



# New criteria for Vandiver's conjecture using Gauss sums – Heuristics and numerical experiments

GEORGES GRAS

Villa la Gardette, Chemin Château Gagnière, 38520 Le Bourg d'Oisans, France  
E-mail: g.mn.gras@wanadoo.fr

MS received 6 June 2019; revised 4 December 2019; accepted 30 December 2019

**Abstract.** The link between Vandiver's conjecture and Gauss sums is well known since the papers of Iwasawa (Symposia Mathematica, vol 15, Academic Press, pp 447–459, 1975), Thaine (Mich Math J 42(2):311–344, 1995; Trans Am Math Soc 351(12):4769–4790, 1999) and Anglès and Nuccio (Acta Arith 142(3):199–218, 2010). This conjecture is required in many subjects and we shall give such examples of relevant references. In this paper, we recall our interpretation of Vandiver's conjecture in terms of minus part of the torsion of the Galois group of the maximal abelian  $p$ -ramified pro- $p$ -extension of the  $p$ -th cyclotomic field (Sur la  $p$ -ramification abélienne (1984) vol. 20, pp. 1–26). Then we provide a specific use of Gauss sums of characters of order  $p$  of  $\mathbb{F}_\ell^\times$  and prove new criteria for Vandiver's conjecture to hold (Theorem 2(a) using both the sets of exponents of  $p$ -irregularity and of  $p$ -primarity of suitable twists of the Gauss sums, and Theorem 2(b) which does not need the knowledge of Bernoulli numbers or cyclotomic units). We propose in §5.2 new heuristics showing that any counterexample to the conjecture leads to excessive constraints modulo  $p$  on the above twists as  $\ell$  varies and suggests analytical approaches to evidence. We perform numerical experiments to strengthen our arguments in the direction of the very probable truth of Vandiver's conjecture and to inspire future research. The calculations with their PARI/GP programs are given in appendices.

**Keywords.** Cyclotomic field of  $p$ -th roots of unity; Vandiver's conjecture; Gauss sums; Jacobi sums; Kummer theory; class field theory;  $p$ -ramification.

**Mathematics Subject Classification.** 11R18, 11L05, 11R37, 11R29, 08-04.

## 1. Introduction

Let  $K = \mathbb{Q}(\mu_p)$  be the field of  $p$ -th roots of unity for a given prime  $p > 2$  and let  $K_+$  be its maximal real subfield. Put  $G = \text{Gal}(K/\mathbb{Q})$ .

We denote by  $\mathcal{C}_\ell$  and  $\mathcal{C}_{\ell,+}$  the  $p$ -class groups of  $K$  and  $K_+$ , then by  $\mathcal{C}_{\ell,-}$  the relative  $p$ -class group, so that  $\mathcal{C}_\ell = \mathcal{C}_{\ell,+} \oplus \mathcal{C}_{\ell,-}$ .

Let  $E$  and  $E_+$  be the groups of units of  $K$  and  $K_+$ ; then  $E = E_+ \oplus \mu_p$  (Kummer). The conjecture of Vandiver (or Kummer–Vandiver) asserts that  $\mathcal{C}_{\ell,+}$  is trivial. This statement is equivalent to saying that the group of real cyclotomic units of  $K$  is of prime to  $p$  index in  $E_+$  [55, Theorem 8.14]. One may refer to numerical tables using this property in [5, 26] (verifying the conjecture up to  $2 \cdot 10^9$ ), and to more general results in [50, 51] where

some relations with Gauss and Jacobi sums are used to express the order of the isotypic components of  $\mathcal{C}l_+$  (e.g., [50, Theorem 4]).

Many heuristics are proposed about this conjecture (see Washington's book [55, § 8.3, Corollary 8.19] for some history, criteria and for probabilistic arguments, then [39] assuming Greenberg's conjecture [22] for  $K_+$ ).

We have also given a probabilistic study in [14, II.5.4.9.2]. All these heuristics lead to the fact that the number of primes  $p$  less than  $x$ , giving a counterexample, can be of the form  $O(1) \cdot \log(\log(x))$ .

These reasonings, giving the possible existence of infinitely many counterexamples to Vandiver's conjecture, are based on standard probabilities associated with the Borel–Cantelli heuristic, but many recent  $p$ -adic heuristics and conjectures (on class groups and units) may contradict such unfounded approaches.

In this paper, we shall work in another direction, in the framework of 'abelian  $p$ -ramification', using Gauss sums together with the *main theorem on abelian number fields* restricted to  $\mathcal{C}l_-$ , and giving the order of its isotypic components by means of generalized Bernoulli numbers. Thus the annihilation of these components will be of significant importance for our method (this classical result is related by Ribet [40,41] and we shall call it 'main theorem' in short).

Such a link of Vandiver's conjecture with Gauss sums and abelian  $p$ -ramification has been given first by Iwasawa [29], then by Anglès–Nuccio [1], and encountered by many authors in various directions (Iwasawa's theory, Galois cohomology, Fermat curves, Galois representations, etc.), then often assuming Vandiver's conjecture (e.g., [8,23,24,27,28,32,44–47,53,54]).

This link does exist also in the context of the classical conjecture of Greenberg [22] considered as a generalization of Vandiver's conjecture (e.g., [15,16,36]). We propose, in Section 3.1, to explain the links with  $p$ -ramification and prove again the reflection theorem (Theorem 8 and Corollary 9).

Then we shall interpret a counterexample to Vandiver's conjecture in terms of non-trivial ' $p$ -primary pseudo-units' stemming from Gauss sums:

$$\tau(\psi) = - \sum_{x \in \mathbb{F}_\ell^\times} \psi(x) \xi_\ell^x,$$

for  $\psi$  of order  $p$ ,  $\xi_\ell$  of prime order  $\ell \equiv 1 \pmod{p}$ . Indeed, if  $\#\mathcal{C}l_+ \equiv 0 \pmod{p}$ , there exists a class  $\gamma = c\ell(\mathfrak{A}) \in \mathcal{C}l_-$ , of order  $p$ , such that  $\mathfrak{A}^p = (\alpha)$ , with  $\alpha$   $p$ -primary (to give the unramified extension  $K(\sqrt[p]{\alpha})/K$ , decomposed over  $K_+$  into a cyclic unramified extension  $L_+/K_+$  of degree  $p$  predicted by class field theory); the converse being obvious.

Since  $\alpha$  can be obtained explicitly by means of twists (giving products of Jacobi sums) of the above Gauss sums:

$$g_c(\ell) = \tau(\psi)^{c-\sigma_c} \in K^\times, \quad (1)$$

with Artin automorphisms  $\sigma_c$  attached to a primitive root  $c$  modulo  $p$ . This will yield the main test verifying the validity of the conjecture at  $p$ ; this result is the object of Theorem 21, Corollary 22 and Theorem 23, that we can summarize, in Theorem 2 below, after the reminder of some notations and classical definitions.

DEFINITION 1

- (i) Let  $\zeta_p$  be a primitive  $p$ -th root of unity. We denote by  $\omega$  the Teichmüller character of  $G$  (the  $p$ -adic character with values in  $\mu_{p-1}(\mathbb{Q}_p)$  such that  $\zeta_p^s = \zeta_p^{\omega(s)}$  for all  $s \in G$ ).

The irreducible  $p$ -adic characters of  $G$  are  $\theta = \omega^m, 1 \leq m \leq p - 1$ .

- (ii) Let  $e_\theta = \frac{1}{p-1} \sum_{s \in G} \theta(s^{-1}) s$  be the associated idempotents in  $\mathbb{Z}_p[G]$ .
- (iii) Let  $g_c(\ell)_\theta$  denote the  $\theta$ -component of the twist  $g_c(\ell)$  defined by (1), as representative in  $K^\times$  of the class  $g_c(\ell)^{e_\theta} \in K^\times / K^{\times p}$ .

**Theorem 2 (Main results).** For a prime  $\ell \equiv 1 \pmod{p}$ , let  $\mathcal{E}_\ell(p)$  be the set of exponents of  $p$ -primarity of  $\ell$  (i.e., the even integers  $n \in [2, p-3]$ , such that  $g_c(\ell)_{\omega^{p-n}} \equiv 1 \pmod{p}$ ). Then let  $\mathcal{E}_0(p)$  be the set of exponents of  $p$ -irregularity of  $K$  (i.e., the even integers  $n \in [2, p-3]$ , such that  $p$  divides the  $n$ -th Bernoulli number  $B_n$ ).

- (a) Vandiver’s conjecture holds for  $K$  if and only if there exists  $\ell \equiv 1 \pmod{p}$  such that  $\mathcal{E}_\ell(p) \cap \mathcal{E}_0(p) = \emptyset$  (this is Theorem 21).
- (b) Vandiver’s conjecture holds for  $K$  if and only if there exist  $N \geq 1$  primes  $\ell_i \equiv 1 \pmod{p}$  such that  $\bigcap_{i=1}^N \mathcal{E}_{\ell_i}(p) = \emptyset$  (this is Theorem 23).

See the computational aspects of this theorem (heuristics, PARI/GP programs and tables) in “Appendix A”.

Test (b) is numerically very frequent for  $N = 1$  or  $N$  very small, and does not need the knowledge of Bernoulli numbers; in fact, it does not need to know if  $p$  is irregular or not.

We show that some assumption of independence of the congruential properties (mod  $p$ ) of these twists, as  $\ell$  varies, is an obstruction to any counterexample to Vandiver’s conjecture.

This method is different from that needed to prove that some cyclotomic unit is not a global  $p$ -th power, which does not give obvious probabilistic approach (nevertheless, see § 5.2.4 for some complements).

Finally, we propose in § 5.2 and § 5.3, new heuristics (to our knowledge) and give substantial numerical experiments confirming them.

Now we give the main definitions and notations used throughout the rest of the article.

DEFINITION 3

- (i) We denote by  $\mathcal{X}_+$  the set of even characters  $\theta \neq 1$  (i.e.,  $\theta = \omega^m, m \in [2, p-3]$  even), and by  $\mathcal{X}_-$  the set of odd characters distinct from  $\omega$  (i.e.,  $\theta = \omega^m, m \in [3, p-2]$  odd).

If  $\theta = \omega^m$ , we put  $\theta^* = \omega\theta^{-1} = \omega^{p-m}$ . This defines an involution on the group of characters which applies  $\mathcal{X}_+$  onto  $\mathcal{X}_+^* = \mathcal{X}_-$ .

- (ii) For a finitely generated  $\mathbb{Z}_p[G]$ -module  $M$ , we put  $M_\theta = M^{e_\theta}$ . The operation of the complex conjugation  $s_{-1} \in G$  gives rise to the obvious definition of the components  $M_+$  and  $M_-$  such that  $M = M_+ \oplus M_-$ .
- (iii) We denote by  $\text{rk}_p(A) = \dim_{\mathbb{F}_p}(A/A^p)$  the  $p$ -rank of any abelian group  $A$ .
- (iv) For  $\alpha \in K^\times$ , prime to  $p$ , considered modulo  $K^{\times p}$ , we denote by  $\alpha_\theta$  a representative in  $K^\times$  of the class  $\overline{\alpha}^{e_\theta} \in K^\times / K^{\times p}$  (e.g.,  $\alpha_\theta = \alpha^{e'_\theta}$  where  $e'_\theta \in \mathbb{Z}[G]$  approximates  $e_\theta \pmod{p}$ ).

- (v) Let  $I$  be the group of prime to  $p$  ideals of  $K$ . For any  $\mathfrak{A} \in I$  such that  $\mathcal{C}(\mathfrak{A}) \in \mathcal{C}$ , there exists an approximation  $e'_\theta \in \mathbb{Z}[G]$  of  $e_\theta$  modulo a sufficient high power of  $p$  such that  $\mathfrak{A}_\theta = \mathfrak{A}^{e'_\theta}$  is defined up to a principal ideal of the form  $(x^p)$ ,  $x \in K^\times$ .
- (vi) We say that  $\mathfrak{A} \in I$  is  $p$ -principal if it is principal in  $I \otimes \mathbb{Z}_p$ ; thus  $\mathfrak{A} = (\alpha)$ , with  $\alpha \in K^\times \otimes \mathbb{Z}_p$ , defined up to the product by  $\varepsilon \in E \otimes \mathbb{Z}_p$ . (The distinction between  $\mathfrak{A}^{e_\theta} \in I \otimes \mathbb{Z}_p$  and  $\mathfrak{A}^{e'_\theta} \in I$  ( $e'_\theta \equiv e_\theta \pmod{p^N \mathbb{Z}_p[G]}$ ),  $N$  large enough) has some importance in practice and programming, provided of a definition of  $\mathfrak{A}^{e'_\theta}$  up to a principal ideal of the form  $(x^p)$ , for deciding, for instance, in the writing  $\mathfrak{A}^{e_\theta} =: (\alpha_\theta)$  of the ' $p$ -primarity' of  $\alpha_\theta \in K^\times \otimes \mathbb{Z}_p$ ; whence  $\mathfrak{A}^{e'_\theta} =: (\alpha')$  where  $\alpha_\theta \cdot \alpha'^{-1} \in (K^\times \otimes \mathbb{Z}_p)^p \cdot E \otimes \mathbb{Z}_p$ . This will be used for  $\theta \in \mathcal{X}_+^*$  where  $\theta$ -components of units do not intervene, giving  $(\alpha_\theta) = (x)^p \Leftrightarrow \alpha_\theta \in K^{\times p}$ .)
- (vii) For  $\chi =: \omega^n \in \mathcal{X}_+$ , let  $B_{1,(\chi^*)^{-1}} = B_{1,\omega^{n-1}} = \frac{1}{p} \sum_{a=1}^{p-1} (\chi^*)^{-1}(s_a) a$  be the generalized Bernoulli number of character  $(\chi^*)^{-1}$  (where  $s_a \in G$  is the Artin automorphism attached to  $a$ ; it is the restriction of the Artin automorphism  $\sigma_a$  defined above in larger extensions). The Bernoulli number  $B_{1,\omega^{n-1}}$  is an element of  $\mathbb{Z}_p$  congruent modulo  $p$  to  $\frac{B_n}{n}$ , where  $B_n$  is the  $n$ -th ordinary Bernoulli number; see [55, Proposition 4.1, Corollary 5.15].

The index of  $p$ -irregularity  $i(p)$  is the number of even  $n \in [2, p-3]$  such that  $B_n \equiv 0 \pmod{p}$ ; thus  $i(p) = \# \mathcal{C}_0(p)$ . See [55, § 5.3, Exercise 6.6] giving statistics and the heuristic  $i(p) = O\left(\frac{\log(p)}{\log(\log(p))}\right)$ .

- (viii) We say that a finitely generated  $\mathbb{Z}_p[G]$ -module  $M$  is monogenous if it is generated over  $\mathbb{Z}_p[G]$  by a single element; this is equivalent to  $\text{rk}_p(M_\theta) \leq 1$  for all irreducible  $p$ -adic characters  $\theta$  of  $G$ .

In this context, if for  $\theta \in \mathcal{X}_-$ ,  $B_{1,\theta^{-1}}$  is of  $p$ -valuation  $e$ , we shall have (Main Theorem):

$$\#\mathcal{C}_\theta = |B_{1,\theta^{-1}}|_p^{-1} = p^e.$$

For a more general history of Bernoulli–Kummer–Herbrand–Ribet, then Mazur–Wiles–Thaine–Kolyvagin–Rubin–Greither works on cyclotomy, see [12, 41, 55].

## 2. Pseudo-units: Notion of $p$ -primarity

### DEFINITION 4

- (i) We call *pseudo-unit* for any  $\alpha \in K^\times$ , prime to  $p$ , such that  $(\alpha)$  is the  $p$ -th power of an ideal of  $K$ .
- (ii) We say that an arbitrary  $\alpha \in K^\times$ , prime to  $p$  is  $p$ -primary if the Kummer extension  $K(\sqrt[p]{\alpha})/K$  is unramified at the unique prime ideal  $\mathfrak{p}$  above  $p$  in  $K$  (but possibly ramified elsewhere).

### Remark 5.

- (i) Let  $A$  be the group of pseudo-units of  $K$ . If  $\alpha \in A$ , there exists an ideal  $\mathfrak{a}$  such that  $(\alpha) = \mathfrak{a}^p$ ; then if we associate with  $\alpha K^{\times p}$  the class of  $\mathfrak{a}$ , we obtain the exact sequence, where  $p\mathcal{C} = \{\gamma \in \mathcal{C}, \gamma^p = 1\}$ :

$$1 \longrightarrow E/E^p \longrightarrow AK^{\times p}/K^{\times p} \longrightarrow {}_p\mathcal{C}\ell \longrightarrow 1,$$

giving

$$\dim_{\mathbb{F}_p}(AK^{\times p}/K^{\times p}) = \frac{p-1}{2} + \text{rk}_p(\mathcal{C}\ell).$$

- Thus the computation of  $\dim_{\mathbb{F}_p}((AK^{\times p}/K^{\times p})_{\theta})$  is immediate from the value of  $\text{rk}_p(\mathcal{C}\ell_{\theta})$  and  $\dim_{\mathbb{F}_p}((E/E^p)_{\theta}) = 1$  (resp. 0) if  $\theta \in \mathcal{X}_+ \cup \{\omega\}$  (resp.  $\theta \in \mathcal{X}_+^* \cup \{1\}$ ).
- (ii) The general condition of  $p$ -primarity for any  $\alpha \in K^{\times}$  ( $\alpha$  prime to  $p$  but not necessarily a pseudo-unit) is ‘ $\alpha$  congruent to a  $p$ -th power modulo  $\mathfrak{p}^p = (p)\mathfrak{p}$ ’ (e.g., [14, Ch. I, § 6, (b), Theorem 6.3]). Since in any case (replacing  $\alpha$  by  $\alpha^{1-p}$ ) we can assume  $\alpha \equiv 1 \pmod{\mathfrak{p}}$ , the above condition is then equivalent to  $\alpha \equiv 1 \pmod{\mathfrak{p}^p}$  (indeed, for any  $x \equiv 1 \pmod{\mathfrak{p}}$  we get  $x^p \equiv 1 \pmod{\mathfrak{p}^p}$ ).

For the pseudo-units of  $K$ , the  $p$ -primarity may be characterized as follows.

**PROPOSITION 6**

*Let  $\alpha \in K^{\times}$  be a pseudo-unit. Then  $\alpha$  is  $p$ -primary if and only if it is a local  $p$ -th power at  $\mathfrak{p}$ .*

*Proof.* One direction is trivial. Suppose that  $K(\sqrt[p]{\alpha})/K$  is unramified at  $\mathfrak{p}$ ; since  $\alpha = \mathfrak{a}^p$ , this extension is unramified as a global extension and is contained in the  $p$ -Hilbert class field  $H$  of  $K$ . The Frobenius automorphism in  $H/K$  of the principal ideal  $\mathfrak{p} = (\zeta_p - 1)$  is trivial; so  $\mathfrak{p}$  totally splits in  $H/K$ , thus in  $K(\sqrt[p]{\alpha})/K$ , proving the proposition.  $\square$

When  $\alpha$  is not necessarily a pseudo-unit, we have a similar result provided we only look at the  $p$ -primarity of  $\alpha_{\theta}$  for  $\theta \neq 1, \omega$ .

**PROPOSITION 7**

*Let  $\alpha \equiv 1 \pmod{\mathfrak{p}}$ . Let  $m \in [2, p-2]$  and let  $\alpha_{\theta}$  for  $\theta = \omega^m$ . Then  $\alpha_{\theta} \equiv 1 \pmod{\mathfrak{p}^m}$ ; moreover  $\alpha_{\theta}$  is  $p$ -primary if and only if  $\alpha_{\theta} \equiv 1 \pmod{\mathfrak{p}}$ , in which case one gets  $\alpha_{\theta} \equiv 1 \pmod{\mathfrak{p}^{m+p-1} = (p)\mathfrak{p}^m}$ .*

*Proof.* Consider the Dwork uniformizing parameter  $\varpi$  in  $\mathbb{Z}_p[\mu_p]$  which has the following properties:

- (i)  $\varpi^{p-1} = -p$ ,
- (ii)  $s(\varpi) = \omega(s) \cdot \varpi$ , for all  $s \in G$ .

Put  $\alpha_{\theta} = 1 + \varpi^k u$ , where  $u$  is a unit of  $\mathbb{Z}_p[\varpi]$  and  $k \geq 1$ ; let  $u_0 \in \mathbb{Z} \setminus p\mathbb{Z}$  be such that  $u \equiv u_0 \pmod{\varpi}$  giving  $\alpha_{\theta} \equiv 1 + \varpi^k u_0 \pmod{\varpi^{k+1}}$ . Since  $\alpha_{\theta}^s = \alpha_{\theta}^{\theta(s)}$  in  $K^{\times} \otimes \mathbb{Z}_p$ , we get, for all  $s \in G$ :

$$\begin{aligned} 1 + s(\varpi^k) u_0 &= 1 + \omega^k(s) \varpi^k u_0 \equiv (1 + \varpi^k u_0)^{\theta(s)} \\ &\equiv 1 + \omega^m(s) \varpi^k u_0 \pmod{\varpi^{k+1}}, \end{aligned}$$

which implies  $k \equiv m \pmod{p-1}$  and  $\alpha_\theta = 1 + \varpi^k u$ ,  $k \in \{m, m+p-1, \dots\}$ ; whence the first claim. The  $p$ -primarity condition for  $\alpha_\theta$  is  $\alpha_\theta \equiv 1 \pmod{\varpi^p}$  giving the obvious direction ' $\alpha_\theta$   $p$ -primary  $\Rightarrow \alpha_\theta \equiv 1 \pmod{p}$ ', since  $(\varpi^p) = (p\varpi)$ .

Suppose  $\alpha_\theta \equiv 1 \pmod{\varpi^{p-1}}$ ; so  $k = m$  does not work in the writing  $\alpha_\theta = 1 + \varpi^k u$  since  $m \leq p-2$ , and necessarily  $k$  is at least  $m+p-1 \geq p+1$ , because  $m \geq 2$  (which is also the local  $p$ -th power condition).  $\square$

### 3. Abelian $p$ -ramification

Let us give an overview of the theory of abelian  $p$ -ramification, which is not our main purpose, but the natural framework for Vandiver's conjecture and Gauss sums.

#### 3.1 Vandiver's conjecture and abelian $p$ -ramification

Let  $U$  be the group of principal local units at  $p$  of  $K$  and let  $\bar{E}$  be the closure of the image of  $E$  in  $U$ . Let  $\mathcal{T}$  be the torsion group of the Galois group of the maximal abelian  $p$ -ramified (i.e., unramified outside  $p$ ) pro- $p$ -extension  $H^{\text{pr}}$  of  $K$ . This extension contains the  $p$ -Hilbert class field  $H$  and the compositum  $\tilde{K}$  of the  $\mathbb{Z}_p$ -extensions of  $K$ . In the case of  $K = \mathbb{Q}(\mu_p)$ , the theory is summarized by the following exact sequences (Leopoldt's conjecture holds for abelian fields, giving  $\text{tor}_{\mathbb{Z}_p}(\bar{E}) = \text{tor}_{\mathbb{Z}_p}(E) = \mu_p$ ):

$$1 \longrightarrow \text{tor}_{\mathbb{Z}_p}(U/\bar{E}) \longrightarrow \mathcal{T} \longrightarrow \tilde{\mathcal{C}}\ell \longrightarrow 1$$

$$1 \longrightarrow \text{tor}_{\mathbb{Z}_p}(U)/\text{tor}_{\mathbb{Z}_p}(\bar{E}) = 1 \longrightarrow \text{tor}_{\mathbb{Z}_p}(U/\bar{E}) \xrightarrow{\log} \mathcal{R} \longrightarrow 0,$$

where  $\tilde{\mathcal{C}}\ell \subseteq \mathcal{C}\ell$  corresponds, by class field theory, to the subgroup  $\text{Gal}(H/H \cap \tilde{K})$ , and where  $\mathcal{R} = \text{tor}_{\mathbb{Z}_p}(\log(U)/\log(\bar{E}))$  is the normalized  $p$ -adic regulator [19, Proposition 5.2]. Taking the  $\theta$ -components, we obtain the exact sequences (where  $\mathcal{R}_\theta = 1$  for all odd  $\theta$ ):

$$1 \longrightarrow \mathcal{R}_\theta \longrightarrow \mathcal{T}_\theta \longrightarrow \tilde{\mathcal{C}}\ell_\theta \longrightarrow 1.$$

For more information, see [14, 17, 19]. We then have  $\text{Gal}(H^{\text{pr}}/K) \simeq \Gamma \oplus \mathcal{T} \simeq \mathbb{Z}_p^{\frac{p+1}{2}} \oplus \mathcal{T}$ , where  $\Gamma = \text{Gal}(\tilde{K}/K)$  is such that  $\Gamma_+ = \Gamma_1 \simeq \mathbb{Z}_p$  and  $\Gamma_- \simeq \mathbb{Z}_p[G]_-$  giving  $\Gamma_\theta \simeq \mathbb{Z}_p$  for all odd  $\theta$ .

