

Monomial ideals induced by permutations avoiding patterns

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Abstract. Let S (or T) be the set of permutations of $[n] = \{1, \dots, n\}$ avoiding 123 and 132 patterns (or avoiding 123, 132 and 213 patterns). The monomial ideals $I_S = \langle \mathbf{x}^\sigma = \prod_{i=1}^n x_i^{\sigma(i)} : \sigma \in S \rangle$ and $I_T = \langle \mathbf{x}^\sigma : \sigma \in T \rangle$ in the polynomial ring $R = k[x_1, \dots, x_n]$ over a field k have many interesting properties. The Alexander dual $I_S^{[n]}$ of I_S with respect to $\mathbf{n} = (n, \dots, n)$ has the minimal cellular resolution supported on the order complex $\Delta(\Sigma_n)$ of a poset Σ_n . The Alexander dual $I_T^{[n]}$ also has the minimal cellular resolution supported on the order complex $\Delta(\tilde{\Sigma}_n)$ of a poset $\tilde{\Sigma}_n$. The number of standard monomials of the Artinian quotient $\frac{R}{I_S^{[n]}}$ is given by the number of *irreducible* (or *indecomposable*) permutations of $[n + 1]$, while the number of standard monomials of the Artinian quotient $\frac{R}{I_T^{[n]}}$ is given by the number of permutations of $[n + 1]$ having no substring $\{l, l + 1\}$.

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1. Introduction

Many classes of monomial ideals I in the polynomial ring $R = k[x_1, \dots, x_n]$ over a field k have the property that the number of standard monomials in the Artinian quotient $\frac{R}{I}$ is given in terms of determinant of a square matrix. For many combinatorially defined monomial ideals I , the standard monomials in $\frac{R}{I}$ correspond to suitable combinatorial objects. For an oriented graph (digraph) G on the vertex set $\{0, 1, \dots, n\}$ rooted at 0, Postnikov and Shapiro [9] associated a monomial ideal \mathcal{M}_G in R such that the Artinian quotient $\frac{R}{\mathcal{M}_G}$ has a standard monomial basis corresponding to G -parking functions and the number of G -parking functions equals the number of (oriented) spanning trees of G , i.e., $\dim_k(\frac{R}{\mathcal{M}_G}) = \det(L_G)$, where L_G is the *truncated Laplace matrix* of G . More precisely, if $A = [a_{ij}]_{0 \leq i, j \leq n}$ is the adjacency matrix of the oriented graph G , then the monomial ideal \mathcal{M}_G is given by

$$\mathcal{M}_G = \left\langle \prod_{i \in I} x_i^{d_I(i)} : I \in \Sigma \right\rangle,$$

where $d_I(i) = \sum_{j \in \{0,1,\dots,n\} - I} a_{ij}$ is the number of (oriented) edges from the vertex i to a vertex outside of the subset I and Σ is the poset of all non-empty subsets of $[n]$ (ordered by inclusion). Also, $L_G = [l_{ij}]_{1 \leq i, j \leq n}$ is given by $l_{ij} = \begin{cases} d_{\{i\}}(i) & \text{if } i = j, \\ -a_{ij} & \text{if } i \neq j. \end{cases}$ The adjacency matrix of a (non-oriented) graph is symmetric and therefore a graph can be identified with a unique oriented graph having the same (symmetric) adjacency matrix. Under this identification, oriented spanning trees correspond to usual spanning trees of the graph. Therefore, notion of G -parking functions also make sense for a graph G . An oriented graph G with adjacency matrix $A = [a_{ij}]$ is called *saturated* if $a_{ij} \geq 1$ for $i \neq j$. For a saturated graph G , the monomial ideal \mathcal{M}_G is an *order monomial ideal* (Definition 2.3) and its minimal resolution is the cellular resolution supported on the first barycentric subdivision $\mathbf{Bd}(\Delta_{n-1})$ of an $(n - 1)$ -simplex Δ_{n-1} (see Corollary 6.9 of [9]). If G is a complete graph K_{n+1} , the monomial ideal

$$\mathcal{M}_{K_{n+1}} = \left\langle \left(\prod_{i \in I} x_i \right)^{n-|I|+1} : I \in \Sigma \right\rangle$$

is called a *tree ideal*. Further, we see that a K_{n+1} -parking function is a (ordinary) *parking function* of length n , which is defined as a sequence $\mathbf{p} = (p_1, \dots, p_n) \in \mathbb{N}^n$ with $0 \leq p_i < n$ such that the non-decreasing rearrangement $q_1 \leq \dots \leq q_n$ of \mathbf{p} satisfies $q_i < i$ (or equivalently, $|\{j \in [n] : p_j < i\}| \geq i, \forall i \in [n]$).

For $\lambda = (\lambda_1, \dots, \lambda_n) \in \mathbb{N}^n$ with $\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_n \geq 1$, the monomial ideal $I_\lambda = \langle (\prod_{i \in A} x_i)^{\lambda_{|A|}} : \emptyset \neq A \subseteq [n] \rangle$ in R has an Artinian quotient $\frac{R}{I_\lambda}$ having a standard monomial basis corresponding to λ -parking functions. A sequence $\mathbf{p} = (p_1, \dots, p_n) \in \mathbb{N}^n$ is called a λ -parking function of length n if its non-decreasing rearrangement $q_1 \leq q_2 \leq \dots \leq q_n$ satisfies $q_i < \lambda_{n-i+1}, \forall i$. The (ordinary) parking functions of length n correspond to $\lambda = (n, n - 1, \dots, 1)$. Also, there is a Steck determinant formula for counting the number of λ -parking functions. Further, if $\lambda_1 > \lambda_2 > \dots > \lambda_n$, then the minimal cellular resolution of I_λ is supported on $\mathbf{Bd}(\Delta_{n-1})$ [9]. For more on λ -parking functions, we refer to [8, 12]. The multigraded Betti numbers of I_λ for any λ are given in [4].

Let \mathfrak{S}_n be the set of all permutations of $[n] = \{1, 2, \dots, n\}$. Let S be the subset of permutations $\sigma \in \mathfrak{S}_n$ avoiding 123 and 132 patterns and let T be the subset of permutations $\sigma \in \mathfrak{S}_n$ avoiding 123, 132 and 213 patterns. Then, it is shown in [10] that $|S| = 2^{n-1}$ and $|T| = F_{n+1}$, where F_n is the n -th Fibonacci number (i.e., $F_0 = 0, F_1 = 1$ and $F_n = F_{n-1} + F_{n-2}; n \geq 2$).

