



Hardy's inequality for the fractional powers of the Grushin operator

RAKESH BALHARA 

Department of Mathematics, Indian Institute of Science, Bangalore 560 012, India
E-mail: rakeshbalhara@gmail.com

MS received 26 November 2017; revised 14 June 2018; accepted 18 June 2018;
published online 11 April 2019

Abstract. We prove Hardy's inequality for the fractional powers of the generalized subLaplacian and the fractional powers of the Grushin operator. We also find an integral representation and a ground state representation for the fractional powers of the generalized subLaplacian.

Keywords. Fractional Grushin operator; fractional generalized subLaplacian; Hardy's inequality; ground state representation; Hecke–Bochner formula.

2010 Mathematics Subject Classification. Primary: 35A23; Secondary: 26A33, 26D10, 42B37, 42C10, 47A63.

1. Introduction and main results

The study of various kinds of inequalities for various differential operators are important in understanding many practical problems in physics. Moreover, sharpness of the constants involved in these inequalities is directly related to the existence and nonexistence results for certain partial differential equations.

The well-known Hardy's inequality for continuously differentiable functions on \mathbb{R}^n ($n \geq 3$) is given by

$$\int_{\mathbb{R}^n} |\nabla f|^2 dx \geq c_n \int_{\mathbb{R}^n} \frac{|f(x)|^2}{|x|^2} dx, \quad (1.1)$$

where ∇ is the standard gradient operator on \mathbb{R}^n . Moreover, sharp value of the constant c_n involved in the inequality is known to be equal to $\frac{(n-2)^2}{4}$. By sharp value of the constant, we mean that the inequality will not hold true if we take value of $c_n > \frac{(n-2)^2}{4}$.

We recall that the classical Laplacian Δ on \mathbb{R}^n is defined by $\Delta = -\sum_{j=1}^n \frac{\partial^2}{\partial x_j^2}$. For $f \in L^2(\mathbb{R}^n)$ such that $\Delta f \in L^2(\mathbb{R}^n)$, the inequality (1.1) can be shown equivalent to the inequality:

$$\langle \Delta f, f \rangle \geq \frac{(n-2)^2}{4} \int_{\mathbb{R}^n} \frac{|f(x)|^2}{|x|^2} dx. \quad (1.2)$$

Hardy’s inequality has been generalized for fractional powers of Laplacian. Recall that fractional powers of Laplacian Δ^s for $s > 0$ is defined via spectral decomposition (or Fourier transform) as

$$\Delta^s f(x) = \frac{1}{(2\pi)^n} \int_{\mathbb{R}^n} |\xi|^{2s} \hat{f}(\xi) e^{ix \cdot \xi} d\xi, \tag{1.3}$$

where $\hat{f}(\xi)$ is the Fourier transform defined by $\int_{\mathbb{R}^n} f(x) e^{-ix \cdot \xi} dx$. With this definition, we state the Hardy’s inequality for the fractional powers of Laplacian. For $f \in L^2(\mathbb{R}^n)$ such that $\Delta^s f \in L^2(\mathbb{R}^n)$, we have for $0 < s < 1$,

$$\langle \Delta^s f, f \rangle \geq 4^s \left(\frac{\Gamma(\frac{n+2s}{4})}{\Gamma(\frac{n-2s}{4})} \right)^2 \int_{\mathbb{R}^n} \frac{|f(x)|^2}{|x|^{2s}} dx. \tag{1.4}$$

Though the constant involved in the inequality is sharp, the equality is never achieved for any non zero function. Hardy’s inequality for the fractional powers of Laplacian has been extensively studied in literature. We refer to [1, 4, 6, 13] for more details.

On the other hand, there is another version of Hardy’s inequality for fractional powers of Laplacian, where the homogeneous weight $|x|^{2s}$ has been replaced by a non-homogeneous weight $(\delta + |x|^2)^{2s}$, $\delta > 0$:

$$\langle \Delta^s f, f \rangle \geq (4\delta)^s \left(\frac{\Gamma(\frac{n+2s}{2})}{\Gamma(\frac{n-2s}{2})} \right)^2 \int_{\mathbb{R}^n} \frac{|f(x)|^2}{(\delta + |x|^2)^{2s}} dx. \tag{1.5}$$

The constant in the inequality is sharp and equality is achieved for $f(x) = (\delta + |x|^2)^{-(n-2s)/2}$. Though the inequality (1.5) is well known, we are unable to find a reference where this inequality is actually proved.

In this article, we are interested in proving a similar inequality for the fractional powers of Grushin operator. Recall that the Grushin operator \mathcal{G} on \mathbb{R}^{n+1} is defined by

$$\mathcal{G} = -\frac{1}{2} \left(\sum_{j=1}^n \frac{\partial^2}{\partial x_j^2} + |x|^2 \frac{\partial^2}{\partial w^2} \right). \tag{1.6}$$

Using the spectral decomposition, we can define fractional powers of Grushin operator \mathcal{G}^s for any $s > 0$ by

$$\mathcal{G}^s f(x, w) = \frac{1}{2\pi} \int_{\mathbb{R}} \sum_{k=0}^{\infty} ((k + n/2)|\lambda|)^s \mathcal{P}_k(\lambda) f^\lambda(x) e^{-i\lambda w} d\lambda, \tag{1.7}$$

where $\mathcal{P}_k(\lambda)$ are the orthogonal projections of $L^2(\mathbb{R}^n)$ onto the eigenspaces E_k^λ corresponding to the eigenvalues $(2k + n)|\lambda|$ of the scaled Hermite operator $\mathcal{H}(\lambda)$ defined on \mathbb{R}^n as

$$\mathcal{H}(\lambda) = -\sum_{j=1}^n \frac{\partial^2}{\partial x_j^2} + \lambda^2 |x|^2, \tag{1.8}$$

and f^λ is the inverse Fourier transform of f in the the last variable, that is,

$$f^\lambda(x) = \int_{\mathbb{R}} f(x, w) e^{iw\lambda} dw. \tag{1.9}$$

However, it is convenient to work with the following modified fractional powers:

$$\mathcal{G}_s f(x, w) = \frac{1}{2\pi} \int_{\mathbb{R}} \sum_{k=0}^{\infty} (2|\lambda|)^s \frac{\Gamma(\frac{2k+n}{4} + \frac{1+s}{2})}{\Gamma(\frac{2k+n}{4} + \frac{1-s}{2})} \mathcal{P}_k(\lambda) f^\lambda(x) e^{-i\lambda w} d\lambda. \tag{1.10}$$

Notice that \mathcal{G}^s differs from \mathcal{G}_s by a bounded operator, that is, there exists a bounded operator V_s such that $\mathcal{G}^s = V_s \mathcal{G}_s$, which justifies the proving of Hardy type inequality for \mathcal{G}_s .

We denote by $W^{s,2}(\mathbb{R}^{n+1})$, the Sobolev space consisting of all $L^2(\mathbb{R}^{n+1})$ functions such that $\mathcal{G}_s f \in L^2(\mathbb{R}^{n+1})$. The main theorem that we will prove in this article is the following:

Theorem 1.1. For $f \in W^{s,2}(\mathbb{R}^{n+1})$, $0 < s < 1$ and $\delta > 0$, we have

$$\langle \mathcal{G}_s f, f \rangle \geq (4\delta)^s \left(\frac{\Gamma(\frac{n/2+s+1}{2})}{\Gamma(\frac{n/2-s+1}{2})} \right)^2 \int_{\mathbb{R}^{n+1}} \frac{|f(x, w)|^2}{\left(\left(\delta + \frac{|x|^2}{2} \right)^2 + w^2 \right)^s} dx dw.$$

Also, the constant in the inequality is sharp and equality is achieved for

$$f(x, w) = \left(\left(\delta + \frac{|x|^2}{2} \right)^2 + w^2 \right)^{-\frac{n/2-s+1}{2}}.$$

In order to prove the above theorem, we prove an analogous theorem for the fractional powers of generalized subLaplacian. We define generalized subLaplacian \mathcal{L} on $\mathbb{R}^+ \times \mathbb{R}$ for $\alpha > -1/2$ by

$$\mathcal{L} = -\frac{1}{2} \left(\frac{\partial^2}{\partial x^2} + \frac{2\alpha + 1}{x} \frac{\partial}{\partial x} + x^2 \frac{\partial^2}{\partial w^2} \right). \tag{1.11}$$

Using the spectral decomposition, we define fractional powers of generalized subLaplacian \mathcal{L}^s for $s > 0$ as

$$\begin{aligned} \mathcal{L}^s f(x, w) &= \frac{1}{\pi \Gamma(\alpha + 1)} \int_{\mathbb{R}} \sum_{k=0}^{\infty} (|\lambda|(2k + \alpha + 1))^s \hat{f}(\lambda, k) \phi_{k,\lambda}^\alpha(x) \\ &\quad \times |\lambda|^{\alpha+1} e^{-i\lambda w} d\lambda, \end{aligned} \tag{1.12}$$

where $\hat{f}(\lambda, k)$ is the Laguerre transform defined as

$$\hat{f}(\lambda, k) = \frac{\Gamma(\alpha + 1)\Gamma(k + 1)}{\Gamma(\alpha + k + 1)} \int_0^\infty \left(\int_{\mathbb{R}} f(x, w) e^{i\lambda w} dw \right) \phi_{k,\lambda}^\alpha(x) x^{2\alpha+1} dx,$$

and $\phi_{k,\lambda}^\alpha$ defined as

$$\phi_{k,\lambda}^\alpha(x) = L_k^\alpha(|\lambda|x^2) e^{-\frac{1}{2}|\lambda|x^2},$$

with L_k^α denoting the Laguerre polynomials of order α . For more details, see section 2.3. However, it is convenient to work with the following modified fractional powers of \mathcal{L} . For $s > 0$, we define \mathcal{L}_s by

$$\begin{aligned} \mathcal{L}_s f(x, w) &= \frac{1}{\pi \Gamma(\alpha + 1)} \int_{\mathbb{R}} \sum_{k=0}^{\infty} \left((2|\lambda|)^s \frac{\Gamma(\frac{2k+\alpha+1}{2} + \frac{1+s}{2})}{\Gamma(\frac{2k+\alpha+1}{2} + \frac{1-s}{2})} \hat{f}(\lambda, k) \phi_{k,\lambda}^\alpha(x) \right) \\ &\quad \times |\lambda|^{\alpha+1} e^{-i\lambda w} d\lambda. \end{aligned} \tag{1.13}$$

Again, we denote by $W^{s,2}(\mathbb{R}^+ \times \mathbb{R})$, the space consisting of all functions f such that both f and $\mathcal{L}_s f$ belong to $L^2(\mathbb{R}^+ \times \mathbb{R})$. We will prove the following Hardy type inequality for \mathcal{L}_s .