Write  $\mathcal{T} = \mathcal{T}_+ \oplus \mathcal{T}_-$  and define  $H_+^{\text{pr}} \subseteq H^{\text{pr}}$  (fixed by  $\text{Gal}(H^{\text{pr}}/K)_+$ ), then  $H_+^{\text{pr}} \subseteq H^{\text{pr}}$  (fixed by  $\text{Gal}(H^{\text{pr}}/K)_-$ ). Thus  $\text{Gal}(H_+^{\text{pr}}/K) \simeq \mathbb{Z}_p \oplus \mathcal{T}_+$  and  $\text{Gal}(H^{\text{pr}}/K) \simeq \mathbb{Z}_p^{\frac{p-1}{2}} \oplus \mathcal{T}_-$ .

One defines in the same way the fields  $H_\theta^{\text{pr}}$  for which  $\text{Gal}(H_\theta^{\text{pr}}/K) \simeq \Gamma_\theta \oplus \mathcal{T}_\theta$  (reduced to  $\mathcal{T}_\theta$  finite, for all  $\theta \in \mathcal{X}_+$ ). We have  $H_\theta \subset H_\theta^{\text{pr}}$  in terms of components of  $H$ .

Note that  $H_+^{\text{pr}}/K$  is decomposed over  $K_+$  to give the maximal abelian  $p$ -ramified pro- $p$ -extension of  $K_+$ .

**Theorem 8.** *For all irreducible  $p$ -adic characters  $\theta$  of  $K$ , we have  $\text{rk}_p(\mathcal{T}_{\theta^*}) = \text{rk}_p(\mathcal{C}\ell_\theta)$ .*

*Proof.* We will give an outline of this famous reflection result as follows, from classical Kummer duality between radicals and Galois groups (see, e.g., [14, Theorem I.6.2 and Corollary I.6.2.1]), using the fact that  $K(\sqrt[p]{\beta})/K$ ,  $\beta \in K^\times$  is  $p$ -ramified, if and only if  $(\beta) = \mathfrak{p}^e \cdot \mathfrak{A}^p$ ,  $e \geq 0$ ,  $\mathfrak{A} \in I$  (group of prime to  $p$  ideals of  $K$ ). We shall have to take the

$\theta$  or  $\theta^*$ -components for each object considered in  $K^\times \otimes \mathbb{Z}_p, I \otimes \mathbb{Z}_p, \dots$ , modulo  $p$ -th powers:

Let  $\theta$  be even. The Kummer radical of the compositum of the cyclic extensions of degree  $p$  of  $K$ , contained in  $H_{\theta^*}^{\text{pr}}$ , is generated (modulo  $K^{\times p}$ ) by the part  $E_\theta$  of real units, giving a  $p$ -rank 1 for  $\theta \neq 1$  (and 0 for  $\theta = 1$ ), by  $p$  (of character 1), and by the pseudo-units  $\alpha_\theta$  coming from the elements of order  $p$  of  $\mathcal{C}\ell_\theta$ , which gives a radical of  $p$ -rank  $1 + \text{rk}_p(\mathcal{C}\ell_\theta)$ . Since  $\text{rk}_p(\text{Gal}(H_{\theta^*}^{\text{pr}}/K)) = 1 + \text{rk}_p(\mathcal{T}_{\theta^*})$ , we get  $\text{rk}_p(\mathcal{T}_{\theta^*}) = \text{rk}_p(\mathcal{C}\ell_\theta)$ . Similarly, we have  $\text{rk}_p(\mathcal{T}_\theta) = \text{rk}_p(\mathcal{C}\ell_{\theta^*})$ .  $\square$

**COROLLARY 9** (Hecke theorem or Leopoldt spiegelungssatz)

We have  $\mathcal{T}_1 = \mathcal{T}_\omega = \mathcal{C}\ell_\omega = \mathcal{C}\ell_1 = 1$ . We also have  $\mathcal{R}_{\chi^*} = 1$  and  $\mathcal{T}_{\chi^*} = \widetilde{\mathcal{C}\ell}_{\chi^*} \subseteq \mathcal{C}\ell_{\chi^*}$  for all  $\chi \in \mathcal{X}_+$ , which implies  $\text{rk}_p(\mathcal{C}\ell_{\chi^*}) = \text{rk}_p(\mathcal{C}\ell_\chi) + \delta_\chi$ ,  $\delta_\chi \in \{0, 1\}$  since  $\Gamma_{\chi^*} \simeq \mathbb{Z}_p$  (particular case of [14, Theorem II.5.4.5, 5.4.9.2]).

*Remark 10.*

- (i) One says that  $K$  is  $p$ -rational if  $\mathcal{T} = 1$  (same definition for any number field fulfilling the Leopoldt conjecture at  $p$ ; see [17, 21] for more details and programs testing the  $p$ -rationality of any number field). For the  $p$ -th cyclotomic field  $K$ , this is equivalent to its ‘ $p$ -regularity’ in the more general context of ‘regular kernel’ given in [11, Théorème 4.1] ( $\mathcal{T}_- = 1$  may be interpreted as the conjectural ‘relative  $p$ -rationality’ of  $K$ ). Thus  $\mathcal{C}\ell_\chi = 1$  if and only if  $H_{\chi^*}$  is contained in a  $\mathbb{Z}_p$ -extension.
- (ii) As we have seen, at each unramified cyclic extension  $L_+$  of degree  $p$  of  $K_+$  is associated a  $p$ -primary pseudo-unit  $\alpha \in (K^\times/K^{\times p})_-$  such that  $L_+K = K(\sqrt[p]{\alpha})$ . Put  $(\alpha) = \mathfrak{A}^p$ , where  $\text{cl}(\mathfrak{A}) \in \mathcal{C}\ell_-$ ; moreover  $\mathfrak{A}$  is not principal, otherwise  $\alpha$  should be, up to a  $p$ -th power factor, a unit  $\varepsilon$  such that  $\varepsilon^{1+s-1} = 1$ , which gives  $\varepsilon \in \mu_p$  (absurd). In the same way, if  $G$  operates via  $\chi$  on  $\text{Gal}(L_+/K_+)$ , then by Kummer duality,  $G$  operates via  $\chi^*$  on  $\langle \alpha \rangle K^{\times p}/K^{\times p}$ .
- (iii) As explained in the Introduction, we shall prove in § 4.2 that such pseudo-units  $\alpha$  may be found by means of twists  $\mathfrak{g}_c(\ell) = \tau(\psi)^{c-\sigma_c}$  associated to primes  $\ell \equiv 1 \pmod{p}$  and Artin automorphisms  $\sigma_c$ .

3.2 Vandiver’s conjecture and Gauss sums

3.2.1 Iwasawa’s results. Recall the formula [14, Corollary III.2.6.1]:

$$\# \mathcal{T}_- = \frac{\# \mathcal{C}\ell_-}{\# (\mathbb{Z}_p \log(I) / \mathbb{Z}_p \log(U))_-},$$

where  $I$  is the group of prime to  $p$  ideals of  $K$  and  $U = 1 + \varpi \mathbb{Z}_p[\varpi]$ . For any  $\mathfrak{A} \in I$ , let  $m \geq 1$  be such that  $\mathfrak{A}^m = (\alpha)$ , then  $\log(\mathfrak{A}) = \frac{1}{m} \log(\alpha)$  where  $\log$  is the  $p$ -adic logarithm; taking the minus parts,  $\log(\mathfrak{A})$  becomes well-defined since  $\mathbb{Q}_p \log(E)_- = 0$ . We obtain

$$\# \mathcal{T}_{\chi^*} = \frac{\# \mathcal{C}\ell_{\chi^*}}{\# (\mathbb{Z}_p \log(I) / \mathbb{Z}_p \log(U))_{\chi^*}}, \text{ for all } \chi =: \omega^n \in \mathcal{X}_+. \tag{2}$$

The following reasoning (from [13, § 3]) gives another interpretation of the result of Iwasawa [29]. Consider the Stickelberger element  $S = \frac{1}{p} \sum_{a=1}^{p-1} a s_a^{-1} \in \mathbb{Q}[G]$ ; it is such

that  $S \cdot e_{\chi^*} = B_{1, (\chi^*)^{-1}} \cdot e_{\chi^*} \in \mathbb{Z}_p[G]$  for all  $\chi \in \mathcal{X}_+$ ; then  $\chi^* = \omega^{p-n}$  for which  $\mathcal{C}_{\ell, \chi^*}$ , annihilated by  $B_{1, (\chi^*)^{-1}}$ , corresponds to the ordinary Bernoulli numbers  $B_n$  giving the ‘exponents of  $p$ -irregularity’  $n$  for  $B_n \equiv 0 \pmod{p}$  (see Definition 3(vii)).

Let  $\ell$  be a prime number totally split in  $K$  (thus  $\ell \equiv 1 \pmod{p}$ ). Let  $\psi$  be a character of order  $p$  of  $\mathbb{F}_\ell^\times$ . We define the Gauss sum (where  $\xi_\ell$  is a primitive  $\ell$ -th root of unity):

$$\tau(\psi) = - \sum_{x \in \mathbb{F}_\ell^\times} \psi(x) \xi_\ell^x \in \mathbb{Z}[\mu_{p\ell}]. \tag{3}$$

*Lemma 11.* We have  $\tau(\psi)^{\sigma_a} = \psi(a)^{-a} \tau(\psi^a)$ , where  $\sigma_a$  is the Artin automorphism attached to  $a$  in  $\text{Gal}(\mathbb{Q}(\mu_{p\ell})/\mathbb{Q})$ , and  $\tau(\psi)^p \in \mathbb{Z}[\zeta_p]$ ; then  $\tau(\psi) \equiv 1 \pmod{\mathfrak{p}\mathbb{Z}[\mu_{p\ell}]}$ .

*Proof.* By definition of  $\sigma_a$ , one has  $\tau(\psi)^{\sigma_a} = - \sum_{x \in \mathbb{F}_\ell^\times} \psi(x)^a \xi_\ell^{ax} = -\psi^a(a^{-1}) \sum_{y \in \mathbb{F}_\ell^\times} \psi^a(y) \xi_\ell^y$ ; whence the second claim taking  $\sigma_a \in \text{Gal}(\mathbb{Q}(\mu_{p\ell})/K)$  (i.e.,  $a \equiv 1 \pmod{p}$ ). Then  $\tau(\psi) \equiv - \sum_{x \in \mathbb{F}_\ell^\times} \xi_\ell^x \pmod{\mathfrak{p}\mathbb{Z}[\mu_{p\ell}]}$ ; since  $\ell$  is prime,  $\sum_{x \in \mathbb{F}_\ell^\times} \xi_\ell^x = -1$ .  $\square$

We then have the fundamental classical relation in  $K$  (see [55, § 6.1, § 6.2, § 15.1]):

$$\mathcal{L}^{pS} = \tau(\psi)^p \mathbb{Z}[\zeta_p], \tag{4}$$

for  $\mathcal{L} \mid \ell$  such that  $\psi$  is defined on the multiplicative group of  $\mathbb{Z}[\zeta_p]/\mathcal{L} \simeq \mathbb{F}_\ell$ .

*Remark 12.*

- (i) Since various choices of  $\mathcal{L} \mid \ell$ ,  $\xi_\ell$  and  $\psi$ , from a given  $\ell$ , correspond to Galois conjugations and/or products by a  $p$ -th root of unity, we denote simply  $\tau(\psi)$  such a Gauss sum, where  $\psi$  is, for instance, the canonical character of order  $p$ ; for convenience, we shall have in mind that  $\ell$  defines such a  $\tau(\psi)$  (and some other objects) in an obvious way. One verifies that the forthcoming properties ( $p$ -primaryities, Kummer radicals, ...) do not depend on these choices.
- (ii) If we consider  $\alpha = \tau(\psi)^p \in K^\times$  as the Kummer radical of the cyclic extension  $M_\ell = K(\tau(\psi))$  of  $K$ , we have  $\alpha^{c-s_c} =: \mathfrak{g}_c(\ell)^p$ , where  $\mathfrak{g}_c(\ell) = \tau(\psi)^{c-\sigma_c} \in K^\times$ ; which gives  $M_\ell = K(\sqrt[p]{\alpha}) = F_\ell K$ , where  $F_\ell$  is the subfield of  $\mathbb{Q}(\mu_\ell)$  of degree  $p$  (the character of  $\langle \alpha \rangle K^\times / K^\times$  is  $\omega$  and that of  $\text{Gal}(M_\ell/K)$  is 1). Thus  $p$  is unramified in  $M_\ell/K$  (which is coherent with  $\tau(\psi) \equiv 1 \pmod{\mathfrak{p}\mathbb{Z}_p[\mu_{p\ell}]}$  implying  $\tau(\psi)^p \equiv 1 \pmod{\mathfrak{p}^p}$ ); it splits if and only if  $\tau(\psi)^p \equiv 1 \pmod{\mathfrak{p}^{p+1}}$ .

Taking the logarithms in (4), we obtain, for all  $\chi \in \mathcal{X}_+$ ,

$$(S \cdot e_{\chi^*}) \cdot \log(\mathcal{L}) = B_{1, (\chi^*)^{-1}} \cdot \log(\mathcal{L}) \cdot e_{\chi^*} = \log(\tau(\psi)) \cdot e_{\chi^*},$$

where  $\log(\tau(\psi)) = \frac{1}{p} \log(\tau(\psi)^p) \in \mathbb{Z}_p[\varpi]$ . Put  $B_{1, (\chi^*)^{-1}} \sim p^e$ ,  $e \geq 0$ , where  $\sim$  means equality up to a  $p$ -adic unit. Then  $p^e \mathbb{Z}_p \log(\mathcal{L}) \cdot e_{\chi^*} = \mathbb{Z}_p \log(\tau(\psi)) \cdot e_{\chi^*}$ , thus, from (2), since  $I/P$  may be represented by prime ideals of degree 1,

$$\# \mathcal{T}_{\chi^*} = \frac{p^e}{\# (\mathbb{Z}_p \log(\mathcal{G}) / p^e \log(U))_{\chi^*}}, \tag{5}$$

where  $\mathcal{G}$  is the group generated by all the previous Gauss sums.



So, the ‘Vandiver conjecture at  $\chi \in \mathcal{X}_+$ ’ is equivalent to  $(\mathbb{Z}_p \log(\mathcal{G})/\log(U))_{\chi^*} = 1$ , and is, as expected, obviously fulfilled if  $e = 0$ . The whole Vandiver conjecture is equivalent to the fact that the images of the Gauss sums in  $U$  generate the minus part of this  $\mathbb{Z}_p$ -module giving again Iwasawa’s result [29].

3.2.2. *About the structure of  $\mathcal{C}\ell_{\chi^*}$ : Strategy of the proofs.* We shall, from now on, make the following working hypothesis which corresponds to the more subtle case for testing Vandiver’s conjecture with Theorems 21, 23 (or Theorem 2 in the Introduction), the case where some  $\mathcal{C}\ell_{\chi^*}$  are not cyclic, being obvious for all the forthcoming statements, as soon as one knows that  $B_{1,(\chi^*)^{-1}} \sim p^e$  gives the order of  $\mathcal{C}\ell_{\chi^*}$ , thus its annihilation.

Indeed, to explain briefly, consider the straightforward case where  $\mathcal{C}\ell_{\chi^*} \simeq \mathbb{Z}/p^{e_1}\mathbb{Z} \times \mathbb{Z}/p^{e_2}\mathbb{Z} =: \langle \mathcal{c}\ell(\mathcal{L}_1) \rangle \times \langle \mathcal{c}\ell(\mathcal{L}_2) \rangle$ ,  $e_i \geq 1$ , so that  $B_{1,(\chi^*)^{-1}} \sim p^{e_1+e_2}$  annihilates  $\mathcal{c}\ell(\mathcal{L}_i)$  for  $i = 1, 2$ ; so we will obtain, from the relation (4), the fundamental relation:

$$\mathcal{L}_i^{B_{1,(\chi^*)^{-1}}} = (\alpha_i) \text{ with twists } \alpha_i = \mathfrak{g}_c(\ell_i)_{\chi^*} \text{ (referred later as relation (9))}$$

giving in the left member a generator of the ideal in  $K^{\times p}$ , thus  $\mathfrak{g}_c(\ell_i)_{\chi^*} \in K^{\times p}$  since  $E_{\chi^*} = 1$  for odd characters distinct from  $\omega$  (a fundamental fact; otherwise the generator would not be canonical). So, this will give  $\mathcal{E}_\ell(p) \cap \mathcal{E}_0(p) \neq \emptyset$ , for all  $\ell \in \mathcal{L}_p$ , whence the two main theorems for trivial reasons.

In the remaining cyclic case for  $\mathcal{C}\ell_{\chi^*}$ , we shall have similar reasonings with the relations  $\mathcal{L}^{B_{1,(\chi^*)^{-1}}} = (\mathfrak{g}_c(\ell)_{\chi^*}) (\mathcal{L} \mid \ell \in \mathcal{L}_p)$  depending on the order of  $\mathcal{c}\ell(\mathcal{L})$ ; but here we can use the Chebotarev density theorem to choose  $\mathcal{L}$  at will (all this will be used in full generality, with all details, in §4.2).

*Hypothesis 13 (Cyclicity hypothesis).* We assume that, for all  $\chi \in \mathcal{X}_+$ , the component  $\mathcal{C}\ell_{\chi^*}$  of the  $p$ -class group is cyclic (which implies the cyclicity of  $\mathcal{C}\ell_\chi$ ); in other words, we restrict ourselves to the case where  $\mathcal{C}\ell$  is  $\mathbb{Z}_p[G]$ -monogenous (cf. Definition 3 (viii)), giving  $\text{rk}_p(\mathcal{C}\ell_-) = i(p)$ , the index of  $p$ -irregularity.

### 3.3 Vandiver’s conjecture and ray class group modulo $(p)$

Assume Hypothesis 13 and let  $\chi = \omega^n \in \mathcal{X}_+$  be such that  $B_{1,(\chi^*)^{-1}} \sim p^e$ ,  $e \geq 1$  (i.e.,  $\mathcal{C}\ell_{\chi^*} \simeq \mathbb{Z}/p^e\mathbb{Z}$ ); thus, from (5), we have  $\mathcal{T}_{\chi^*} = 1$  (i.e.,  $\mathcal{C}\ell_\chi = 1$ ) if and only if there exists a prime number  $\ell \equiv 1 \pmod{p}$  such that the corresponding  $\log(\tau(\psi)_{\chi^*})$  generates  $\log(U_{\chi^*}) = \log(1 + \varpi^{p-n}\mathbb{Z}_p[\varpi]) = \varpi^{p-n}\mathbb{Z}_p[\varpi]$  (Proposition 7), which indicates analytically the non- $p$ -primarity of  $\tau(\psi)_{\chi^*}$  in  $\mathbb{Z}[\zeta_p]$ , since  $n > 1$ .

There is also the fact that the Gauss sums (or the  $\mathfrak{g}_c(\ell) = \tau(\psi)^{c-\sigma_c}$ ), considered modulo  $p$ -th powers and computed modulo  $p$ , are indexed by infinitely many  $\ell$ ; in other words, there are some non-obvious large periodicities in the results as  $\ell$  varies since numerical data are finite in number.

This may be explained as follows (giving also an interesting criterion which will imply new heuristics):

**Theorem 14.** *Let  $\mathcal{C}\ell^{(p)}$  be the  $p$ -subgroup of the ray class group  $I/\{(x), x \equiv 1 \pmod{p}\}$  of modulus  $p\mathbb{Z}[\zeta_p]$ . Then for any  $\chi \in \mathcal{X}_+$ , we have (under the cyclicity Hypothesis 13) the following properties:*

- (i)  $\#\mathcal{C}\ell_{\chi^*}^{(p)} = p \cdot \#\mathcal{C}\ell_{\chi^*}$ .

(ii) The condition  $\mathcal{C}l_\chi = 1$  is equivalent to the cyclicity of  $\mathcal{C}l_{\chi^*}^{(p)}$ .

*Proof.* Let  $V = \{x \in K^\times, x \equiv 1 \pmod{\mathfrak{p}}\}$  and  $W = \{x \in K^\times, x \equiv 1 \pmod{p}\}$ . Since  $E_{\chi^*} = 1$ , we have the exact sequence (using Proposition 7):

$$1 \rightarrow (V/W)_{\chi^*} \simeq \mathbb{F}_p \rightarrow \mathcal{C}l_{\chi^*}^{(p)} \rightarrow \mathcal{C}l_{\chi^*} \rightarrow 1,$$

giving (i). The statement (ii) is obvious if  $\mathcal{C}l_{\chi^*} = 1$ . Suppose  $\#\mathcal{C}l_{\chi^*} = p^e$ , with  $e \geq 1$ . Then  $\mathcal{C}l_\chi = 1$  implies  $\mathcal{T}_{\chi^*} = 1$  (from Theorem 8) which implies  $\mathcal{C}l_{\chi^*}^{(p)} \simeq \mathbb{Z}/p^{e+1}\mathbb{Z}$ : indeed, the  $\chi^*$ -part  $H_{\chi^*}^{\text{pr}}/K$  of the pro- $p$ -extension  $H^{\text{pr}}/K$  is a  $\mathbb{Z}_p$ -extension, thus the  $p$ -ray class field corresponding to  $\mathcal{C}l_{\chi^*}^{(p)}$ , contained in  $H_{\chi^*}^{\text{pr}}$ , is a cyclic extension of  $K$ .

Reciprocally, if  $\mathcal{C}l_{\chi^*}^{(p)} \simeq \mathbb{Z}/p^{e+1}\mathbb{Z}$ ,  $e \geq 1$  (thus  $\mathcal{C}l_{\chi^*} \simeq \mathbb{Z}/p^e\mathbb{Z}$ ), there exists  $\mathfrak{A}$  (whose class generates  $\mathcal{C}l_{\chi^*}^{(p)}$ ) such that  $\mathfrak{A}_{\chi^*}^{p^e} = (\alpha_{\chi^*})$  (where  $\alpha_{\chi^*}$  is unique up to a  $p$ -th power since  $E_{\chi^*} = 1$ ) with  $\alpha_{\chi^*} \equiv 1 \pmod{\mathfrak{p}^{p-n}}$  ( $\chi =: \omega^n$ ,  $n \in [2, p-3]$  even), but  $\alpha_{\chi^*} \not\equiv 1 \pmod{p}$ . Note that  $\text{rk}_p(\mathcal{T}_\chi) = \text{rk}_p(\mathcal{C}l_{\chi^*}) = 1$ . Thus  $\alpha_{\chi^*}$  defines the radical of the unique  $p$ -ramified (but not unramified) cyclic extension of degree  $p$  of  $K$  decomposed over  $K_+$  into  $L_+/K_+$  and contained in  $H_\chi^{\text{pr}}$  (its Galois group is a quotient of order  $p$  of the cyclic group  $\mathcal{T}_\chi$  since  $\Gamma_\chi = 1$  for an even  $\chi \neq 1$ ); thus  $\mathcal{C}l_\chi = 1$ .  $\square$

#### 4. Twists of Gauss sums associated to primes $\ell \equiv 1 \pmod{p}$

Let  $\mathcal{L}_p$  be the set of primes  $\ell$  totally split in  $K$  (namely,  $\ell \equiv 1 \pmod{p}$ ). For  $\ell \in \mathcal{L}_p$ , let  $\psi : \mathbb{F}_\ell^\times \rightarrow \mu_p$  be a multiplicative character of order  $p$ ; if  $g$  is a primitive root modulo  $\ell$ , we put  $\psi(g \pmod{\ell}) = \zeta_p$ . Let  $\xi_\ell$  be a primitive  $\ell$ -th root of unity; then the Gauss sum associated to  $\ell$  may be written in  $\mathbb{Z}[\mu_p \ell]$  as

$$\tau(\psi) = - \sum_{x \in \mathbb{F}_\ell^\times} \psi(x) \cdot \xi_\ell^x = - \sum_{k=0}^{\ell-2} \zeta_p^k \cdot \xi_\ell^{g^k} \tag{6}$$

(with the relation  $x \equiv g^k \pmod{\ell}$ ).