Now consider the monomial ideals $I_S = \langle \mathbf{x}^\sigma : \sigma \in S \rangle$ and $I_T = \langle \mathbf{x}^\sigma : \sigma \in T \rangle$ in $R = k[x_1, \dots, x_n]$ induced by subsets S and T , respectively. The minimal generators of the Alexander dual $I_S^{[n]}$ of I_S with respect to $\mathbf{n} = (n, \dots, n)$ are given by (Lemma 2.1)

$$I_S^{[n]} = \left\langle x_l^{l+1}, \left(\prod_{j=m}^n x_j \right)^m : 1 \leq l \leq n - 1, \quad 1 \leq m \leq n \right\rangle.$$

Similarly, the minimal generators of the Alexander dual $I_T^{[n]}$ are given by

$$I_T^{[n]} = \left\langle x_l^{l+1}, \left(\prod_{j \in [m, m+1]} x_j \right)^m : 1 \leq l \leq n - 1, \quad 1 \leq m \leq n \right\rangle,$$

where $[m, m + 1] = \{m, m + 1\}$ for $1 \leq m \leq n - 1$ and $[n, n + 1]$ stands for $\{n\}$. The monomial ideal $I_{\mathfrak{S}_n} = \langle \mathbf{x}^\sigma : \sigma \in \mathfrak{S}_n \rangle$ is called a *permutohedron ideal* and the Alexander dual $I_{\mathfrak{S}_n}^{[n]} = \mathcal{M}_{K_{n+1}} = I_\lambda$ for $\lambda = (n, n - 1, \dots, 1)$.

Let $\Sigma_n = \{\{l\} : 1 \leq l \leq n - 1\} \cup \{[m, n] : 1 \leq m \leq n\}$, where $[a, b] = \{x \in \mathbb{N} : a \leq x \leq b\}$ denotes an integer interval for $1 \leq a \leq b \leq n$. We define a partial ordering \preceq on Σ_n as follows: for $l, l' \in [n - 1]$ and $m, m' \in [n]$, $\{l\} \preceq \{l'\} \preceq [m, n]$ if $m \leq l' \leq l$ and $[m, n] \preceq [m', n] \preceq \{l\}$ if $l + 1 < m' \leq m$. Consider the order complex $\Delta(\Sigma_n)$ of the poset (Σ_n, \preceq) . An r -dimensional face of $\Delta(\Sigma_n)$ is a (strict) chain $C_1 < C_2 < \dots < C_{r+1}$ of length r in Σ . Let $f_r(\Delta(\Sigma_n))$ be the number of r -dimensional faces of $\Delta(\Sigma_n)$. Then, we prove that (Theorem 2.7)

$$f_r(\Delta(\Sigma_n)) = \sum_{s=0}^{r+1} \binom{n-1}{s} \binom{n-s}{r+1-s}, \quad (0 \leq r \leq n-1).$$

Let $\tilde{\Sigma}_n = \{\{l\} : 1 \leq l \leq n - 1\} \cup \{[m, m + 1] : 1 \leq m \leq n\}$, where $[m, m + 1] = \{m, m + 1\}$ for $1 \leq m \leq n - 1$ and $[n, n + 1] = \{n\}$. We define a partial ordering \preceq' on $\tilde{\Sigma}_n$ as follows: for $l, l' \in [n - 1]$ and $m, m' \in [n]$, $[m, m + 1] \preceq' \{l\} \preceq' \{l'\}$ if $l' + 1 < l < m - 1$ and $\{l\} \preceq' [m, m + 1] \preceq' [m', m' + 1]$ if $m' \leq m \leq l$. The order complex $\Delta(\tilde{\Sigma}_n)$ of the poset $(\tilde{\Sigma}_n, \preceq')$ is a simplicial complex of dimension $n - 1$. We prove that (Theorem 2.7) the number $f_r(\Delta(\tilde{\Sigma}_n))$ of r -dimensional faces of $\Delta(\tilde{\Sigma}_n)$ is given by

$$f_r(\Delta(\tilde{\Sigma}_n)) = \sum_{s=0}^{r+1} \binom{n-s}{s} \binom{n-s}{r+1-s}, \quad (0 \leq r \leq n-1).$$

We label the vertices $\{l\}$ or $[m, n]$ of $\Delta(\Sigma_n)$ by monomials x_l^{l+1} or $(\prod_{j \in [m, n]} x_j)^m$, respectively. Similarly, the vertices $\{l\}$ and $[m, m + 1]$ of $\Delta(\tilde{\Sigma}_n)$ can be naturally labelled with monomials x_l^{l+1} or $(\prod_{j \in [m, m+1]} x_j)^m$, respectively. Now labelling the faces F by the LCM of monomial labels on the vertices of F , we see that the order complexes $\Delta(\Sigma_n)$ and $\Delta(\tilde{\Sigma}_n)$ are both labelled simplicial complexes. Both the ideals $I_S^{[n]}$ and $I_T^{[n]}$ are order monomial ideals (Proposition 2.5). In view of Theorem 2.4, the free complex associated to the labelled simplicial complexes $\Delta(\Sigma_n)$ and $\Delta(\tilde{\Sigma}_n)$ give the minimal cellular resolution of $I_S^{[n]}$ and $I_T^{[n]}$, respectively. Thus Betti numbers of $I_S^{[n]}$ and $I_T^{[n]}$ are given by

$$\beta_i(I_S^{[n]}) = f_i(\Delta(\Sigma_n)) \quad \text{and} \quad \beta_i(I_T^{[n]}) = f_i(\Delta(\tilde{\Sigma}_n)),$$

for $0 \leq i \leq n - 1$. For more on cellular resolutions, we refer to [1, 2, 6].

We show that the standard monomial basis of $\frac{R}{I_S^{[n]}}$ is given by $\mathbf{x}^{\mathbf{p}}$, where $\mathbf{p} = (p_1, \dots, p_n)$ is a parking function of length n satisfying $p_i \leq i, \forall i$. Such parking functions may be called *Catalan parking functions*. Let Λ_n be the set of all parking functions of length n . Then $|\Lambda_n| = (n + 1)^{n-1}$. Let Λ_n^{Cat} be the set of Catalan parking functions of length n . We show that (Theorem 3.4)

$$|\Lambda_n^{\text{Cat}}| = \dim_k \left(\frac{R}{I_S^{[n]}} \right) = (-1)^n \det([m_{ij}]_{(n+1) \times (n+1)}),$$

where $m_{ij} = \begin{cases} (j - i + 1)! & \text{if } i \leq j + 1, \\ 0 & \text{if } i > j + 1. \end{cases}$ Further, it is observed that the number of

Catalan parking functions of length n equals the number of *irreducible* (or *indecomposable*) permutations of $[n + 1]$.