Theorem 1.2. For $f \in W^{s,2}(\mathbb{R}^+ \times \mathbb{R})$, $0 < s < 1$ and $\delta > 0$, we have

$$\langle \mathcal{L}_s f, f \rangle \geq (4\delta)^s \left(\frac{\Gamma(\frac{\alpha+s+2}{2})}{\Gamma(\frac{\alpha-s+2}{2})} \right)^2 \int_{\mathbb{R}^+} \int_{\mathbb{R}} \frac{|f(x, w)|^2}{((\delta + \frac{x^2}{2})^2 + w^2)^s} dw dx.$$

Moreover, the constant in the inequality is sharp and equality is achieved for

$$f(x, w) = ((\delta + x^2/2)^2 + w^2)^{-\frac{\alpha-s+2}{2}}.$$

We outline the contents of this paper. In section 2, we give preliminaries, definitions and facts concerning Laguerre expansions, fractional powers of subLaplacian, fractional powers of Grushin, spherical harmonics and Hecke–Bochner formula. In section 3, we will prove the Hardy type inequality for the fractional powers of subLaplacian. Integral representation and ground state representation for the fractional powers of subLaplacian are also calculated in this section. In section 4, Hardy type inequality for the fractional powers of Grushin will be proved.

2. Preliminaries

2.1 Laguerre expansions on \mathbb{R}^+

Let $\alpha > -1/2$. We equip $Y = \mathbb{R}^+$ with the measure $x^{2\alpha+1} dx$, where dx is the standard Lebesgue measure. For $\lambda \in \mathbb{R} \setminus \{0\}$ and $k = 0, 1, 2, \dots$, we define *Laguerre functions* $\phi_{k,\lambda}^\alpha$ by

$$\phi_{k,\lambda}^\alpha(x) = L_k^\alpha(|\lambda|x^2) e^{-\frac{1}{2}|\lambda|x^2}, \quad (2.1)$$

where L_k^α are the Laguerre polynomials of type α . We also define

$$\tilde{\phi}_{k,\lambda}^\alpha(x) = \left(\frac{2\Gamma(k+1)|\lambda|^{\alpha+1}}{\Gamma(\alpha+k+1)} \right)^{\frac{1}{2}} \phi_{k,\lambda}^\alpha(x). \quad (2.2)$$

PROPOSITION 2.1

For $\lambda \neq 0$, the collection $\{\tilde{\phi}_{k,\lambda}^\alpha(x)\}_{k=0}^\infty$ forms an orthonormal basis for $L^2(Y, x^{2\alpha+1} dx)$.

For proof, see Proposition 2.4.2 of [11].

For $x \in Y$, define *Laguerre translation* $T_{\alpha,\lambda}^x$ for functions on Y by

$$T_{\alpha,\lambda}^x f(y) = \frac{\Gamma(\alpha+1)2^\alpha}{\sqrt{2\pi}} \int_0^\pi f((x^2 + y^2 + 2xy \cos(\theta))^{\frac{1}{2}}) j_{\alpha-\frac{1}{2}} \times (|\lambda|xy \sin \theta) \sin^{2\alpha} \theta d\theta, \quad (2.3)$$

where $j_\alpha(t) = J_\alpha(t)t^{-\alpha}$. Here J_α is the Bessel functions of order α . Though the definition of Laguerre translation is quite complicated, its action on Laguerre functions is simple enough.

PROPOSITION 2.2

We have

$$T_{\alpha,\lambda}^y \phi_{k,\lambda}^\alpha(x) = \frac{\Gamma(\alpha + 1)\Gamma(k + 1)}{\Gamma(\alpha + k + 1)} \phi_{k,\lambda}^\alpha(y) \phi_{k,\lambda}^\alpha(x).$$

For proof, we refer to Theorem 6.1 of [12].

Using Laguerre translation, we define *Laguerre convolution* $f *_\lambda g$ for functions $f, g \in L^1(Y, x^{2\alpha+1} dx)$ as

$$f *_\lambda g(x) = \int_0^\infty T_{\alpha,\lambda}^y f(x)g(y)y^{2\alpha+1} dy. \tag{2.4}$$

We quickly recall Hilbert space theory for $L^2(Y, x^{2\alpha+1} dx)$. Since $\{\tilde{\phi}_{k,\lambda}^\alpha\}_{k=0}^\infty$ forms an orthonormal basis for $L^2(Y, x^{2\alpha+1} dx)$, we have $f = \sum_{k=0}^\infty \langle f, \tilde{\phi}_{k,\lambda}^\alpha \rangle \tilde{\phi}_{k,\lambda}^\alpha$ in L^2 norm. So, for $k = 0, 1, 2, \dots$, if we also define *Laguerre coefficients* $\hat{f}(k)$ for the function $f \in L^2(Y, x^{2\alpha+1} dx)$ by

$$\hat{f}(k) = \frac{\Gamma(\alpha + 1)\Gamma(k + 1)}{\Gamma(\alpha + k + 1)} \int_Y f(x) \phi_{k,\lambda}^\alpha(x) x^{2\alpha+1} dx, \tag{2.5}$$

then we have

$$f = \frac{2|\lambda|^{\alpha+1}}{\Gamma(\alpha + 1)} \sum_{k=0}^\infty \hat{f}(k) \phi_{k,\lambda}^\alpha \tag{2.6}$$

in $L^2(Y, x^{2\alpha+1} dx)$ norm. Moreover, using Proposition 2.2, one can check that

$$f *_\lambda \phi_{k,\lambda}^\alpha = \hat{f}(k) \phi_{k,\lambda}^\alpha. \tag{2.7}$$

Hence we have another representation for $f \in L^2(Y, x^{2\alpha+1} dx)$.

PROPOSITION 2.3

For $f \in L^2(Y, x^{2\alpha+1} dx)$, we have

$$f = \frac{2|\lambda|^{\alpha+1}}{\Gamma(\alpha + 1)} \sum_{k=0}^\infty f *_\lambda \phi_{k,\lambda}^\alpha$$

in L^2 norm.

Again, using Proposition 2.2, one can check that $\phi_{k,\lambda}^\alpha *_\lambda \phi_{j,\lambda}^\alpha(x) = \frac{\Gamma(\alpha+1)}{2|\lambda|^{\alpha+1}} \phi_{k,\lambda}^\alpha(x) \delta_{k,j}$, where $\delta_{k,j}$ is the Kronecker delta function. Using this we can easily calculate Laguerre coefficients of $f *_\lambda g$ for $f, g \in L^2(Y, x^{2\alpha+1})$.

PROPOSITION 2.4

For $f, g \in L^2(Y, x^{2\alpha+1})$, Laguerre coefficients of $f *_\lambda g$ are related to Laguerre coefficients of f and g by

$$\widehat{(f *_\lambda g)}(k) = \hat{f}(k) \hat{g}(k).$$

2.2 Laguerre transform on $\mathbb{R}^+ \times \mathbb{R}$

Let $X = \mathbb{R}^+ \times \mathbb{R}$ and $\alpha > -1/2$. We will denote the elements of X by Greek letters ξ, η , with the understanding that $\xi = (x, w)$ means $x \in \mathbb{R}^+$ and $w \in \mathbb{R}$. We equip X with measure $d\mu(x, w) = x^{2\alpha+1} dx dw$, where dx and dw are the standard Lebesgue measures. For $\xi = (x, w)$ and $\eta = (y, v)$, and $\theta, \phi \in \mathbb{R}$, we define the product

$$(\xi, \eta)_{\theta, \phi} = ((x^2 + y^2 - 2xy \cos(\theta))^{\frac{1}{2}}, w - v + xy \cos(\phi) \sin(\theta)).$$

Define measure ν on $[0, \pi] \times [0, \pi]$ by

$$d\nu(\theta, \phi) = \frac{\alpha}{\pi} (\sin(\phi))^{2\alpha-1} (\sin(\theta))^{2\alpha} d\theta d\phi,$$

where $d\theta$ and $d\phi$ are again the standard Lebesgue measures. For $f \in L^1(X, \mu)$, we define *generalized translation operator* T^η for $\eta \in X$ by

$$T^\eta f(\xi) = \int_0^\pi \int_0^\pi f((\xi, \eta)_{(\theta, \phi)}) d\nu(\theta, \phi). \quad (2.8)$$

Using the generalized translation operator, we define convolution $f * g$ for $f, g \in L^1(X, \mu)$ by

$$f * g(\xi) = \int_X T^\eta f(\xi) g(\eta) d\mu(\eta). \quad (2.9)$$

For $\lambda \in \mathbb{R} \setminus \{0\}$ and $k = 0, 1, 2, \dots$, define

$$\psi_{k, \lambda}^\alpha(x, w) = \frac{\Gamma(k+1)\Gamma(\alpha+1)}{\Gamma(\alpha+k+1)} e^{i\lambda w} \phi_{k, \lambda}^\alpha(x). \quad (2.10)$$

Though the definition of the generalized translation is complicated, its action on $\psi_{k, \lambda}^\alpha$ is simple.

PROPOSITION 2.5

We have

$$T^{(y, -v)} \psi_{k, \lambda}^\alpha(x, w) = \psi_{k, \lambda}^\alpha(y, v) \psi_{k, \lambda}^\alpha(x, w).$$

We refer to Lemma 4.2 of [9] for the proof.

For $f \in L^1(X)$, $\lambda \in \mathbb{R} \setminus \{0\}$ and $k = 0, 1, 2, \dots$, we define its *Laguerre transform* $\hat{f}(\lambda, k)$ by

$$\hat{f}(\lambda, k) = \int_X f(x, w) \psi_{k, \lambda}^\alpha(x, w) d\mu(x, w). \quad (2.11)$$

PROPOSITION 2.6

For $f \in L^2(X)$, we have

$$f(x, w) = \frac{1}{\pi \Gamma(\alpha+1)} \int_{\mathbb{R}} \sum_{k=0}^{\infty} \hat{f}(\lambda, k) \phi_{k, \lambda}^\alpha(x) |\lambda|^{\alpha+1} e^{-i\lambda w} d\lambda$$

in $L^2(X)$ norm.

For proof, see Lemma 3.1 of [10].

For $f \in L^2(X)$, if we calculate the Laguerre coefficients of f^λ , we immediately notice that

$$\widehat{f^\lambda}(k) = \hat{f}(\lambda, k). \tag{2.12}$$

Note that f^λ denotes the inverse Fourier transform in the second variable as defined in (1.9). Also, using the Proposition 2.5, one can check that

$$\widehat{f * g} = \hat{f} \hat{g}. \tag{2.13}$$

2.3 Fractional powers of generalized subLaplacian

For $\alpha > -1/2$, we define the *generalized subLaplacian* \mathcal{L} on X by

$$-\frac{1}{2} \left(\frac{\partial^2}{\partial x^2} + \frac{2\alpha + 1}{x} \frac{\partial}{\partial x} + x^2 \frac{\partial^2}{\partial w^2} \right). \tag{2.14}$$

This operator is positive and symmetric in $L^2(X)$. Using the fact that Laguerre polynomials satisfy the following identity:

$$x \frac{d^2}{dx^2} L_k^\alpha(x) + (\alpha + 1 - x) \frac{d}{dx} L_k^\alpha(x) + k L_k^\alpha(x) = 0, \tag{2.15}$$

one can check that

$$\mathcal{L} \psi_{k,\lambda}^\alpha = |\lambda|(2k + \alpha + 1) \psi_{k,\lambda}^\alpha. \tag{2.16}$$

Thus $\psi_{k,\lambda}^\alpha$ are the eigenvectors for \mathcal{L} with $|\lambda|(2k + \alpha + 1)$ as the corresponding eigenvalues.