##### 4.1 Computation and properties of the twists $\mathfrak{g}_c(\ell) = \tau(\psi)^{c-\sigma_c}$

4.1.1. *Main relation: Ideal decomposition of the twists.* Let  $c \in [2, p-2]$  be a primitive root modulo  $p$ ; to get an integer of  $K$ , one uses the twist  $\tau(\psi)^{c-\sigma_c}$ , where  $\sigma_c$  is the Artin automorphism attached to  $c$  in  $\text{Gal}(\mathbb{Q}(\mu_p \ell)/\mathbb{Q})$  (independently of the theoretical interest of these twists, a PARI/GP program computing with Gauss sums in  $\mathbb{Z}[\mu_p \ell]$  overflows as  $\ell$  increases, even if  $\tau(\psi)_{\chi^*} = \tau(\psi)^{\ell'_{\chi^*}}$  makes sense in  $\mathbb{Z}[\zeta_p]$ , a posteriori). We define for  $\ell \in \mathcal{L}_p$  (cf. Lemma 11),

$$\mathfrak{g}_c(\ell) = \tau(\psi)^{c-\sigma_c} \in \mathbb{Z}[\zeta_p] \text{ (see formulas (3), (4) and Remark 12),} \tag{7}$$

giving for all  $\chi \in \mathcal{X}_+$ , up to an element of  $K^{\times p}$  for the generators of ideals:

$$\mathfrak{L}^{S_c} = \mathfrak{g}_c(\ell) \mathbb{Z}[\zeta_p] \text{ and } \mathfrak{L}_{\chi^*}^{(c-\chi^*(s_c)) \cdot B_{1, (\chi^*)^{-1}}} = \mathfrak{g}_c(\ell)_{\chi^*} \mathbb{Z}[\zeta_p],$$

where  $\mathfrak{L} \mid \ell$  in  $K$ ,  $S_c = (c - s_c) \cdot S \in \mathbb{Z}[G]$  is the corresponding twist of the Stickelberger element and where  $\mathfrak{g}_c(\ell) \in \mathbb{Z}[\zeta_p]$ . Put

$$b_c(\chi^*) = (c - \chi^*(s_c)) \cdot B_{1, (\chi^*)^{-1}} \sim B_{1, (\chi^*)^{-1}}, \text{ for all } \chi \in \mathcal{X}_+. \tag{8}$$

Then we obtain for all  $\ell \equiv 1 \pmod p$  and for all  $\chi \in \mathcal{X}_+$  the main relations that will be of a constant use, since we shall verify (Lemma 20 using Chebotarev density theorem) that any class in  $\mathcal{C}\ell_{\chi^*}$  may be put in the form  $\mathcal{c}\ell(\mathfrak{L}_{\chi^*})$  such that

$$\mathfrak{L}_{\chi^*}^{b_c(\chi^*)} = \mathfrak{g}_c(\ell)_{\chi^*} \mathbb{Z}[\zeta_p], \text{ where } \mathfrak{L} \mid \ell \text{ and } \mathfrak{g}_c(\ell)_{\chi^*} = [\tau(\psi)^{c-\sigma_c}]_{\chi^*}. \tag{9}$$

*Remark 15.*

- (i) In the above definition (7) of  $\mathfrak{g}_c(\ell)$ ,  $\tau(\psi)^{\sigma_c} = \tau(\psi^c) \cdot \psi^{-c}(c)$  (Lemma 11); but for all  $\chi \neq 1$ ,  $\mu_p^{e_{\chi^*}} = 1$ , defining  $\mathfrak{g}_c(\ell)_{\chi^*}$  without ambiguity up to  $K^{\times p}$ , which does not change the  $p$ -primarity properties. But in some sense the best definition of the twists should be  $\psi^{-c}(c) \cdot \mathfrak{g}_c(\ell) = \psi^{-c}(c) \cdot \tau(\psi)^{c-\sigma_c}$ .
- (ii) Note that, since  $\tau(\psi)^{1+s-1} = \ell$ , this yields  $\mathfrak{g}_c(\ell)_{\chi} \in K^{\times p}$  for all  $\chi \in \mathcal{X}_+$ .

#### 4.1.2 Computation of $\mathfrak{g}_c(\ell)$ using Jacobi sums

*Lemma 16.* Let  $\ell \in \mathcal{L}_p$ . Then  $\psi^{-c}(c) \cdot \mathfrak{g}_c(\ell)$  is a product of Jacobi sums and  $\psi^{-c}(c) \cdot \mathfrak{g}_c(\ell) \equiv \mathfrak{g}_c(\ell) \equiv 1 \pmod p$ .

*Proof.* The classical formula [55, § 6.1] for Jacobi sums (with  $\psi \psi' \neq 1$ ) is

$$J(\psi, \psi') = \tau(\psi) \cdot \tau(\psi') \cdot \tau(\psi \psi')^{-1} = - \sum_{x \in \mathbb{F}_\ell \setminus \{0,1\}} \psi(x) \cdot \psi'(1-x),$$

whence  $\tau(\psi)^c = J_1 \cdots J_{c-1} \cdot \tau(\psi^c)$ , where  $J_i = -\sum_{x \in \mathbb{F}_\ell \setminus \{0,1\}} \psi^i(x) \cdot \psi(1-x)$ . Thus

$$\tau(\psi)^{c-\sigma_c} = J_1 \cdots J_{c-1} \cdot \tau(\psi^c) \tau(\psi)^{-\sigma_c} = J_1 \cdots J_{c-1} \cdot \psi^c(c),$$

from Lemma 11. Then  $\tau(\psi) \equiv 1 \pmod p \mathbb{Z}[\mu_p \ell]$  implies the result for  $\mathfrak{g}_c(\ell)$ . □

Thus, in the numerical computations, we shall use the relation

$$\mathfrak{g}_c(\ell)_{\chi^*} = (J_1 \cdots J_{c-1})_{\chi^*} \text{ for any } \chi \in \mathcal{X}_+. \tag{10}$$

4.1.3. *Exponents of  $p$ -primarity and  $p$ -irregularity.* The following definitions will be of constant use in the paper.

#### DEFINITION 17

- (i) We call the set of exponents of  $p$ -primarity, of a prime  $\ell \in \mathcal{L}_p$ , the set  $\mathcal{E}_\ell(p)$  of even integers  $n \in [2, p-3]$  such that  $\mathfrak{g}_c(\ell)_{\omega^{p-n}}$  is  $p$ -primary, thus  $\mathfrak{g}_c(\ell)_{\omega^{p-n}} \equiv 1 \pmod p$  (Definition 4 (ii), Proposition 7).
- (ii) We call the set of exponents of  $p$ -irregularity, the set  $\mathcal{E}_0(p)$  of even integers  $n \in [2, p-3]$  such that  $B_n \equiv 0 \pmod p$ . Thus,  $B_{1,\omega^{p-1}} \equiv 0 \pmod p$  (see Definitions 3 (vii)).

4.1.4. *Sufficient condition for a counterexample to Vandiver's conjecture.* We know and we shall see in Lemma 20 that the existence of a degree  $p$  unramified cyclic extension of  $K_+$ , of character  $\chi \in \mathcal{X}_+$ , comes necessarily from a Kummer radical in  $K^\times$  of the form  $(\mathfrak{g}_c(\ell)_{\chi^*})$ , where  $\mathfrak{g}_c(\ell)_{\chi^*} = [\tau(\psi)^{c-\sigma_c}]_{\chi^*}$  fulfills some conditions, of which the  $p$ -primarity is one of them. So we consider such a twist  $\mathfrak{g}_c(\ell)_{\chi^*}$  with  $\chi =: \omega^n \in \mathcal{X}_+$  and  $\ell \in \mathcal{L}_p$ .

If  $\mathfrak{g}_c(\ell)_{\chi^*}$  is  $p$ -primary, the Kummer extension  $K(\sqrt[p]{\mathfrak{g}_c(\ell)_{\chi^*}})/K$  (decomposed over  $K_+$ ) does not necessarily give a counterexample to Vandiver's conjecture for the following two possible reasons (recall that, from (8), (9),  $\mathfrak{L}_{\chi^*}^{b_c(\chi^*)} = (\mathfrak{g}_c(\ell)_{\chi^*})$ , where  $b_c(\chi^*) = (c - \chi^*(s_c)) \cdot B_{1,(\chi^*)^{-1}} \sim B_{1,(\chi^*)^{-1}}$  gives the order and annihilation of  $\mathcal{C}\ell_{\chi^*}$ ):

- (i) The number  $b_c(\chi^*)$  is a  $p$ -adic unit ( $n \notin \mathcal{E}_0(p)$ ), so the radical  $\mathfrak{g}_c(\ell)_{\chi^*}$  is not the  $p$ -th power of an ideal (thus not a pseudo-unit, even if Proposition 7 applies) and leads to a cyclic  $\ell$ -ramified Kummer extension of degree  $p$  of  $K_+$ . For instance, for  $p = 11$  ( $c = 2$ ),  $\ell = 23$ , the exponent of 11-primarity is  $n = 2$  so that  $\alpha = \mathfrak{g}_c(\ell)_{\chi^*}$  is the integer ( $x = \zeta_{11}$ ):

$$\begin{aligned} & -8491773970656065727678427465045288222 *x^9 \\ & -1963231019856677733688722439078492228 *x^8 \\ & +11757523232198873159205810348854526320 *x^7 \\ & -5860674150310922200348907606983566648 *x^6 \\ & -644088006192816851608142123579276962 *x^5 \\ & -611074014289231284308386817199658010 *x^4 \\ & +2673005955545675004066087284224877298 *x^3 \\ & +15023028737838809151251842166615658188 *x^2 \\ & +1520229819300797188419125563036321734 *x \\ & +17836238554732163868933693789025679469 \end{aligned}$$

for which  $K(\sqrt[11]{\alpha})/K$  is decomposed over  $K_+$  into  $L_+/K_+$ ,  $\ell$ -ramified; then  $(\alpha)$  is a product of prime ideals above  $\ell$  ( $s = s_2$ ):  $(\alpha) = \mathfrak{L}^{1+2s+2^2s^2+2^3s^3+2^4s^4+2^5s^5+2^6s^6+2^7s^7+2^8s^8+2^9s^9}$ , up to the 11-th power of an  $\ell$ -ideal. We get  $N_{K/\mathbb{Q}}(\alpha) = \ell^{275}$  and  $N_{K/\mathbb{Q}}(\alpha - 1) \sim 11^{13}$ .

- (ii) We have  $b_c(\chi^*) \sim p^e$ ,  $e \geq 1$ , but the ideal  $\mathfrak{L}_{\chi^*}^{p^{e-1}}$  is  $p$ -principal and then  $\mathfrak{g}_c(\ell)_{\chi^*}$  is a  $p$ -th power in  $K^\times$  since  $E_{\chi^*} = 1$ ; see numerical examples with  $p = 37$  in "Appendix B".

So, from this reason, we can state about  $\mathfrak{g}_c(\ell)_{\chi^*} = [\tau(\psi)^{c-\sigma_c}]_{\chi^*}$  and  $b_c(\chi^*) \sim B_{1,(\chi^*)^{-1}}$ :

*Lemma 18. A sufficient condition giving a counterexample to Vandiver's conjecture is the existence of  $\chi = \omega^n \in \mathcal{X}_+$  and  $\ell \in \mathcal{L}_p$  such that the following three conditions hold:*

- (a)  $b_c(\chi^*) \equiv 0 \pmod{p}$  (i.e.,  $n \in \mathcal{E}_0(p)$ ),  
 (b)  $\mathfrak{g}_c(\ell)_{\chi^*}$  is  $p$ -primary (i.e.,  $n \in \mathcal{E}_\ell(p)$ ),  
 (c)  $\mathfrak{g}_c(\ell)_{\chi^*}$  is not a global  $p$ -th power (i.e.,  $\mathcal{C}\ell(\mathfrak{L}_{\chi^*})$  is generator).

We shall see in the next section that the condition is necessary subject to reduction to the monogenous case (i.e., cyclicity of the  $\mathcal{C}\ell_{\chi^*}$  for all  $\chi \in \mathcal{X}_+$ ).

### 4.2 First main theorem

We once again state here the fundamental remark at the origin of the cyclicity hypothesis 13.

*Remark 19.* If  $\text{rk}_p(\mathcal{C}\ell_{\chi_0^*}) \geq 2$  for  $\chi_0 = \omega^{n_0} \in \mathcal{X}_+$  (giving a counterexample to Vandiver’s conjecture), we get from the Main Theorem  $\#\mathcal{C}\ell_{\chi_0^*} \sim B_{1, (\chi_0^*)^{-1}} \sim b_c(\chi_0^*)$ ; then the  $p$ -part of  $b_c(\chi_0^*)$  is strictly larger than the exponent of  $\mathcal{C}\ell_{\chi_0^*}$  so, in every relation  $\mathfrak{L}_{\chi_0^*}^{b_c(\chi_0^*)} = (\mathfrak{g}_c(\ell)_{\chi_0^*})$ ,  $\ell \in \mathcal{L}_p$  (relation (9)), necessarily  $\mathfrak{g}_c(\ell)_{\chi_0^*}$  is a global  $p$ -th power (condition (c) is never fulfilled, although conditions (a), (b) hold), whence the property:

$$n_0 \in \mathcal{E}_\ell(p) \cap \mathcal{E}_0(p), \text{ for all } \ell \in \mathcal{L}_p,$$

giving the non-empty sets  $\mathcal{E}_\ell(p) \cap \mathcal{E}_0(p)$  (for all  $\ell$ ) and  $\bigcap_\ell \mathcal{E}_\ell(p)$ . Thus, as we have explained, Theorems 21 and 23 will apply for trivial reasons and we can go back to the cases  $\text{rk}_p(\mathcal{C}\ell_{\chi^*}) \leq 1$  (Hypothesis 13) for the reciprocal: ‘non-Vandiver implies conditions (a), (b), (c) of Lemma 18’. This is given by the following lemma in the cyclic case for  $\mathcal{C}\ell_{\chi^*}$ .

*Lemma 20.* Let  $\chi \in \mathcal{X}_+$  be such that  $\mathcal{C}\ell_\chi \neq 1$ . There exists a totally split prime ideal  $\mathfrak{L}$  such that  $\mathfrak{L}_{\chi^*}$  represents a generator of  $\mathcal{C}\ell_{\chi^*}$ . Then  $\mathfrak{L}_{\chi^*}^{b_c(\chi^*)} = (\alpha_{\chi^*})$ , where  $\alpha_{\chi^*}$  is unique (up to  $p$ -th power), thus it equals to  $\mathfrak{g}_c(\ell)_{\chi^*}$  which is  $p$ -primary and not a global  $p$ -th power.

*Proof.* From the Chebotarev density theorem in  $H/\mathbb{Q}$ , there exists a prime  $\ell$  and  $\bar{\mathfrak{L}} \mid \ell$  in  $H$  such that the Frobenius  $(\frac{H/\mathbb{Q}}{\bar{\mathfrak{L}}})$  generates the subgroup of  $\text{Gal}(H/K)$  corresponding to  $\mathcal{C}\ell_{\chi^*}$ , by class field theory. So  $\ell$  splits completely in  $K/\mathbb{Q}$  ( $\ell \in \mathcal{L}_p$ ) and the ideal  $\mathfrak{L}$  of  $K$  under  $\bar{\mathfrak{L}}$  is (as  $\mathfrak{L}_{\chi^*}$ ) a representative of a generator of  $\mathcal{C}\ell_{\chi^*} \simeq \mathbb{Z}_p/b_c(\chi^*)\mathbb{Z}_p$ . Then  $\mathfrak{L}_{\chi^*}^{b_c(\chi^*)} = (\alpha_{\chi^*})$  where  $\alpha_{\chi^*} \notin K^{\times p}$ ;  $\alpha_{\chi^*}$  is unique since  $E_{\chi^*} = 1$  for  $\chi^* \neq \omega$ . In terms of Gauss sums,  $\mathfrak{L}_{\chi^*}^{b_c(\chi^*)} = (\mathfrak{g}_c(\ell)_{\chi^*})$ , thus  $\alpha_{\chi^*} = \mathfrak{g}_c(\ell)_{\chi^*}$ . The  $p$ -primarity of  $\alpha_{\chi^*}$  is necessary to obtain the unique (thanks to Hypothesis 13) unramified Kummer extension  $K(\sqrt[p]{\alpha_{\chi^*}})/K$  of degree  $p$ , decomposed over  $K_+$  into the unramified extension  $L_+/K_+$  of degree  $p$  in  $H_\chi$ , associated to  $\mathcal{C}\ell_\chi/\mathcal{C}\ell_\chi^p$  by class field theory, whence the  $p$ -primarity of  $\mathfrak{g}_c(\ell)_{\chi^*}$ .  $\square$

Drawing the consequences of the above, we get, unconditionally, the main test for Vandiver’s conjecture stated in the Introduction (Theorem 2 (a)). We refer to the relations (7), (8), (9) and Definition 17.

**Theorem 21.** For any prime  $\ell \equiv 1 \pmod{p}$ , let  $\mathcal{E}_\ell(p)$  be the set of exponents of  $p$ -primarity (even integers  $n$  such that  $\mathfrak{g}_c(\ell)_{\omega^{1-n}} \equiv 1 \pmod{p}$ ) and let  $\mathcal{E}_0(p)$  be the set of exponents of  $p$ -irregularity (even integers  $n$  such that  $B_n \equiv 0 \pmod{p}$ ). Vandiver’s conjecture holds for  $K = \mathbb{Q}(\mu_p)$  if and only if there exists  $\ell \equiv 1 \pmod{p}$  such that  $\mathcal{E}_\ell(p) \cap \mathcal{E}_0(p) = \emptyset$ .

*Proof.* As explained in Remark 19, we may assume the cyclicity Hypothesis 13.

- (a) Suppose  $\mathcal{E}_\ell(p) \cap \mathcal{E}_0(p) = \emptyset$  and consider, for  $\chi =: \omega^n \in \mathcal{X}_+$  and  $\chi^* = \omega^{p-n}$ , the relation  $\mathfrak{L}_{\chi^*}^{b_c(\chi^*)} = (\mathfrak{g}_c(\ell)_{\chi^*})$ , where  $\mathfrak{g}_c(\ell) = \tau(\psi)^{c-\sigma_c}$ , for the prime  $\ell$  under consideration, and examine the two possibilities:
- (i) If  $n$  is not an exponent of  $p$ -irregularity (namely,  $b_c(\chi^*) \not\equiv 0 \pmod{p}$  or  $B_n \not\equiv 0 \pmod{p}$ ), then  $\mathcal{C}\ell_{\chi^*} = 1$  and  $\mathcal{C}\ell_\chi = 1$  from reflection theorem (Corollary 9).
  - (ii) If  $n$  is an exponent of  $p$ -irregularity, then  $b_c(\chi^*) \sim p^e$ ,  $e \geq 1$ , giving, for some  $p$ -adic unit  $u$ ,  $\mathfrak{L}_{\chi^*}^{p^e u} = (\mathfrak{g}_c(\ell)_{\chi^*})$ ; if  $\mathfrak{L}_{\chi^*}^{p^{e-1}u}$  is  $p$ -principal, then  $\mathfrak{g}_c(\ell)_{\chi^*}$  is a global  $p$ -th power, hence  $p$ -primary (absurd by assumption). So  $\mathfrak{L}_{\chi^*}$  defines a class of order  $p^e$  in  $\mathcal{C}\ell_{\chi^*}$  for which the pseudo-unit  $\mathfrak{g}_c(\ell)_{\chi^*}$  is not  $p$ -primary by assumption; since  $\text{Gal}(H_\chi^{\text{pr}}/K_+) = \mathcal{T}_\chi$  is cyclic from Theorem 8, by Kummer duality,  $K(\sqrt[p]{\mathfrak{g}_c(\ell)_{\chi^*}})$  is the unique extension cyclic of degree  $p$ , decomposed over  $K_+$  and contained in  $H_\chi^{\text{pr}}$ . Since it is ramified at  $p$  and since  $H_\chi^{\text{pr}}$  contains the  $\chi$ -component of the  $p$ -Hilbert class field of  $K_+$ , this implies  $\mathcal{C}\ell_\chi = 1$ .
- (b) Reciprocally, if Vandiver's conjecture holds, then  $\mathcal{C}\ell = \mathcal{C}\ell_-$  is  $\mathbb{Z}_p[G]$ -monogenous, thus the direct sum of non-trivial cyclic isotypic components generated by some  $p$ -classes  $\gamma^{(n_i)} = \mathfrak{c}l(\mathfrak{L}_{\omega^{p-n_i}}^{(n_i)}) \in \mathcal{C}\ell_{\omega^{p-n_i}}$  ( $n_i \in \mathcal{E}_0(p)$ ) related to non- $p$ -primary  $\mathfrak{g}_c(\ell^{(n_i)})_{\omega^{p-n_i}}$ ; thus there exists, from density theorem,  $\ell \in \mathcal{L}_p$  and  $\mathfrak{L} \mid \ell$  such that  $\mathfrak{c}l(\mathfrak{L})_{\omega^{p-n_i}} = \gamma^{(n_i)}$  for all  $i$  (e.g.,  $\mathfrak{L} = (z) \cdot \prod_i \mathfrak{L}_{\omega^{p-n_i}}^{(n_i)}$ ). So each  $\mathfrak{g}_c(\ell)_{\omega^{p-n_i}} = \mathfrak{g}_c(\ell^{(n_i)})_{\omega^{p-n_i}}$  (up to  $p$ -th power) is non- $p$ -primary, whence  $\mathcal{E}_\ell(p) \cap \mathcal{E}_0(p) = \emptyset$  for this prime  $\ell$ .  $\square$

**COROLLARY 22** (Case  $\mathcal{E}_\ell(p) = \emptyset$ )

Let  $\ell \in \mathcal{L}_p$ . If, for all  $\chi \in \mathcal{X}_+$ , the numbers  $\mathfrak{g}_c(\ell)_{\chi^*} = [\tau(\psi)^{c-\sigma_c}]_{\chi^*}$  are not  $p$ -primary (i.e.,  $\mathcal{E}_\ell(p) = \emptyset$ ), then the Vandiver conjecture holds for  $p$ .

At this step, the reader may consider, in ‘‘Appendix A.1’’, the PARI/GP programs giving explicit computations of the sets  $\mathcal{E}_\ell(p)$ , and may examine the corresponding numerical results illustrating the previous statements (especially the corollary in ‘‘Appendix A.2’’).

### 4.3 Second main theorem

Let  $n_0$  be an exponent of  $p$ -irregularity; put  $\chi_0 = \omega^{n_0}$  and let  $b_c(\chi_0^*) \sim p^e$ ,  $e \geq 1$ . If  $\mathcal{C}\ell_{\chi_0^*}$  is not cyclic, Remark 19 implies  $n_0 \in \cap_{\ell \in \mathcal{L}_p} \mathcal{E}_\ell(p)$  and Theorem 23 below will hold. Then we may assume  $\mathcal{C}\ell_{\chi_0^*} \simeq \mathbb{Z}/p^e\mathbb{Z}$ . We shall examine what happens when  $\ell$  varies.

