamma} + N^{1-\gamma/2} + q^{\frac{\gamma}{1-\gamma}} N^{\frac{1-(k+1)\gamma}{1-\gamma}}). \tag{15}$$

By Lemma 2, the second sum on the left-hand side of (14) is  $< 1.04(\alpha + \beta - 1)$ .

Therefore, we have

$$\begin{aligned} \sum_{\substack{p \leq N \\ g(p) \in \mathcal{B}(\alpha, \beta)}} \log p &\geq \frac{1}{\alpha} \sum_{p \leq N} \log p + \xi(N, q) - 1.04(\alpha + \beta - 1) \\ &\quad + \left( \frac{1}{\alpha} - 1 \right) \sum_{\substack{p^v \leq N \\ v \geq 2}} \log p. \end{aligned}$$

Notice that the last term is negative, it is obviously bounded by

$$\left( 1 - \frac{1}{\alpha} \right) \sum_{\substack{p^v \leq N \\ v \geq 2}} \log p < \left( 1 - \frac{1}{\alpha} \right) \pi(N^{1/2}) \log N.$$

We will use inequality (3.2) of Rosser and Schoenfeld [12] for  $\pi(x)$ . We have

$$\left( 1 - \frac{1}{\alpha} \right) \sum_{\substack{p^v \leq N \\ v \geq 2}} \log p < 2 \left( 1 + \frac{3}{\log N} \right) N^{1/2}.$$

We also use inequality (3.16) of Rosser and Schoenfeld which is

$$\sum_{p \leq N} \log p > N - \frac{N}{\log N},$$

for  $N \geq 41$ . Therefore, we obtain

$$\sum_{\substack{p \leq N \\ g(p) \in \mathcal{B}(\alpha, \beta)}} \log p \geq \frac{N}{\alpha} \left( 1 - \frac{1}{\log N} \right)$$

$$+ \xi(N, q) - 1.04(\alpha + \beta - 1) - \left(1 + \frac{3}{\log N}\right)N^{1/2}.$$

We thus find a prime  $p \leq N$  such that  $g(p) \in \mathcal{B}(\alpha, \beta)$  if we show that the following inequality:

$$\frac{N}{\alpha} \left(1 - \frac{1}{\log N}\right) > \xi(N, q) + 1.04(\alpha + \beta - 1) + \left(1 + \frac{3}{\log N}\right)N^{1/2},$$

may also be replaced by

$$0.73 \frac{N}{\alpha} > 1.04(\alpha + \beta - 1) + 1.81N^{1/2} + \xi(N, q).$$

By (15), we have

$$0.73 > 1.04 \frac{\alpha}{N} (\alpha + \beta - 1) + 1.81 \frac{\alpha}{N^{1/2}} + C(\varepsilon)N^\varepsilon \alpha (q^{-\gamma} + N^{-\gamma/2} + q^{\frac{\gamma}{1-\gamma}} N^{\frac{-k\gamma}{1-\gamma}})$$

and appropriate absolute constant  $C(\varepsilon)$  depending only on  $\varepsilon$  but not on  $\alpha$ . Obviously  $N$  need to be larger than  $\text{Max}\{\alpha^{2/\gamma}, B\}$  and  $q$  larger than  $\alpha^{1/\gamma}$ . We shall take both  $N$  and  $q$  somewhat larger so that the above inequality holds. Now choose

$$N = \alpha^{\frac{2k-1}{k\gamma}} B^{\frac{k-1}{k}} q^{\frac{1}{k}} \eta^{\frac{\varepsilon}{\gamma}}, \quad q = \alpha^{\frac{\gamma+1}{\gamma}} B \eta$$

with some large parameter  $\eta$  to be specified later and  $B = \max\{1, \beta\}$ . Then the latter inequality can be rewritten as