Moreover, for $f \in L^2(X)$ such that $\mathcal{L}f \in L^2(X)$, we have

$$\widehat{\mathcal{L}f}(\lambda, k) = |\lambda|(2k + \alpha + 1) \hat{f}(\lambda, k). \tag{2.17}$$

Using Proposition 2.6 and (2.16), we obtain the following spectral decomposition of \mathcal{L} :

$$\begin{aligned} \mathcal{L}f(x, w) &= \frac{1}{\pi \Gamma(\alpha + 1)} \int_{\mathbb{R}} \left(\sum_{k=0}^{\infty} |\lambda|(2k + \alpha + 1) \hat{f}(\lambda, k) \phi_{k,\lambda}^\alpha(x) \right) |\lambda|^{\alpha+1} \\ &\quad e^{-i\lambda w} d\lambda. \end{aligned} \tag{2.18}$$

Therefore, using spectral decomposition, we define fractional powers of the generalized subLaplacian \mathcal{L}^s for $0 < s < 1$:

$$\begin{aligned} \mathcal{L}^s f(x, w) &= \frac{1}{\pi \Gamma(\alpha + 1)} \int_{\mathbb{R}} \left(\sum_{k=0}^{\infty} (|\lambda|(2k + \alpha + 1))^s \hat{f}(\lambda, k) \phi_{k,\lambda}^\alpha(x) \right) \\ &\quad \times |\lambda|^{\alpha+1} e^{-i\lambda w} d\lambda. \end{aligned} \tag{2.19}$$

However, it is convenient to work with the following modified fractional power of \mathcal{L} . For $0 < s < 1$, we define \mathcal{L}_s by

$$\begin{aligned} \mathcal{L}_s f(x, w) &= \frac{1}{\pi \Gamma(\alpha + 1)} \int_{\mathbb{R}} \left(\sum_{k=0}^{\infty} (2|\lambda|)^s \frac{\Gamma(\frac{2k+\alpha+1}{2} + \frac{1+s}{2})}{\Gamma(\frac{2k+\alpha+1}{2} + \frac{1-s}{2})} \hat{f}(\lambda, k) \phi_{k,\lambda}^\alpha(x) \right) \\ &\quad \times |\lambda|^{\alpha+1} e^{-i\lambda w} d\lambda. \end{aligned} \tag{2.20}$$

Thus \mathcal{L}_s corresponds to the spectral multiplier

$$(2|\lambda|)^s \frac{\Gamma(\frac{2k+\alpha+1}{2} + \frac{1+s}{2})}{\Gamma(\frac{2k+\alpha+1}{2} + \frac{1-s}{2})}. \tag{2.21}$$

Finally, we define $W^{s,2}(X)$ as the space consisting of $f \in L^2(X)$ such that $\mathcal{L}_s f \in L^2(X)$.

2.4 Heat semigroup associated with \mathcal{L}

The heat semigroup $e^{-t\mathcal{L}}$ generated by \mathcal{L} is defined by the relation

$$\widehat{e^{-t\mathcal{L}}f}(\lambda, k) = e^{-|\lambda|(2k+\alpha+1)t} \widehat{f}(\lambda, k). \tag{2.22}$$

Thus we have

$$e^{-t\mathcal{L}}f(x, w) = f * h_t(x, w), \tag{2.23}$$

where h_t is the heat kernel associated with \mathcal{L} given by

$$\widehat{h}_t(\lambda, k) = e^{-|\lambda|(2k+\alpha+1)t}. \tag{2.24}$$

Although the expression for $h_t(x, w)$ is not known explicitly, we have the explicit expression for $h_t^\lambda(x)$.

PROPOSITION 2.7

We have

$$h_t^\lambda(x) = \frac{2}{\Gamma(\alpha + 1)} \left(\frac{\lambda}{2 \sinh(\lambda t)} \right)^{\alpha+1} e^{-\frac{\lambda}{2}x^2 \coth(\lambda t)}.$$

Proof. Using Proposition 2.6, we have

$$\begin{aligned} h_t(x, w) &= \frac{1}{\pi \Gamma(\alpha + 1)} \sum_{k=0}^{\infty} \int_{-\infty}^{\infty} e^{-|\lambda|(2k+\alpha+1)t} \phi_{k,\lambda}^\alpha(x) |\lambda|^{\alpha+1} e^{-i\lambda w} d\lambda \\ &= \frac{1}{2\pi} \int_{-\infty}^{\infty} h_t^\lambda(x) e^{-i\lambda w} d\lambda, \end{aligned}$$

where

$$h_t^\lambda(x) = \frac{2}{\Gamma(\alpha + 1)} \sum_{k=0}^{\infty} e^{-|\lambda|(2k+\alpha+1)t} \phi_{k,\lambda}^\alpha(x) |\lambda|^{\alpha+1}.$$

Using the generating function identity for Laguerre functions

$$\sum_{k=0}^{\infty} L_k^\alpha(x) r^k = (1 - r)^{-(\alpha+1)} e^{-\frac{rx}{1-r}},$$

we simplify to get the desired expression for h_t^λ . □

For $0 < s < 1$ and $t > 0$, we define $K_{t,s}(x, w)$ by

$$\int_{\mathbb{R}} K_{t,s}^\lambda(x) e^{-i\lambda w} d\lambda = \int_{\mathbb{R}} h_t^\lambda(x) \left(\frac{\lambda t}{\sinh \lambda t} \right)^{s+1} e^{-i\lambda w} d\lambda. \tag{2.25}$$

Lemma 2.8. We have the following properties of $K_{t,s}$:

$$\int_X K_{t,s}(x, w) \, d\mu(x, w) = 1, \tag{2.26}$$

$$\int_X T^\eta K_{t,s}(\xi) \, d\mu(\xi) = \int_X T^\eta K_{t,s}(\xi) \, d\mu(\eta) = 1, \tag{2.27}$$

$$f * K_{t,s}(\xi) = K_{t,s} * f(\xi). \tag{2.28}$$

Proof. We begin with the definition

$$\int_{-\infty}^{\infty} K_{t,s}(x, w) e^{i\lambda w} \, dw = h_t^\lambda(x) \left(\frac{\lambda t}{\sinh \lambda t} \right)^{s+1}.$$

Making λ go to 0, we get

$$\int_{-\infty}^{\infty} K_{t,s}(x, w) \, dw = \frac{2}{\Gamma(\alpha + 1)} \frac{1}{(2t)^{\alpha+1}} e^{-\frac{x^2}{2t}}.$$

Therefore,

$$\begin{aligned} \int_X K_{t,s}(x, w) \, d\mu(x, w) &= \int_0^\infty \frac{2}{\Gamma(\alpha + 1)} \frac{1}{(2t)^{\alpha+1}} e^{-\frac{x^2}{2t}} x^{2\alpha+1} \, dx \\ &= \int_0^\infty \frac{2}{\Gamma(\alpha + 1)} e^{-x^2} x^{2\alpha+1} \, dx \\ &= 1. \end{aligned}$$

Next, using Lemma 3.1 of [9], we have

$$\int_X T^\eta f(\xi) g(\xi) \, d\mu(\xi) = \int_X f(\xi) T^{\eta*} g(\xi) \, d\mu(\xi),$$

where $(x, w)^* = (x, -w)$. Taking $g = 1$ and $f = K_{t,s}$ and using (2.26), we conclude that

$$\int_X T^\eta K_{t,s}(\xi) \, d\mu(\xi) = 1.$$

On the other hand,

$$\int_X T^\eta K_{t,s}(\xi) \, d\mu(\xi) = \int_X T^{\xi*} K_{t,s}(\eta^*) \, d\mu(\xi)$$

is just a change of variables; combining with the fact that $K_{t,s}$ is an even function in the second variable, we conclude the second half of (2.27).

Finally, (2.28) follows from the change of variable and the fact that $K_{t,s}$ is an even function in the second variable. \square

For $0 < s < 1$, we define

$$K_s(x, w) = \int_0^\infty K_{t,s}(x, w) t^{-s-1} \, dt. \tag{2.29}$$

We will show that K_s is a positive function, more precisely.

PROPOSITION 2.9

For $0 < s < 1$, we have

$$K_s(x, w) = \frac{2^{2\alpha+2s+3} \left(\Gamma\left(\frac{\alpha+s+2}{2}\right) \right)^2}{\pi \Gamma(\alpha+1)} \frac{1}{(x^4 + 4w^2)^{\frac{s+\alpha+2}{2}}}.$$

Proof. The calculations are borrowed from Proposition 4.2 of [7]. We repeat for the sake of completeness. We start with the expression (by (2.29) and (2.25))

$$\int_{-\infty}^{\infty} K_s(x, w) e^{i\lambda w} dw = \int_0^{\infty} h_t^\lambda(x) \left(\frac{t|\lambda|}{\sinh t|\lambda|} \right)^{s+1} t^{-s-1} dt.$$

Using Proposition 2.7, and since the functions involved are even in λ , we have

$$\int_{-\infty}^{\infty} K_s(x, w) e^{i\lambda w} dw = \frac{2}{\Gamma(\alpha+1)} \int_0^{\infty} \left(\frac{\lambda}{\sinh t\lambda} \right)^{\alpha+s+2} e^{-\frac{1}{2}\lambda(\coth t\lambda)x^2} dt.$$

As the Fourier transform of K_s in the central variable w is an even function of λ , we have, after taking the Fourier transform in the variable λ ,

$$K_s(x, w) = \frac{2}{\pi \Gamma(\alpha+1)} \int_0^{\infty} \int_0^{\infty} (\cos \lambda w) \left(\frac{\lambda}{\sinh t\lambda} \right)^{\alpha+s+2} e^{-\frac{1}{2}\lambda(\coth t\lambda)x^2} d\lambda dt.$$

By change of variables $\lambda \rightarrow \lambda x^{-2}$, $t \rightarrow tx^2$, we obtain

$$K_s(x, wx^2) = x^{-2(\alpha+s+2)} K_s(1, w). \quad (2.30)$$

Thus

$$\begin{aligned} K_s(1, w) &= \frac{2}{\pi \Gamma(\alpha+1)} \int_0^{\infty} \int_0^{\infty} (\cos \lambda w) \left(\frac{\lambda}{\sinh t\lambda} \right)^{\alpha+s+2} e^{-\frac{\lambda}{2}(\coth t\lambda)} dt d\lambda \\ &= \frac{2}{\pi \Gamma(\alpha+1)} \int_0^{\infty} \left(\int_0^{\infty} (\cos \lambda w) \lambda^{\alpha+s+1} e^{-\frac{\lambda}{2}(\coth t)} d\lambda \right) \\ &\quad (\sinh t)^{-(\alpha+s+2)} dt. \end{aligned}$$