Since $I_T \subseteq I_S$, we have $I_S^{[n]} \subseteq I_T^{[n]}$. Thus a standard monomial $\mathbf{x}^{\mathbf{p}}$ of $\frac{R}{I_T^{[n]}}$ is also a standard monomial of $\frac{R}{I_S^{[n]}}$. We observe that $\mathbf{x}^{\mathbf{p}}$ is a standard monomial of $\frac{R}{I_T^{[n]}}$ if and only if $\mathbf{p} = (p_1, \dots, p_n)$ is a Catalan parking function of length n such that for $1 \leq i \leq n - 1$, if $p_i = i$, then $p_{i+1} < i$. A Catalan parking function $\mathbf{p} = (p_1, \dots, p_n)$ of length n such that either $p_i < i$ or $p_{i+1} < i$ for every $i \in [n - 1]$ is called a *restricted Catalan parking function* of length n . Let $\tilde{\Lambda}_n^{\text{Cat}}$ be the set of all restricted Catalan parking functions of length n . We show that (Theorem 4.5)

$$|\tilde{\Lambda}_n^{\text{Cat}}| = \dim_k \left(\frac{R}{I_T^{[n]}} \right) = \det([\tilde{m}_{ij}]_{n \times n}),$$

where $\tilde{m}_{ij} = \begin{cases} j & \text{if } i = j \text{ or } i = j + 1, \\ -1 & \text{if } j = i + 1, \\ 0 & \text{otherwise.} \end{cases}$ The number of restricted Catalan parking

functions of length n equals the number of permutations of $[n + 1]$ having no substring $\{l, l + 1\}$.

In the last section, we have discussed some generalizations.

2. Betti numbers of $I_S^{[n]}$ and $I_T^{[n]}$

Let $t \leq n$ be positive integers and τ be a fixed permutation of $[t]$ called a *pattern*. A permutation $\sigma \in \mathfrak{S}_n$ is said to *avoid the pattern* τ if there does not exist integers $1 \leq j_1 < \dots < j_t \leq n$ such that for all $1 \leq a < b \leq t$, we have $\tau(a) < \tau(b)$ if and only if $\sigma(j_a) < \sigma(j_b)$. Let S and T be the subsets of \mathfrak{S}_n as defined in the Introduction. In this section, we study Alexander duals $I_S^{[n]}$ and $I_T^{[n]}$ of the the monomial ideals I_S and I_T . The Alexander dual $I_S^{[n]}$ of I_S with respect to $\mathbf{n} = (n, \dots, n)$ is a monomial ideal in R and a vector $\mathbf{b} = (b_1, \dots, b_n) \leq \mathbf{n}$ (i.e., $b_i \leq n \forall i$) is maximal with $\mathbf{x}^{\mathbf{b}} \notin I_S$ if and only if $\mathbf{x}^{\mathbf{n}-\mathbf{b}} = \prod_{j=1}^n x_j^{n-b_j}$ is a minimal generator of $I_S^{[n]}$ [6, 7].

Lemma 2.1. The minimal generators of $I_S^{[n]}$ are given by

$$I_S^{[n]} = \left\langle x_l^{l+1}, \left(\prod_{j \in [m, n]} x_j \right)^m : 1 \leq l \leq n - 1 \text{ and } 1 \leq m \leq n \right\rangle.$$

Proof. For any $l \in [n - 1]$, let $\mathbf{b}_l = (n, \dots, n - l - 1, \dots, n)$ (i.e. $n - l - 1$ at the l -th place and elsewhere n). We claim that $\mathbf{x}^{\mathbf{b}_l} \notin I_S$. If not, then there is a $\sigma \in S$ such that \mathbf{x}^{σ} divides $\mathbf{x}^{\mathbf{b}_l}$. Thus $1 \leq \sigma(l) \leq n - l - 1$. This implies that $l \leq n - 2$. Also, $|[l + 1, n]| = n - l$ and $|\{a \in [n] : a < \sigma(l)\}| \leq n - l - 2$ ensure that there exists $i, j \in [l + 1, n]$ such that $\sigma(l) < \sigma(i) < \sigma(j)$. But, then σ contains either 123 pattern or 132 pattern, a contradiction. Further, for any vector \mathbf{b}'_l with $\mathbf{b}_l < \mathbf{b}'_l \leq \mathbf{n}$, $\mathbf{x}^{\sigma'}$ divides $\mathbf{x}^{\mathbf{b}'_l}$ for $\sigma' = (n - 1, n - 2, \dots, 1, n) \in S$. This gives the minimal generators x_l^{l+1} for all $l \in [n - 1]$. For $[m, n]$, we take $\mathbf{b}_{[m, n]} = (n, \dots, n, n - m, \dots, n - m)$ (i.e., the last $n - m + 1$ co-ordinates are $n - m$, elsewhere n). Again, $\mathbf{x}^{\mathbf{b}_{[m, n]}} \notin I_S$, otherwise there is a $\sigma \in S$ such that \mathbf{x}^{σ} divides $\mathbf{x}^{\mathbf{b}_{[m, n]}}$. Thus $\sigma(i) \leq n - m \forall i \in [m, n]$. Since $|[m, n]| = n - m + 1$

and $|[1, n - m]| = n - m$, by the pigeon-hole principle, no such permutation σ exist. Also, if $\mathbf{b}_{[m,n]} < \mathbf{b}'_{[m,n]} \leq \mathbf{n}$, then we have $\mathbf{x}^{\mathbf{b}'_{[m,n]}} \in I_S$. This gives the minimal generator $(\prod_{j \in [m,n]} x_j)^m$. \square

As in Lemma 2.1, we compute the minimal generators of $I_T^{[n]}$.

Lemma 2.2. *The minimal generators of $I_T^{[n]}$ are given by*

$$I_T^{[n]} = \left\langle x_l^{l+1}, \left(\prod_{j \in [m,m+1]} x_j \right)^m : 1 \leq l \leq n - 1 \quad \text{and} \quad 1 \leq m \leq n \right\rangle,$$

where $[m, m + 1] = \{m, m + 1\}$ for $m \in [n - 1]$ and $[n, n + 1] = \{n\}$.