$$\begin{aligned} 0.73 > & 1.04(\alpha + \beta - 1) \alpha^{\frac{(k-1)\gamma-2k}{k\gamma}} B^{-1} \eta^{-\frac{1}{k} - \frac{\varepsilon}{\gamma}} \\ & + 1.81 \alpha^{\frac{(2k-1)\gamma-2k}{2k\gamma}} B^{-1/2} \eta^{-\frac{1}{2k} - \frac{\varepsilon}{2\gamma}} \\ & + C \left( \alpha^{-\gamma + \frac{(2k+\gamma)\varepsilon}{k\gamma}} B^{-\gamma + \varepsilon} \eta^{-\gamma + \frac{\varepsilon}{k} + \frac{\varepsilon^2}{\gamma}} \right. \\ & + \alpha^{-\frac{\gamma}{2k} + \frac{(2k+\gamma)\varepsilon}{k\gamma}} B^{-\frac{\gamma}{2} + \varepsilon} \eta^{-\frac{\gamma}{2k} + \frac{(2-k)\varepsilon}{2k} + \frac{\varepsilon^2}{\gamma}} \\ & \left. + \alpha^{\frac{2(1-k)-\gamma}{1-\gamma} + \frac{(2k+\gamma)\varepsilon}{k\gamma}} B^{\frac{(1-k)\gamma}{1-\gamma} + \varepsilon} \eta^{\frac{(1-k^2-\gamma)\varepsilon}{1-\gamma} + \frac{\varepsilon^2}{\gamma}} \right). \end{aligned}$$

Since  $k \geq 2$  and  $\gamma = 4^{1-k}$  assuming  $\varepsilon < \frac{\gamma^2}{2(2k+\gamma)}$ , as we may, all exponents of  $\alpha, B$  and  $\eta$  are negative. Therefore, the above inequality is satisfied for all sufficiently large  $\eta$ , say  $\eta \geq \eta_0$ . Since  $\eta$  is intertwined with  $q$ , a little care needs to be taken. Since  $\alpha$  is irrational there are infinitely many rational  $\frac{a}{q}$  satisfying

$$\left| \frac{\alpha}{a_k} - \frac{a}{q} \right| < \frac{1}{q^2}$$

Let  $\frac{q_n}{p_n}$  be the  $n$ -th convergent to the continued fraction expansion of  $\frac{\alpha}{a_k}$ . We shall choose  $l$  such that  $\eta_0 \leq \frac{p_{m+l}}{p_m}$ , where  $m$  is defined by (1), for then the choice  $q = p_{m+l}$  will yield an  $\eta \geq \eta_0$ . The choice of  $\eta$  is as in (12) of [13]. Once  $\eta$  is chosen,  $l$  is also determined as it depends only on  $\eta$ .

### 5. Proof of Theorem 3

The present section is devoted to a proof of Theorem 3.

*Proof of Theorem 3.* It follows from Proposition 2 and partial summation formula that

$$\sum_{1 \leq |l| \leq L} C_l \sum_{\substack{n \leq N \\ n \equiv f(d)}} \Lambda(n) e(ln/\alpha) \ll_\epsilon (NL)^\epsilon \left( \frac{N}{q^{1/2}} + N^{1/2} q^{1/2} + \frac{N^{1/2} q^{1/2}}{L^{1/2}} + N^{3/4} d^{1/2} + \frac{N^{4/5}}{d^{1/5}} \right). \tag{16}$$

By (6) and (16), we obtain

$$\sum_{\substack{n \leq N \\ n \equiv f(d)}} \Lambda(n) \chi \left( \frac{n}{\alpha} + \frac{1-2\beta}{2\alpha} \right) = \frac{1}{\alpha} \sum_{\substack{n \leq N \\ n \equiv f(d)}} \Lambda(n) + O \left( \frac{N}{L(\varphi(d))} \right) + O \left( (NL)^\epsilon \left( \frac{N}{q^{1/2}} + N^{1/2} q^{1/2} + N^{3/4} d^{1/2} + \frac{N^{4/5}}{d^{1/5}} \right) \right).$$