The integral in λ can be evaluated by using [5, p. 498, 3.944.6]:

$$\int_0^{\infty} x^{\mu-1} e^{-\beta x} (\cos \delta x) dx = \frac{\Gamma(\mu)}{(\delta^2 + \beta^2)^{\mu/2}} \cos\left(\mu \arctan \frac{\delta}{\beta}\right), \quad (2.31)$$

valid for $\operatorname{Re} \mu > 0$, $\operatorname{Re} \beta > |\operatorname{Im} \delta|$. Taking with $\mu = \alpha + s + 2$, $\beta = \frac{1}{2}(\coth t)$ and $\delta = w$, we get

$$\begin{aligned} &\int_0^{\infty} (\cos \lambda w) \lambda^{\alpha+s+1} e^{-\frac{\lambda}{2}(\coth t)} d\lambda \\ &= \frac{\Gamma(\alpha+s+2) \cos\left((\alpha+s+2) \arctan\left(\frac{2w}{\coth t}\right)\right)}{\left(w^2 + \frac{1}{4} \coth^2 t\right)^{\frac{\alpha+s+2}{2}}}. \end{aligned}$$

Thus

$$K_s(1, w) = \frac{2\Gamma(\alpha + s + 2)}{\pi\Gamma(\alpha + 1)} \int_0^\infty \frac{\cos\left((\alpha + s + 2) \arctan\left(\frac{2w}{\coth t}\right)\right)}{\left(w^2 + \frac{1}{4} \coth^2 t\right)^{\frac{\alpha+s+2}{2}}} (\sinh t)^{-(\alpha+s+2)} dt. \quad (2.32)$$

With the change of variables $u = \frac{2w}{\coth t}$, we have that the latter integral equals

$$\begin{aligned} & \int_0^{2w} \left(\frac{u^2}{4w^2 - u^2}\right)^{-\frac{(\alpha+s+2)}{2}} \left(w^2 + \frac{4w^2}{4u^2}\right)^{-\frac{(\alpha+s+2)}{2}} \\ & \cos[(\alpha + s + 2) \arctan u] \frac{2w}{4w^2 - u^2} du \\ & = 2w^{-(\alpha+s+1)} \int_0^{2w} (4w^2 - u^2)^{\frac{\alpha+s}{2}} (1 + u^2)^{-\frac{\alpha+s+2}{2}} \\ & \cos[(\alpha + s + 2) \arctan u] du \\ & = 2^{\alpha+s+1} w^{-1} \int_0^{2w} \left(1 - \frac{u^2}{4w^2}\right)^{\frac{\alpha+s}{2}} (1 + u^2)^{-\frac{\alpha+s+2}{2}} \\ & \cos[(\alpha + s + 2) \arctan u] du. \end{aligned}$$

Thus, with this and (2.32), we have

$$\mathcal{K}_s(1, w) = \frac{2^{\alpha+s+2}\Gamma(\alpha + s + 2)}{\pi\Gamma(\alpha + 1)} w^{-1} I, \quad (2.33)$$

where

$$I := \int_0^{2w} \left(1 - \frac{u^2}{4w^2}\right)^{\frac{\alpha+s}{2}} (1 + u^2)^{-\frac{\alpha+s+2}{2}} \cos[(\alpha + s + 2) \arctan u] du.$$

Now we will see that the above integral can be explicitly computed in terms of Legendre functions. Making a second change of variable $\arctan u = z$, the integral I becomes

$$I = \int_0^{\arctan 2w} \left(\cos^2 z - \frac{\sin^2 z}{4w^2}\right)^{\frac{\alpha+s}{2}} \cos[(\alpha + s + 2)z] dz.$$

We can rewrite the above integral as

$$\begin{aligned} I & = \int_0^{\arctan 2w} \left(\frac{1 + \cos 2z}{2} - \frac{1 - \cos 2z}{2 \cdot 4w^2}\right)^{\frac{\alpha+s}{2}} \cos[(\alpha + s + 2)z] dz \\ & = 2^{-\frac{\alpha+s}{2}} \int_0^{\arctan 2w} \left((\cos 2z)\left(1 + \frac{1}{4w^2}\right) - \left(\frac{1}{4w^2} - 1\right)\right)^{\frac{\alpha+s}{2}} \\ & \quad \cos[(\alpha + s + 2)z] dz \end{aligned}$$

$$\begin{aligned}
&= \left(\frac{1+4w^2}{8w^2}\right)^{\frac{\alpha+s}{2}} \int_0^{\arctan 2w} \left(\cos 2z - \frac{1-4w^2}{1+4w^2}\right)^{\frac{\alpha+s}{2}} \cos[(\alpha+s+2)z] dz \\
&= \frac{1}{2} \left(\frac{1+4w^2}{8w^2}\right)^{\frac{\alpha+s}{2}} \int_0^{2\arctan 2w} (\cos \beta - \cos \gamma)^{\frac{\alpha+s}{2}} \cos\left[\frac{(\alpha+s+2)}{2}\beta\right] d\beta,
\end{aligned}$$

where $\cos \gamma = \frac{1-16w^2}{1+16w^2}$. The integral can be evaluated using [5, p. 406, 3.663.1]:

$$\int_0^u (\cos x - \cos u)^{\nu-\frac{1}{2}} \cos ax \, dx = \sqrt{\frac{\pi}{2}} (\sin u)^\nu \Gamma\left(\nu + \frac{1}{2}\right) P_{a-\frac{1}{2}}^{-\nu}(\cos u), \quad (2.34)$$

valid for $\operatorname{Re} \nu > -\frac{1}{2}$, $a > 0$, $0 < u < \pi$, where $P_{a-\frac{1}{2}}^{-\nu}$ is an associated Legendre function of the first kind (see, for instance, [5, sections 8.7–8.8]). Also, recall the following representation for the associated Legendre function ([5, p. 969, 8.755]):

$$P_\nu^{-\nu}(\cos \varphi) = \frac{\left(\frac{\sin \varphi}{2}\right)^\nu}{\Gamma(1+\nu)}. \quad (2.35)$$

Taking $\nu = \frac{\alpha+s+1}{2}$ and $a = \frac{\alpha+s+2}{2}$ in (2.34) and using the representation for the associated Legendre function (2.35), the latter integral becomes

$$\begin{aligned}
&\sqrt{\frac{\pi}{2}} (\sin \gamma)^{\frac{\alpha+s+1}{2}} \Gamma\left(\frac{\alpha+s+2}{2}\right) P_{\frac{\alpha+s+1}{2}}^{-\frac{\alpha+s+1}{2}}(\cos \gamma) \\
&= \sqrt{\frac{\pi}{2}} \Gamma\left(\frac{\alpha+s+2}{2}\right) (\sin \gamma)^{\frac{\alpha+s+1}{2}} \frac{(\sin \gamma)^{\frac{\alpha+s+1}{2}}}{2^{\frac{\alpha+s+1}{2}} \Gamma\left(\frac{\alpha+s+3}{2}\right)} \\
&= \sqrt{\frac{\pi}{2}} \frac{\Gamma\left(\frac{\alpha+s+2}{2}\right)}{2^{\frac{\alpha+s+1}{2}} \Gamma\left(\frac{\alpha+s+3}{2}\right)} (\sin^2 \gamma)^{\frac{\alpha+s+1}{2}} \\
&= \sqrt{\frac{\pi}{2}} \frac{\Gamma\left(\frac{\alpha+s+2}{2}\right)}{2^{\frac{\alpha+s+1}{2}} \Gamma\left(\frac{\alpha+s+3}{2}\right)} \left(\frac{4w}{1+4w^2}\right)^{\alpha+s+1},
\end{aligned}$$

because $\sin^2 \gamma = \frac{16w^2}{(1+4w^2)^2}$. This gives

$$\begin{aligned}
I &= \frac{1}{2} \sqrt{\frac{\pi}{2}} \frac{\Gamma\left(\frac{\alpha+s+2}{2}\right)}{2^{\frac{\alpha+s+1}{2}} \Gamma\left(\frac{\alpha+s+3}{2}\right)} \left(\frac{1+4w^2}{8w^2}\right)^{\frac{\alpha+s}{2}} \left(\frac{4w}{1+4w^2}\right)^{\alpha+s+1} \\
&= \sqrt{\pi} \frac{\Gamma\left(\frac{\alpha+s+2}{2}\right)}{\Gamma\left(\frac{\alpha+s+3}{2}\right)} w (1+4w^2)^{-\frac{\alpha+s+2}{2}}. \quad (2.36)
\end{aligned}$$

Finally, plugging (2.36) into (2.33), we have

$$K_s(1, w) = \frac{2^{\alpha+s+2} \Gamma(\alpha+s+2)}{\pi \Gamma(\alpha+1)} \sqrt{\pi} \frac{\Gamma\left(\frac{\alpha+s+2}{2}\right)}{\Gamma\left(\frac{\alpha+s+3}{2}\right)} (1+4w^2)^{-\frac{\alpha+s+2}{2}},$$

or, by (2.30),

$$K_s(x, w) = x^{-2(\alpha+s+2)} K_s\left(1, \frac{w}{x^2}\right) = c_{\alpha,s} (x^4 + 4w^2)^{-\frac{\alpha+s+2}{2}},$$

where the constant $c_{\alpha,s}$ is given by

$$c_{\alpha,s} = \frac{2^{\alpha+s+2} \Gamma(\alpha + s + 2) \Gamma\left(\frac{\alpha+s+2}{2}\right)}{\sqrt{\pi} \Gamma(\alpha + 1) \Gamma\left(\frac{\alpha+s+3}{2}\right)}.$$

By using Legendre’s duplication formula

$$\sqrt{\pi} \Gamma(2z) = 2^{2z-1} \Gamma(z) \Gamma\left(z + \frac{1}{2}\right) \tag{2.37}$$

with $z = \frac{\alpha+s+2}{2}$, and after simplification, we get

$$c_{\alpha,s} = \frac{2^{2\alpha+2s+3} \left(\Gamma\left(\frac{\alpha+s+2}{2}\right)\right)^2}{\pi \Gamma(\alpha + 1)}.$$

This completes the proof of the proposition. □

We have shown that K_s is a positive function. Moreover, the generalized translation is a positive operator (see Proposition 3.2 of [9]). Therefore, $T^\eta K_s \geq 0$. In other words,

$$\int_X T^\eta K_{t,s}(\xi) t^{-s-1} dt \geq 0, \quad \forall \xi, \eta \in X. \tag{2.38}$$