Let  $\ell \in \mathcal{L}_p$  and let  $\mathfrak{L}_{\chi_0^*}$  with  $\mathfrak{L} \mid \ell$ . Recall that there are two cases as we have seen previously (in Lemma 18 and Remark 19) in the monogenous case:

- (i)  $\mathfrak{L}_{\chi_0^*}^{p^{e-1}}$  is  $p$ -principal. Since  $b_c(\chi_0^*) \sim p^e$ ,  $e \geq 1$ ,  $\mathfrak{g}_c(\ell)_{\chi_0^*}$  is a global  $p$ -th power in  $K^\times$ , whence  $\mathfrak{g}_c(\ell)_{\chi_0^*}$  is  $p$ -primary and  $n_0 \in \mathcal{E}_\ell(p)$ , but this does not lead to an unramified cyclic extension of degree  $p$  of  $K_+$  of character  $\chi_0$  (i.e., it does not give a counterexample to Vandiver's conjecture at  $\chi_0$ );
- (ii)  $\mathfrak{L}_{\chi_0^*}^{p^{e-1}}$  is not  $p$ -principal (from density theorem, such primes  $\ell$  always exist). Thus it defines a generator of  $\mathcal{C}\ell_{\chi_0^*}$ ,  $\mathfrak{g}_c(\ell)_{\chi_0^*} \notin K^{\times p}$ , and Vandiver's conjecture holds at  $\chi_0$  if

and only if  $\mathfrak{g}_c(\ell)_{\chi_0^*}$  is not  $p$ -primary. If  $\mathfrak{g}_c(\ell)_{\chi_0^*} \equiv 1 \pmod{p}$  (whence a counterexample to Vandiver’s conjecture at  $\chi_0$ ), we fix this  $\ell$  once for all, and whatever the ideal  $\mathfrak{L}' \mid \ell'$ , for  $\ell' \in \mathcal{L}_p$ , we have  $\mathfrak{L}'_{\chi_0^*} = (z) \cdot \mathfrak{L}_{\chi_0^*}^r$ , with  $z \in K^\times$  and  $r \in [0, p^e - 1]$ ; so, this gives  $\mathfrak{L}'^{p^e u}_{\chi_0^*} = (z^{p^e u}) \cdot \mathfrak{L}_{\chi_0^*}^{r p^e u}$  and  $\mathfrak{g}_c(\ell')_{\chi_0^*} \equiv \mathfrak{g}_c(\ell)_{\chi_0^*}^r \equiv 1 \pmod{p}$ .

Whence, the exponent  $n_0$  of  $p$ -irregularity is a common exponent of  $p$ -primarity for all  $\ell \in \mathcal{L}_p$ , giving  $n_0 \in \mathcal{E}_0(p) \cap (\bigcap_{\ell \in \mathcal{L}_p} \mathcal{E}_\ell(p)) \neq \emptyset$ . In other words, the existence of an empty intersection  $\mathcal{E}_{\ell_1}(p) \cap \dots \cap \mathcal{E}_{\ell_N}(p)$  implies Vandiver’s conjecture. We shall now prove the converse, which gives the new criterion.

**Theorem 23.** *For any prime  $\ell \equiv 1 \pmod{p}$ , let  $\mathcal{E}_\ell(p)$  be the set of exponents of  $p$ -primarity (even integers  $n$  such that  $\mathfrak{g}_c(\ell)_{\omega^{1-n}} \equiv 1 \pmod{p}$ ). Vandiver’s conjecture holds if and only if there exist  $N \geq 1$  and  $\ell_1, \dots, \ell_N \in \mathcal{L}_p$  such that  $\mathcal{E}_{\ell_1}(p) \cap \dots \cap \mathcal{E}_{\ell_N}(p) = \emptyset$ .*

*Proof.* It remains to prove that Vandiver’s conjecture ( $\mathcal{C}\ell_\chi = 1$  for all  $\chi \in \mathcal{X}_+$ ) implies the existence of such an empty intersection. Assume, on the contrary, that for all  $N \geq 1$  and all sets  $\{\ell_1, \dots, \ell_N\} \subset \mathcal{L}_p$ , one has  $\mathcal{E}_{\ell_1}(p) \cap \dots \cap \mathcal{E}_{\ell_N}(p) \neq \emptyset$ .

Since  $\mathcal{X}_+$  is finite, there exists an  $n_0$  in  $\bigcap_{\ell \in \mathcal{L}_p} \mathcal{E}_\ell(p)$  (if  $\bigcap_{\ell \in \mathcal{L}_p} \mathcal{E}_\ell(p) = \emptyset$ , then for all even  $n \in [2, p - 3]$  there exists  $\ell(n)$  such that  $n \notin \mathcal{E}_{\ell(n)}(p)$  whence  $\bigcap_{n \in [2, p-3] \text{ even}} \mathcal{E}_{\ell(n)}(p) = \emptyset$  (absurd)). This means that for the fixed character  $\chi_0 = \omega^{n_0}$ , we have the property:

$$\mathfrak{g}_c(\ell)_{\chi_0^*} \equiv 1 \pmod{p}, \text{ for all } \ell \in \mathcal{L}_p.$$

To simplify, put  $\alpha(\ell) = \mathfrak{g}_c(\ell)_{\chi_0^*}$  and consider the extensions  $K(\sqrt[p]{\alpha(\ell)})/K$ ; these extensions, with Galois groups of character  $\chi_0$ , are decomposed over  $K_+$  into cyclic extensions  $L_+(\ell)/K_+$  (possibly trivial), and are  $\ell$ -ramified since  $(\alpha(\ell)) = \mathfrak{L}_{\chi_0^*}^{b_c(\chi_0^*)}$  with  $\alpha(\ell) \equiv 1 \pmod{p}$  (non-ramification at  $p$ ). Examine the two possibilities about  $b_c(\chi_0^*)$ :

- (i)  $b_c(\chi_0^*) \equiv 0 \pmod{p}$ . Then  $\alpha(\ell)$  is, for all  $\ell$ , a  $p$ -primary pseudo-unit, and choosing  $\ell$  such that  $\mathfrak{L}_{\chi_0^*}$  generates  $\mathcal{C}\ell_{\chi_0^*}$  (which is cyclic since  $\mathcal{C}\ell_{\chi_0} = 1$ ), then  $\alpha(\ell) \notin K^{\times p}$ , and the extension  $L_+(\ell)/K_+$  is unramified of degree  $p$  (absurd).
- (ii)  $b_c(\chi_0^*) \not\equiv 0 \pmod{p}$ . Then  $L_+(\ell)/K_+$  is, for all  $\ell$ , a  $\ell$ -ramified degree  $p$  cyclic extension of character  $\chi_0$ . We restrict ourselves to primes  $\ell \not\equiv 1 \pmod{p^2}$  and consider the  $p$ -ray class fields,  $H_+(\ell)$  over  $K_+$ , of modulus  $(\ell)$ ; we have  $L_+(\ell) \subseteq H_+(\ell)$ . Since  $\mathcal{C}\ell_+ = 1$ ,  $\text{Gal}(H_+(\ell)/K_+) \simeq (P_+/P_+(\ell)) \otimes \mathbb{Z}_p$ , where  $P_+$  is the group of principal ideals prime to  $\ell$  of  $K_+$  and  $P_+(\ell)$  the subgroup of  $P_+$  of ideals generated by an element  $x \equiv 1 \pmod{\ell}$ .

From the  $G$ -modules exact sequence  $1 \rightarrow E_+/E_+(\ell) \rightarrow \bigoplus_{\mathfrak{L}_+ \mid \ell} \mathbb{F}_\ell^\times \rightarrow P_+/P_+(\ell) \rightarrow 1$ ,

where  $E_+(\ell) = \{\varepsilon \in E_+, \varepsilon \equiv 1 \pmod{\ell}\}$ , we get (for  $\ell \not\equiv 1 \pmod{p^2}$ ):

$$1 \rightarrow (E_+/E_+(\ell))_{\chi_0} \rightarrow (\mathbb{Z}/p\mathbb{Z})_{\chi_0} \rightarrow \text{Gal}(H_+(\ell)/K_+)_{\chi_0} \rightarrow 1.$$

Since  $\text{Gal}(H_+(\ell)/K_+)_{\chi_0}$  is, at least, of order  $p$  because of  $L_+(\ell)/K_+$ , the generating  $\chi_0$ -unit,  $\varepsilon_{\chi_0} =: \varepsilon$ , is in  $E_+(\ell)_{\chi_0}$ , thus locally a  $p$ -th power at  $\ell$ , for all  $\ell \in \mathcal{L}_p$ ,  $\ell \not\equiv 1 \pmod{p^2}$ . Thus  $\ell$  totally splits in  $K(\sqrt[p]{\varepsilon})/K$ . Let  $M$  be the compositum  $K(\sqrt[p]{\varepsilon}) \cdot K_1$ ,

where  $K_1 = \mathbb{Q}(\mu_{p^2})$ ; this Galois field  $M$  only depends on  $p$  and  $\chi_0$  and the primes  $\ell \not\equiv 1 \pmod{p^2}$  are inert in  $K_1/K$ . Then choose  $\ell$  such that the decomposition group of  $\mathcal{L} \mid \ell$  does not fix  $K(\sqrt[p]{\varepsilon})$  (since  $\text{Gal}(M/K) \simeq (\mathbb{Z}/p\mathbb{Z})^2$ , this allows  $p-1$  possibilities). Thus  $\ell$  is inert in  $K(\sqrt[p]{\varepsilon})/K$  (a contradiction).

Whence the existence of some  $\bigcap_{i=1}^N \mathcal{E}_{\ell_i}(p) = \emptyset$ ,  $N \geq 1$ .  $\square$

*Remark 24.* This theorem suggests that if the sets  $\mathcal{E}_{\ell}(p)$  are random when  $\ell$  varies and are independent, the (conjectural) triviality of  $\mathcal{C}\ell_+$  is a *consequence* of a natural  $p$ -adic property of Gauss sums and the statement does exist with  $N = 1$ .

On the contrary, the structure of  $\mathcal{C}\ell_-$  is independent of the Gauss sums because the *even components*  $\mathfrak{g}_c(\ell)_{\chi}$  are global  $p$ -th powers for all  $\ell \in \mathcal{L}_p$  (Remark 15 (ii)) and do not yield any obstruction! Thus the cases of non-triviality of  $\mathcal{C}\ell_-$  may follow standard probabilities under the monogenous case.

As for the previous Theorem 21, the reader may look at some computations (with PARI/GP programs) illustrating, with the case  $p = 37$ , the statistical properties of the sets  $\mathcal{E}_{\ell}(p)$  for the criteria about Vandiver's conjecture, in "Appendix B".

## 5. Heuristics: Probability of a counterexample

In this section, we examine some heuristics in various directions regarding our results.

### 5.1 Use of classical standard probabilities

We may suppose in a first approximation that, for a given  $p$ , the sets  $\mathcal{E}_{\ell}(p)$  of exponents of  $p$ -primality of primes  $\ell \in \mathcal{L}_p$ , are random with the same behavior as for the set  $\mathcal{E}_0(p)$  of exponents of  $p$ -irregularity. More precisely, assume, as in Washington's book (see in [55], Theorem 5.17 and some statistical computations), that for given primes  $p$  and  $\ell \in \mathcal{L}_p$ , the probabilities of a cardinality  $k$  is  $\binom{N}{j} \cdot (1 - \frac{1}{p})^{N-j} \cdot (\frac{1}{p})^j$  (where  $N = \frac{p-3}{2}$ ). This would imply that, for a given  $p$ ,  $\mathcal{E}_{\ell}(p) \neq \emptyset$  for many  $\ell \in \mathcal{L}_p$ , but that  $\mathcal{E}_{\ell}(p) = \emptyset$  in a proportion close to  $e^{-\frac{1}{2}}$ , which is in accordance with previous tables.

Then the probability, for  $p$  and  $\ell$  given, of  $\mathcal{E}_0(p) \cap \mathcal{E}_{\ell}(p) \neq \emptyset$  with cardinalities  $j \in [0, N]$  and  $k \in [0, N]$  fixed, is  $1 - \frac{(N-k)! \cdot (N-j)!}{N! \cdot (N-k-j)!}$ . So, an approximation of the whole probability of  $\mathcal{E}_0(p) \cap \mathcal{E}_{\ell}(p) \neq \emptyset$  is

$$\sum_{j, k \geq 0} \binom{N}{j} \binom{N}{k} \cdot \left(1 - \frac{1}{p}\right)^{2N-j-k} \cdot \left(\frac{1}{p}\right)^{j+k} \cdot \left(1 - \frac{(N-k)! \cdot (N-j)!}{N! \cdot (N-k-j)!}\right). \quad (11)$$

The computations show that this expression is around  $\frac{1}{2p}$ , which does not allow to conclude easily for a single  $\ell$ , but *this does not take into account* the 'infiniteness' of  $\mathcal{L}_p$  giving, *a priori, independent informations*, but limited by Theorem 14 on periodicities due to the density theorem (see the Weil interpretation of Jacobi sums defining Hecke Grössencharacters [56, Theorem, p. 489] where a module of definition of our Jacobi sums is  $p^2$ , which may give an order of magnitude of the cardinality of this 'infiniteness'). So this must be put in relation with Theorem 21 to characterize 'non-Vandiver'.



### 5.2 New heuristics and probabilities

Many reasons imply that the generic probability  $\frac{1}{p}$  must be replaced by a much lower one.

**5.2.1. Results from  $K$ -theory.** For some characters  $\chi \in \mathcal{X}_+$  of the form  $\chi =: \omega^{p-(1+h)}$ , for small  $h = 2, 4, \dots$ , one may prove that  $\mathcal{C}\ell_{\omega^{p-(1+h)}} = 1$ , as in the case of  $\mathcal{C}\ell_{\omega^{p-3}} = 1$ , proved unconditionally by Kurihara [33]; then Soulé proved in [48] that for  $n \in [2, p-3]$  even,  $\mathcal{C}\ell_{\omega^{p-n}} = 1$  for all  $p$  large enough (see also [2, 10, 49] among other references applying the same approach via  $K$ -theory). Unfortunately these bounds are not usable in practice, but demonstrate the existence of a fundamental general principle.

**5.2.2. Archimedean aspects.** At the opposite, for  $\chi \in \mathcal{X}_+$  of small order,  $\mathcal{C}\ell_\chi$  may be trivial because of the ‘archimedean’ order of magnitude of the whole class number of the subfield of  $K_+$  fixed by  $\text{Ker}(\chi)$  (which is proved for the quadratic case when  $p \equiv 1 \pmod{4}$ , the cubic case when  $p \equiv 1 \pmod{3}$ ); see the tables of Schoof [42] for serious arguments about the order of magnitude of the whole class number. Moreover, we have the  $p$ -rank  $\epsilon$ -conjecture for  $p$ -class groups [9] that we state for the real abelian fields  $k_d$  of constant degree  $d$ , of discriminant  $D = p^{d-1}$ , when  $p \equiv 1 \pmod{d}$  increases:

For all  $\epsilon > 0$  there exists  $C_{d,p,\epsilon}$  such that  $\log(\#(\mathcal{C}\ell_{k_d}/\mathcal{C}\ell_{k_d}^p)) \leq \log(C_{d,p,\epsilon}) + \epsilon \cdot \log(p)$ , which would give  $\mathcal{C}\ell_{k_d} = 1$  for  $\log(p) > \frac{\log(C_{d,p,\epsilon})}{1-\epsilon}$  and any  $\epsilon < 1$ . But this does not apply for any  $p$  with ‘small’  $d$  and the constant  $C_{d,p,\epsilon}$  is not effective.

### 5.2.3 Heuristics about Gauss sums

The standard probabilities (11) assume that when  $\ell \in \mathcal{L}_p$  varies, the sets  $\mathcal{E}_\ell(p)$  are random and independent, giving probabilities close to 0, which is not the case when  $p$  is irregular at some  $\chi_0^* = \omega^{p-n_0}$  with  $\mathcal{C}\ell_{\chi_0^*} \simeq \mathbb{Z}/p^e\mathbb{Z}$ ,  $e \geq 1$ , and when  $\mathfrak{g}_c(\ell)_{\chi_0^*} = [\tau(\psi)^{c-\sigma_c}]_{\chi_0^*}$  is a global  $p$ -th power because  $\mathfrak{L}_{\chi_0^*}^{p^{e-1}}$  is  $p$ -principal.

Fix  $\ell \in \mathcal{L}_p$  such that  $\mathfrak{L}_{\chi_0^*}$  generates  $\mathcal{C}\ell_{\chi_0^*} \simeq \mathbb{Z}/p^e\mathbb{Z}$  (thus  $\mathfrak{g}_c(\ell)_{\chi_0^*}$  is not a global  $p$ -th power); put (Proposition 7)  $\mathfrak{g}_c(\ell)_{\chi_0^*} = 1 + \beta_0(\ell) \cdot \varpi^{p-n_0}$ ,  $\beta_0(\ell) \in \mathbb{Z}_p[\varpi]$ , where  $\beta_0(\ell)$  is invertible modulo  $\varpi$  if and only if  $\mathfrak{g}_c(\ell)_{\chi_0^*}$  is not  $p$ -primary.

Whatever  $\ell' \in \mathcal{L}_p$  and  $\mathcal{L}' \mid \ell'$ , one has, from §4.3, case (ii),  $\mathfrak{g}_c(\ell')_{\chi_0^*} \equiv \mathfrak{g}_c(\ell)_{\chi_0^*}^r \pmod{p}$ , with  $r \in [0, p^e - 1]$  ( $r = 0$  if  $\mathcal{L}'_{\chi_0^*}$  is  $p$ -principal, thus  $\mathfrak{g}_c(\ell')_{\chi_0^*} \in K^{\times p}$ ), giving

$$\mathfrak{g}_c(\ell')_{\chi_0^*} =: 1 + \beta_0(\ell') \cdot \varpi^{p-n_0}, \quad \beta_0(\ell') \equiv r \cdot \beta_0(\ell) \pmod{\varpi}. \tag{12}$$

Contrary to the classical idea that  $\beta_0(\ell) \pmod{\varpi}$  follow standard probabilities  $\frac{O(1)}{p}$  (even under the condition  $\mathfrak{g}_c(\ell)_{\chi_0^*} \notin K^{\times p}$ ), we propose the following heuristic:

For each  $\chi \in \mathcal{X}_+$ , the mod  $p$  values, at  $\chi^* = \omega \chi^{-1}$ , of the Gauss sums (more precisely of the  $\psi^{-c}(c) \cdot \mathfrak{g}_c(\ell) = J_1 \cdots J_{c-1}$ ), are uniformly distributed (or at least with explicit non-trivial densities), when  $\ell \in \mathcal{L}_p$  varies.

Because of the density theorems on the ideal classes when  $\ell$  varies in  $\mathcal{L}_p$  and  $\chi$  in  $\mathcal{X}_+$ , we must examine two cases concerning the  $\chi$ -components of  $\mathcal{C}\ell$  when there exists  $\chi_0 = \omega^{n_0} \in \mathcal{X}_+$  such that  $\mathcal{C}\ell_{\chi_0^*} \simeq \mathbb{Z}/p^e\mathbb{Z}$ ,  $e \geq 1$ :

- (a)  $\chi \neq \chi_0$  and  $\mathcal{C}l_{\chi^*} = 1$ . The numerical experiments show that when  $\ell \in \mathcal{L}_p$  varies,  $\mathfrak{g}_c(\ell)_{\chi^*} = 1 + \beta(\ell) \cdot \varpi^{p-n}$ , with random  $\beta(\ell) \pmod{\varpi}$  (probabilities  $\frac{O(1)}{p}$ ).
- (b)  $\chi = \chi_0$  (and  $\mathcal{C}l_{\chi_0^*} \neq 1$ ). If  $\mathfrak{g}_c(\ell)_{\chi_0^*}$  is  $p$ -primary for some given generator  $\mathfrak{L}_{\chi_0^*}$ , then from (12), all the  $\mathfrak{g}_c(\ell')_{\chi_0^*}$  are  $p$ -primary, whatever the class of  $\mathfrak{L}'_{\chi_0^*}$  ( $p^e$  possibilities) because  $\beta_0(\ell') \equiv 0 \pmod{\varpi}$ . So,  $n_0$  is always in  $\mathcal{E}_\ell(p)$  and  $\mathcal{E}_0(p) \cap \mathcal{E}_\ell(p) \neq \emptyset$  for all  $\ell \in \mathcal{L}_p$ , which corresponds to  $\mathcal{C}l_{\chi_0} \neq 1$  and the non-cyclicity of  $\mathcal{C}l_{\chi_0^*}^{(p)}$  (Theorem 14).

Thus, to have analogous densities of  $p$ -primarity on  $\mathcal{L}_p$  (as for the  $p$ -principal case (a)),  $\beta_0(\ell) \equiv 0 \pmod{\varpi}$  (under the condition  $\mathfrak{g}_c(\ell)_{\chi_0^*} \notin K^{\times p}$ ) must occur at least  $p$  times less, giving a probability in  $\frac{O(1)}{p^2}$  instead of  $\frac{O(1)}{p}$ ; it is even possible that such a circumstance be of probability 0 depending on more accurate properties of Gauss or Jacobi sums; for this, the computation of  $\beta(\ell)$  should be very interesting (see [51] where, for any  $\ell \equiv 1 \pmod{p}$ , the coefficients  $d_{i,k}$  of  $J_i = \sum_{k=0}^{p-1} d_{i,k} \zeta_p^k$ , with  $\sum_{k=0}^{p-1} d_{i,k} = 1$ , are studied and the starting point of future investigations, in relation with the other heuristics).

5.2.4. *Use of  $p$ -th power residue symbols and cyclotomic units.* We refer to [55, § 8.3] for the classical  $p$ -adic interpretation of the numbers  $\#\mathcal{C}l_\chi$ , for  $\chi \in \mathcal{X}_+$ , as indices of the form  $(E_\chi : F_\chi)$ , where  $F$  is the group of cyclotomic units. We need the following  $p$ -th power criterion (from [14, II.6.3.8]).

*Lemma 25.* *Let  $\alpha \in K^\times$  be a pseudo-unit (namely,  $\alpha$  is prime to  $p$  and  $(\alpha) = \mathfrak{a}^p$ ). Let any set  $\mathcal{S}$  of places  $\mathfrak{q}$  of  $K$  such that  $\langle \mathcal{c}l(\mathcal{S}) \rangle_{\mathbb{Z}} = \mathcal{C}l$  (or such that  $\langle \mathcal{c}l(\mathcal{S}) \rangle_{\mathbb{Z}[G]} = \mathcal{C}l$  if  $K(\sqrt[p]{\alpha})/\mathbb{Q}$  is Galois). Then  $\alpha \in K^{\times p}$  if and only if  $\alpha$  is  $p$ -primary and locally a  $p$ -th power at  $\mathcal{S}$  (i.e.,  $\alpha \in K_{\mathfrak{q}}^{\times p}$  for all  $\mathfrak{q} \in \mathcal{S}$  where  $K_{\mathfrak{q}}$  is the  $\mathfrak{q}$ -completion of  $K$ ).*

*Proof.* Consider the non-trivial direction, in the Galois case, assuming that  $\alpha$  is  $p$ -primary and such that  $\alpha \in K_{\mathfrak{q}}^{\times p}$  for all  $\mathfrak{q} \in \mathcal{S}$ . So  $K(\sqrt[p]{\alpha})/K$  is unramified and  $\mathcal{S}$ -split; thus, due to the Galois condition, all the conjugates of  $\mathfrak{q} \in \mathcal{S}$  are split and the Galois group of  $K(\sqrt[p]{\alpha})/K$  corresponds, by class field theory, to a quotient of  $\mathcal{C}l/\langle \mathcal{c}l(\mathcal{S}) \rangle_{\mathbb{Z}[G]}$ , trivial by assumption. □

**Theorem 26.** *Let  $\chi_0 = \omega^{n_0} \in \mathcal{X}_+$  with  $n_0 \in \mathcal{E}_0(p)$  (set of exponents of  $p$ -irregularity) and  $\mathcal{C}l_{\chi_0^*} \simeq \mathbb{Z}/p^e\mathbb{Z}$ ,  $e \geq 1$  (i.e.,  $b_c(\chi_0^*) \sim p^e$ ). Let  $\eta = \zeta_p^{\frac{1-c}{2}} \frac{1-\zeta_p^c}{1-\zeta_p}$  be a generating real cyclotomic unit, where  $c$  is a primitive root modulo  $p$  (cf. [55, Proposition 8.11]).*

- (i) *There exists an infinite subset  $\mathcal{L}_p(\chi_0) \subseteq \mathcal{L}_p$  of primes  $\ell$  such that the  $G$ -module generated by the  $p$ -class of  $\mathfrak{L} \mid \ell$  is  $\mathcal{C}l_{\chi_0} \oplus \mathcal{C}l_{\chi_0^*}$ .*
- (ii)  *$\mathcal{C}l_{\chi_0} \neq 1$  if and only if  $\mathfrak{g}_c(\ell)_{\chi_0^*}$  is locally a  $p$ -th power at  $\mathfrak{p}$  but not at  $\mathfrak{L}$  ( $\ell \in \mathcal{L}_p(\chi_0)$ ).*
- (iii)  *$\mathcal{C}l_{\chi_0} \neq 1$  if and only if  $\eta_{\chi_0}$  is locally a  $p$ -th power at  $\mathfrak{p}$  and at  $\mathfrak{L}$  ( $\ell \in \mathcal{L}_p(\chi_0)$ ).*

*Proof.*

(i) In the  $\mathbb{Z}_p[G]$ -monogenous case, the ideals  $\mathfrak{L}$  are of the form  $(z) \cdot \mathfrak{A} \cdot \mathfrak{A}^*$ ,  $z \in K^\times$ , where  $\mathcal{c}l(\mathfrak{A})$  generates  $\mathcal{C}l_{\chi_0}$  and  $\mathcal{c}l(\mathfrak{A}^*)$  generates  $\mathcal{C}l_{\chi_0^*}$ . (If, for instance,  $\mathcal{C}l_{\chi_0} \simeq \mathcal{C}l_{\chi_0^*} \simeq \mathbb{Z}/p\mathbb{Z}$ , these prime ideals  $\mathfrak{L}$  have density  $(1 - \frac{1}{p})^2$ ; otherwise, if  $\mathcal{C}l_{\chi_0} = 1$  and  $\mathcal{C}l_{\chi_0^*} \simeq \mathbb{Z}/p\mathbb{Z}$ , the density is  $1 - \frac{1}{p}$ .)