Choose

$$L = \frac{q^{1/2}}{\varphi(d)}.$$

Therefore, we obtain an estimate

$$\sum_{\substack{n \leq N \\ n \equiv f(d)}} \Lambda(n) \chi \left( \frac{n}{\alpha} + \frac{1-2\beta}{2\alpha} \right) = \frac{1}{\alpha} \sum_{\substack{n \leq N \\ n \equiv f(d)}} \Lambda(n) + O \left( N^\epsilon \left( \frac{N}{q^{1/2}} + N^{1/2} q^{1/2} + N^{3/4} d^{1/2} + \frac{N^{4/5}}{d^{1/5}} \right) \right).$$

We rewrite the above equality as

$$\sum_{\substack{p^v \leq N \\ p^v \in \mathcal{B}(\alpha, \beta) \\ p^v \equiv f(d)}} \log p + \sum_{\substack{p^v \leq \alpha + \beta - 1 \\ p^v \in \mathcal{B}(\alpha, \beta - \{\alpha + \beta\}) \\ p^v \equiv f(d)}} \log p = \frac{1}{\alpha} \sum_{\substack{p^v \leq N \\ p^v \equiv f(d)}} \log p + O \left( N^\epsilon \left( \frac{N}{q^{1/2}} + N^{1/2} q^{1/2} + N^{3/4} d^{1/2} + \frac{N^{4/5}}{d^{1/5}} \right) \right).$$

By using prime number theorem, we have

$$\sum_{\substack{p^v \leq N \\ p^v \equiv f(d) \\ v \geq 2}} \log p \ll \sqrt{N}.$$

Thus we have

$$\begin{aligned} \sum_{\substack{p \leq N \\ p \in \mathcal{B}(\alpha, \beta) \\ p \equiv f(d)}} \log p &= \frac{1}{\alpha} \sum_{\substack{p \leq N \\ p \equiv f(d)}} \log p + O\left(\frac{N^{1/2}}{\alpha}\right) \\ &+ O\left(N^\varepsilon \left(\frac{N}{q^{1/2}} + N^{1/2}q^{1/2} + N^{3/4}d^{1/2} + \frac{N^{4/5}}{d^{1/5}}\right)\right). \end{aligned} \quad (17)$$

Suppose we assume that  $\alpha$  is an irrational number of finite type  $t$ . Note that by Lemma 3,  $\frac{1}{\alpha}$  and  $\alpha$  are of same type. Hence, for any positive  $\varepsilon$ , there is a positive constant  $c$  such that

$$\left| \frac{1}{\alpha} - \frac{p}{q} \right| \geq \frac{c}{q^{t+1+\varepsilon}} \quad (18)$$

holds for all integers  $p, q$  with  $q > 0$ . As discussed in Theorem 1, by using Dirichlet's approximation theorem with  $Q = N^{\frac{t}{1+t}}$ , we obtain a convergent to the simple continued fraction expansion of  $\frac{1}{\alpha}$ , say  $\frac{p}{q}$ , with  $1 \leq q \leq N^{\frac{t}{1+t}}$  such that

$$\left| \frac{1}{\alpha} - \frac{p}{q} \right| \leq \frac{1}{qN^{\frac{t}{1+t}}}. \quad (19)$$

Then by (19) and (18), there exists a convergent to the simple continued fraction expansion of  $\frac{1}{\alpha}$  whose denominator satisfies

$$N^{\frac{1}{1+t}+\varepsilon} \ll q \leq N^{\frac{t}{1+t}}. \quad (20)$$

Therefore, by (17) and (20), we obtain

$$\sum_{\substack{p \leq N \\ p \in \mathcal{B}(\alpha, \beta) \\ p \equiv f(d)}} \log p = \frac{1}{\alpha} \sum_{\substack{p \leq N \\ p \equiv f(d)}} \log p + O\left(N^\varepsilon \left(N^{1-\frac{1}{2(1+t)}} + N^{3/4}d^{1/2} + N^{4/5}d^{-1/5}\right)\right).$$

This completes the proof of Theorem 3.

If  $N$  is a prime number, Fermat's little theorem asserts that  $a^N \equiv a \pmod{N}$  for all  $a \in \mathbb{Z}$ . A Carmichael number is a composite number  $N$  with the same property.