2.5 Fractional powers of Grushin operator

Let $H = \mathbb{R}^n \times \mathbb{R}$ with the understanding that $(x, w) \in H$ means $x \in \mathbb{R}^n$ and $w \in \mathbb{R}$. We equip H with the measure $d\mu(x, w) = dx dw$, where dx and dw are the usual Lebesgue measures on \mathbb{R}^n and \mathbb{R} . We define *Grushin operator* \mathcal{G} on H by

$$\mathcal{G} = -\frac{1}{2} \left(\sum_{j=1}^n \frac{\partial^2}{\partial x_j^2} + |x|^2 \frac{\partial^2}{\partial w^2} \right). \tag{2.39}$$

For $\lambda \in \mathbb{R} \setminus \{0\}$, we define the *scaled Hermite operator* $\mathcal{H}(\lambda)$ on \mathbb{R}^n by

$$\mathcal{H}(\lambda) = - \left(\sum_{j=1}^n \frac{\partial^2}{\partial x_j^2} - \lambda^2 |x|^2 \right). \tag{2.40}$$

For multi-index $\beta \in \mathbb{N}^n$, define $\Phi_\beta(x) = h_{\beta_1}(x_1) h_{\beta_2}(x_2) \cdots h_{\beta_n}(x_n)$, where $\beta = (\beta_1, \dots, \beta_n)$ and h_{β_i} are the normalized Hermite functions. Further, for $\lambda \in \mathbb{R} \setminus \{0\}$, define

$$\Phi_\beta^\lambda(x) = |\lambda|^{\frac{n}{4}} \Phi_\beta(\sqrt{|\lambda|}x).$$

The collection $\{\Phi_\beta^\lambda\}_{\beta \in \mathbb{N}^n}$ forms an orthonormal basis for $L^2(\mathbb{R}^n)$, see Theorem 1.2.2 of [11]. Also, they are eigenfunctions for the scaled Hermite operator, that is,

$$\mathcal{H}(\lambda) \Phi_\beta^\lambda = (2|\beta| + n) |\lambda| \Phi_\beta^\lambda. \tag{2.41}$$

For $\lambda \in \mathbb{R} \setminus \{0\}$ and $k = 0, 1, 2, \dots$, define $\mathcal{P}_k(\lambda)$ as projections of $L^2(\mathbb{R}^n)$ onto E_k^λ , the eigenspace corresponding to eigenvalue $(2k + n)|\lambda|$. In other words,

$$\mathcal{P}_k(\lambda)f = \sum_{|\beta|=k} \langle f, \Phi_\beta^\lambda \rangle \Phi_\beta^\lambda. \tag{2.42}$$

Thus we have

$$\mathcal{H}(\lambda) = \sum_{k=0}^\infty (2k + n)|\lambda| \mathcal{P}_k(\lambda). \tag{2.43}$$

Finally, using the Fourier transform and the above spectral decomposition of scaled Hermite operator (equation (2.43)), we have the following spectral decomposition of \mathcal{G} :

$$\mathcal{G}f(x, w) = \frac{1}{2\pi} \int_{\mathbb{R}} \sum_{k=0}^\infty (k + n/2)|\lambda| \mathcal{P}_k(\lambda) f^\lambda(x) e^{-i\lambda w} d\lambda. \tag{2.44}$$

Therefore, a natural way to define fractional powers of Grushin operator is via spectral decomposition:

$$\mathcal{G}^s f(x, w) = \frac{1}{2\pi} \int_{\mathbb{R}} \sum_{k=0}^\infty ((k + n/2)|\lambda|)^s \mathcal{P}_k(\lambda) f^\lambda(x) e^{-i\lambda w} d\lambda. \tag{2.45}$$

However, it is convenient to work with the following modified fractional powers of \mathcal{G} . For $0 < s < 1$, we define \mathcal{G}_s by

$$\mathcal{G}_s f(x, w) = \frac{1}{2\pi} \int_{\mathbb{R}} \sum_{k=0}^\infty (2|\lambda|)^s \frac{\Gamma(\frac{2k+n}{4} + \frac{1+s}{2})}{\Gamma(\frac{2k+n}{4} + \frac{1-s}{2})} \mathcal{P}_k(\lambda) f^\lambda(x) e^{-i\lambda w} d\lambda. \tag{2.46}$$

Also, we define $W^{s,2}(H)$, as the space consists of all those functions f in $L^2(H)$ such that $\mathcal{G}_s f \in L^2(H)$ too.

2.6 Spherical harmonics and Hecke–Bochner formula

Let us quickly recall some facts about spherical harmonics and solid harmonics. We refer to Chapter 4 of [8] for missing details. Let \mathfrak{H}_m denote the space of spherical harmonics of degree m . Let $\{Y_{m,j}\}_{j=1}^{a_m}$ denote the orthogonal basis of \mathfrak{H}_m , where a_m denotes the dimension of \mathfrak{H}_m . We know that $L^2(\mathbb{S}^{n-1}) = \bigoplus_{m=0}^\infty \mathfrak{H}_m$ and the collection $\{Y_{m,j}\}$ for $j = 1, 2, \dots, a_m$ and $m = 0, 1, 2, \dots$, forms orthonormal basis for $L^2(\mathbb{S}^{n-1})$. Note that \mathbb{S}^{n-1} denotes the unit sphere in \mathbb{R}^n . Define solid harmonics $P_{m,j}(x) = |x|^m Y_{m,j}(x/|x|)$ for $j = 1, 2, \dots, a_m$ and $m = 0, 1, \dots$. Let \mathfrak{h}_m denote the space consisting of linear combination of functions of the form $f(|x|)P(x)$, where f varies over radial functions and $P \in \mathfrak{H}_m$, with the stipulation that each $f(|x|)P(x) \in L^2(\mathbb{R}^n)$. With these definitions, we have $L^2(\mathbb{R}^n) = \bigoplus_{m=0}^\infty \mathfrak{h}_m$. So for $f \in L^2(\mathbb{R}^{n+1})$, we have

$$f(x, w) = \sum_{m=0}^\infty \sum_{j=1}^{a_m} f_{m,j}(|x|, w) P_{m,j}(x), \tag{2.47}$$

where $f_{m,j}(|x|, w) = \int_{\mathbb{S}^{n-1}} f(|x|\omega, w) P_{m,j}(|x|\omega) d\omega$.

Finally, we recall Hecke–Bochner formula, which describes how Hermite projections act on solid harmonics, proof of which can be found in the book (Theorem 3.4.1 of [12]).

PROPOSITION 2.10

Suppose $f \in L^2(\mathbb{R}^n)$ is such that $f = gP$, where g is radial and P is a solid harmonics of degree m . Then we have

$$\mathcal{P}_{2k+m}(\lambda)f(x) = R_{k,m}^\lambda(g)\phi_{k,\lambda}^\alpha(|x|)P(x),$$

where

$$R_{k,m}^\lambda(g) = \frac{2|\lambda|^{\alpha+1}\Gamma(k+1)}{\Gamma(\alpha+k+1)} \int_0^\infty g(s)\phi_{k,\lambda}^\alpha(s)s^{2\alpha+1} ds$$

and $\alpha = \frac{n}{2} + m - 1$. For other values of j , $\mathcal{P}_j(\lambda)f = 0$.

3. Hardy’s inequality for generalized subLaplacian

For $-1 < s < 1$ and $\delta > 0$, we define

$$u_{s,\delta}(x, w) = \left(\left(\delta + \frac{x^2}{2} \right)^2 + w^2 \right)^{-\frac{s+\alpha+2}{2}}. \tag{3.1}$$

PROPOSITION 3.1

For $0 < s < 1$, we have

$$\mathcal{L}_s u_{-s,\delta}(\xi) = (4\delta)^s \left(\frac{\Gamma(\frac{\alpha+s+2}{2})}{\Gamma(\frac{\alpha-s+2}{2})} \right)^2 u_{s,\delta}(\xi).$$

Proof. We prove the result by calculating Laguerre transform on both sides. Such a computation is actually given more explicitly in Proposition 3.2 of [2], but we repeat the calculations for the convenience of the readers. Define

$$L(a, b, c) = \int_0^\infty e^{-a(2x+1)} x^{b-1} (1+x)^{-c} dx.$$

We start with the generating function identity for the Laguerre functions:

$$\sum_{k=0}^\infty z^k L_k^\alpha(x^2) e^{-\frac{1}{2}x^2} = (1-z)^{-\alpha-1} e^{-\frac{1}{2} \frac{1+z}{1-z} x^2}.$$

Therefore, we have

$$\sum_{k=0}^\infty \left(\frac{y}{y+|\lambda|} \right)^k L_k^\alpha(|\lambda|x^2) e^{-\frac{1}{2}|\lambda|x^2} = |\lambda|^{-\alpha-1} (y+|\lambda|)^{\alpha+1} e^{-\frac{1}{2}(2y+|\lambda|)x^2}. \tag{3.2}$$

For functions f, g defined on $(0, \infty)$, let F, G be their Laplace transforms defined by

$$F(a + ib) = \int_0^{\infty} e^{-(a+ib)y} f(y) dy,$$

$$G(a, ib) = \int_0^{\infty} e^{-(a+ib)y} g(y) dy, \quad a > 0, b \in \mathbb{R}$$

Let $\beta = \frac{1}{2}(\alpha + s + 2)$. Then with $f(y) = g(y) = \Gamma^{-1}(\beta)y^{\beta-1}e^{-\delta y}$, we have

$$F(a + ib) = G(a + ib) = (\delta + a + ib)^{-\beta}.$$

On the other hand, it can be checked (see Lemma 3.4 of [3]) that

$$\int_{-\infty}^{\infty} F(a + ib) \overline{G(a + ib)} e^{-i|\lambda|b} db$$

$$= 2\pi \int_0^{\infty} f(y)g(y + |\lambda|)e^{-a(2y+|\lambda|)} dy.$$

Taking $a = \frac{1}{2}x^2$, we have

$$\int_{-\infty}^{\infty} \left(\left(\delta + \frac{1}{2}x^2 \right)^2 + b^2 \right)^{-\frac{1}{2}(s+\alpha+2)} e^{-i|\lambda|b} db$$

$$= 2\pi \int_0^{\infty} f(y)g(y + |\lambda|)e^{-\frac{1}{2}(2y+|\lambda|x^2)} dy.$$

Since $u_{s,\delta}(x, w)$ is symmetric in w variable, therefore, we have

$$u_{s,\delta}^{\lambda}(x) = 2\pi \int_0^{\infty} f(y)g(y + |\lambda|)e^{-\frac{1}{2}(2y+|\lambda|x^2)} dy.$$