Proof. Proceeding as in the last lemma, we see that x_l^{l+1} is a minimal generator of $I_T^{[n]}$ for all $l \in [n - 1]$. For $m \in [n - 1]$, we take $\mathbf{b}_{[m,m+1]} = (n, \dots, n, n - m, n - m, \dots, n)$ (i.e. m -th and $(m + 1)$ -th co-ordinates are $n - m$, elsewhere n). Also, $\mathbf{b}_{[n,n+1]} = (n, \dots, n, 0)$ (i.e., n -th co-ordinate is 0 and elsewhere n). We claim that $\mathbf{x}^{\mathbf{b}_{[m,m+1]}} \notin I_T$. Otherwise, there is a $\sigma \in T$ such that \mathbf{x}^σ divides $\mathbf{x}^{\mathbf{b}_{[m,m+1]}}$. For $m = n$, we have $\sigma(n) \leq 0$, and for $m = n - 1$, we must have $\sigma(n - 1) \leq 1$ and $\sigma(n) \leq 1$. Such a permutation σ is not possible. Also, for $m = 1$, we have $\sigma(1) \leq n - 1$ and $\sigma(2) \leq n - 1$. But for $\sigma \in T$, it can be checked that either $\sigma(1) = n$ or $\sigma(2) = n$. Thus, we assume that $2 \leq m \leq n - 2$. Then $\sigma(m) \leq n - m$ and $\sigma(m + 1) \leq n - m$. Since $[m, n] = n - m + 1$ and $|[1, n - m]| = n - m$, by the pigeon-hole principle, there exists $l \in [m + 2, n]$ such that $n - m < \sigma(l)$. If $\sigma(m) < \sigma(m + 1)$, then permutation σ has a 123 pattern and if $\sigma(m) > \sigma(m + 1)$, then it has a 213 pattern. Since $\sigma \in T$, this is not possible. Also, it is easy to verify that $\mathbf{b}_{[m,m+1]} \leq \mathbf{n}$ is a maximal vector such that $\mathbf{x}^{\mathbf{b}_{[m,m+1]}} \notin I_T$. This gives the minimal generator $(\prod_{j \in [m,m+1]} x_j)^m$. \square

We proceed to show that ideals $I_S^{[n]}$ and $I_T^{[n]}$ are both order monomial ideals. Order monomial ideals are introduced and studied in [9].

DEFINITION 2.3

Let P be a finite poset. Let $\{\omega_u : u \in P\}$ be a collection of monomials in $k[x_1, \dots, x_n]$. The ideal $I = \langle \omega_u : u \in P \rangle$ is called an *order monomial ideal* if for any pair $u, v \in P$, there is an upper bound $w \in P$ of u and v such that ω_w divides the least common multiple $\text{LCM}(\omega_u, \omega_v)$ of ω_u and ω_v .

Now we state a result of Postnikov and Shapiro (Theorem 6.1 of [9]) in terms of cellular resolution. Let Δ be a labelled simplicial (or polyhedral) cell complex and $\mathbb{F}_*(\Delta)$ be the free complex associated to Δ (see [6]).

Theorem 2.4 [9]. *Let $I = \langle \omega_u : u \in P \rangle$ be an order monomial ideal. Then the free complex $\mathbb{F}_*(\Delta(P))$ supported on the order complex $\Delta(P)$ is a cellular resolution of I . Further, the cellular resolution $\mathbb{F}_*(\Delta(P))$ is minimal if the monomial label on any face of $\Delta(P)$ is different from the monomial labels on its proper subfaces.*

Let (Σ_n, \leq) and $(\tilde{\Sigma}_n, \leq')$ be the posets defined in the Introduction. Let $\Delta(\Sigma_n)$ and $\Delta(\tilde{\Sigma}_n)$ be associated order (simplicial) complexes. If F is an $i - 1$ -dimensional face of $\Delta(\Sigma_n)$ corresponding to a (strict) chain $C_1 < \dots < C_i$ of length $i - 1$ in Σ_n , then the monomial label $\mathbf{x}^{v(F)}$ on F is given by

$$\mathbf{x}^{v(F)} = \prod_{q=1}^i \left(\prod_{j \in C_q - C_{q-1}} x_j^{v_{j,C_q}} \right),$$

where $C_0 = \emptyset$ and

$$v_{j,C_q} = \begin{cases} l + 1 & \text{if } C_q = \{l\}, \\ m & \text{if } C_q = [m, n]. \end{cases} \tag{2.1}$$

Similarly, if \tilde{F} is an $i - 1$ -dimensional face of $\Delta(\tilde{\Sigma}_n)$ corresponding to a (strict) chain $\tilde{C}_1 <' \dots <' \tilde{C}_i$ of length $i - 1$ in $\tilde{\Sigma}_n$, then the monomial label $\mathbf{x}^{\mu(\tilde{F})}$ on \tilde{F} is given by

$$\mathbf{x}^{\mu(\tilde{F})} = \prod_{q=1}^i \left(\prod_{j \in \tilde{C}_q - \tilde{C}_{q-1}} x_j^{\mu_{j,\tilde{C}_q}} \right),$$

where $\tilde{C}_0 = \emptyset$ and

$$\mu_{j,\tilde{C}_q} = \begin{cases} l + 1 & \text{if } \tilde{C}_q = \{l\}, \\ m & \text{if } \tilde{C}_q = [m, m + 1]. \end{cases} \tag{2.2}$$

PROPOSITION 2.5

The ideals $I_S^{[n]}$ and $I_T^{[n]}$ are both order monomial ideals in R .

Proof. It is clear that $I_S^{[n]} = \langle \omega_u : u \in \Sigma_n \rangle$ and $I_T^{[n]} = \langle \omega_{\tilde{u}} : \tilde{u} \in \tilde{\Sigma}_n \rangle$, where

$$\omega_{\{l\}} = x_l^{l+1}, \quad \omega_{[m,n]} = \left(\prod_{j \in [m,n]} x_j \right)^m \quad \text{and} \quad \omega_{[m,m+1]} = \left(\prod_{j \in [m,m+1]} x_j \right)^m.$$

Let u, v be a pair of elements of Σ_n . If u and v are comparable, then an upper bound w of u and v is given by $w = \begin{cases} v & \text{if } u \leq v, \\ u & \text{if } v \leq u. \end{cases}$ Clearly, ω_w divides $\text{LCM}(\omega_u, \omega_v)$. If u and v are non-comparable, then $\{u, v\} = \{\{i\}, [i + 1, n]\}$ for some $i < n$. Clearly, $w = [i, n]$ is an upper bound of u and v such that ω_w divides $\text{LCM}(\omega_u, \omega_v)$. Similarly, if we take a pair of non-comparable elements \tilde{u}, \tilde{v} in $\tilde{\Sigma}_n$, then $\{\tilde{u}, \tilde{v}\} = \{\{i\}, [i + 1]\}$ or $\{\{i\}, [i + 1, i + 2]\}$ for some $i < n$. In either of the cases, we take an upper bound $\tilde{w} = [i, i + 1]$ of \tilde{u} and \tilde{v} , and see that $\omega_{\tilde{w}}$ divides $\text{LCM}(\omega_{\tilde{u}}, \omega_{\tilde{v}})$. This completes the proof. \square