(ii) and (iii) Define the  $p$ -th power residue symbol  $\left(\frac{\alpha}{\mathfrak{L}}\right) = \alpha^{\frac{\ell-1}{p}} \pmod{\mathfrak{L}}$  for  $\mathfrak{L} \mid \ell \in \mathcal{L}_p$  when  $\alpha \in K^\times$  is prime to  $\mathfrak{L}$ . By abuse of notation, we shall write  $\left(\frac{\alpha}{p}\right) = 1$  if  $\alpha$  is  $p$ -primary and  $\left(\frac{\alpha}{\mathfrak{L}}\right) = 1$  if  $\alpha \in K_{\mathfrak{L}}^{\times p}$  is not prime to  $\mathfrak{L}$ . Take  $\ell \in \mathcal{L}_p(\chi_0)$ :

- (a) Consider  $\alpha = \mathfrak{g}_c(\ell)_{\chi_0^*} = [\tau(\psi)^{c-\sigma_c}]_{\chi_0^*}$ , where  $(\mathfrak{g}_c(\ell)_{\chi_0^*}) = \mathfrak{L}_{\chi_0^*}^{b_c(\chi_0^*)}$  for a prime ideal  $\mathfrak{L} \mid \ell$  (cf. (9)). This gives rise to a counterexample to Vandiver’s conjecture at  $\chi_0$  if and only if  $\alpha$  is  $p$ -primary, since  $c\ell(\mathfrak{L}_{\chi_0^*})$  is a generator of  $\mathcal{C}\ell_{\chi_0^*}$ ; it follows that  $\left(\frac{\alpha}{\mathfrak{L}}\right) \neq 1$ , otherwise, from Lemma 25 applied in  $H_{\chi_0}$ ,  $\alpha = \mathfrak{g}_c(\ell)_{\chi_0^*}$  should be a global  $p$ -th power (contradiction).
- (b) Consider  $\alpha = \eta_{\chi_0}$ . Thus  $b_c(\chi_0^*) \equiv 0 \pmod{p}$  is equivalent to the  $p$ -primarity of  $\eta_{\chi_0}$ ; so a counterexample to Vandiver’s conjecture at  $\chi_0$ , equivalent to  $\eta_{\chi_0} \in E_{\chi_0}^p$ , is equivalent to  $\left(\frac{\eta_{\chi_0}}{\mathfrak{L}}\right) = 1$  since  $\left(\frac{\eta_{\chi_0}}{p}\right) = 1$ . Whence, with a prime  $\mathfrak{L} \mid \ell \in \mathcal{L}_p(\chi_0)$ :

$$\mathcal{C}\ell_{\chi_0} \neq 1 \Leftrightarrow \left(\frac{\mathfrak{g}_c(\ell)_{\chi_0^*}}{\mathfrak{L}}\right) \neq 1 \ \& \ \left(\frac{\mathfrak{g}_c(\ell)_{\chi_0^*}}{p}\right) = 1 \quad \text{and}$$

$$\mathcal{C}\ell_{\chi_0} \neq 1 \Leftrightarrow \left(\frac{\eta_{\chi_0}}{\mathfrak{L}}\right) = \left(\frac{\eta_{\chi_0}}{p}\right) = 1.$$

□

Let  $\chi \in \mathcal{X}_+$  and  $\ell \in \mathcal{L}_p(\chi)$  fixed. If  $\text{Prob}\left(\left(\frac{\mathfrak{g}_c(\ell)_{\chi^*}}{\mathfrak{L}}\right) \neq 1\right)$  is close to 1, this suggests a probability around  $\frac{O(1)}{p^2}$  for the  $p$ -primarity of  $\mathfrak{g}_c(\ell)_{\chi^*}$  if the above two symbols of  $\eta_\chi$  are independent with probabilities  $\frac{O(1)}{p}$  for a single  $\ell$ .

So it is necessary to compute the symbol  $\left(\frac{\mathfrak{g}_c(\ell)_{\chi_0^*}}{\mathfrak{L}}\right)$  since  $\mathfrak{g}_c(\ell)_{\chi_0^*}$  and  $\mathfrak{L}$  are non-independent data. For  $\chi_0 = \omega^{n_0}$ ,  $n_0 \in \mathcal{E}_0(p)$ , the primes  $\ell$  of the theorem are not effective, but experiments with random  $\ell$  seem sufficient for statistics. Then a first condition for  $\left(\frac{\mathfrak{g}_c(\ell)_{\chi_0^*}}{\mathfrak{L}}\right) = 1$  is that  $\mathfrak{g}_c(\ell)_{\chi_0^*}$  be the  $p$ -th power of an  $\ell$ -ideal, which is fulfilled since  $b_c(\chi_0^*) \equiv 0 \pmod{p}$ . Then, using a modification of the general program (“Appendix A.1”), computing  $\mathfrak{g}_c(\ell)_{\chi_0^*}$  in  $\mathbb{S}\mathfrak{n} \in \mathbb{Z}[\zeta_p]$  (in other words, *not reduced modulo  $p$* ), we divide this integer by the maximal power  $\ell^v$ , so that there exists a prime ideal  $\mathfrak{L} \mid \ell$  which does not divide this new integer (still denoted by  $\mathbb{S}\mathfrak{n}$  and the  $p$ -th power of an  $\ell$ -ideal); the computation reduces to  $R$ , prime to  $\mathfrak{L}$  and most likely random, whose symbol  $\left(\frac{R}{\mathfrak{L}}\right) = R^{\frac{\ell-1}{p}} \pmod{\mathfrak{L}}$ , computed in  $\mathfrak{u}$ , is immediate and gives the statistics. For a PARI/GP program computing these symbols and for numerical results, refer to § (d) of “Appendix B”.

5.2.5. *Classical heuristics on class groups.* A first important reason for a very rare occurrence of the non-cyclic case for  $\mathcal{C}\ell_{\chi^*}^{(p)}$  may come from classical heuristics on  $p$ -class groups, assuming that they can be applied to ray class groups as  $\mathcal{C}\ell_{\chi^*}^{(p)}$  when it is, for instance, of order  $p^2$ .

Whatever the (numerous) references concerning this subject and independently of some improvements or questions on the relevance of the formulas giving  $\text{Prob}(\text{rk}_p(C) = r)$  for such a  $p$ -group  $C$ , we observe that the quotient of the two probabilities for  $r = 2$  and  $r = 1$  (for instance, under the condition  $\#C = p^2$ ) is at most  $\frac{O(1)}{p}$  giving probabilities in  $\frac{O(1)}{p^2}$  to have  $\mathcal{C}\ell_{\chi^*}^{(p)} \simeq (\mathbb{Z}/p\mathbb{Z})^2$ . Since  $\text{rk}_p(\mathcal{C}\ell_\chi) = 1$  splits in the two cases of the reflection theorem,  $\text{rk}_p(\mathcal{C}\ell_\chi \oplus \mathcal{C}\ell_{\chi^*}) = 2$  or  $\text{rk}_p(\mathcal{C}\ell_{\chi^*}) = 2$ , the above applies. As Nguyen Quang Do pointed out, this may come from the cohomological relation  $H^2(\mathcal{C}\ell_{\chi^*}, (V/W)_{\chi^*}) \simeq \mathbb{F}_p$ , assuming the uniform randomness of the exact sequences

$$1 \rightarrow (V/W)_{\chi^*} \simeq \mathbb{F}_p \rightarrow \mathcal{C}\ell_{\chi^*}^{(p)} \rightarrow \mathcal{C}\ell_{\chi^*} \simeq \mathbb{F}_p \rightarrow 1$$

(see the proof of Theorem 14 for notations and structure of  $\mathcal{C}\ell_{\chi^*}^{(p)}$ ), the non-cyclic case corresponding to the single cohomology class 0.

**5.2.6. Heuristics from  $p$ -ramification theory.** Another possible investigation is about the groups  $\mathcal{T}_\chi$ ,  $\chi \in \mathcal{X}_+$ , and the formula  $\#\mathcal{T}_\chi = \#\mathcal{C}\ell_\chi \cdot \#\mathcal{R}_\chi$  with the equivalence given by Theorem (8) of reflection,  $\mathcal{C}\ell_{\chi^*} \neq 1$  if and only if  $\mathcal{T}_\chi \neq 1$ .

Indeed, it is interesting to estimate in what proportions the relation  $\#\mathcal{C}\ell_\chi \cdot \#\mathcal{R}_\chi \neq 1$  is due to  $\mathcal{C}\ell_\chi$  or  $\mathcal{R}_\chi$ . Of course, it is impossible to experiment with the cyclotomic fields  $K$ ; so, since this problem must be considered as general and may result from some insights in  $p$ -ramification theory as done in our articles (see [21] and its bibliography), we give some examples with quadratic and cyclic cubic fields in ‘‘Appendix C’’. The fact that  $\mathcal{R}_\chi$  is much often non-trivial than  $\mathcal{C}\ell_\chi$ , in a computable proportion, is a positive argument for Vandiver’s conjecture.

**5.2.7. Folk heuristic.** Consider  $\tau(\psi) = -\sum_{k=0}^{\ell-2} \zeta_p^k \cdot \xi_\ell^{g^k}$  (where  $g$  is a primitive root mod  $\ell$ ,  $\zeta_p = \psi(g)$ , see (6)), and put  $k = ap + b$ ,  $0 \leq a \leq \frac{\ell-1}{p} - 1$ ,  $0 \leq b \leq p - 1$ . Whence

$$\tau(\psi) = -\sum_{b=0}^{p-1} \zeta_p^b \cdot [\text{Tr}_{\mathbb{Q}(\xi_\ell)/F_\ell}(\xi_\ell)]^{\sigma(b)}, \quad (13)$$

where  $F_\ell$  is the cyclic sub-extension of degree  $p$  of  $\mathbb{Q}(\xi_\ell)$ , where  $\sigma(b)$  is the automorphism acting trivially on  $\zeta_p$  and such that  $\xi_\ell \mapsto \xi_\ell^{g^b}$ , giving an exact system of representatives for  $\text{Gal}(F_\ell/\mathbb{Q})$  independent of the choice of  $g$ .

From Remark 12 (ii), we know that  $F_\ell$  is obtained as the decomposition over  $\mathbb{Q}$  of the extension  $K(\sqrt[p]{\alpha})/K$ , with  $\alpha = \tau(\psi)^p \in \mathbb{Z}[\zeta_p]$ , and it is immediate to see that the  $p$ -class group of  $F_\ell$  is trivial because of Chevalley’s formula on invariant classes giving here  $\#\mathcal{C}\ell_{F_\ell}^{\text{Gal}(F_\ell/\mathbb{Q})} = 1$  since  $\ell$  is the unique ramified prime in  $F_\ell/\mathbb{Q}$ .

- (i) The first observation is that the  $p$ -class group of  $F_\ell$  does not depend on that of  $K$  as  $\ell$  varies! Indeed, this context is neither more nor less than class field theory over  $\mathbb{Q}$  giving the existence of a unique cyclic extension  $F_\ell$  of conductor  $\ell \equiv 1 \pmod{p}$ , for which one considers the set of conjugates of the relative trace of  $\xi_\ell$  which moreover defines a normal basis of  $F_\ell$ ; then the unique link with the arithmetic of  $K$  is the linear combination (13) involving the traces to build  $\alpha$ , but the character of  $\langle \alpha \rangle_{\mathbb{Z}[G]} K^{\times p}/K^{\times p}$  is  $\omega$  which gives, as we know, a ‘poor’ information on the arithmetic of  $K$ . Thus, the relationship of  $\alpha = \tau(\psi)^p$  (whence of  $\tau(\psi)$ ) with class field theory over  $K$  (namely, with  $p$ -classes and units of  $K$ ) is tenuous, possibly empty; which is quite

the opposite for the twists  $g_c(\ell) = \tau(\psi)^{c-\sigma_c}$  because of the relations  $\alpha^{c-\sigma_c} = g_c(\ell)^p$  and the fact that the  $g_c(\ell)_{\chi^*}$  are radicals defining non-trivial (arithmetically) cyclic extensions of degree  $p$  of  $K_+$  for any even character  $\chi$ .

- (ii) In another direction, suggested by the work of Lecouturier [34] generalizing results of Calegari–Emerton and Iimura, consider the non-Galois extension  $\tilde{F}_\ell = \mathbb{Q}(\sqrt[p]{\tilde{\alpha}})$ , where  $\tilde{\alpha} = \ell$ ; of course,  $K(\sqrt[p]{\tilde{\alpha}})/K$  is a cyclic extension of degree  $p$  (non-decomposed over a strict subfield of  $K$ ), ramified at  $p-1$  primes  $\mathfrak{L} \mid \ell$  and at  $p$  if and only if  $\ell \not\equiv 1 \pmod{p^2}$ . On the contrary, as shown by many results of [21, 34], the  $p$ -class group of  $\tilde{F}_\ell$  strongly depends on the arithmetic of  $K$  while the radical  $\tilde{\alpha}$  does not.

This second observation comes from the fact that, for  $\tilde{M} = K(\sqrt[p]{\tilde{\alpha}})$ ,

$$\#\mathcal{C}\ell_{\tilde{M}}^{\text{Gal}(\tilde{M}/K)} = \#\mathcal{C}\ell_K \cdot \frac{p^{p-2+\delta}}{(E_K : E_K \cap N_{\tilde{M}/K}(\tilde{M}^\times))} \leq \#\mathcal{C}\ell_K \cdot p^{\frac{p-1}{2}},$$

where  $\delta = 1$  or  $0$  according as  $p$  ramifies or not and where  $\zeta_p$  is norm for  $\delta = 0$ ; but the non-abelian Galois structure yields various non-trivial  $p$ -class groups for  $\tilde{F}_\ell$  as  $\ell$  varies, and the genera theory implies  $\text{rk}_p(\mathcal{C}\ell_{\tilde{F}_\ell}) \geq 1$  for all  $\ell$  (for the metabelian genera theory, see [30]). However, for  $M = K(\sqrt[p]{\alpha}) = F_\ell K$ ,

$$\#\mathcal{C}\ell_M^{\text{Gal}(M/K)} = \#\mathcal{C}\ell_K \cdot \frac{p^{p-2}}{(E_K : E_K \cap N_{M/K}(M^\times))} \leq \#\mathcal{C}\ell_K \cdot p^{\frac{p-1}{2}},$$

and we leave to the reader the computation of the (non-trivial) order of the minus part; but  $M/K$  decomposes into  $F_\ell/\mathbb{Q}$  and only the isotopic component for the unit character is concerned, which gives in fact a trivial part of the above Chevalley’s formula (contrary to the metabelian case  $\tilde{M}/\mathbb{Q}$ ). So the ‘folk heuristic’ should be as follows:

Because of  $F_\ell/\mathbb{Q}$  defined via the radical  $\alpha = \tau(\psi)^p$ , the  $p$ -adic properties of the Gauss sums are independent of the arithmetic of  $K$  as  $\ell$  varies (despite the apparent complexity of the radical  $\alpha = \tau(\psi)^p$ ), while the properties of  $\tilde{F}_\ell/\mathbb{Q}$  are strongly dependent (despite the obvious simplicity of the radical  $\tilde{\alpha} = \ell$ ).

In other words, we have probably some Galois ‘dualities’ about the arithmetic complexity of Kummer theory in the comparison ‘radicals versus extensions’.

### 5.3 Additive $p$ -adic statistics

Of course, we are only concerned with the multiplicative  $p$ -adic properties of the Gauss sums  $\tau(\psi)$  and mainly of the twists  $g_c(\ell) = \tau(\psi)^{c-\sigma_c}$ , and these are given by their  $\chi^*$ -components for  $\chi \in \mathcal{X}_+$ . Nevertheless, the additive properties seem to follow more explicit rules, which is an interesting information about the numerical repartition and the independence as  $\ell$  varies, and this probably has an impact on the multiplicative properties regarding the results of § 4.2 and § 4.3. We shall examine the case of the twists  $g_c(\ell)$  (more precisely, of  $\psi^{-c}(c) g_c(\ell)$ ), and then the case of the original Gauss sums  $\tau(\psi)$  from the arithmetic of the fields  $F_\ell$ .

5.3.1.  $\mathbb{Z}$ -rank of the family  $(\psi^{-c}(c) g_c(\ell))_{\ell \in \mathcal{L}_p}$ . Put, for  $p$  and  $c$  fixed,

$$\mathbf{J}(\ell) = \psi^{-c}(c) g_c(\ell) = \psi^{-c}(c) \tau(\psi)^{c-\sigma_c} = J_1 \cdots J_{c-1} \text{ (see (10))} \tag{14}$$

written on the basis of  $\{1, \zeta_p, \dots, \zeta_p^{p-2}\}$ , under the form  $\mathbf{J}(\ell) = \sum_{k=0}^{p-2} a_k(\ell) \zeta_p^k$ , the integers  $a_k(\ell)$  being considered modulo  $p$ .

A first information about the  $p$ -adic repartition of the  $\mathbf{J}(\ell)$  as  $\ell$  varies, is to compute the  $\mathbb{F}_p$ -rank of the  $\mathbb{F}_p$ -matrix  $(a_k(\ell))_{k,\ell}$ . The following program gives *systematically*

$$\text{Rank}_{\mathbb{F}_p}[(a_k(\ell))_{\ell,k}] = p - 4,$$

for all the primes  $p \geq 7$  tested (rank 1 for  $p = 3$  and rank 2 for  $p = 5$ ), *despite the fact that the lines are not canonical* (up to circular permutations of their elements since  $\mathbf{J}(\ell)$  is defined up to conjugation). We have verified it up to  $p \leq 331$ , an interval which contains 16 irregular primes.

The program gives  $p$  (in  $\mathfrak{p}$ ), the  $\mathbb{F}_p$ -rank of the matrix (in  $\text{rank}$ ) and the least  $\ell_p$  (in  $\text{elp}$ ) for which the sub-matrix built from  $\{\ell \in \mathcal{L}_p, \ell \leq \ell_p\}$  has rank  $p - 4$ :

```
{forprime(p=7,500,c=lift(znprimroot(p));P=polcyclo(p)+Mod(0,p);M=matrix(0,p-1);
r=0;for(i=1,10^8,e1=1+2*i*p;if(isprime(e1)!=1,next);g=znprimroot(e1);J=1;
for(i=1,c-1,Ji=0;for(k=1,e1-2,kk=znlog(1-g^k,g);e=lift(Mod(kk+i*k,p));
Ji=Ji-x^e);J=J*Ji);J=lift(Mod(J,P));V=vector(p-1,j,component(J,j));
A=concat(M,V);rr=matrank(A);if(rr==r,next);r=rr;M=A;
if(r==p-4,print("p=",p," r=",r," elp=",e1);break))}
```

p	rank	elp	p	rank	elp	p	rank	elp	p	rank	elp
7	3	113	11	7	397	13	9	599	17	13	1259
(...)											
71	67	42743	73	69	48473	79	75	50087	83	79	65239
151	147	247943	157	153	273181	163	159	294053	167	163	305611

We have  $\mathbf{J}(\ell) \equiv 1 \pmod{\mathfrak{p}}$ , in other words,  $\sum_{k=0}^{p-2} a_k(\ell) \equiv 1 \pmod{p}$ , and we can write

$$\mathbf{J}(\ell) = 1 + \sum_{k=1}^{p-2} a_k(\ell) (\zeta_p^k - 1)$$

depending on  $p - 2$  parameters; then, due to the relations  $\mathbf{J}(\ell)^{1+s-1} \equiv 1 \pmod{p}$  and  $\mathbf{J}(\ell)^{e\omega} \in K^{\times p}$  (because  $\omega(c - s_c) \equiv 0 \pmod{p}$ ), this yields the three relations of ‘derivation’ (for  $p \geq 7$ )  $\sum_{k=1}^{p-2} k^\delta \cdot a_k(\ell) \equiv 0 \pmod{p}$ ,  $\delta \in \{1, 2, 4\}$ , for any  $\ell \in \mathcal{L}_p$ . Whence a  $\mathbb{F}_p$ -rank at most  $p - 4$ , but we have no proof of the equality.

The order of magnitude of  $\ell_p$  seems to be

$$\ell_p = O(1) p^2 \log(p^2), \tag{15}$$

which is in agreement with a ‘conductor’  $p^2$  for these Hecke Grössencharacters [56, Theorem, p.489], but the program slows down very much as  $p$  increases, to be more accurate.

Moreover, the number of consecutive primes  $\ell$  needed to reach the rank  $p - 4$  is equal to  $p - 4$ , except probably for finitely many cases, which confirms the above order.

Give now the end of the above table with an estimation of the  $O(1)$  in (15):

p	elp	O(1)	p	elp	O(1)	p	elp	O(1)	p	elp	O(1)
211	517373	1.0856	223	628861	1.1693	227	604729	1.0816	229	631583	1.1082
233	642149	1.0849	239	695491	1.1116	241	684923	1.0750	251	784627	1.1269
257	862493	1.1766	263	819509	1.0631	269	928051	1.1461	271	906767	1.1019
277	925181	1.0719	281	1055437	1.1853	283	979747	1.0834	293	988583	1.0136
307	1174583	1.0881	311	1214767	1.0941	313	1203799	1.0692	317	1276243	1.1026

The  $\mathbb{F}_p$ -rank  $r_p(\ell)$  of the  $p - 1$  conjugates of  $\mathbf{J}(\ell) \pmod{p}$ ,  $\ell \in \mathcal{L}_p$ , is close to  $p - 4$  (e.g., for  $p = 37$ ,  $r_{37}(\ell) \in \{33, 32, 31, 30\}$  in similar proportions, and we only have the local minimum  $(r_{37}(\ell), \ell) = (29, 2591)$  for  $\ell$  up to 37000.

5.3.2. *Repartition of the conjugates of the traces*  $\text{Tr}_{\mathbb{Q}(\xi_\ell)/F_\ell}(\xi_\ell)$ . Let  $Z_{F_\ell}$  be the ring of integers of the degree  $p$  subfield  $F_\ell$  of  $\mathbb{Q}(\mu_\ell)$  and let  $Z_{F_\ell}/\mathfrak{p} Z_{F_\ell}$  be the residue ring mod  $\mathfrak{p}$ . These residue rings are isomorphic to  $\mathbb{F}_{p^p}$  or to  $\mathbb{F}_p^p$ , but there is no canonical map between them as  $\ell \in \mathcal{L}_p$  varies. Thus, in (13), giving  $\tau(\psi) = -\sum_{b=0}^{p-1} \zeta_p^b \cdot [\text{Tr}_{\mathbb{Q}(\xi_\ell)/F_\ell}(\xi_\ell)]^{\sigma(b)}$ , the images in  $Z_{F_\ell}/\mathfrak{p} Z_{F_\ell}$  of the conjugates of the relative traces  $\text{Tr}(\xi_\ell) = \text{Tr}_{\mathbb{Q}(\xi_\ell)/F_\ell}(\xi_\ell)$  may be easily analyzed and compared, for  $\ell \in \mathcal{L}_p$ , by means of the image  $R_\ell$  in  $\mathbb{F}_p[x]$  of the polynomial  $Q_\ell = \prod_{\bar{\sigma} \in \text{Gal}(F_\ell/\mathbb{Q})} (x - \text{Tr}(\xi_\ell)^{\bar{\sigma}}) \in \mathbb{Z}[x]$ .