Using (3.2), we have

$$u_{s,\delta}^{\lambda}(x) = \frac{2|\lambda|^{\alpha+1}}{\Gamma(\alpha + 1)} \sum_{k=0}^{\infty} c_{k,\delta}^{\lambda}(s) \phi_{k,\lambda}^{\alpha}(x),$$

where the coefficients are given by

$$c_{k,\delta}^{\lambda}(s) = \pi \Gamma(\alpha + 1) \int_0^{\infty} f(y)g(y + |\lambda|)(y + |\lambda|)^{-(k+\alpha+1)} y^k dy$$

$$= \frac{\pi \Gamma(\alpha + 1) |\lambda|^s}{(\Gamma(\beta))^2} \int_0^{\infty} e^{-\delta(2y+|\lambda|)} y^{\beta+k-1} (y + |\lambda|)^{\beta-k-\alpha-2} dy$$

$$= \frac{\pi \Gamma(\alpha + 1) |\lambda|^s}{(\Gamma(\beta))^2} L \left(\delta |\lambda|, \frac{2k + \alpha + 2 + s}{2}, \frac{2k + \alpha + 2 - s}{2} \right).$$

Notice that $\widehat{u_{s,\delta}}(\lambda, k) = \widehat{u_{s,\delta}^\lambda}(k) = c_{k,\delta}^\lambda(s)$. Also, according to Proposition 3.6 of [3], the function L satisfies the following identity:

$$\frac{(2\lambda)^a}{\Gamma(a)} L(\lambda, a, b) = \frac{(2\lambda)^b}{\Gamma(b)} L(\lambda, b, a)$$

for all $(a, b \in \mathbb{C})$ and $\lambda > 0$. Using this, we get

$$c_{k,\delta}^\lambda(-s) = (2\delta)^s |\lambda|^{-s} \left(\frac{\Gamma(\frac{\alpha+s+2}{2})}{\Gamma(\frac{\alpha-s+2}{2})} \right)^2 \frac{\Gamma(\frac{2k+\alpha+1}{2} + \frac{1-s}{2})}{\Gamma(\frac{2k+\alpha+1}{2} + \frac{1+s}{2})} c_{k,\delta}^\lambda(s). \tag{3.3}$$

Rearranging the terms,

$$(2|\lambda|)^s \frac{\Gamma(\frac{2k+\alpha+1}{2} + \frac{1+s}{2})}{\Gamma(\frac{2k+\alpha+1}{2} + \frac{1-s}{2})} c_{k,\delta}^\lambda(-s) = (4\delta)^s \left(\frac{\Gamma(\frac{\alpha+s+2}{2})}{\Gamma(\frac{\alpha-s+2}{2})} \right)^2 c_{k,\delta}^\lambda(s),$$

which is nothing but

$$\widehat{\mathcal{L}_s u_{-s,\delta}}(\lambda, k) = (4\delta)^s \left(\frac{\Gamma(\frac{\alpha+s+2}{2})}{\Gamma(\frac{\alpha-s+2}{2})} \right)^2 \widehat{u_{s,\delta}}(\lambda, k),$$

and hence the result. □

Next, we find an integral representation for \mathcal{L}_s in an analogous way as it has been found for the fractional powers of subLaplacian on Heisenberg group by Roncal *et al.* (section 4 of [7]).

Theorem 3.2. For $0 < s < 1$ and $f \in W^{s,2}(X)$, we have

$$\mathcal{L}_s f(\xi) = \frac{1}{|\Gamma(-s)|} \int_0^\infty (f(\xi) - f * K_{t,s}(\xi)) t^{-s-1} dt,$$

where $K_{t,s}$ is defined in (2.25).

Proof. We begin with the identity (see [5], p. 382, 3.541.1)

$$2^{1-s} \int_0^\infty e^{-(\mu+1)t} (\sinh t)^{-s} dt = \frac{\Gamma(1-s)\Gamma\left(\frac{\mu}{2} + \frac{1+s}{2}\right)}{\Gamma\left(\frac{\mu}{2} + \frac{1-s}{2} + 1\right)},$$

which gives

$$(\mu + 1 - s) \int_0^\infty e^{-(\mu+1)t} (\sinh t)^{-s} dt = \frac{2^s \Gamma(1-s)\Gamma\left(\frac{\mu}{2} + \frac{1+s}{2}\right)}{\Gamma\left(\frac{\mu}{2} + \frac{1-s}{2}\right)}. \tag{3.4}$$

Also, we have

$$\begin{aligned} & (\mu + 1) \int_0^{\infty} e^{-(\mu+1)t} (\sinh t)^{-s} dt \\ &= \int_0^{\infty} \frac{d}{dt} (1 - e^{-(\mu+1)t}) (\sinh t)^{-s} dt \\ &= s \int_0^{\infty} (1 - e^{-(\mu+1)t}) (\sinh t)^{-s-1} (\cosh t) dt. \end{aligned}$$

Therefore, plugging the latter into (3.4), we get

$$\begin{aligned} & \frac{2^s \Gamma(1-s) \Gamma\left(\frac{\mu}{2} + \frac{1+s}{2}\right)}{\Gamma\left(\frac{\mu}{2} + \frac{1-s}{2}\right)} \\ &= s \int_0^{\infty} (\cosh t - e^{-(\mu+1)t} (\cosh t + \sinh t)) (\sinh t)^{-s-1} dt \\ &= s \int_0^{\infty} (\cosh t - e^{-\mu t}) (\sinh t)^{-s-1} dt \\ &= s \int_0^{\infty} (\cosh t - 1) (\sinh t)^{-s-1} dt + s \int_0^{\infty} (1 - e^{-\mu t}) (\sinh t)^{-s-1} dt \\ &= c_1 s + s \int_0^{\infty} (1 - e^{-\mu t}) (\sinh t)^{-s-1} dt, \end{aligned}$$

where c_1 is the constant given by

$$c_1 := \int_0^{\infty} (\cosh t - 1) (\sinh t)^{-s-1} dt.$$

Thus, by taking $\mu = 2k + \alpha + 1$ and changing t into $|\lambda|t$, we have

$$\begin{aligned} & \frac{2^s \Gamma(1-s)}{s} \frac{\Gamma\left(\frac{2k+\alpha+1}{2} + \frac{1+s}{2}\right)}{\Gamma\left(\frac{2k+\alpha+1}{2} + \frac{1-s}{2}\right)} \\ &= c_1 + |\lambda| \int_0^{\infty} (1 - e^{-(2k+\alpha+1)|\lambda|t}) (\sinh t |\lambda|)^{-s-1} dt. \end{aligned}$$

Multiplying both sides by $\frac{2|\lambda|^{s+\alpha+1}}{\Gamma(\alpha+1)} \hat{f}(\lambda, k) \phi_{k,\lambda}^{\alpha}(x)$, we have

$$\begin{aligned} & \frac{\Gamma(1-s)}{s} \frac{2|\lambda|^{\alpha+1}}{\Gamma(\alpha+1)} (2|\lambda|)^s \frac{\Gamma\left(\frac{2k+\alpha+1}{2} + \frac{1+s}{2}\right)}{\Gamma\left(\frac{2k+\alpha+1}{2} + \frac{1-s}{2}\right)} \hat{f}(\lambda, k) \phi_{k,\lambda}^{\alpha}(x) \\ &= c_1 \frac{2|\lambda|^{\alpha+1}}{\Gamma(\alpha+1)} |\lambda|^s \hat{f}(\lambda, k) \phi_{k,\lambda}^{\alpha}(x) \\ &+ \frac{2|\lambda|^{\alpha+1}}{\Gamma(\alpha+1)} \int_0^{\infty} (1 - e^{-(2k+\alpha+1)|\lambda|t}) \left(\frac{t|\lambda|}{\sinh t \lambda}\right)^{s+1} \hat{f}(\lambda, k) \phi_{k,\lambda}^{\alpha}(x) t^{-s-1} dt. \end{aligned}$$

Using (2.7), (2.4) and summing over k , we obtain

$$\begin{aligned} & \frac{\Gamma(1-s)}{s} \frac{2|\lambda|^{\alpha+1}}{\Gamma(\alpha+1)} (2|\lambda|)^s \sum_{k=0}^{\infty} \frac{\Gamma\left(\frac{2k+\alpha+1}{2} + \frac{1+s}{2}\right)}{\Gamma\left(\frac{2k+\alpha+1}{2} + \frac{1-s}{2}\right)} \hat{f}(\lambda, k) \phi_{k,\lambda}^\alpha(x) \\ &= c_1 |\lambda|^s f^\lambda(x) + \int_0^\infty (f^\lambda(x) - f^\lambda *_\lambda h_t^\lambda(x)) \left(\frac{t\lambda}{\sinh t\lambda}\right)^{s+1} t^{-s-1} dt. \end{aligned} \quad (3.5)$$

We now rewrite the last integral as a sum of the following two integrals:

$$\begin{aligned} A &= f^\lambda(x) \int_0^\infty \left(\left(\frac{t\lambda}{\sinh t\lambda}\right)^{s+1} - 1\right) t^{-s-1} dt, \\ B &= \int_0^\infty \left(f^\lambda(x) - \left(\frac{t\lambda}{\sinh t\lambda}\right)^{s+1} f^\lambda *_\lambda h_t^\lambda(x)\right) t^{-s-1} dt. \end{aligned}$$

Note that the first integral A is equal to

$$|\lambda|^s f^\lambda(x) \int_0^\infty \left(\left(\frac{t}{\sinh t}\right)^{s+1} - 1\right) t^{-s-1} dt =: -c_2 |\lambda|^s f^\lambda(x).$$

It happens that $c_1 = c_2$. Indeed,

$$\begin{aligned} c_1 - c_2 &= \int_0^\infty (\cosh t - 1)(\sinh t)^{-s-1} dt + \int_0^\infty \left(\left(\frac{t}{\sinh t}\right)^{s+1} - 1\right) t^{-s-1} dt \\ &= \int_0^\infty ((\cosh t)(\sinh t)^{-s-1} - t^{-s-1}) dt. \end{aligned}$$

Consider the integral

$$\begin{aligned} & \int_\delta^\infty (\cosh t)(\sinh t)^{-s-1} dt \\ &= \int_{\sinh \delta}^\infty t^{-s-1} dt = \int_\delta^\infty t^{-s-1} dt - \int_\delta^{\sinh \delta} t^{-s-1} dt. \end{aligned}$$

This gives

$$\int_\delta^\infty ((\cosh t)(\sinh t)^{-s-1} - t^{-s-1}) dt = - \int_\delta^{\sinh \delta} t^{-s-1} dt,$$

which converges to 0 as $\delta \rightarrow 0$. Finally, using Proposition 2.6, we multiply (3.5) by $e^{-i\lambda w}$ and integrate over λ to get

$$\mathcal{L}_s f(x, w) = \frac{s}{\Gamma(1-s)} \int_0^\infty (f(x, w) - f *_K K_{t,s}(x, w)) t^{-s-1} dt.$$

Since $\frac{s}{\Gamma(1-s)} = \frac{1}{|\Gamma(-s)|}$, we obtain the desired representation of \mathcal{L}_s . □

We can modify the integral representation using the properties of $K_{t,s}$ (Lemma 2.8).