Banks and Yeager (Theorem 1, [3]) proved that for  $\alpha > 1$  of finite type irrational, there are infinitely many Carmichael numbers composed solely of primes from the Beatty sequence  $\mathcal{B}(\alpha, \beta)$ . They also proved the quantitative version of the above result (Theorem 3 of [3]). As an application of Theorem 3, we deduce the following corollary which extends

the range of  $B$  in Theorem 3 of [3] for type  $t > 1$ . To state the corollary, we require the following notations of [3]:

Let  $\pi(x)$  be the number of primes  $p \leq x$ , and let  $\pi(x, y)$  be the number of those primes for which  $p - 1$  is free of prime factors exceeding  $y$ . We denote by  $\mathcal{E}$  the set of numbers  $E$  in the range  $0 < E < 1$  for which there exists a number  $x_0(E), \gamma(E) > 0$  such that

$$\pi(x, x^{1-E}) \geq \gamma(E)\pi(x) \quad \text{for all } x \geq x_0(E).$$

**COROLLARY 1**

For each  $E \in \mathcal{E}, B \in (0, \min\{\frac{1}{2t+2}, \frac{1}{6}\})$  and  $\varepsilon > 0$ , there is a number  $x_0 = x_0(\alpha, \beta, E, B, \varepsilon)$  such that for any  $x \geq x_0$ , there are at least  $x^{EB-\varepsilon}$  Carmichael numbers up to  $x$  composed solely of primes from  $\mathcal{B}(\alpha, \beta)$ .

The proof of Corollary 1 follows from Lemma 5, Lemma 6 of [3] by using Theorem 3 in place of Theorem 2 of [3].

**6. Proof of Proposition 2**

The proof of Proposition 2 is based on the work of Balog and Perelli [2].

6.1 *Some lemmas*

Here we list several lemmas required for the proof.

*Lemma 4.*

$$\sum_{\substack{x < m \leq x' \\ m \equiv f(d)}} e(m\theta) \ll \min\left(\frac{x'}{d} + 1, \|\theta d\|^{-1}\right).$$

*Lemma 5 [16].* Suppose that  $X, Y \geq 1$  are positive integers. Also suppose that  $|\alpha - a/q| < q^{-2}$ , where  $\alpha$  is a real number,  $a$  and  $q$  integers satisfying  $(a, q) = 1$ . Then

$$\sum_{x \leq X} \min(Y, \|\alpha x\|^{-1}) \ll \frac{XY}{q} + (X + q) \log 2q,$$

$$\sum_{x \leq X} \min\left(\frac{XY}{x}, \|\alpha x\|^{-1}\right) \ll \frac{XY}{q} + (X + q) \log(2XYq).$$

*Lemma 6 [14].* For any real number  $\vartheta$  and natural numbers  $N, l$  and  $1 \leq f < d$  such that  $(f, d) = 1$ , we have

$$\sum_{\substack{n \leq N \\ n \equiv f(d)}} \Lambda(n)e(ln \vartheta) = O(N^{1/2}) + S_1 - S_2 - S_3,$$

where

$$S_1 = \sum_{\substack{m \leq U \\ mn \equiv f(d)}} \sum_{\substack{n \leq N/m \\ mn \equiv f(d)}} \mu(m)(\log n)e(lmn\vartheta),$$

$$S_2 = \sum_{\substack{m \leq U^2 \\ mn \equiv f(d)}} \sum_{\substack{n \leq N/m \\ mn \equiv f(d)}} \phi_1(m)e(lmn\vartheta),$$

$$S_3 = \sum_{\substack{U < m \leq N/U \\ mn \equiv f(d)}} \sum_{\substack{U < n \leq N/m \\ mn \equiv f(d)}} \phi_2(m)\Lambda(n)e(lmn\vartheta),$$

and

$$\phi_1(m) \ll \log m, \quad \phi_2(m) \ll d_2(m).$$

Here  $U$  is an arbitrary parameter to be chosen later satisfying  $1 \leq U \leq N^{1/2}$ .