Example 2.6. For $n = 1$ or 2 , we have $S = T = \mathfrak{S}_n$ and hence $I_S^{[n]} = I_T^{[n]} = I_{\mathfrak{S}_n}^{[n]}$. Thus we consider these ideals for $n = 3$. We have $I_S^{[3]} = \langle x_1^2, x_2^3, x_3^3, x_1x_2x_3, x_2^2x_3^2 \rangle$ and $I_T^{[3]} = \langle x_1^2, x_2^3, x_3^3, x_1x_2, x_2^2x_3^2 \rangle$, while $I_{\mathfrak{S}_3}^{[3]}$ is a tree ideal. The Hasse diagrams of posets (Σ_3, \leq) , $(\tilde{\Sigma}_3, \leq')$ and $(\mathfrak{S}_3, \subseteq)$ are given in figure 1 and their order complexes with monomial vertex labels are indicated in figure 2. In figure 1, the vertices are subsets of $\{1, 2, 3\}$, which are represented by an array of elements.

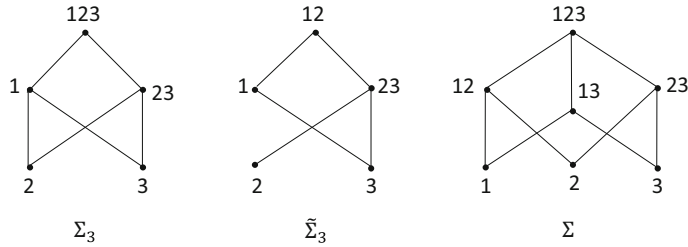


Figure 1. Hasse diagrams of Σ_3 , $\tilde{\Sigma}_3$ and Σ .

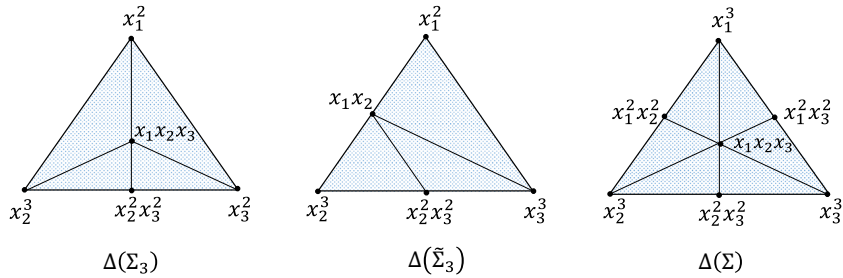


Figure 2. Order complexes with monomial labels on vertices.

In view of Theorem 2.4, the cellular resolution supported on $\Delta(\Sigma_n)$ (or $\Delta(\tilde{\Sigma}_n)$) gives the minimal resolution of $I_S^{[n]}$ (respectively, $I_T^{[n]}$). Thus the i -th Betti numbers $\beta_i(I_S^{[n]}) = f_i(\Delta(\Sigma_n))$ and $\beta_i(I_T^{[n]}) = f_i(\Delta(\tilde{\Sigma}_n))$, where $f_i(\Delta)$ denotes the number of i -dimensional faces of a simplicial complex Δ .

Theorem 2.7. For $0 \leq r \leq n - 1$,

- (a) $\beta_r(I_S^{[n]}) = f_r(\Delta(\Sigma_n)) = \sum_{s=0}^{r+1} \binom{n-1}{s} \binom{n-s}{r+1-s}$.
- (b) $\beta_r(I_T^{[n]}) = f_r(\Delta(\tilde{\Sigma}_n)) = \sum_{s=0}^{r+1} \binom{n-s}{s} \binom{n-s}{r+1-s}$.

Proof.

(a) There are $n - 1$ singletons $\{l\}$ and n integer intervals $[m, n]$ in the poset Σ_n . An r -dimensional face of $\Delta(\Sigma_n)$ is a (strict) chain

$$C_1 < C_2 < \dots < C_{r+1} \tag{2.3}$$

of length r in Σ_n . Suppose exactly s members in the chain (2.3) are singletons. Then any two singletons (or any two integer intervals) in Σ_n are comparable but a singleton $\{l\}$ is comparable to an integer interval $[m, n]$ if and only if $m \neq l + 1$. Also, for s singleton members in (2.3), exactly s integer intervals cannot occur in the chain. Now s singleton members in the chain (2.3) can be chosen in $\binom{n-1}{s}$ ways, and for each such choice, the remaining $r + 1 - s$ integer intervals in the chain can be chosen in $\binom{n-s}{r+1-s}$ ways. Thus the total number of chains in Σ of length r having exactly s singleton members is $\binom{n-1}{s} \binom{n-s}{r+1-s}$. As s varies from 0 to $r + 1$, we get part(a).

(b) An r -dimensional face of $\Delta(\tilde{\Sigma}_n)$ is a (strict) chain

$$\tilde{C}_1 \prec' \tilde{C}_2 \prec' \dots \prec' \tilde{C}_{r+1} \tag{2.4}$$

of length r in $\tilde{\Sigma}_n$. Suppose exactly s members in the chain (2.4) are singletons. Then any two non-consecutive singletons in $\tilde{\Sigma}_n$ are comparable and s singletons in the chain form a s -subset of $[n - 1]$ having no consecutive elements. The number of such s -subsets is precisely $\binom{n-s}{s}$. Also, for s singleton members in the chain (2.4), exactly s integer intervals cannot occur in the chain. Now proceeding as in the part (a), we obtain part (b). \square

Miller *et al.* [5] defined *generic* and *strongly generic* monomial ideals. For more on generic ideals, we refer to [6]. We end this section with the following remarks.

Remark 2.8.