PROPOSITION 27

Let  $\ell_1, \ell_2 \in \mathcal{L}_p$  and let  $\tau(\psi_1), \tau(\psi_2)$  be the corresponding Gauss sums normalized via  $\psi_1(g_1) = \psi_2(g_2) = \zeta_p$ . Let  $F = F_{\ell_1} F_{\ell_2}$ . If  $R_{\ell_1} \neq R_{\ell_2}$ , then for all  $\sigma \in \text{Gal}(FK/\mathbb{Q})$ ,  $\tau(\psi_2) \not\equiv \tau(\psi_1)^\sigma \pmod{\mathfrak{p}^p Z_{FK}}$ .

*Proof.* Suppose there exists  $\sigma \in \text{Gal}(FK/\mathbb{Q})$  such that  $\tau(\psi_2) \equiv \tau(\psi_1)^\sigma \pmod{\mathfrak{p}^p Z_{FK}}$ ; recall that  $\tau(\psi_1)^\sigma = \zeta_\sigma \tau(\psi_1^e)$ ,  $\zeta_\sigma \in \mu_p$ ,  $e \in (\mathbb{Z}/p\mathbb{Z})^\times$ . Then

$$\tau(\psi_2) = -\sum_{b=0}^{p-1} \zeta_p^b \cdot \text{Tr}(\xi_{\ell_2})^{\sigma_2(b)} \quad \text{and} \quad \tau(\psi_1)^\sigma = -\sum_{b=0}^{p-1} \zeta_p^b \cdot \text{Tr}(\xi_{\ell_1})^{\pi(\sigma_1(b))},$$

where  $\pi$  is a permutation of the  $\sigma_1(b)$ . Using  $\text{Tr}_{\mathbb{Q}(\xi_{\ell_i})/\mathbb{Q}}(\xi_{\ell_i}) = -1$ , we get

$$\begin{aligned} \tau(\psi_2) &= 1 - \sum_{b=1}^{p-1} (\zeta_p^b - 1) \cdot \text{Tr}(\xi_{\ell_2})^{\sigma_2(b)}, \\ \tau(\psi_1)^\sigma &= 1 - \sum_{b=1}^{p-1} (\zeta_p^b - 1) \cdot \text{Tr}(\xi_{\ell_1})^{\pi(\sigma_1(b))}, \end{aligned}$$

whence

$$\begin{aligned} \tau(\psi_1)^\sigma - \tau(\psi_2) &= \sum_{b=1}^{p-1} (\zeta_p^b - 1) \cdot (\text{Tr}(\xi_{\ell_2})^{\sigma_2(b)} - \text{Tr}(\xi_{\ell_1})^{\pi(\sigma_1(b))}) \\ &\equiv 0 \pmod{\mathfrak{p}^p Z_{FK}}. \end{aligned}$$

Since  $\zeta_p^b - 1, b \in [1, p - 1]$ , define a  $\mathbb{Z}$ -basis of  $\mathfrak{p} Z_K$ , then a  $Z_F$ -basis of  $\mathfrak{p} Z_{FK}$ . This relation implies  $\text{Tr}(\xi_{\ell_2})^{\sigma(b)} \equiv \text{Tr}(\xi_{\ell_1})^{\pi(\sigma(b))} \pmod{p}$  for all  $b$ , which yields  $R_{\ell_1} = R_{\ell_2}$  in  $\mathbb{F}_p[x]$  (a contradiction). □

Since  $\tau(\psi_2) \not\equiv \tau(\psi_1)^\sigma \pmod{\mathfrak{p}^p}$  for all  $\sigma$  implies  $\mathfrak{g}_c(\ell_2) \not\equiv \mathfrak{g}_c(\ell_2)^\sigma \pmod{\mathfrak{p}^p}$  for all  $\sigma$  (except for the  $\omega$ -components because  $\omega(c - \sigma_c) \equiv 0 \pmod{p}$ ), we can say that the number of distinct polynomials  $R_\ell, \ell \in \mathcal{L}_p$  gives a partial idea of the repartition modulo  $p$  of the sets  $\mathcal{E}_\ell(p)$  as  $\ell$  varies. As  $p$  increases, the number of distinct  $R_\ell$  seems to be  $O(p^2 \cdot \log(p^2))$ .

The following program, computing the monic polynomial  $R = R_\ell \in \mathbb{F}_p[x]$  returns:  $\text{el} = \ell$ , the residue degree  $f = f$  of  $p$  in  $F_\ell/\mathbb{Q}$ , and  $R$ .

```

(p=7;B=5*10^3;el=1;while(el<B,el=el+2*p;if(isprime(el)!=1,next);g=znprimroot(el);
h=g^p;g=lift(g);h=lift(h);P=polcyclo(el);z=Mod(x,P);Q=1;e=1;for(k=1,p,Tr=0;e=e*g;
for(j=1,(el-1)/p,e=e*h;e=lift(Mod(e,el));Tr=Tr+z^e);Q=Q*(T-Tr));
Q=component(lift(Q),1);R=0;for(i=0,p,C=component(Q,i+1);C=lift(Mod(C,p));
R=R+x^i*C);F=znorder(Mod(p,el));f=1;v=valuation(F,p);w=valuation(el-1,p);
if(w==v,f=p);print("el=",el," f=",f," R=",R))

```

```

el=29    f=7    R=x^7 + x^6 + 2*x^5 + 5*x + 1
el=43    f=1    R=x^7 + x^6 + 3*x^5 + 3*x^3 + 6*x^2
el=71    f=7    R=x^7 + x^6 + 5*x^5 + 3*x^4 + 2*x^3 + 6*x^2 + 4
el=4943  f=7    R=x^7 + x^6 + 3*x^5 + x^4 + x^3 + 3*x + 5
el=4957  f=7    R=x^7 + x^6 + 4*x^5 + 2*x^4 + 5*x^3 + 3*x^2 + 2*x + 1
el=4999  f=7    R=x^7 + x^6 + 4*x^3 + 5*x^2 + 2*x + 6

```

It is hopeless to write wide lists of polynomials  $R_\ell$  for large  $p$ , but any experiment suggests a random distribution of the (non-independent) coefficients (except that of  $x^{p-1}$  since  $\text{Tr}_{\mathbb{Q}(\xi_\ell)/\mathbb{Q}}(\xi_\ell) = -1$ ). For  $p = 3$ , the six possible polynomials are of the form  $R_\ell$ . For  $p = 5$  (resp.  $p = 7$ ), there are 150 (resp. 17192) possible polynomials.

- (i) For  $p = 5$ , we obtain the following end of the calculations (two days of computer; it seems that only 35 distinct polynomials  $R_\ell$  are available):

```

el=5591  f=5    R=x^5 + x^4 + 4*x^3 + x^2 + 4*x + 2
el=6211  f=1    R=x^5 + x^4 + x^3 + x^2 + x
el= 6271  f=1    R=x^5 + x^4 + 2*x^3 + 4*x^2 + 3*x + 4
el=1345  f=1    R=x^5 + x^4

```

- (ii) For  $p = 7$ ,  $\ell$  up to 17977, we get painfully a little more than 250 distinct  $R_\ell$ , but the exact number is unknown.

*Remark 28.* It is clear that a large number of polynomials  $R_\ell$  strengthens Vandiver's conjecture since the corresponding  $\mathbf{J}(\ell) = \psi^{-c}(c) g_c(\ell)$  cover sufficiently possibilities modulo  $p$ , especially since we know that the  $\mathbb{F}_p$ -rank associated to the family of  $(\mathbf{J}(\ell))_{\ell \in \mathcal{L}_p}$  is probably always  $p - 4$ , but these informations are not 'equivalent'. Moreover, an assumption about the order of magnitude of  $\mathcal{N}_p = \#\{R_\ell, \ell \in \mathcal{L}_p\}$  is *not necessary* to obtain Vandiver's conjecture for  $p$ ; indeed, a *single* suitable  $\ell$  may ensure a positive test for Vandiver's conjecture as shown by the table given in "Appendix A.2".

We propose the following heuristic about the sets  $\mathcal{E}_\ell(p)$  of exponents of  $p$ -primarity for which the reference [25] may be useful:

The probability of  $\mathcal{E}_\ell(p) = \emptyset$ , for a single  $\ell \in \mathcal{L}_p$ , is  $(1 + o(1)) \cdot e^{-\frac{1}{2}}$ ; that of at least a counterexample to Vandiver's conjecture is of the form  $O(1) (1 - e^{-\frac{1}{2}})^{\mathcal{N}_p}$ , where  $\mathcal{N}_p = \#\{R_\ell, \ell \in \mathcal{L}_p\}$ , with the polynomial  $R_\ell = \prod_{\bar{\sigma} \in \text{Gal}(F_\ell/\mathbb{Q})} (x - \text{Tr}_{\mathbb{Q}(\xi_\ell)/F_\ell}(\xi_\ell)^{\bar{\sigma}})$  seen in  $\mathbb{F}_p[x]$ .

## 6. Conclusion

Under these experiments and heuristics, and those given in the appendices, the existence of sets  $\mathcal{E}_\ell(p)$ , disjoint from  $\mathcal{E}_0(p)$ , or probably the existence of primes  $\ell \in \mathcal{L}_p$  such that  $\mathcal{E}_\ell(p) = \emptyset$ , may occur conjecturally for all  $p$ . Possibly, our computations in "Appendix



A.2” show the existence of general properties of the sets  $\mathcal{E}_\ell(p)$  coming from the fact that all  $\ell \in \mathcal{L}_p$  intervene (and that these primes are probably independent), which is a new argument compared with classical ones. This is strengthened by the computation of the conjugates of the traces  $\text{Tr}_{\mathbb{Q}(\xi_\ell)/F_\ell}(\xi_\ell)$ , as  $\ell \in \mathcal{L}_p$  varies (coefficients of the Gauss sums), the fields  $\mathbb{Q}(\mu_\ell)$  being, *a priori*, independent of the arithmetic of  $K$ .

*Remark 29.* There are two constraints for the Gauss and Jacobi sums that we have considered, but they only concern the auxiliary prime numbers  $\ell \in \mathcal{L}_p$ :

- (i) The  $p$ -classes of ideals  $\mathfrak{L} \mid \ell$ ,  $\ell \in \mathcal{L}_p$ , are all represented with standard densities.
- (ii) The ideal factorization of  $\tau(\psi)^p$  is related to *congruences modulo the conjugates of a prime ideal  $\mathfrak{L} \mid \ell$  and is canonical* (this yields Stickelberger’s theorem and its consequences [55, § 15.1], [7, 43, 56] for the annihilation of  $\mathcal{C}\ell_{\chi_0}^{(p)}$  with generalizations of the Stickelberger ideal). A similar context is that of the  $\ell$ -adic  $\Gamma$ -function of Morita.

However, since we consider characters  $\psi$  of order  $p$ , the  *$p$ -adic congruential properties* of Gauss sums (or Jacobi sums) do not follow any known law (in our opinion, the classical literature being mute about this).

These fundamental  $p$ -adic properties of Gauss sums may have crucial consequences in various domains since Vandiver’s conjecture is often required; for instance: in [8] about the Galois cohomology of Fermat curves, in [47] for the root numbers of the Jacobian varieties of Fermat curves, then in several papers on Galois  $p$ -ramification theory as in [37, 44–46] or [53, 54] in relation with modular forms, then in numerous papers and books on the theory of deformations of Galois representations as in [4, 38], Iwasawa’s theory context and cyclotomy, as in [6] on Ihara series, [3] for  $\mu$ -invariants in Hida families, [31] for the main conjecture of the Iwasawa theory.

Then it may be legitimate to think that all these numerous basic congruential aspects of Gauss sums are (logically) governing principles of a wide part of algebraic number theory, as follows: beyond the case of the  $p$ -th cyclotomic field (not to mention all the geometrical aspects as the theory of elliptic curves where some analogies can be found, and all the generalizations of the present abelian case over a number field  $k \neq \mathbb{Q}$ ):

Gauss and Jacobi sums  $\longrightarrow$  Hecke Grössencharacters  $\longrightarrow$  Stickelberger element  $\longrightarrow$   $p$ -adic  $L$ -functions  $\longrightarrow$  Herbrand–Ribet theorem  $\longrightarrow$  Main Theorem on abelian fields  $\longrightarrow$  annihilation of the  $p$ -torsion group  $\mathcal{T}$  of real abelian fields  $\longrightarrow$  universal isomorphism  $\mathcal{T} \simeq H^2(G_{S_p}, \mathbb{Z}_p)^*$   $\longrightarrow$   $p$ -rationality of fields ( $\mathcal{T} = 1$ )  $\longrightarrow$  cohomological obstructions in Galois theory  $\longrightarrow \dots$

which gives again an example of a *basic  $p$ -adic problem*, analogous to those we have analyzed about deep conjectures: Greenberg’s conjectures (on Iwasawa theory over totally real fields [22] and on representation theory [24]),  $p$ -rationalities of a number field as  $p \rightarrow \infty$ , generalizations of the conjecture of Ankeny–Artin–Chowla from the conjectural existence of a  $p$ -adic Brauer–Siegel theorem [20].

As shown by the evidences given in § 5.2, Vandiver’s conjecture may be justified, for  $p \gg 0$ , by the Borel–Cantelli heuristic, on exceptional features of Gauss sums; but this point of view allows cases of failure of the conjecture, which is not satisfactory for the theoretical foundations of the above quoted fundamental subjects.

To be optimistic (but not very rigorous), one can say that Vandiver’s conjecture is true because it holds for sufficiently many prime numbers [5, 26] since probabilities may be in

$\frac{O(1)}{p^{\lambda(p)}}$ ,  $\lambda(p) \rightarrow \infty$ . In a more serious claim, we can say that Vandiver's conjecture holds for almost all prime numbers; the accurate cardinality of the finite set of counterexamples ( $\emptyset$  or not) is (in our opinion) not of algebraic nature nor enlightened by class field theory, Galois cohomology or Iwasawa's theory, but is perhaps accessible by the way of analytical/geometrical techniques or depends on a more general hypothetic 'complexity theory' in number theory.

## Acknowledgements

The author is very grateful to the referee for many linguistic corrections and valuable suggestions which have improved the presentation of the paper in various aspects.

## 7. Appendices

### Appendix A: Illustration of the first Theorem 21

#### Appendix A.1: Program computing $\mathcal{E}_\ell(p)$

For  $p \in [3, 199]$  and for the least  $\ell \in \mathcal{L}_p$ , the program computes  $g_c(\ell) = \tau(\psi)^{c-\sigma_c}$  in  $\text{mod}(\mathbf{J}, \mathbf{P})$  with  $\mathbf{P} = \text{polycyclo}(p)$ , where  $\mathbf{J} = \mathbf{J}_1 \cdots \mathbf{J}_{c-1}$  is written in  $\mathbb{Z}[x]$  modulo  $p \mathbb{Z}[x]$ ;  $\mathbf{c}$  is a primitive root  $(\text{mod } p)$  (see the relation (10)). For the computation of  $\mathbf{J}_i$ , we use the discrete logarithm `znlog` to interpret the  $1 - g^k$  in  $g^{\mathbb{Z}/(\ell-1)\mathbb{Z}}$ . We put  $\chi = \omega^n$  and  $\chi^* = \omega^{1-n}$ , taking  $n=2*m$  for  $m$  in  $[1, (p-3)/2]$ .

The program takes into account the relation  $J^{1+s-1} \equiv 1 \pmod{p}$  in the action of the idempotents and drops the coefficient  $\frac{1}{p-1}$  in  $e_{\chi^*}$  (in which  $\chi^*(s_a^{-1})$  is replaced by the residue of  $a^{n-1}$  modulo  $p$ ), thus computes in reality  $g_c(\ell)^{-1/2}$  up to  $p$ -th powers. Then the polynomials  $\mathbf{Jj}$  give, in the list  $\mathbf{LJ}$ , the powers  $\mathbf{J}^j$  modulo  $p$ ,  $j = 1, \dots, p-1$ .

The result is given in  $\mathbf{Sn} = \prod_{a=1}^{(p-1)/2} \mathbf{s}_a(\mathbf{J}^{an})$ , from  $g_c(\ell)_{\chi^*}^{-1/2} = \prod_{a=1}^{(p-1)/2} s_a(g_c(\ell)^{\omega^{n-1}(a)})$  (up to a  $p$ -th power), where  $\omega^{n-1}(a) \equiv a^{n-1} \pmod{p}$  is computed in  $\mathbf{an}$  and  $\mathbf{J}^{an}$  is given by `component(LJ, an)`. The conjugate  $\mathbf{s}_a(\mathbf{J}^{an})$  is computed in  $\mathbf{sJan}$  via the conjugation  $x \mapsto x^a$  in  $\mathbf{J}^{an}$ , whence the product in  $\mathbf{Sn}$  (the exponents of  $p$ -primarity are denoted `expp`). Thus a line such as `p = 109 el = 1091 c = 6 g = 2 expp: 14, 86` means that for  $p = 109$ ,  $\ell = 1091$  is the least prime number  $\equiv 1 \pmod{p}$ ,  $c, g$  are the primitive roots, then  $n = 14$  and  $n = 86$  are the exponents of  $p$ -primarity of the twists  $g_c(\ell)_{\chi^*}$ , for  $\chi = \omega^n$ :

```
{forprime(p=3,200,c=lift(znprimroot(p));P=polycyclo(p)+Mod(0,p);
X=Mod(x,P);el=1;while(isprime(el)==0,el=el+2*p);g=znprimroot(el);
print("p=",p," el=",el," c=",c," g=",g);J=1;for(i=1,c-1,Ji=0;
for(k=1,el-2,kk=znlog(1-g^k,g);e=lift(Mod(kk+i*k,p));Ji=Ji-X^e);J=J*Ji);
LJ=List;Jj=1;for(j=1,p-1,Jj=lift(Jj*J);listinsert(LJ,Jj,j));
for(m=1,(p-3)/2,n=2*m;Sn=Mod(1,P);for(a=1,(p-1)/2,
an=lift(Mod(a,p)^(n-1));Jan=component(LJ,an);sJan=Mod(0,P);
for(j=0,p-2,aj=lift(Mod(a*j,p));sJan=sJan+x^(aj)*component(Jan,1+j));
Sn=Sn*sJan);if(Sn==1,print(" exponents of p-primarity: ",n))}}
```

```
p=3   el=7   c=2   g=3
p=5   el=11  c=2   g=2
p=7   el=29  c=2   g=2
```

```
p=97  el=389  c=5   g=2   expp:26
p=101 el=607  c=2   g=3   expp:10
p=103 el=619  c=5   g=3
```

p=11	e1=23	c=3	g=5	expp:2	p=107	e1=643	c=2	g=11
p=13	e1=53	c=2	g=2		p=109	e1=1091	c=6	g=2
p=17	e1=103	c=3	g=5		p=113	e1=227	c=3	g=2
p=19	e1=191	c=4	g=19		p=127	e1=509	c=3	g=2
p=23	e1=47	c=2	g=5		p=131	e1=263	c=2	g=5
p=29	e1=59	c=2	g=2	expp:2	p=137	e1=823	c=3	g=3
p=31	e1=311	c=7	g=17		p=139	e1=557	c=2	g=2
p=37	e1=149	c=2	g=2		p=149	e1=1193	c=2	g=3
p=41	e1=83	c=6	g=2		p=151	e1=907	c=6	g=2
p=43	e1=173	c=9	g=2	expp:26	p=157	e1=1571	c=5	g=2
p=47	e1=283	c=2	g=3		p=163	e1=653	c=2	g=2
p=53	e1=107	c=2	g=2	expp:34,10	p=167	e1=2339	c=5	g=2
p=59	e1=709	c=3	g=2		p=173	e1=347	c=2	g=2
p=61	e1=367	c=2	g=6		p=179	e1=359	c=2	g=7
p=67	e1=269	c=4	g=2		p=181	e1=1087	c=2	g=3
p=71	e1=569	c=2	g=3		p=191	e1=383	c=19	g=5
p=73	e1=293	c=5	g=2		p=193	e1=773	c=5	g=2
p=79	e1=317	c=2	g=2		p=197	e1=3547	c=2	g=2
p=83	e1=167	c=3	g=5		p=199	e1=797	c=3	g=2
p=89	e1=179	c=3	g=2					

For large  $p$ , the computations are slower, but the number of exponents of  $p$ -primarity remains small.

#### Appendix A.2: Minimal prime $\ell \in \mathcal{L}_p$ such that $\mathcal{E}_\ell(p) = \emptyset$

The following program examines, for each  $p$ , the successive prime numbers  $\ell_i \in \mathcal{L}_p$ ,  $i \geq 1$ , and returns the first one,  $\ell_N$  (in  $\mathbf{L}$  with its index  $N$ ), such that  $\mathcal{E}_{\ell_N}(p) = \emptyset$ . Its existence is of course a strong conjecture, but the numerical results are extremely favorable to the existence of such primes; which strengthens the conjecture of Vandiver.

Moreover, since the integer  $i(p) = \#\mathcal{E}_0(p)$  is rather small regarding  $p$ , as doubtless for  $\#\mathcal{E}_\ell(p)$ , and can be both in  $O\left(\frac{\log(p)}{\log(\log(p))}\right)$ , the intersection  $\mathcal{E}_\ell(p) \cap \mathcal{E}_0(p)$  may be easily empty if these sets are independent.

The experiments give the impression that the sets  $\mathcal{E}_\ell(p)$  are random when  $\ell$  varies and have no link with  $\mathcal{E}_0(p)$ .

```
{forprime(p=3,100,c=lift(znprimroot(p));P=polcyclo(p)+Mod(0,p);
N=0;T=1;e1=1;while(T==1,e1=e1+2*p;if(isprime(e1))==1,N=N+1;g=znprimroot(e1);
J=Mod(1,P);for(i=1,c-1,Ji=0;for(k=1,e1-2,kk=znlog(1-g^k,g);
e=lift(Mod(kk+i*k,p));Ji=Ji-x^e);J=J*Ji);LJ=List;Jj=1;
for(j=1,p-1,Jj=lift(Jj*J);listinsert(LJ,Jj,j));T=0;for(m=1,(p-3)/2,n=2*m;
Sn=Mod(1,P);for(a=1,(p-1)/2,an=lift(Mod(a,p)^(n-1));Jan=component(LJ,an);
sJan=0;for(j=0,p-2,aj=lift(Mod(a*j,p));sJan=sJan+x^(aj)*component(Jan,1+j));
Sn=Sn*sJan);if(Sn==1,T=1;break));if(T=0,print(p," ",e1," ",N);break))}
```

Thus, a line such as **601 25243 5** gives, for  $p = 601$ , the least prime  $\ell = \ell_N \equiv 1 \pmod{p}$  such that  $\mathcal{E}_\ell(p) = \emptyset$ ; the integer  $N = 5$  means that the previous primes  $\ell = 3607, 6011, 7213, 16829$  in the list are such that  $\mathcal{E}_\ell(p) \neq \emptyset$ . For  $p < 400$ , we only write the primes  $p, \ell_N$  for which  $N > 1$ , then a complete list for  $p \in [409, 683]$ :

p	el	N	p	el	N	p	el	N	p	el	N	p	el	N
11	67	2	197	4729	2	409	4091	2	499	1997	1	601	25243	5
29	233	2	211	10973	4	419	839	1	503	3019	1	607	20639	3
43	431	2	223	6691	2	421	4211	1	509	4073	2	613	6131	1
53	743	2	227	5903	2	431	863	1	521	16673	1	617	30851	3
97	971	2	229	5039	2	433	5197	2	523	6277	2	619	17333	3
101	809	2	233	1399	2	439	4391	1	541	9739	1	631	6311	1
109	2399	2	251	4519	2	443	887	1	547	5471	1	641	1283	1
131	1049	3	277	4987	3	449	3593	1	557	24509	3	643	10289	2
137	1097	2	337	6067	3	457	21023	3	563	7883	1	647	9059	1
157	7537	5	349	8377	2	461	9221	2	569	6829	1	653	1307	1
163	5869	3	367	3671	2	463	5557	1	571	5711	1	659	1319	1
167	7349	3	383	16087	4	467	2803	1	577	3463	2	661	14543	3
179	1433	2	389	14783	2	479	3833	1	587	8219	1	673	2693	1
181	1811	2	397	6353	2	487	1949	1	593	1187	1	677	5417	1
193	1931	2	401	10427	4	491	983	1	599	4793	1	683	4099	2

The comparison with the table of exponents of  $p$ -irregularity does not show any relation.