*Lemma 7.* Suppose that  $\varepsilon > 0$  and that  $\phi(u)$ ,  $\psi(v)$  are real-valued functions such that  $|\phi(u)| \ll T$ ,  $|\psi(v)| \ll F$ . Suppose that  $|\vartheta - a/q| < q^{-2}$ , where  $\vartheta$  is a real number,  $a$  and  $q$  are integers satisfying  $(a, q) = 1$ . For positive integers  $N$ ,  $W$ ,  $X$  and  $L$ , write

$$S = \sum_{|l| \leq L} \left| \sum_{\substack{X < v \leq 2X \\ uv \leq N \\ uv \equiv f(d)}} \sum_{u \leq W} \phi(u)\psi(v)e(luv\vartheta) \right|. \quad (21)$$

Then

$$S \ll TF \left( \frac{LWX^{1/2}}{d^{1/2}} + (LXd)^\varepsilon \left( \frac{LXW}{q^{1/2}} + LXW^{1/2}d^{1/2} + L^{1/2}q^{1/2}X^{1/2}W^{1/2} \right) \right).$$

*Proof.* For the moment, we shall ignore the condition  $uv \leq N$  in (21). Consider

$$S = \sum_{|l| \leq L} \left| \sum_{\substack{X < v \leq 2X \\ uv \equiv f(d)}} \sum_{u \leq W} \phi(u)\psi(v)e(luv\vartheta) \right|. \quad (22)$$

We observe that

$$S = \sum_{\substack{f_1 f_2 \equiv f(d) \\ (f_1, d) = (f_2, d) = 1}} R_{f_1, f_2} \ll d \max_{\substack{f_1 f_2 \equiv f(d) \\ (f_1, d) = (f_2, d) = 1}} |R_{f_1, f_2}|, \quad (23)$$

where

$$R_{f_1, f_2} = \sum_{|l| \leq L} \left| \sum_{\substack{X < v \leq 2X \\ v \equiv f_2(d)}} \sum_{\substack{u \leq W \\ u \equiv f_1(d)}} \phi(u)\psi(v)e(luv\vartheta) \right|.$$

By using Cauchy–Schwarz inequality, we obtain

$$\begin{aligned}
 |R_{f_1, f_2}|^2 &\leq L \sum_{|l|\leq L} \left| \sum_{\substack{u\leq W \\ u\equiv f_1(d)}} \sum_{\substack{X<v\leq 2X \\ v\equiv f_2(d)}} \phi(u)\psi(v)e(luv\vartheta) \right|^2 \\
 &\leq L \sum_{|l|\leq L} \left( \sum_{\substack{u\leq W \\ u\equiv f_1(d)}} |\phi(u)|^2 \right) \left( \sum_{\substack{u\leq W \\ u\equiv f_1(d)}} \left| \sum_{\substack{X<v\leq 2X \\ v\equiv f_2(d)}} \psi(v)e(luv\vartheta) \right|^2 \right) \\
 &\leq \frac{T^2LW}{d} \left( \frac{F^2LWX}{d^2} + R_1 \right), \tag{24}
 \end{aligned}$$

where

$$R_1 = \sum_{|l|\leq L} \sum_{\substack{u\leq W \\ u\equiv f_1(d)}} \sum_{\substack{X<v_1, v_2\leq 2X \\ v_1, v_2\equiv f_2(d) \\ v_1\neq v_2}} \psi(v_1)\psi(v_2)e(lu(v_1 - v_2)\vartheta).$$