- (1) The tree ideal $\mathcal{M}_{K_{n+1}} = I_{\mathfrak{S}_n}^{[n]}$ is generic and therefore, a minimal resolution of the tree ideal is supported on its Scarf complex (see Theorem 6.13 of [6]). The Scarf complex of the tree ideal $I_{\mathfrak{S}_n}^{[n]}$ is isomorphic to the first barycentric subdivision $\mathbf{Bd}(\Delta_{n-1})$ of an $(n - 1)$ -simplex Δ_{n-1} .
- (2) The ideals $I_S^{[n]}$ and $I_T^{[n]}$ are in fact strongly generic. Thus the Scarf complex of $I_S^{[n]}$ (or $I_T^{[n]}$) is isomorphic to the order complex $\Delta(\Sigma_n)$ (respectively, $\Delta(\tilde{\Sigma}_n)$) (see Lemma 6.5 of [9]).

3. Catalan parking functions

The standard monomials of $\frac{R}{I_{\mathfrak{S}_n}^{[n]}}$ are of the form $\mathbf{x}^{\mathbf{p}}$, where \mathbf{p} is an (ordinary) parking function of length n . Since $I_S \subseteq I_{\mathfrak{S}_n}$, we have $I_{\mathfrak{S}_n}^{[n]} \subseteq I_S^{[n]}$. Thus every standard monomial of $\frac{R}{I_S^{[n]}}$ is also a standard monomial of $\frac{R}{I_{\mathfrak{S}_n}^{[n]}}$. We now characterize the standard monomials of $\frac{R}{I_S^{[n]}}$.

Lemma 3.1. For a parking function $\mathbf{p} = (p_1, \dots, p_n)$ of length n , $\mathbf{x}^{\mathbf{p}} \notin I_S^{[n]}$ if and only if $p_i \leq i, \forall i \in [n]$.

Proof. We see that $\mathbf{x}^{\mathbf{p}} \in I_S^{[n]}$ if and only if either $p_l \geq l + 1$ for some $l \in [n - 1]$ or there exists $m \in [n]$ with $p_j \geq m \forall j \in [m, n]$. Therefore,

$$\mathbf{x}^{\mathbf{p}} \notin I_S^{[n]} \Leftrightarrow \begin{cases} \text{(i)} & p_l \leq l \quad \forall l \in [n - 1], \text{ and} \\ \text{(ii)} & \text{for any } m \in [n], \exists j \in [m, n] \text{ with } p_j < m. \end{cases}$$

As $p_n < n$, condition (i) is equivalent to $p_i \leq i$ for all i . Now we show that condition (ii) follows from condition (i). Let $m \in [n]$. If $p_m < m$, we can take $j = m$. So we assume that $p_m = m$ and condition (ii) fails. Thus $p_j \geq m$ for all $j \in [m, n]$. As $p_i \leq i$ for all i , we see that $\{l \in [n] : p_l < m\} = [m - 1]$, a contradiction to $|\{l \in [n] : p_l < m\}| \geq m$. Hence (i) implies (ii). \square

Let Λ_n be the set of all parking functions of length n . Then $|\Lambda_n| = (n + 1)^{n-1}$.

DEFINITION 3.2

A parking function $\mathbf{p} = (p_1, \dots, p_n) \in \Lambda_n$ is called a *Catalan parking function* if $p_i \leq i$ for all $i \in [n]$.

Let Λ_n^{Cat} be the set of all Catalan parking functions of length n . Then in view of Lemma 3.1, $|\Lambda_n^{\text{Cat}}| = \dim_k \left(\frac{R}{I_S^{[n]}} \right)$.

PROPOSITION 3.3

The number of standard monomials of $\frac{R}{I_S^{[n]}}$ is given by

$$\dim_k \left(\frac{R}{I_S^{[n]}} \right) = n(n!) + \sum_{i=1}^n (-1)^i \sum_{0=j_0 < j_1 < \dots < j_i < n} (n - j_i)(n - j_i)! \left(\prod_{q=1}^i (j_q - j_{q-1})! \right).$$

Proof. This proposition follows from a general result of Postnikov and Shapiro (Proposition 8.4 of [9]). In fact,

$$\dim_k \left(\frac{R}{I_S^{[n]}} \right) = \sum_{i=0}^n (-1)^i \sum_{C_1 < \dots < C_i} \left(\prod_{q=0}^i \left(\prod_{j \in C_q - C_{q-1}} (v_{j, \{j\}} - v_{j, C_q}) \right) \right) \left(\prod_{j \notin C_i} v_{j, \{j\}} \right),$$

where $C_0 = \emptyset$ and v_{j, C_q} as in (2.1). A term in the above expression corresponding to a (strict) chain $C_1 < \dots < C_i$ is zero if the chain has a singleton member. Thus the summation may be carried over chains of integer intervals of length i , which are determined by a sequence $0 = j_0 < j_1 < \dots < j_i < n$ of positive integers on setting $C_t = [j_{i-t+1}, n]$. This completes the proof. \square

Theorem 3.4. Let $A_{n+1} = [m_{ij}]_{(n+1) \times (n+1)}$, where $m_{ij} = (j - i + 1)!$ if $i \leq j + 1$ and $m_{ij} = 0$ if $i > j + 1$. Then $\dim_k \left(\frac{R}{I_S^{[n]}} \right) = (-1)^n \det(A_{n+1})$.

Proof. Let B be the matrix obtained by applying the row-operation $R_1 - R_2$ on $A = A_{n+1}$. Then $\det(B) = \det(A)$. The r -th column vector \mathbf{v}_r of B is given by

$$\mathbf{v}_r = (r - 1)(r - 1)!e_1 + \sum_{s=1}^r (r - s)!e_{s+1} \quad \text{for } 1 \leq r \leq n + 1,$$

where $\{e_1, \dots, e_{n+1}\}$ is the standard basis of \mathbb{R}^{n+1} and $e_{n+2} = 0$. Since

$$\mathbf{v}_1 \wedge \mathbf{v}_2 \wedge \dots \wedge \mathbf{v}_{n+1} = \det(B)e_1 \wedge \dots \wedge e_{n+1} \tag{3.1}$$

by expanding the wedge product on the left-hand side, we get the desired result in view of Proposition 3.3. In fact, for a sequence $0 = j_0 < j_1 < \dots < j_i < n$, let \mathbf{f}_r be a term from the vector \mathbf{v}_r ($1 \leq r \leq n + 1$) given by

$$\mathbf{f}_r = \begin{cases} (n - j_i)(n - j_i)!e_1 & \text{if } r = n + 1 - j_i, \\ (j_{t+1} - j_t)!e_{n-j_{t+1}+2} & \text{if } r = n + 1 - j_t \ (t < i), \\ e_{r+1} & \text{if } r \neq n + 1 - j_t \ (0 \leq t \leq i). \end{cases}$$