### Appendix B: Illustration of the theorems with $p = 37$

(a) *Computation of the sets  $\mathcal{E}_\ell(p)$ .* So it is fundamental to see if the sets  $\mathcal{E}_\ell(p)$  are independent (or not) of the choice of  $\ell \in \mathcal{L}_p$  for  $\mathcal{E}_0(p) \neq \emptyset$ . We analyze the case of  $p = 37$  ( $n_0 = 32$ ) giving  $\#\mathcal{C}_{\omega^5} = 37$  and compute (in `expp`) the sets  $\mathcal{E}_\ell(37)$  when  $\ell \in \mathcal{L}_{37}$  varies. If  $n_0 = 32 \in \mathcal{E}_\ell(37)$ , then  $\mathcal{L}_{\chi^*}$  is necessarily 37-principal and  $g_c(\ell)_{\omega^5} \in K^{\times 37}$ :

```

{p=37;c=lift(znprimroot(p));P=polycyclo(p)+Mod(0,p);X=Mod(x,P);
for(i=1,100,el=1+2*i*p;if(isprime(el)!=1,next);g=znprimroot(el);
print("el=",el," g=",lift(g);J=1;for(i=1,c-1,Ji=0;for(k=1,el-2,kk=znlog(1-g^k,g);
e=lift(Mod(kk+i*k,p));Ji=Ji-X^e);J=J*Ji);LJ=List(Jj=1;for(j=1,p-1,Jj=lift(Jj*J);
listinsert(LJ,Jj,j));for(m=1,(p-3)/2,n=2*m;Sn=Mod(1,P);for(a=1,(p-1)/2,
an=lift(Mod(a,p)^(n-1));Jan=component(LJ,an);sJan=Mod(0,P);
for(j=0,p-2,aj=lift(Mod(a*j,p));sJan=sJan+x^(aj)*component(Jan,1+j));
Sn=Sn*sJan);if(Sn=1,print(" exponent of p-primarity: ",n))}
    
```

Table I: 149<el<7000

el=149	g=2		el=3331	g=3	expp: 22
el=223	g=3		el=3701	g=2	
el=593	g=3		el=3923	g=2	
el=1259	g=2		el=4219	g=2	expp: 18,16
el=1481	g=3	expp: 30	el=4441	g=21	
el=1777	g=5		el=4663	g=3	
el=1999	g=3		el=5107	g=2	
el=2221	g=2		el=5477	g=2	
el=2591	g=7	expp: 34	el=6143	g=5	expp: 28
el=2887	g=5		el=6217	g=5	
el=3109	g=6		el=6661	g=6	
el=3257	g=3		el=6883	g=2	

Table II: 742073 <el<800000

el=742073	g=3	expp: 12	el=768343	g=11	expp: 18
el=742369	g=7		el=768491	g=10	
el=742591	g=3		el=768787	g=2	expp: 20
el=743849	g=3		el=769231	g=11	expp: 24
el=743923	g=3	expp: 16	el=769453	g=2	expp: 30
el=744071	g=22		el=772339	g=3	
el=744811	g=10		el=773153	g=3	expp: 14
el=744959	g=13	expp: 10	el=774337	g=5	expp: 28
el=745033	g=10	expp: 16	el=774929	g=3	expp: 18
el=745181	g=2		el=775669	g=10	expp: 18

el=745477	g=2		el=776483	g=2	
el=745699	g=2		el=776557	g=2	expp: 20
el=746069	g=2		el=777001	g=31	expp: 18, 28
el=746957	g=2		el=778111	g=11	
el=747401	g=3		el=778333	g=2	expp: 28
el=747919	g=3		el=778777	g=5	
el=748807	g=6	expp: 22	el=779221	g=2	
el=749843	g=2	expp: 34	el=779591	g=7	
el=750287	g=5		el=779887	g=10	expp: 18
el=750509	g=2	expp: 14, 22	el=780257	g=3	expp: 8
el=751027	g=3		el=780553	g=10	
el=751841	g=3	expp: 14, 16, 24	el=781367	g=5	expp: 34
el=752137	g=10	expp: 8	*el=781589	g=2	expp: 32
el=752359	g=3	expp: 18	el=782107	g=2	
el=752581	g=2	expp: 16	el=782329	g=13	expp: 18
el=752803	g=2	expp: 22, 32	el=782921	g=3	expp: 20
el=753617	g=3		el=783143	g=5	
el=753691	g=11	expp: 16	el=783661	g=2	
el=753839	g=7	expp: 4, 22	el=784327	g=3	
el=754283	g=2		el=784697	g=3	
el=755171	g=6		el=784919	g=7	
el=755393	g=3	expp: 22	el=785363	g=2	
el=756281	g=3	expp: 2	el=786251	g=2	
el=756799	g=15	expp: 18	el=786547	g=2	
el=757243	g=2		el=787139	g=2	expp: 20
el=757909	g=2	expp: 16	el=787361	g=6	
el=758279	g=7		el=787879	g=6	expp: 10, 18, 20
el=758501	g=2	expp: 18	el=788027	g=2	expp: 34
el=759019	g=2		el=789137	g=3	expp: 24
el=759167	g=5	expp: 12	el=790099	g=2	
el=759463	g=3		el=791209	g=7	
el=759833	g=3	expp: 4	el=791431	g=12	
el=760129	g=11		el=791801	g=3	
el=760499	g=2		*el=792023	g=5	expp: 32
el=762053	g=2		el=792689	g=3	
el=762571	g=10		el=793207	g=5	
el=763237	g=2		el=795427	g=2	
el=764051	g=2		*el=795649	g=22	expp: 2, 32
el=764273	g=3		el=795797	g=2	
el=764717	g=2	expp: 2	el=795871	g=3	
el=765383	g=5		el=796759	g=3	
el=765827	g=2	expp: 34	el=796981	g=7	
el=766049	g=3	expp: 22	el=797647	g=3	
el=766937	g=3	expp: 34	el=797869	g=10	
el=767381	g=2	expp: 18	el=798461	g=2	
el=767603	g=5	expp: 34	el=798757	g=2	
el=767677	g=5		el=800089	g=7	expp: 20

For  $\ell = 149, 223, 593, 1259, 1777, \dots$ ,  $\mathcal{E}_\ell(37) = \emptyset$ , which proves the Vandiver conjecture for  $p = 37$ , a number of times. For  $\ell = 1481$ , one finds a  $p$ -primarity for  $\chi^* = \omega^7$  ( $\chi = \omega^{30} \neq \omega^{32}$ ). Theorem 23 applies at will.

It remains to give statistics about the  $p$ -principality (or not) of the  $\mathfrak{L}_{\chi_0^*}$  when  $\ell \in \mathcal{L}_p$  varies. For  $p = 37$ ,  $\mathfrak{L}_{\chi_0^*}$  is 37-principal if and only if  $\mathfrak{L}$  is principal since the class number of  $K$  is  $h = 37$ .

(b) *Table of the classes of ideals  $\mathfrak{L}$  in  $\mathbb{Q}(\mu_{37})$ .* We give a table with a generator of  $\mathfrak{L}$  in the principal cases (indicated by \*). Otherwise, the class of  $\mathfrak{L}$  is of order 37 in  $K$ . We only write the cases where  $\mathcal{E}_\ell(37) \neq \emptyset$ .

For instance, the line  $el = 64381 * \text{expp} : 6, 32 [x^{20} + x^9 + x]$  means that for  $\ell = 64381$ , the exponents of 37-primarity are  $n = 6, n = 32$ , and that any prime ideal  $\mathcal{L} | \ell$  in  $K = \mathbb{Q}(x)$  (with  $x = \zeta_{37}$ ) is principal and generated by the integer  $x^{20} + x^9 + x$  (as given by PARI/GP):

```
{p=37;c=lift(znprimroot(p));P=polcyclo(p);K=bnfinit(P,1);P=P+Mod(0,p);
X=Mod(x,P);Lsplit=List;N=0;for(i=1,2000,el=1+2*i*p;if(isprime(el)!=1,next);
N=N+1;listinsert(Lsplit,el,N);for(j=1,N,el=Lsplit[j]);
F=bnfisintnorm(K,el);if(F!=[],print("el=",el," ",F[1]));
g=znprimroot(el);J=1;for(i=1,c-1,Ji=0;for(k=1,el-2,kk=znlog(1-g^k,g);
e=lift(Mod(kk+i*k,p));Ji=Ji-X^e);J=J*Ji);LJ=List;
Jj=1;for(j=1,p-1,Jj=lift(Jj*J);listinsert(LJ,Jj,j));for(m=1,(p-3)/2,
n=2*m;Sn=Mod(1,P);for(a=1,(p-1)/2,an=lift(Mod(a,p)^(n-1));
Jan=LJ[an];sJan=Mod(0,P);for(j=0,p-2,aj=lift(Mod(a^j,p));
sJan=sJan+x^(aj)*component(Jan,1+j));Sn=Sn*sJan);
if(Sn==1,print("el=",el," expp:",n))}
```

el=1481	expp: 30	el=56167	expp: 10, 14, 26
el=2591	expp: 34	el=57203	expp: 34
el=3331	expp: 22	el=58313	expp: 28
el=4219	expp: 16, 18	el=58757	expp: 16, 18
el=6143	expp: 28	el=58831	expp: 24, 30
el=7993	expp: 16, 20	el=59497	expp: 28
el=8363	expp: 8	el=61051	expp: 10
el=9769	expp: 20	el=62383	expp: 2
el=10657	expp: 4, 18, 26	el=62753	expp: 2
el=12433	expp: 20	el=63493	expp: 2
el=13099	expp: 28	el=64381*	expp: 6, 32 [x^20+x^9+x]
el=14431	expp: 4, 14, 22	el=66749	expp: 30
el=17021	expp: 6	el=67489*	expp: 30, 32 [x^24-x^3-x^2]
el=17909	expp: 30	el=67933	expp: 6
el=18131	expp: 22	el=68821*	expp: 32 [x^15-x^9+x^4]
el=19463	expp: 6	el=69931	expp: 12
el=20129	expp: 6	el=71411	expp: 4
el=21017	expp: 2, 4	el=72817	expp: 28
el=21313	expp: 18	el=74149	expp: 2
el=21757	expp: 8	el=75407	expp: 10
el=22349	expp: 8	el=75629	expp: 12, 20
el=23459	expp: 6	el=76961	expp: 14
el=23977	expp: 26	el=78737	expp: 28
el=25087	expp: 26	el=79181	expp: 10
el=25457	expp: 30	el=80513	expp: 16, 26
el=29009	expp: 8, 24	el=81031	expp: 18, 34
el=30859	expp: 2	el=82067	expp: 34
el=32783*	expp: 32 [x^11+x^3+x]	el=83621	expp: 34
el=33301	expp: 30	el=83843	expp: 2
el=33967	expp: 26	el=84731	expp: 6
el=36187	expp: 8	el=85027	expp: 26
el=37889	expp: 16	el=86729	expp: 22
el=38629	expp: 22	el=86951	expp: 8
el=40627	expp: 30	el=87691	expp: 24
el=40849	expp: 6	el=91243	expp: 22, 34
el=42773	expp: 4	el=91909	expp: 30
el=45289	expp: 8	el=94351	expp: 10
el=45659	expp: 26	el=94573	expp: 18
el=48619	expp: 8	el=95239	expp: 18, 28
el=48989	expp: 20	el=96497	expp: 10
el=51283	expp: 14, 16	el=98347	expp: 28
el=51431	expp: 20	el=98939	expp: 30

e1=53281 exp: 16  
 e1=55057 exp: 20

e1=99679 exp: 10, 22  
 e1=100049 exp: 14

We give some examples ( $\mathcal{L}^{1+s-1}$  is always principal giving an easy characterization):

(i) *Non-principal case*  $\mathcal{L} \mid 149$ . The instruction `bnfisintrnorm(K, 149^k)`:

```
{P=polcyclo(37);K=bnfinit(P,1);for(k=1,2,print(bnfisintrnorm(K,149^k))}
```

yields an empty set for  $k = 1$  (since  $\mathcal{L}$  is not principal) and, for  $k = 2$ , it gives (with  $x = \zeta_{37}$ ) the 18 conjugates of the real integer:

$$-2x^{35}-2x^{34}-x^{32}-2x^{31}+x^{29}-x^{28}-2x^{27}-2x^{24}-x^{23}+x^{22}-2x^{20}-x^{19}-x^{17}-2x^{16}+x^{14}-x^{13}-2x^{12}-2x^9-x^8+x^7-2x^5-x^4-2x^2-2x$$

(ii) *Principal case*  $\mathcal{L} \mid 32783$ . The principal  $\mathcal{L}$  are rare (which comes from density theorems); the first one is  $\mathcal{L} = (\zeta_{37}^{11} + \zeta_{37}^3 + \zeta_{37})$  where  $\ell = 32783$ . Thus in that case, in the relation  $\mathcal{L}_{\chi_0^*}^{b_c(\chi_0^*)} = (g_c(\ell)_{\chi_0^*})$ , the number  $g_c(\ell)_{\chi_0^*}$  must be a global 37-th power (which explains that one shall find the exponent of 37-primarity  $n_0 = 32$  equal to that of 37-irregularity in the table); unfortunately, the data are too large to be given.

Nevertheless, the reader can easily compute `factor(norm(Sn)) = 3278337·16·9` and use `K=bnfinit(P,1); idealfactor(K,Sn)`, which gives the 37-th power of  $\mathcal{L} \mid 32783$ .

We obtain the following excerpts of the table (up to  $10^6$ ) of principal cases (i.e., for  $p = 37$ , the line `e1 = 64381 exp: 6, 32` indicates that the exponents of 37-primarity are 6 and 32 and that the prime ideals above 64381 are principal):

e1=32783	exp:32	e1=64381	exp:6,32	e1=67489	exp:30,32
e1=68821	exp:32	e1=108929	exp:32	e1=132313	exp:32
e1=325379	exp:10,32	e1=332039	exp:6,10,14,32	e1=351797	exp:32
e1=364451	exp:28,32	e1=387169	exp:32	e1=396937	exp:32
e1=960151	exp:32	e1=973397	exp:32	e1=983239	exp:32
e1=1000777	exp:32	e1=1002109	exp:2,32	e1=1040959	exp:20,32

(c) *Densities of the exponents of p-primarity in  $\mathbb{Q}(\mu_{37})$  and  $\mathbb{Q}(\mu_{157})$* . The following program intends to show that all exponents of  $p$ -primarity are obtained, with (perhaps) some specific densities, taking sufficiently many  $\ell \in \mathcal{L}_p$  (each even  $n \in [2, p - 3]$ , such that  $g_c(\ell)_{\omega^{p-n}}$  is  $p$ -primary for some new  $\ell$ , is counted in the  $(n/2)$ -th component of the list `Eel`).

```
{p=37;c=lift(znprimroot(p));P=polcyclo(p)+Mod(0,p);X=Mod(x,P);Nel=0;Npp=0;Eel=List;for(j=1,(p-3)/2,listput(Eel,0,j));for(i=1,1000,e1=1+2*i*p;if(isprime(e1)!=1,next);g=znprimroot(e1);Nel=Nel+1;J=1;for(i=1,c-1, Ji=0;for(k=1,e1-2, kk=znlog(1-g^k,g);e=lift(Mod(kk+i*k,p)); Ji=Ji-X^e); J=J*Ji);LJ=List;Jj=1;for(j=1,p-1, Jj=lift(Jj*J);listinsert(LJ,Jj,j));for(m=1,(p-3)/2,n=2*m;Sn=Mod(1,P);for(a=1,(p-1)/2,an=lift(Mod(a,p)^(n-1));Jan=LJ[an];sJan=Mod(0,P);for(j=0,p-2,aj=lift(Mod(a*j,p));sJan=sJan+x^(aj)*component(Jan,1+j));Sn=Sn*sJan);if(Sn==1,Npp=Npp+1,listput(Eel,1+Bel[n/2],n/2);print(Nel," ",Npp," ",e1," ",Eel))}
```

In the first column, one shall find the index  $i$  (in `Nel`) of the prime  $\ell_i$  considered; if some index  $i$  is missing, this means that  $\mathcal{E}_{\ell_i}(p) = \emptyset$ . The second integer gives the whole number of exponents of  $p$ -primarity obtained at this step (in `Npp`); then the third one is  $\ell_i$  (in `e1`). In some cases, a prime  $\ell$  gives rise to several exponents of  $p$ -primarity:

(i) *Results for  $p = 37$* . The end of the table for the selected interval is (two excerpts):

Nel	Npp	e1
3015	1426	1414067 [83,95,84,91,80,80,86,83,92,83,97,76,83,78,85,74,76]
3015	1427	1414067 [83,95,84,91,80,80,86,83,92,83,97,76,83,78,86,74,76]
3027	1428	1419839 [83,95,84,91,80,80,86,83,92,83,98,76,83,78,86,74,76]
3030	1429	1420949 [83,95,84,91,80,80,86,83,92,83,98,76,83,78,86,75,76]
3032	1430	1421911 [83,95,85,91,80,80,86,83,92,83,98,76,83,78,86,75,76]
3033	1431	1422133 [83,95,86,91,80,80,86,83,92,83,98,76,83,78,86,75,76]
3042	1432	1428127 [83,96,86,91,80,80,86,83,92,83,98,76,83,78,86,75,76]
3889	1819	1863913[106,114,108,113,99,111,115,100,117,113,116,93,103,97,108,103,103]
3894	1820	1865911[106,114,108,114,99,111,115,100,117,113,116,93,103,97,108,103,103]
3898	1821	1868501[106,114,108,114,100,111,115,100,117,113,116,93,103,97,108,103,103]
3900	1822	1869389[106,114,108,114,100,112,115,100,117,113,116,93,103,97,108,103,103]
3900	1823	1869389[106,114,108,114,100,112,115,101,117,113,116,93,103,97,108,103,103]
3900	1824	1869389[106,114,108,114,100,112,115,101,117,113,116,93,104,97,108,103,103]

The penultimate column corresponds to the exponent of 37-irregularity  $n_0 = 32$ ; since there is no counterexamples to Vandiver’s conjecture, when this component increases, this means that the new  $\ell$  gives rise to a principal  $\mathfrak{L}$  for which  $g_c(\ell)_{\omega^5}$  is a 37-th power.

(ii) *Results for  $p = 157$ .* For  $p = 157$  (exponents of  $p$ -irregularity 62, 110), one finds the partial analogous information after 590 distinct primes  $\ell \in \mathcal{L}_p$  tested (proving also Vandiver’s conjecture for a lot of times):

Nel	Npp	e1
590	309	1161487 [9,3,2,6,8,3,1,4,5,10,3,1,3,1,6,3,4,4,2,2,1,2,5, 5,3,2,2,1,5,7,6,2,2,1,5,5,5,4,4,3,3,4,5,4,5,5,5,5,3,6,1,6,3,5,4,5, 0,2,3,5,7,3,3,3,2,4,4,7,6,6,5,6,1,7,4,7]
590	310	1161487 [9,3,2,6,8,3,1,4,5,10,3,1,3,1,6,3,4,4,2,2,1,2,5, 5,3,2,2,1,5,7,6,2,2,1,5,5,5,4,4,3,3,4,5,4,5,6,5,5,5,3,6,1,6,3,5,4,5, 0,2,3,5,7,3,3,3,2,4,4,7,6,6,5,6,1,7,4,7]
590	311	1161487 [9,3,2,6,8,3,1,4,5,10,3,1,3,1,6,3,4,4,2,2,1,2,5, 5,3,2,2,1,5,7,6,2,2,1,5,5,5,4,4,3,3,4,5,4,5,6,5,5,5,3,6,1,6,3,5,4,5, 0,2,3,5,7,3,3,3,2,4,5,7,6,6,5,6,1,7,4,7]

The remaining column of zeros (for  $n/2 = 58$ ) stops at the following lines:

Nel	Npp	e1
602	318	1185979 [9,3,2,6,8,3,2,4,6,10,3,1,3,1,6,4,4,4,2,2,1,2,5, 5,3,2,2,1,5,7,6,3,2,1,5,5,5,4,4,3,3,4,5,4,5,6,5,5,5,3,6,1,6,4,5,4,6, 0,2,3,5,7,3,3,3,3,4,5,7,6,6,5,6,1,7,4,7]
602	319	1185979 [9,3,2,6,8,3,2,4,6,10,3,1,3,1,6,4,4,4,2,2,1,2,5, 5,3,2,2,1,5,7,6,3,2,1,5,5,5,4,4,3,3,4,5,4,5,6,5,5,5,3,6,1,6,4,5,4,6, 1,2,3,5,7,3,3,3,3,4,5,7,6,6,5,6,1,7,4,7]
602	320	1185979 [9,3,2,6,8,3,2,4,6,10,3,1,3,1,6,4,4,4,2,2,1,2,5, 5,3,2,2,1,5,7,6,3,2,1,5,5,5,4,4,3,3,4,5,4,5,6,5,5,5,3,6,1,6,4,5,4,6, 1,2,4,5,7,3,3,3,3,4,5,7,6,6,5,6,1,7,4,7]

These numbers may depend on the orders of  $\omega^n$  and/or  $\omega^{p-n}$ , but this needs to be clarified taking much  $\ell \in \mathcal{L}_p$ .

(d) *Symbols computations for  $g_c(\ell)_{\chi_0^*}$ .* The program computes the symbol  $\left(\frac{g_c(\ell)_{\chi_0^*}}{\mathfrak{L}}\right)$  in the field  $\mathbb{Q}(\mu_{37})$  (see § 5.2.4):

```
{p=37;n=32;print("p=",p," n=",n);c=lift(znprimroot(p));P=polcyclo(p);X=Mod(x,P);
for(i=1,100,e1=1+2*i*p;if(isprime(e1)!=1,next);g=znprimroot(e1);M=(e1-1)/p;J=1;
for(i=1,c-1, Ji=0;for(k=1,e1-2, kk=znlog(1-g^k,g);e=lift(Mod(kk+i*k,p));
Ji=Ji-X^e);J=J*Ji);LJ=List;Jj=1;for(j=1,p-1,Jj=lift(Jj*J);listinsert(LJ,Jj,j));
Sn=1;for(a=1,p-1,an=lift(Mod(a,p)^(n-1));Jan=LJ[an];sJan=Mod(0,P);
```



```
for(j=0,p-2,aj=lift(Mod(a*j,p));sJan=sJan+X^(aj)*component(Jan,1+j));Sn=Sn*sJan;
Sn=lift(Sn);s=valuation(Sn-1,p);v=valuation(Sn,el);Sn=Sn/el^v;ro=g^M;
for(b=1,p-1,r=lift(ro^b);R=0;for(k=0,p-2,R=R+component(Sn,k+1)*r^k);
if(valuation(R,el)==0,y=R;break);u=lift(Mod(y,el)^M);
print("p=",p," el=",el," v=",v," u=",u);if(s!=0,print("Sn local pth power at P"));
if(Mod(v,p)==0 & u==1,print("Sn local pth power at L"));
if(Mod(v,p)!=0 || u!=1,print("Sn NON local pth power at L"));
if(Mod(v,p)==0 & u==1 & s!=0,print("Sn GLOBAL pth power"))}
```

```
p=37 n=32
el=149 v=259 u=102 Sn NON local pth power at L
el=223 v=259 u=132 Sn NON local pth power at L
el=6883 v=259 u=6850 Sn NON local pth power at L
el=7253 v=259 u=4947 Sn NON local pth power at L
el=32783 v=259 u=1 Sn local pth power at P
el=32783 v=259 u=1 Sn local pth power at L
el=32783 v=259 u=1 Sn GLOBAL pth power
```

We found  $u = \left(\frac{g_c(\ell)\chi_0^*}{\varrho}\right) = 1$  for the following  $\ell$  (including the underlined numbers corresponding to primes  $\ell \notin \mathcal{L}_p(\chi_0)$  such that  $g_c(\ell)\chi_0^* \in K^{\times p}$ , i.e.,  $\mathcal{L}$   $p$ -principal):

- $\ell \in \{22571; 32783; 46103; 53503; 57943; 64381; 67489; 68821; 79847; 83177; 96497; 98939; 104933; 108929; 117883; 132313; 146521; 146891; 151553; 151849; 158657; 158731; 167759; 172717; 197359; 198839; 207497\}$

confirming the existence and rarity of primes  $\ell$  in the interval  $[149; 207497]$  such that  $u = 1$ , by accident ( $g_c(\ell)\chi_0^* \notin K^{\times p}$ , i.e.,  $\mathcal{L}$  non- $p$ -principal).