PROPOSITION 3.3

For $0 < s < 1$, $f \in W^{s,2}(X)$, we have

$$\mathcal{L}_s f(\xi) = \frac{1}{\Gamma(-s)} \int_0^\infty \int_X (f(\xi) - f(\eta)) T^\eta K_{t,s}(\xi) t^{-s-1} d\mu(\eta) dt.$$

Proof. Using Theorem 3.2, we have

$$\begin{aligned} \mathcal{L}_s f(\xi) &= \frac{1}{\Gamma(-s)} \int_0^\infty (f(\xi) - f * K_{t,s}(\xi)) t^{-s-1} dt \\ &= \frac{1}{\Gamma(-s)} \int_0^\infty \left(\int_X f(\xi) T^\eta K_{t,s}(\xi) d\mu(\eta) \right. \\ &\quad \left. - \int_X T^\eta K_{t,s}(\xi) f(\eta) d\mu(\eta) \right) t^{-s-1} dt \\ &= \frac{1}{\Gamma(-s)} \int_0^\infty \int_X (f(\xi) - f(\eta)) T^\eta K_{t,s}(\xi) d\mu(\eta) t^{-s-1} dt. \end{aligned}$$

□

PROPOSITION 3.4

For $0 < s < 1$ and $f, g \in W^{s,2}(X)$, we have

$$\begin{aligned} \langle \mathcal{L}_s f, g \rangle &= \frac{1}{2\Gamma(-s)} \int_0^\infty \int_X \int_X (f(\xi) - f(\eta)) \overline{(g(\xi) - g(\eta))} T^\eta \\ &\quad K_{t,s}(\xi) d\mu(\eta) d\mu(\xi) \frac{dt}{t^{s+1}}. \end{aligned}$$

Proof. We have

$$\begin{aligned} \langle \mathcal{L}_s f, g \rangle &= \frac{1}{\Gamma(-s)} \int_X \\ &\quad \times \left(\int_0^\infty \int_X (f(\xi) - f(\eta)) \overline{g(\xi)} T^\eta K_{t,s}(\xi) d\mu(\eta) \frac{dt}{t^{s+1}} \right) d\mu(\xi) \\ &= \frac{1}{\Gamma(-s)} \int_X \\ &\quad \times \left(\int_0^\infty \int_X (f(\eta) - f(\xi)) \overline{g(\eta)} T^\xi K_{t,s}(\eta) d\mu(\xi) \frac{dt}{t^{s+1}} \right) d\mu(\eta) \\ &= -\frac{1}{\Gamma(-s)} \int_X \\ &\quad \times \left(\int_0^\infty \int_X (f(\xi) - f(\eta)) \overline{g(\eta)} T^{\eta*} K_{t,s}(\xi^*) d\mu(\xi) \frac{dt}{t^{s+1}} \right) d\mu(\eta), \end{aligned}$$

where $(x, w)^* = (x, -w)$. Using, $T^{\eta*} K_{t,s}(\xi^*) = T^\eta K_{t,s}(\xi)$ and Fubini's theorem, we get

$$\begin{aligned} \langle \mathcal{L}_s f, g \rangle &= -\frac{1}{\Gamma(-s)} \int_X \left(\int_0^\infty \int_X (f(\xi) - f(\eta)) \overline{g(\eta)} \right. \\ &\quad \left. \times T^\eta K_{t,s}(\xi) \, d\mu(\eta) \frac{dt}{t^{s+1}} \right) d\mu(\xi). \end{aligned}$$

Hence, we have

$$\begin{aligned} \langle \mathcal{L}_s f, g \rangle &= \frac{1}{2\Gamma(-s)} \int_X \left(\int_0^\infty \int_X (f(\xi) - f(\eta)) \overline{g(\xi) - g(\eta)} \right. \\ &\quad \left. \times T^\eta K_{t,s}(\xi) \, d\mu(\eta) \frac{dt}{t^{s+1}} \right) d\mu(\xi). \\ &= \frac{1}{2\Gamma(-s)} \int_0^\infty \left(\int_X \int_X (f(\xi) - f(\eta)) \overline{g(\xi) - g(\eta)} \right. \\ &\quad \left. \times T^\eta K_{t,s}(\xi) \, d\mu(\eta) \, d\mu(\xi) \right) \frac{dt}{t^{s+1}}. \end{aligned}$$

□

Finally, for $0 < s < 1$ and $\delta > 0$, we define *ground state representation* $\mathcal{H}[f]$ for $f \in W^{s,2}(X)$ by

$$\mathcal{H}[f] = \langle \mathcal{L}_s f, f \rangle - A_{\alpha,s} \int_X \frac{|f(x, w)|^2}{((\delta + \frac{x^2}{2})^2 + w^2)^s} \, d\mu(\xi),$$

where

$$A_{\alpha,s} = (4\delta)^s \left(\frac{\Gamma(\frac{\alpha+s+2}{2})}{\Gamma(\frac{\alpha-s+2}{2})} \right)^2.$$

PROPOSITION 3.5

Let $0 < s < 1$, $\delta > 0$ and $F \in C_c^\infty(X)$, that is, F is an infinitely differentiable function defined on X with compact support. If we define $G(\xi) = \frac{F(\xi)}{u_{-s,\delta}(\xi)}$, then

$$\begin{aligned} \mathcal{H}_s[F] &= \frac{1}{2\Gamma(-s)} \int_0^\infty \int_X \int_X |G(\xi) - G(\eta)|^2 T^\eta K_{t,s}(\xi) u_{-s,\delta} \\ &\quad (\xi) u_{-s,\delta}(\eta) \, d\mu(\eta) \, d\mu(\xi) \frac{dt}{t^{s+1}}, \end{aligned}$$

where $u_{s,\delta}$ is defined in (3.1).

Proof. In the previous proposition, if we take $g(\xi) = u_{-s,\delta}(\xi)$ and $f(\xi) = \frac{F(\xi)}{u_{-s,\delta}(\xi)}$, then we have

$$\begin{aligned} \langle \mathcal{L}_s f, g \rangle &= \frac{1}{2\Gamma(-s)} \int_0^\infty \int_X \int_X (g(\xi) - g(\eta)) \\ &\quad \times \left(\frac{F^2(\xi)}{g(\xi)} - \frac{F^2(\eta)}{g(\eta)} \right) T^\eta K_{t,s}(\xi) \, d\mu(\eta) \, d\mu(\xi) \frac{dt}{t^{s+1}}. \end{aligned}$$

On simplification, we get

$$\frac{1}{2\Gamma(-s)} \int_0^\infty \int_X \int_X \left(|F(\xi) - F(\eta)|^2 - \left| \frac{F(\xi)}{g(\xi)} - \frac{F(\eta)}{g(\eta)} \right|^2 g(\xi)g(\eta) \right) T^\eta K_{t,s}(\xi) d\mu(\eta) d\mu(\xi) \frac{dt}{t^{s+1}}.$$

On the other hand, using Proposition 3.1 and the fact that \mathcal{L}_s is self-adjoint, we have

$$\langle \mathcal{L}_s f, g \rangle = (4\delta)^s \left(\frac{\Gamma(\frac{\alpha+s+2}{2})}{\Gamma(\frac{\alpha-s+2}{2})} \right)^2 \int_X \frac{|F(\xi)|^2}{u_{-s,\delta}(\xi)} u_{s,\delta}(\xi) d\mu(\xi).$$

Equating both, and noting that $u_{-s,\delta}(x, w)/u_{s,\delta}(x, w) = ((\delta + x^2/2)^2 + w^2)^s$, we get the desired result. \square

Finally we prove Hardy inequality for fractional powers of generalized subLaplacian.

Proof of Theorem 1.2. Let $f \in C_c^\infty(X)$. Define $g(\xi) = f(\xi)/u_{-s,\delta}(\xi)$, where $u_{s,\delta}$ is defined in (3.1). Using Proposition 3.5 and Fubini's theorem, we have

$$\begin{aligned} \mathcal{H}_s[f] &= \frac{1}{2\Gamma(-s)} \int_X \int_X \left(\int_0^\infty T^\eta K_{t,s}(\xi) \frac{dt}{t^{s+1}} \right) \\ &\quad \times |g(\xi) - g(\eta)|^2 u_{-s,\delta}(\xi) u_{-s,\delta}(\eta) d\mu(\eta) d\mu(\xi). \end{aligned}$$

Since the generalized translation operator is a positive operator (see Proposition 3.2 of [9]) and $\int_0^\infty K_{t,s}(\xi) t^{-s-1} dt \geq 0$ (Proposition 2.9), we have for all $\xi, \eta \in X$,

$$\int_0^\infty T^\eta K_{t,s}(\xi) \frac{dt}{t^{s+1}} \geq 0.$$

Also, the remaining terms in the expression of $\mathcal{H}_s[f]$ are positive for all ξ and η . Therefore, we conclude $\mathcal{H}_s[f] \geq 0$ for all $f \in C_c^\infty(X)$. Hence, for $f \in C_c^\infty(X)$, we have

$$\langle \mathcal{L}_s f, f \rangle \geq (4\delta)^s \left(\frac{\Gamma(\frac{\alpha+s+2}{2})}{\Gamma(\frac{\alpha-s+2}{2})} \right)^2 \int_X \frac{|f(\xi)|^2}{((\delta + \frac{x^2}{2})^2 + w^2)^s} d\mu(\xi).$$

Next, let $f \in W^{s,2}(X)$. Since $C_c^\infty(X)$ is dense in $W^{s,2}(X)$, therefore there exists a sequence $\{f_j\}$ with each $f_j \in C_c^\infty(X)$ such that $f_j \rightarrow f$ in $W^{s,2}(X)$. Passing to a sub-sequence, we can assume $f_j \rightarrow f$ pointwise a.e.. The continuity of inner-product on $W^{s,2}(X)$ implies $\langle \mathcal{L}_s f_j, f_j \rangle \rightarrow \langle \mathcal{L}_s f, f \rangle$. On the other hand, the inequality $|f(x, w)|^2 / ((\delta + x^2)^2 + w^2)^s \leq \delta^{-2s} |f(x, w)|^2$ together with dominated convergence theorem implies

$$\int_X \frac{|f_j(\xi)|^2}{((\delta + \frac{x^2}{2})^2 + w^2)^s} d\mu(\xi) \rightarrow \int_X \frac{|f(\xi)|^2}{((\delta + \frac{x^2}{2})^2 + w^2)^s} d\mu(\xi).$$

From this, we conclude that the inequality holds for all $f \in W^{s,2}(X)$.