Then $\mathbf{f}_1 \wedge \dots \wedge \mathbf{f}_{n+1}$ equals

$$\left((n - j_i)(n - j_i)! \prod_{q=1}^i (j_q - j_{q-1})! \right) \left((-1)^{(n-j_i)} \prod_{q=1}^i (-1)^{j_q - j_{q-1} - 1} \right) e_1 \wedge \dots \wedge e_{n+1}.$$

□

Now we consider the integer sequence (A003319) in OEIS [11]. The n -th term a_n of this sequence is the number of irreducible (or indecomposable) permutations of $[n] = \{1, 2, \dots, n\}$. A permutation $\sigma \in \mathfrak{S}_n$ is *irreducible* if the restriction $\sigma|_{[j]}$ of σ to $[j]$ never induce a permutation of $[j]$ for any $1 \leq j < n$. It is easy to prove a recurrence relation $a_n = n! - \sum_{j=1}^{n-1} (j!)a_{n-j}$, $n \geq 2$ with the initial condition $a_1 = 1$. As $(-1)^{n-1} \det(A_n)$ also satisfies the same recurrence relation, we have $a_n = (-1)^{n-1} \det(A_n)$. This shows that $|\Lambda_n^{\text{Cat}}| = (-1)^n \det(A_{n+1}) = a_{n+1}$. As the number of Catalan parking functions of length n is the same as the number of irreducible permutations of $[n + 1]$, it would be an interesting problem to construct an explicit bijection between these objects.

4. Restricted Catalan parking functions

In this section, we study standard monomials of $\frac{R}{I_T^{[n]}}$. Since $I_S^{[n]} \subseteq I_T^{[n]}$, every standard monomial of $\frac{R}{I_T^{[n]}}$ is also a standard monomial of $\frac{R}{I_S^{[n]}}$.

DEFINITION 4.1

A Catalan parking function $\mathbf{p} = (p_1, \dots, p_n) \in \Lambda_n$ is called a *restricted Catalan parking function* if for $i \in [n - 1]$, either $p_i < i$ or $p_{i+1} < i$.

Let $\tilde{\Lambda}_n^{\text{Cat}}$ be the set of all restricted Catalan parking functions of length n . As in Lemma 3.1, we see that the standard monomials of $\frac{R}{I_T^{[n]}}$ correspond bijectively to the restricted Catalan parking functions. Thus, $|\tilde{\Lambda}_n^{\text{Cat}}| = \dim_k \left(\frac{R}{I_T^{[n]}} \right)$.

Using the minimal cellular resolution of $\frac{R}{I_T^{[n]}}$ supported on the (labelled) order complex $\Delta(\tilde{\Sigma})$, the (fine) Hilbert series of $H\left(\frac{R}{I_T^{[n]}}, \mathbf{x}\right)$ of $\frac{R}{I_T^{[n]}}$ is easily calculated (see [4]). We have

$$H\left(\frac{R}{I_T^{[n]}}, \mathbf{x}\right) = \frac{\sum_{i=0}^n (-1)^i \sum_{(\tilde{C}_1, \dots, \tilde{C}_i) \in \tilde{\mathcal{F}}_{i-1}} \prod_{q=1}^i \left(\prod_{j \in \tilde{C}_q - \tilde{C}_{q-1}} x_j^{\mu_{j, \tilde{C}_q}}\right)}{(1-x_1) \cdots (1-x_n)}, \tag{4.1}$$

where $\tilde{\mathcal{F}}_{i-1}$ is the set of $i - 1$ -dimensional faces of $\Delta(\tilde{\Sigma}_n)$, $(\tilde{C}_1, \dots, \tilde{C}_i) \in \tilde{\mathcal{F}}_{i-1}$ is a face represented by the (strict) chain $\tilde{C}_1 < \dots < \tilde{C}_i$ of length $i - 1$, $\tilde{C}_0 = \emptyset$ and μ_{j, \tilde{C}_q} is as in (2.2). Also, $H\left(\frac{R}{I_T^{[n]}}, \mathbf{x}\right) = \sum_{\mathbf{p} \in \tilde{\Lambda}_n^{\text{cat}}} \mathbf{x}^{\mathbf{p}}$.

PROPOSITION 4.2

The number of standard monomials of $\frac{R}{I_T^{[n]}}$ is given by

$$\dim_k \left(\frac{R}{I_T^{[n]}}\right) = \sum_{i=1}^n (-1)^{n-i} \sum_{\substack{(\tilde{C}_1, \dots, \tilde{C}_i) \in \tilde{\mathcal{F}}_{i-1} \\ \tilde{C}_1 \cup \dots \cup \tilde{C}_i = [n]}} \prod_{q=1}^i \left(\prod_{j \in \tilde{C}_q - \tilde{C}_{q-1}} \mu_{j, \tilde{C}_q}\right),$$

where summation is carried over all $i - 1$ -dimensional faces $(\tilde{C}_1, \dots, \tilde{C}_i) \in \tilde{\mathcal{F}}_{i-1}$ of $\Delta(\tilde{\Sigma}_n)$ with $\bigcup_{l=1}^i \tilde{C}_l = [n]$ and $\tilde{C}_0 = \emptyset$.

Proof. Clearly, $\dim_k \left(\frac{R}{I_T^{[n]}}\right) = H\left(\frac{R}{I_T^{[n]}}, \mathbf{1}\right)$, where $\mathbf{1} = (1, \dots, 1)$. On the other hand, letting $\mathbf{x} \rightarrow \mathbf{1}$ in the rational function $H\left(\frac{R}{I_T^{[n]}}, \mathbf{x}\right) = \frac{Q(\mathbf{x})}{(1-x_1) \cdots (1-x_n)}$ given by (4.1) and applying L'Hopital's rule, we get

$$H\left(\frac{R}{I_T^{[n]}}, \mathbf{1}\right) = \frac{1}{(-1)^n} \frac{\partial^n Q(\mathbf{x})}{\partial x_1 \cdots \partial x_n} \Big|_{\mathbf{x}=\mathbf{1}}.$$

Now the term corresponding to a face $(\tilde{C}_1, \dots, \tilde{C}_i) \in \tilde{\mathcal{F}}_{i-1}$ is non-zero in the partial derivative $\frac{\partial^n Q(\mathbf{x})}{\partial x_1 \cdots \partial x_n}$ only if $\tilde{C}_1 \cup \dots \cup \tilde{C}_i = [n]$. This completes the proof. \square

Remark 4.3.