For  $n = 22 \notin \mathcal{E}_0(37)$ , we found  $u = 1$  for few examples (up to  $2 \cdot 10^5$ ):

- $\ell \in \{2221; 2887; 3923; 49211; 51283; 69709; 147779; 164503; 170497; 179969; 192697; 197803\}$ ,

but  $g_c(\ell)\chi_0^*$  is not the  $p$ -th power of an  $\ell$ -ideal, whence it is never in  $K_{\varrho}^{\times p}$ . One finds an exponent of  $p$ -primarity 22 for  $\ell = 3331$ , then 14, 16 for  $\ell = 51283$ , 10 for  $\ell = 147779$ , and 28 for  $\ell = 164503$ . In the exceptional case  $\ell = 3331$ ,  $g_c(\ell)\chi_0^*$  is  $p$ -primary.

This confirms the expected properties of the symbol  $\left(\frac{g_c(\ell)\chi_0^*}{\varrho}\right)$ . A similar program computing the two symbols of  $\eta_{\chi_0}$  gives all the expected results.

(e) *Vandiver's conjecture and the 37-adic regulator of  $\mathbb{Q}(\mu_{37})_+$ .* We return to the case  $p = 37$  and  $n_0 = 32$ . We see that  $\omega^{32}$  is a character of order 9, hence a character of the real subfield  $k_9$  of degree 9, which is such that  $\mathcal{T}_{k_9} \neq 1$  from the reflection relation of Theorem (8); so,  $k_9$  admits a cyclic 37-ramified extension of degree 37 which is not unramified. To verify, we use [17, Program I], for real fields, which indeed gives  $\#\mathcal{T}_{k_9} = 37$  (nt must verify  $p^{nt} > p^t$ , the exponent of  $\mathcal{T}$ ):

```
{p=37;n=32;d=(p-1)/gcd(p-1,n);P=polsubcyclo(p,d);
K=bnfinit(P,1);nt=6;Kpn=bnrinit(K,p^nt);Hpn=Kpn[5][2];L=List;
e=matsize(Hpn)[2];R=0;for(k=1,e-1,c=Hpn[e-k+1];
if(Mod(c,p)==0,R=R+1;listinsert(L,p^valuation(c,p),1));if(R>0,
print("rk(T)=",R," K is not ",p,"-rational ",L));
if(R==0,print("rk(T)=",R," K is ",p,"-rational"))}
```

```
rk(T)=1 K is not 37-rational List([37])
```

We find here another interpretation of the reflection theorem since we have the typical formula

$$\# \mathcal{T}_+ = \# \mathcal{C}l_+ \cdot \# \mathcal{R}_+,$$

where the  $p$ -group  $\mathcal{R}_+$  is the normalized  $p$ -adic regulator of  $K_+$  [19, Proposition 5.2]. Whence  $\# \mathcal{T}_\chi = \# \mathcal{C}l_\chi \cdot \# \mathcal{R}_\chi$  and  $\mathcal{R}_{\chi^*} = 1$ , for all  $\chi \in \mathcal{X}_+$ ; but we have  $\# \mathcal{T}_{\chi^*} = \# \tilde{\mathcal{C}}l_{\chi^*}$  for the subgroup  $\tilde{\mathcal{C}}l_{\chi^*}$  of  $\mathcal{C}l_{\chi^*}$ . The above data shows that the relation  $\# \mathcal{T}_{\chi_0} = 37$  comes from  $\# \mathcal{R}_{\chi_0} = 37$ , which is not surprising because of the following:

*Remark B1.* We have the analytic formula

$$\# \mathcal{C}l_{\chi_0} = \# (E_{\chi_0} / \langle \eta_{\chi_0} \rangle),$$

where  $\eta$  is a suitable cyclotomic unit; so a classical method (explained in [55, Corollary 8.19], applied in [5, 26] and developed in [50, 51]) consists in finding  $\ell \in \mathcal{L}_p$  such that  $\eta_{\chi_0}$  is not a local  $p$ -th power at  $\ell$  proving Vandiver's conjecture at  $\chi_0$ ; so when we find that  $\mathcal{R}_{\chi_0} \neq 1$  (with  $\mathcal{C}l_{\chi_0} = 1$ ), this means that  $\eta_{\chi_0}$  generates  $E_{\chi_0}$  and is a local  $p$ -th power at  $p$  by  $p$ -primarity, so that  $K(\sqrt[p]{\eta_{\chi_0}})$  is contained in the  $\chi_0^*$ -component of the  $p$ -Hilbert class field of  $K$ . From § 5.2.4, the probability of  $p$ -primarity of  $\mathfrak{g}_c(\ell)_{\chi_0^*}$  may be at most  $\frac{O(1)}{p^2}$ .

### Appendix C: Heuristics from $p$ -ramification theory

We give the two examples of real quadratic fields and cyclic cubic fields. This suggests that for totally real fields (like  $K_+$ ), abelian  $p$ -ramification is essentially governed by the normalized  $p$ -adic regulator and that the  $p$ -class group is in some sense a 'secondary' invariant.

(a) *Real quadratic fields and  $p \geq 3$  fixed.* For each of the ND real quadratic field of discriminant  $D \in [\text{bD}, \text{BD}]$ , for which  $T \neq 1$  (counted in Nt), we compute the proportions of cases for which this is due to  $\# \mathcal{C}l$  or  $\# \mathcal{R}$ . We favor the case  $\mathcal{C}l \neq 1$  (counted in Nh) even if the two groups  $\mathcal{C}l$  and  $\mathcal{R}$  are both non-trivial; this may give a slightly larger proportion for  $\frac{\text{Nh}}{\text{Nt}}$  but a much faster program:

```
{p=3;bD=10^6;BD=10^6+5*10^4;ND=0;Nh=0;Nt=0;
for(D=bD,BD,e=valuation(D,2);M=D/2^e;
if(core(M)!=M,next);if((e==1||e>3)||((e==0&Mod(M,4)!=1)||((e==2&Mod(M,4)==1),next);
m=D;if(e!=0,m=D/4);ND=ND+1;P=x^2-m;K=bnfinit(P,1);Kpn=bnrinit(K,p^2);
Hpn0=Kpn.no;Hpn=Kpn.cyc;Hpn1=Hpn[1];vptor=valuation(Hpn0/Hpn1,p);
if(vptor>=1,Nt=Nt+1;h=K.no;vph=valuation(h,p);
if(vph>=1,Nh=Nh+1));print(["bD"," ",BD,""]);print
("p=",p," ND=",ND," Nt=",Nt," Nh=",Nh," Nh/Nt=",Nh/Nt+0.,," 1/p=",1./p)}
[bD, BD]=[1000000, 10050000]
p=3 ND=15204 Nt=7308 Nh=2050 Nh/Nt=0.28051450 1/p=0.33333333
p=5 ND=15204 Nt=3522 Nh=634 Nh/Nt=0.18001135 1/p=0.20000000
p=7 ND=15204 Nt=2464 Nh=331 Nh/Nt=0.13433441 1/p=0.14285714
p=11 ND=15204 Nt=1497 Nh=97 Nh/Nt=0.06479625 1/p=0.09090909
[bD, BD]=[10000000, 10050000]
p=3 ND=15198 Nt=7516 Nh=2161 Nh/Nt=0.28751995 1/p=0.33333333
p=5 ND=15198 Nt=3597 Nh=720 Nh/Nt=0.20016680 1/p=0.20000000
p=7 ND=15198 Nt=2443 Nh=347 Nh/Nt=0.14203847 1/p=0.14285714
p=11 ND=15198 Nt=1512 Nh=122 Nh/Nt=0.08068783 1/p=0.09090909
```

```
[bD, BD]=[100000000, 100100000]
p=3  N=30410  Nt=15133  Nh=4456  Nh/Nt=0.29445582  1/p=0.33333333
p=5  N=30410  Nt=7122  Nh=1502  Nh/Nt=0.21089581  1/p=0.20000000
```

The proportion  $Nh/Nt$  becomes close to  $\frac{1}{p}$  for intervals with large discriminants.

(b) *Cyclic cubic fields and  $p \equiv 1 \pmod{3}$  fixed.* We obtain analogous results with the same rough calculation (e.g., we may have  $\mathcal{C}\ell_{\chi_1} \neq 1$  and  $\mathcal{R}_{\chi_1} \neq 1$  or  $\mathcal{R}_{\chi_2} \neq 1$ ), but this does not affect the statistics ( $f \in [\mathbf{bf}, \mathbf{Bf}]$  denotes the conductor); the program uses the classical representation of  $f$ , under the form  $\frac{a^2+27b^2}{4}$  with some congruences on  $a$  and  $b$ , and the corresponding defining polynomials:

```
{p=7;bf=10^5;Bf=5*10^5;Nf=0;Nh=0;Nt=0;for(f=bf,Bf,e=valuation(f,3);
if(e!=0 & e!=2,next);F=f/3^e;if(Mod(F,3)!=1||core(F)!=F,next);F=factor(F);
D=component(F,1);d=component(matsize(F),1);for(j=1,d-1,l=component(D,j);
if(Mod(l,3)!=1,break));for(b=1,sqrt(4*f/27),if(e==2 & Mod(b,3)==0,next);
A=4*f-27*b^2;if(issquare(A,&a)==1,if(e==0,if(Mod(a,3)==1,a=-a);
P=x^3+x^2+(1-f)/3*x+(f*(a-3)+1)/27);if(e==2,if(Mod(a,9)==3,a=-a);
P=x^3-f/3*x-f*a/27);Nf=Nf+1;K=bnfinit(P,1);Kpn=bnrinit(K,p^2);
Hpn0=Kpn.no;Hpn=Kpn.cyc;Hpn1=Hpn[1];vptor=valuation(Hpn0/Hpn1,p);
if(vptor>=1,Nt=Nt+1;h=K.no;vph=valuation(h,p);
if(vph>=1,Nh=Nh+1));print("[",bf,", ",Bf,"]");print
("p=",p," Nf=",Nf," Nt=",Nt," Nh=",Nh," Nh/Nt=",Nh/Nt+0.0," 1/p=",1./p)}
```

```
[bf, Bf]=[50000, 100000]
p=7  Nf=7928  Nt=2302  Nh=344  Nh/Nt=0.14943527  1/p=0.14285714
[bf, Bf]=[100000, 500000]
p=7  Nf=63427  Nt=18533  Nh=2690  Nh/Nt=0.14514649  1/p=0.14285714
[bf, Bf]=[100000, 500000]
p=13  Nf=63427  Nt=9979  Nh=754  Nh/Nt=0.07555867  1/p=0.07692307
[bf, Bf]=[100000, 500000]
p=19  Nf=63427  Nt=6850  Nh=389  Nh/Nt=0.05678832  1/p=0.05263157
[bf, Bf]=[100000, 500000]
p=31  Nf=63427  Nt=4316  Nh=139  Nh/Nt=0.03220574  1/p=0.03225806
```

## References

- [1] Anglès B and Nuccio FAE, On Jacobi sums in  $\mathbb{Q}(\zeta_p)$ , *Acta Arithmetica* **142(3)** (2010) 199–218, <https://perso.univ-st-etienne.fr/nf51454h/PDF/jacobi.pdf>
- [2] Bayer–Fluckiger E, Emery V and Houriet J, Hermitian lattices and bounds in  $K$ -theory of algebraic integers, *Documenta Math. Extra Volume Merkurjev* (2015) 71–83, <https://www.math.uni-bielefeld.de/documenta/vol-merkurjev/>
- [3] Bellaïche J and Pollack R, Congruences with Eisenstein series and  $\mu$ -invariants, *Compositio Mathematica* **155(5)** (2019) 863–901, <https://doi.org/10.1112/S0010437X19007127>
- [4] Berger T, Oddness of residually reducible Galois representations, *Int. J. Number Theory* **14(5)** (2018) 1329–1345, <https://doi.org/10.1142/S1793042118500835>
- [5] Buhler J P and Harvey D, Irregular primes to 163 million, *Math. Comp.* **80(276)** (2011) 2435–2444, <https://doi.org/10.1090/S0025-5718-2011-02461-0>
- [6] Coleman R F, Anderson–Ihara theory: Gauss sums and circular units, *Algebraic number theory*, *Adv. Stud. Pure Math.*, vol. 17 (1989) (Boston, MA: Academic Press) pp. 55–72, <https://doi.org/10.2969/aspm/01710055>
- [7] Conrad K, Jacobi sums and Stickelberger’s congruence, *Enseign. Math.* **41** (1995) 141–153, <http://www.math.uconn.edu/~kconrad/articles/jacobistick.pdf>
- [8] Davis R and Pries R, Cohomology groups of Fermat curves via ray class fields of cyclotomic fields (2018) <https://arxiv.org/pdf/1806.08352>

- [9] Ellenberg J S and Venkatesh A, Reflection principles and bounds for class group torsion, *Int. Math. Res. Not.* **2007(1)** (2007) <https://doi.org/10.1093/imrn/rnm002>
- [10] Ghate E, Vandiver's conjecture via  $K$ -theory, Summer School on Cyclotomic Fields, Pune (1999) <http://www.math.tifr.res.in/%7Eeghate/vandiver.pdf>
- [11] Gras G and Jaulent J-F, Sur les corps de nombres réguliers, *Math. Z.* **202(2)** (1989) 343–365, <https://eudml.org/doc/174095>
- [12] Gras G, Étude d'invariants relatifs aux groupes des classes des corps abéliens, Journées Arithmétiques de Caen (Univ. Caen, Caen, 1976) pp. 35–53, Astérisque, No. 41–42, Soc. Math. France, Paris (1977) [http://www.numdam.org/book-part/AST\\_1977\\_\\_41-42\\_\\_35\\_0/](http://www.numdam.org/book-part/AST_1977__41-42__35_0/)
- [13] Gras G, Sur la  $p$ -ramification abélienne, Conférence donnée à l'University Laval, Québec, Mathematical Series of the Department of Mathematics vol. 20 (1984) pp. 1–26, <https://www.dropbox.com/s/fusia63zmk0kcky/Lectures1982.pdf?dl=0>
- [14] Gras G, Class Field Theory: From Theory to Practice, corr. 2nd ed., Springer Monographs in Mathematics (2005) (Springer) xiii+507 pages, <https://doi.org/10.1007/978-3-662-11323-3>
- [15] Gras G, Approche  $p$ -adique de la conjecture de Greenberg pour les corps totalement réels, *Ann. Math. Blaise Pascal* **24(2)** (2017) 235–291, [http://ambp.cedram.org/item?id=AMBP\\_2017\\_\\_24\\_2\\_235\\_0](http://ambp.cedram.org/item?id=AMBP_2017__24_2_235_0)
- [16] Gras G, Normes d'idéaux dans la tour cyclotomique et conjecture de Greenberg, *Ann. Mathématiques du Québec* **43(2)** (2019) 249–280, <https://doi.org/10.1007/s40316-018-0108-3>
- [17] Gras G, On  $p$ -rationality of number fields, Applications – PARI/GP Programs, Publ. Math. Fac. Sci. Besançon (Algèbre et Théorie des Nombres) no. 2 (2019), pp. 29–51. [https://pmb.centre-mersenne.org/item/PMB\\_2019\\_\\_2\\_29\\_0/](https://pmb.centre-mersenne.org/item/PMB_2019__2_29_0/)
- [18] Gras G, Annihilation of  $\text{tor}_{\mathbb{Z}_p}(\mathcal{G}_{K,S}^{\text{ab}})$  for real abelian extensions  $K/\mathbb{Q}$ , *Commun. Adv. Math. Sci.* **1(1)** (2018) 5–34, <http://dergipark.gov.tr/download/article-file/543993>
- [19] Gras G, The  $p$ -adic Kummer–Leopoldt constant: Normalized  $p$ -adic regulator, *Int. J. Number Theory* **14(2)** (2018) 329–337, <https://doi.org/10.1142/S1793042118500203>
- [20] Gras G, Heuristics and conjectures in direction of a  $p$ -adic Brauer–Siegel theorem, *Math. Comp.* **88(318)** (2018)–(2019) 1929–1965, <https://doi.org/10.1090/mcom/3395>
- [21] Gras G, Practice of the incomplete  $p$ -ramification over a number field – History of abelian  $p$ -ramification, *Commun. Adv. Math. Sci.* **2(4)** (2019) 251–280, <https://dergipark.org.tr/en/download/article-file/906434>
- [22] Greenberg R, On the Iwasawa invariants of totally real number fields, *Amer. J. Math.* **98(1)** (1976) 263–284, <http://www.jstor.org/stable/2373625?>
- [23] Greenberg R, On the Jacobian variety of some algebraic curves, *Compositio Math.* **42(3)** (1980) 345–359, [http://www.numdam.org/article/CM\\_1980\\_\\_42\\_3\\_345\\_0.pdf](http://www.numdam.org/article/CM_1980__42_3_345_0.pdf)
- [24] Greenberg R, Galois representations with open image, *Ann. de Mathématiques du Québec* **40(1)** (2016) 83–119, <https://doi.org/10.1007/s40316-015-0050-6>
- [25] Gupta S and Don Zagier, On the coefficients of the minimal polynomials of Gaussian periods, *Math. Comp.* **60(201)** (1993) 385–398, <https://www.jstor.org/stable/2153175>
- [26] Hart W, Harvey D and Ong W, Irregular primes to two billion, *Math. Comp.* **86(308)** (2017) 3031–3049, <https://doi.org/10.1090/mcom/3211>
- [27] Ichimura H and Kaneko M, On the universal power series for Jacobi sums and the Vandiver conjecture, *J. Number Theory* **31(3)** (1989) 312–334, <https://core.ac.uk/download/pdf/81986387.pdf>
- [28] Ichimura H, Local units modulo Gauss sums, *J. Number Theory* **68(1)** (1998) 36–56, <https://doi.org/10.1006/jnth.1997.2206>
- [29] Iwasawa K, A note on Jacobi sums, *Symposia Mathematica* vol. 15 (1975) (Academic Press) pp. 447–459
- [30] Jaulent J-F, Unités et classes dans les extensions métabéliennes de degré  $n\ell^s$  sur un corps de nombres algébriques, *Ann. Inst. Fourier (Grenoble)* **31(1)** (1981) 39–62, [http://www.numdam.org/article/AIF\\_1981\\_\\_31\\_1\\_39\\_0.pdf/](http://www.numdam.org/article/AIF_1981__31_1_39_0.pdf/)
- [31] Kakde M and Wojtkowiak Z, A note on the main conjecture over  $\mathbb{Q}$  (2018) <https://arxiv.org/pdf/1812.04360>

- [32] Kersten I and Michaliček J, On Vandiver's conjecture and  $\mathbb{Z}_p$ -extensions of  $\mathbb{Q}(\zeta_{p^n})$ , *J. Number Theory* **32**(3) (1989) 371–386, [https://doi.org/10.1016/0022-314X\(89\)90091-7](https://doi.org/10.1016/0022-314X(89)90091-7)
- [33] Kurihara M, Some remarks on conjectures about cyclotomic fields and  $K$ -groups of  $\mathbb{Z}$ , *Compositio Math.* **81**(2) (1992) 223–236, [http://www.numdam.org/item/CM\\_1992\\_\\_81\\_2\\_223\\_0](http://www.numdam.org/item/CM_1992__81_2_223_0)
- [34] Lecouturier E, On the Galois structure of the class group of certain Kummer extensions, *J. London Math. Soc.* **98**(2) (2018) 35–58, <https://doi.org/10.1112/jlms.12123>
- [35] Maire C, Genus theory and governing fields, *New York J. Math.* **24** (2018) 1056–1067, <https://www.emis.de/journals/NYJM/NYJM/nyjm/j/2018/24-50v.pdf>
- [36] McCallum W G, Greenberg's conjecture and units in multiple  $\mathbb{Z}_p$ -extensions, *Amer. J. Math.* **123**(5) (2001) 909–930, <https://www.jstor.org/stable/25099088>
- [37] McCallum W G and Sharifi R T, A cup product in the Galois cohomology of number fields, *Duke Math. J.* **120**(2) (2003) 269–310, <http://math.ucla.edu/~sharifi/pairing.pdf>
- [38] Mézard A, Obstructions aux déformations de représentations galoisiennes réductibles et groupes de classes, *Journal de théorie des nombres de Bordeaux* **17**(2) (2005) 607–618, <https://doi.org/10.5802/jtnb.510>
- [39] Mihăilescu P, Turning Washington's Heuristics in Favor of Vandiver's Conjecture, in: *Essays in Mathematics and its Applications in Honor of Stephen Smale's 80th Birthday*, edited by P Pardalos and T Rassias (2012) (Springer-Verlag) pp. 287–294, <http://poivs.tsput.ru/en/Biblio/Publication/11335>
- [40] Ribet K A, A modular construction of unramified  $p$ -extensions of  $\mathbb{Q}(\mu_p)$ , *Invent. Math.* **34**(3) (1976) 151–162, [https://math.berkeley.edu/~ribet/Articles/invent\\_34.pdf](https://math.berkeley.edu/~ribet/Articles/invent_34.pdf)
- [41] Ribet K A, Bernoulli numbers and ideal classes, in: *L'héritage scientifique de Jacques Herbrand*, *Gaz. Math.* vol. 118 (2008) pp. 42–49, <https://smf.emath.fr/publications/la-gazette-des-mathematiciens-118-octobre-2008>
- [42] Schoof R, Class numbers of real cyclotomic fields of prime conductor, *Math. Comp.* **72**(242) (2003) 913–937, <https://doi.org/10.1090/S0025-5718-02-01432-1>
- [43] Schmidt C G, On ray class annihilators of cyclotomic fields, *Invent. Math.* **66**(2) (1982) 215–230, <https://eudml.org/doc/142878>
- [44] Sharifi R T, On Galois groups of unramified pro- $p$  extensions, *Math. Ann.* **342**(2) (2008) 297–308, <https://doi.org/10.1007/s00208-008-0236-1>
- [45] Sharifi R T, A reciprocity map and the two-variable  $p$ -adic  $L$ -function, *Ann. Math. (2)* **173**(1) (2011) 251–300, <https://www.jstor.org/stable/29783202>
- [46] Sharifi R T, Relationships between conjectures on the structure of pro- $p$  Galois groups unramified outside  $p$ , in: *Arithmetic Fundamental Groups and Noncommutative Algebra* (Berkeley, CA, 1999) *Proc. Sympos. Pure Math.*, vol. 70 (2002) (Providence, RI: Amer. Math. Soc.) pp. 275–284
- [47] Shu J, Root numbers and Selmer groups for the Jacobian varieties of Fermat curves (2018) <https://arxiv.org/pdf/1809.09285>
- [48] Soulé C, Perfect forms and the Vandiver conjecture, *J. Reine Angew. Math.* **517** (1999) 209–221, <https://doi.org/10.1515/crll.1999.095>
- [49] Soulé C, A bound for the torsion in the  $K$ -theory of algebraic integers, *Documenta Math. Extra Vol. Kato* (2003) 761–788, <http://preprints.ihes.fr/M02/M02-82.pdf>
- [50] Thaine F, On the  $p$ -part of the ideal class group of  $\mathbb{Q}(\zeta_p + \zeta_p^{-1})$  and Vandiver's conjecture, *Michigan Math. J.* **42**(2) (1995) 311–344, <https://projecteuclid.org/euclid.mmj/1029005231>
- [51] Thaine F, On the coefficients of Jacobi sums in prime cyclotomic fields, *Trans. Amer. Math. Soc.* **351**(12) (1999) 4769–4790, <https://doi.org/10.1090/S0002-9947-99-02223-0>
- [52] The PARI Group, PARI/GP, version 2.9.0 (2016) (Université de Bordeaux)
- [53] Wake P and Erickson C W, Ordinary pseudorepresentations and modular forms, *Proc. Amer. Math. Soc. Ser. B* **4** (2017) 53–71, <https://doi.org/10.1090/bproc/29>
- [54] Wake P and Erickson C W, Pseudo-modularity and Iwasawa theory, *Amer. J. Math.* **140**(4) (2018) 977–1040, <https://doi.org/10.1353/ajm.2018.0022>

- [55] Washington L C, Introduction to cyclotomic fields, Graduate Texts in Mathematics, vol. 83 (1997) (New York: Springer-Verlag) xiv+487 pp.
- [56] Weil A, Jacobi sums as “Größencharaktere”, *Trans. Amer. Math. Soc.* **73** (1952) 487–495  
<https://www.jstor.org/stable/1990804>

COMMUNICATING EDITOR: U K Anandavardhanan