Finally, we note that both sides of the inequality are equal for $f = u_{-s,\delta}$. Hence the constant involved in the inequality is sharp. \square

4. Proof of the main theorem

We recall from section 2.6 that for $j = 1, 2, \dots, a_m$ and $m = 0, 1, 2, \dots$, $\{Y_{m,j}\}$ forms orthonormal basis for $L^2(\mathbb{S}^{n-1})$. Corresponding to each spherical harmonic $Y_{m,j}$, we define solid harmonics $P_{m,j}$ on \mathbb{R}^n by

$$P_{m,j}(x) = |x|^m Y_{m,j}(x/|x|).$$

Moreover, for $f \in L^2(\mathbb{R}^{n+1})$, we have

$$f(x, w) = \sum_{m=0}^{\infty} \sum_{j=1}^{a_m} f_{m,j}(|x|, w) P_{m,j}(x),$$

where $f_{m,j}(|x|, w) = \int_{\mathbb{S}^{n-1}} f(|x|\omega, w) P_{m,j}(|x|\omega) d\omega$.

Suppose $f \in W^{s,2}(H)$ such that $f(x, w) = g(|x|, w)P(x)$, where P is a solid harmonics of degree m . Then using spectral decomposition and the Hecke–Bockner formula (Proposition 2.10), we have

$$\begin{aligned} \mathcal{G}_s f(x, w) &= \frac{1}{2\pi} \int_{\mathbb{R}} \sum_{k=0}^{\infty} (2|\lambda|)^s \frac{\Gamma(\frac{2k+n}{4} + \frac{1+s}{2})}{\Gamma(\frac{2k+n}{4} + \frac{1-s}{2})} \mathcal{P}_k(\lambda) f^\lambda(x) e^{-i\lambda w} d\lambda \\ &= \int_{\mathbb{R}} \sum_{k=0}^{\infty} (d_{m,k}^n(s) A_{k,m}^\lambda(g) \phi_{k,\lambda}^{n/2+m-1}(|x|)) P(x) |\lambda|^{n/2+m+s} e^{-i\lambda w} d\lambda, \end{aligned}$$

where

$$d_{m,k}^n(s) = \frac{2^{s+1}}{2\pi} \frac{\Gamma(\frac{2k+n/2+m+1+s}{2})}{\Gamma(\frac{2k+n/2+m+1-s}{2})} \frac{\Gamma(k+1)}{\Gamma(k+n/2+m)},$$

and

$$A_{k,m}^\lambda(g) = \int_0^\infty g^\lambda(r) \phi_{k,\lambda}^{n/2+m-1}(r) r^{n+2m-1} dr.$$

Therefore, using the orthogonality of solid harmonics (with respect to inner product inherited from $L^2(\mathbb{S}^{n-1})$), we have

$$\langle \mathcal{G}_s f, f \rangle = \int_{\mathbb{R}} \sum_{k=0}^{\infty} d_{m,k}^n(s) |A_{k,m}^\lambda(g)|^2 |\lambda|^{\frac{n}{2}+m+s} d\lambda.$$

Moreover, treating g as a function on X , we have

$$\langle \mathcal{L}_s g, g \rangle = \frac{2^{s+1}}{2\pi} \int_{\mathbb{R}} \sum_{k=0}^{\infty} \frac{\Gamma(\frac{2k+\alpha+2+s}{2})}{\Gamma(\frac{2k+\alpha+2-s}{2})} \frac{\Gamma(k+1)}{\Gamma(k+\alpha+1)} |B_{k,\alpha}^\lambda(g)|^2 |\lambda|^{\alpha+1+s} d\lambda,$$

where $B_{k,\alpha}^\lambda(g) = \int_0^\infty g^\lambda(x) \phi_{k,\lambda}^\alpha(x) x^{2\alpha+1} dx$. So for $\alpha = \frac{n}{2} + m - 1$, we have

$$\langle \mathcal{G}_s f, f \rangle = \langle \mathcal{L}_s g, g \rangle. \tag{4.1}$$

Let $f \in W^{s,2}(H)$. We have

$$f(x, w) = \sum_{m=0}^{\infty} \sum_{j=1}^{a_m} f_{m,j}(|x|, w) P_{m,j}(x),$$

where $f_{m,j}(|x|, w) = \int_{\mathbb{S}^{n-1}} f(|x|\omega, w) P_{m,j}(|x|\omega) d\omega$. Using (4.1), we have

$$\begin{aligned} \langle \mathcal{G}_s f, f \rangle &= \sum_{m=0}^{\infty} \sum_{j=1}^{a_m} \langle \mathcal{G}_s(f_{m,j} P_{m,j}), f_{m,j} P_{m,j} \rangle \\ &= \sum_{m=0}^{\infty} \sum_{j=1}^{a_m} \langle \mathcal{L}_s f_{m,j}, f_{m,j} \rangle. \end{aligned}$$

Using Theorem 1.2, we have

$$\begin{aligned} \langle \mathcal{G}_s f, f \rangle &\geq \sum_{m=0}^{\infty} \sum_{j=1}^{a_m} (4\delta)^s \left(\frac{\Gamma(\frac{n/2+m+s+1}{2})}{\Gamma(\frac{n/2+m-s+1}{2})} \right)^2 \int_{\mathbb{R}} \int_0^{\infty} \frac{|f_{m,j}(x, w)|^2 x^{n+2m-1}}{((\delta + \frac{x^2}{2})^2 + w^2)^s} dx dw \\ &\geq \inf_{m \geq 0} \left\{ (4\delta)^s \left(\frac{\Gamma(\frac{n/2+m+s+1}{2})}{\Gamma(\frac{n/2+m-s+1}{2})} \right)^2 \right\} \sum_{m=0}^{\infty} \sum_{j=1}^{a_m} \int_{\mathbb{R}} \int_0^{\infty} \frac{|f_{m,j}(x, w)|^2 x^{n+2m-1}}{((\delta + \frac{|x|^2}{2})^2 + w^2)^s} dx dw. \end{aligned}$$

Moreover, we have

$$\int_{\mathbb{R}^n} |f(x, w)|^2 dx = \sum_{m=0}^{\infty} \sum_{j=1}^{a_m} \int_0^{\infty} |f_{m,j}(r, w)|^2 r^{n+2m-1} dr$$

and

$$\inf_{m \geq 0} \left\{ (4\delta)^s \left(\frac{\Gamma(\frac{n/2+m+s+1}{2})}{\Gamma(\frac{n/2+m-s+1}{2})} \right)^2 \right\} = (4\delta)^s \left(\frac{\Gamma(\frac{n/2+s+1}{2})}{\Gamma(\frac{n/2-s+1}{2})} \right)^2.$$

Therefore,

$$\langle \mathcal{G}_s f, f \rangle \geq (4\delta)^s \left(\frac{\Gamma(\frac{n/2+s+1}{2})}{\Gamma(\frac{n/2-s+1}{2})} \right)^2 \int_{\mathbb{R}} \int_{\mathbb{R}^n} \frac{|f(x, w)|^2}{((\delta + \frac{|x|^2}{2})^2 + w^2)^s} dx dw.$$

Finally, we show that the constants involved in the inequality are sharp. For $-1 < s < 1$ and $\delta > 0$, define $v_{s,\delta}$ on H by

$$v_{s,\delta}(x, w) = ((\delta + |x|^2/2)^2 + w^2)^{-\frac{n/2+1+s}{2}}.$$

Using Proposition 3.1, for $0 < s < 1$, we have

$$\begin{aligned} \langle \mathcal{G}_s v_{-s,\delta}, v_{-s,\delta} \rangle &= \langle \mathcal{L}_s v_{-s,\delta}, v_{-s,\delta} \rangle \\ &= (4\delta)^s \frac{\Gamma^2(\frac{n/2+s+1}{2})}{\Gamma^2(\frac{n/2-s+1}{2})} \langle v_{s,\delta}, v_{-s,\delta} \rangle \\ &= (4\delta)^s \frac{\Gamma^2(\frac{n/2+s+1}{2})}{\Gamma^2(\frac{n/2-s+1}{2})} \int_{\mathbb{R}} \int_0^{\infty} \frac{x^{n-1} dx dw}{((\delta + \frac{x^2}{2})^2 + w^2)^{n/2+1}} \\ &= (4\delta)^s \frac{\Gamma^2(\frac{n/2+s+1}{2})}{\Gamma^2(\frac{n/2-s+1}{2})} \int_{\mathbb{R}} \int_{\mathbb{R}^n} \frac{|u_{-s,\delta}(x, w)|^2 dx dw}{((\delta + \frac{x^2}{2})^2 + w^2)^s}. \end{aligned}$$

Therefore, equality is achieved for $f = u_{-s,\delta}$. Hence the constants involved in the inequality are sharp.

Acknowledgements

The author is financially supported by UGC-CSIR. He would also like to thank his guide Prof. S Thangavelu for his continuous help and suggestions.

References

- [1] Beckner W, Pitts inequality and the fractional Laplacian: Sharp error estimates, *Forum Math.* **24** (2012) 177–209
- [2] Ciaurri O, Roncal L and Thangavelu S, Hardy-type inequalities for fractional powers of the Dunkl–Hermite operator, arXiv preprint [arXiv:1602.04997](https://arxiv.org/abs/1602.04997) (2016)
- [3] Cowling M and Haagerup U, Completely bounded multipliers of the Fourier algebra of a simple Lie group of real rank one, *Invent. Math.* **96** (1989) 507–549
- [4] Frank R L, Lieb E H and Seiringer R, Hardy–Lieb–Thirring inequalities for fractional Schrödinger operators, *J. Am. Math. Soc.* **21** (2008) 925–950
- [5] Gradshteyn I S and Ryzhik I M, Table of Integrals, Series and Products, seventh edition (2007) (Amsterdam: Elsevier Academic Press)
- [6] Herbst I W, Spectral theory of the operator $(p^2 + m^2)^{1/2}Ze^2/r$, *Commun. Math. Phys.* **53** (1977) 285–294
- [7] Roncal L and Thangavelu S, Hardy’s inequality for fractional powers of the subLaplacian on the Heisenberg group, *Adv. Math.* **302** (2016) 106–158
- [8] Stein E M and Weiss G, Introduction to Fourier analysis on Euclidean spaces (PMS-32) vol. 32 (1971) (Princeton, NJ: Princeton University Press)
- [9] Stempak K, An algebra associated with the generalized subLaplacian, *Studia Math.* **88** (1988) 245–256
- [10] Stempak K, Mean summability methods for Laguerre series, *Trans. Am. Math. Soc.* **322** (1990) 671–690
- [11] Thangavelu S, An introduction to the uncertainty principle, Hardy’s theorem on Lie groups, With a foreword by Gerald B Folland, Progress in Mathematics 217 (2004) (Boston, MA: Birkhäuser)
- [12] Thangavelu S, Lectures on Hermite and Laguerre expansions, Math. Notes. 42 (1993) (Princeton, NJ: Princeton University Press)
- [13] Yafaev D, Sharp constants in the Hardy–Rellich inequalities, *J. Funct. Anal.* **168** (1999) 121–144

COMMUNICATING EDITOR: E K Narayanan