We may write  $R_1$  in the form

$$\begin{aligned}
 R_1 &= \sum_{|l|\leq L} \sum_{\substack{u\leq W \\ u\equiv f_1(d)}} \sum_{\substack{|k|\leq X \\ k\equiv 0(d)}} \sum_{\substack{X<v_1, v_2\leq 2X \\ v_1, v_2\equiv f_2(d) \\ v_1\neq v_2 \\ v_1-v_2=k}} \psi(v_1)\psi(v_2)e(luk\vartheta) \\
 &\leq \sum_{|l|\leq L} \sum_{\substack{|k|\leq X \\ k\equiv 0(d)}} \zeta_1(k) \sum_{\substack{u\leq W \\ u\equiv f_1(d)}} e(luk\vartheta), \tag{25}
 \end{aligned}$$

where

$$\zeta_1(k) = \sum_{\substack{X<v_1, v_2\leq 2X \\ v_1, v_2\equiv f_2(d) \\ v_1\neq v_2 \\ v_1-v_2=k}} \psi(v_1)\psi(v_2) \ll \frac{F^2X}{d}.$$

Applying Lemma 4 for the innermost sum of (25), we get

$$|R_1| \leq \frac{F^2X}{d} \sum_{|l|\leq L} \sum_{\substack{|k|\leq X \\ k\equiv 0(d)}} \min \left( \frac{W}{d} + 1, \|lkd\vartheta\|^{-1} \right).$$

Let  $r = lkd$  so that  $1 \leq |r| \leq 2LXd$  and  $r$  will run through all the integers in the interval above, also the number of representations of  $r$  is not more than  $d_2(r)$ . Therefore we have

$$|R_1| \leq \frac{F^2X}{d} (LXd)^\varepsilon \sum_{|r|\leq 2LXd} \min \left( \frac{W}{d} + 1, \|r\vartheta\|^{-1} \right).$$

Then by using Lemma 5, we obtain

$$R_1 \ll F^2(LXd)^\varepsilon \left( \frac{LX^2W}{qd} + LX^2 + \frac{qX}{d} \right). \tag{26}$$

By (24) and (26), we have

$$R_{f_1, f_2} \ll TF \left( \frac{LWX^{1/2}}{d^{3/2}} + (LXd)^\varepsilon \left( \frac{LXW}{q^{1/2}d} + \frac{LXW^{1/2}}{d^{1/2}} + \frac{L^{1/2}q^{1/2}X^{1/2}W^{1/2}}{d} \right) \right). \tag{27}$$

Thus lemma follows from (23) and (27). □

*Lemma 8.* Suppose we have the hypotheses and notations of Lemma 7 with either  $\phi(x) = 1$  or  $\phi(x) = \log x$  for all  $x$ . Then

$$S \ll F(LXd)^\varepsilon (LXWq^{-1} + LXd + q). \tag{28}$$

*Proof.* The  $\log x$  factor may easily be removed by partial summation formula, so we presume that  $\phi(x) \equiv 1$ . Again we may ignore the condition  $uv \leq N$ . Therefore, we need to estimate

$$\begin{aligned} S &= \sum_{|l| \leq L} \left| \sum_{X < v \leq 2X} \sum_{\substack{u \leq W \\ uv \equiv f(d)}} \psi(v) e(luv\vartheta) \right| \\ &\leq F \sum_{|l| \leq L} \sum_{\substack{X \leq v < 2X \\ (v,d)=1}} \left| \sum_{\substack{u \leq W \\ u \equiv \bar{f}\bar{v}(d)}} e(luv\vartheta) \right|, \end{aligned}$$

where  $\bar{v}$  is defined by  $v\bar{v} \equiv 1 \pmod{d}$ . Then by using Lemma 4, we have

$$S \leq F \sum_{|l| \leq L} \sum_{\substack{X \leq v < 2X \\ (v,d)=1}} \min \left( \frac{W}{d} + 1, \|lvd\vartheta\|^{-1} \right).$$

Let  $r = lvd$  so that  $1 \leq |r| \leq 2LXd$  and  $r$  will run through all the integers in the interval above. Also, the number of representations of  $r$  is not more than  $d_2(r)$ . Therefore, we have

$$S \leq F(LXd)^\varepsilon \sum_{|r| \leq 2LXd} \min \left( \frac{W}{d} + 1, \|r\vartheta\|^{-1} \right). \tag{29}$$

Thus (28) follows from (29) and Lemma 5. □

### 6.2 Proof of the Proposition 2

We may assume that

$$N \geq \max(qd^2L^{-1}, d^6), \quad q \geq d^2 \tag{30}$$



otherwise (16) is a consequence of the trivial bound,

$$S(\vartheta) \leq \frac{LN}{d}.$$

Using Lemma 6, we have the following sums to estimate:

$$S'_1 = \sum_{|l| \leq L} \left| \sum_{\substack{m \leq U \\ mn \equiv f(d)}} \sum_{n \leq N/m} \mu(m)(\log n)e(lmn\vartheta) \right|,$$

$$S'_2 = \sum_{|l| \leq L} \left| \sum_{\substack{m \leq U^2 \\ mn \equiv f(d)}} \sum_{n \leq N/m} \phi_1(m)e(lmn\vartheta) \right|,$$

$$S'_3 = \sum_{|l| \leq L} \left| \sum_{\substack{U < m \leq N/U \\ mn \equiv f(d)}} \sum_{U < n \leq N/m} \phi_2(m)\Lambda(n)e(lmn\vartheta) \right|.$$

By dyadic division, we write

$$S'_1 \leq \sum_{t=0}^{\left\lceil \frac{\log U}{\log 2} \right\rceil} S_{1t},$$

where

$$S_{1t} = \sum_{|l| \leq L} \left| \sum_{\substack{2^t < m \leq 2^{t+1} \\ mn \equiv f(d)}} \sum_{n \leq N/m} \mu(m)(\log n)e(lmn\vartheta) \right|.$$

Then using Lemma 8, we get

$$S'_1 \ll (NL)^\varepsilon (LNq^{-1} + LUd + q).$$

$S'_2$  can be estimated similar to  $S'_1$  by partitioning into dyadic sub-sums, say  $S_{2t}$ . We estimate  $S_{2t}$  using Lemma 8, and we get

$$S'_2 \ll (NL)^{2\varepsilon} (LNq^{-1} + LU^2d + q).$$

We write  $S'_3 = S'_{31} + S'_{32}$ , where

$$S'_{31} = \sum_{|l| \leq L} \left| \sum_{\substack{U < m \leq N^{1/2} \\ mn \equiv f(d)}} \sum_{U < n \leq N/m} \phi_2(m)\Lambda(n)e(lmn\vartheta) \right|,$$

$$S'_{32} = \sum_{|l| \leq L} \left| \sum_{U < n \leq N^{1/2}} \sum_{\substack{N^{1/2} < m \leq N/n \\ mn \equiv f(d)}} \phi_2(m)\Lambda(n)e(lmn\vartheta) \right|.$$

By dividing  $S'_{31}$  dyadically, we obtain

$$S'_{31} = \sum_{t=0}^R S_{31t},$$

where

$$S_{31t} = \sum_{l \leq L} \left| \sum_{U2^t < m \leq U2^{t+1}} \sum_{\substack{U < n \leq N/m \\ mn \equiv f(d)}} \mu(m)(\log n)e(lmn\vartheta) \right|$$

and

$$R = \left\lceil \frac{\log\left(\frac{N^{1/2}}{U}\right)}{\log 2} \right\rceil.$$

Then using Lemma 7, we have

$$S'_{31} \ll (NL)^{3\varepsilon} \left( \frac{LN}{q^{1/2}} + LN^{1/2}q^{1/2} + LN^{3/4}d^{1/2} + \frac{LN}{U^{1/2}d^{1/2}} \right).$$

Similarly we can show that  $S'_{32}$  has the same upper bound. Therefore,

$$\begin{aligned} S(\vartheta) \ll_{\varepsilon} (NL)^{\varepsilon} & \left( \frac{NL}{q^{1/2}} + L^{1/2}N^{1/2}q^{1/2} \right. \\ & \left. + LN^{3/4}d^{1/2} + LU^2d + q + \frac{LN}{U^{1/2}d^{1/2}} \right). \end{aligned} \quad (31)$$

Then (16) follows from (31) with the choice of

$$U = \frac{N^{2/5}}{d^{3/5}},$$

and the observation  $q \leq L^{1/2}N^{1/2}q^{1/2}$ .

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