(1) The (fine) Hilbert series of $H\left(\frac{R}{I_S^{[n]}}, \mathbf{x}\right)$ of $\frac{R}{I_S^{[n]}}$ is given by

$$H\left(\frac{R}{I_S^{[n]}}, \mathbf{x}\right) = \frac{\sum_{i=0}^n (-1)^i \sum_{(C_1, \dots, C_i) \in \mathcal{F}_{i-1}} \prod_{q=1}^i \left(\prod_{j \in C_q - C_{q-1}} x_j^{v_{j, C_q}}\right)}{(1-x_1) \cdots (1-x_n)},$$

where \mathcal{F}_{i-1} is the set of $i - 1$ -dimensional faces of $\Delta(\Sigma_n)$, $(C_1, \dots, C_i) \in \mathcal{F}_{i-1}$ is a face represented by the (strict) chain $C_1 < \dots < C_i$ of length $i - 1$, $C_0 = \emptyset$ and v_{j, C_q} is as in (2.1).

(2) Proceeding as in the proof of Proposition 4.2, we get

$$\dim_k \left(\frac{R}{I_S^{[n]}} \right) = \sum_{i=1}^n (-1)^{n-i} \sum_{\substack{(C_1, \dots, C_i) \in \mathcal{F}_{i-1} \\ C_1 \cup \dots \cup C_i = [n]}} \prod_{q=1}^i \left(\prod_{j \in C_q - C_{q-1}} v_{j, C_q} \right), \quad (4.2)$$

where summation is carried over all $i - 1$ -dimensional faces $(C_1, \dots, C_i) \in \mathcal{F}_{i-1}$ of $\Delta(\Sigma_n)$ with $\bigcup_{j=1}^i C_j = [n]$ and $C_0 = \emptyset$. Since Proposition 3.3 is not immediate from formula (4.2), we used a result of Postnikov and Shapiro in its proof.

Let $b_n = |\tilde{\Lambda}_n^{\text{Cat}}| = \dim_k \left(\frac{R}{I_T^{[n]}} \right)$ for $n \in \mathbb{N}$. Then $b_1 = 1, b_2 = 3$ and $b_3 = 11$.

Theorem 4.4. *The integer sequence $\{b_n = |\tilde{\Lambda}_n^{\text{Cat}}|\}_{n=1}^\infty$ satisfies a second-order recurrence relation*

$$b_n = nb_{n-1} + (n - 1)b_{n-2}; \quad n \geq 3$$

with initial conditions $b_1 = 1, b_2 = 3$.

Proof. From Proposition 4.2, $b_n = \sum_{i=1}^n (-1)^{n-i} \left(\sum_{\tilde{F} \in \tilde{\mathcal{F}}_{i-1}, \cup \tilde{F} = [n]} \pi(\tilde{F}) \right)$, where summation is carried over $(i - 1)$ -dimensional faces $\tilde{F} = (\tilde{C}_1, \dots, \tilde{C}_i)$ of $\Delta(\tilde{\Sigma}_n)$ with $\cup \tilde{F} = \tilde{C}_1 \cup \dots \cup \tilde{C}_i = [n]$ and $\pi(\tilde{F}) = \prod_{q=1}^i \left(\prod_{j \in \tilde{C}_q - \tilde{C}_{q-1}} \mu_{j, \tilde{C}_q} \right)$. For $n \geq 3$, we divide such faces \tilde{F} of $\Delta(\tilde{\Sigma}_n)$ into three types:

- (1) A $(i - 1)$ -dimensional face \tilde{F} is said to be of Type I if the pair $(\tilde{C}_1, \tilde{C}_2)$ has one of the three values; namely, $(\{n - 1\}, [n - 1, n])$, $([n, n + 1], \{n - 2\})$ or $([n, n + 1], [n - 2, n - 1])$. On deleting \tilde{C}_1 from the $(i - 1)$ -dimensional face \tilde{F} of Type I, we get $(i - 2)$ -dimensional face \tilde{F}' of $\Delta(\tilde{\Sigma}_{n-1})$ with $\cup \tilde{F}' = [n - 1]$. Conversely, every such $(i - 2)$ dimensional face \tilde{F}' of $\Delta(\tilde{\Sigma}_{n-1})$ extends uniquely to the $(i - 1)$ -dimensional face \tilde{F} of $\Delta(\tilde{\Sigma}_n)$ of Type I. Also, for a Type I face, we have $\pi(\tilde{F}) = n\pi(\tilde{F}')$.
- (2) A $(i - 1)$ -dimensional face \tilde{F} is said to be of Type-II if $\tilde{C}_1 = [n - 1, n]$. On deleting \tilde{C}_1 from the $(i - 1)$ -dimensional face \tilde{F} of Type II, we get $(i - 2)$ -dimensional face \tilde{F}'' of $\Delta(\tilde{\Sigma}_{n-2})$ with $\cup \tilde{F}'' = [n - 2]$. Again, every such $(i - 2)$ dimensional face \tilde{F}'' of $\Delta(\tilde{\Sigma}_{n-2})$ extends uniquely to the $(i - 1)$ -dimensional face \tilde{F} of $\Delta(\tilde{\Sigma}_n)$ of Type II. Also, for a Type II face, we have $\pi(\tilde{F}) = (n - 1)^2\pi(\tilde{F}'')$.
- (3) A $(i - 1)$ -dimensional face \tilde{F} is said to be of Type-III if the pair $(\tilde{C}_1, \tilde{C}_2) = ([n, n + 1], [n - 1, n])$. On deleting \tilde{C}_1 and \tilde{C}_2 from a $(i - 1)$ -dimensional face \tilde{F} of Type III, we get a $(i - 3)$ -dimensional face \tilde{F}''' of $\Delta(\tilde{\Sigma}_{n-2})$ with $\cup \tilde{F}''' = [n - 2]$. Again, every such $(i - 3)$ dimensional face \tilde{F}''' of $\Delta(\tilde{\Sigma}_{n-2})$ extends uniquely to the $(i - 1)$ -dimensional face \tilde{F} of $\Delta(\tilde{\Sigma}_n)$ of Type III. Also, for a Type III face, we have $\pi(\tilde{F}) = n(n - 1)\pi(\tilde{F}''')$.

Now dividing the summation in b_n according to the type of $i - 1$ -dimensional faces, we get

$$b_n = \sum_{i=1}^n (-1)^{n-i} \left[\sum_{\tilde{F} \text{ (Type I)}} + \sum_{\tilde{F} \text{ (Type II)}} + \sum_{\tilde{F} \text{ (Type III)}} \right] \pi(\tilde{F}).$$

As $n - i = (n - 1) - (i - 1) = (n - 2) - (i - 1) + 1 = (n - 2) - (i - 2)$, we clearly have $b_n = nb_{n-1} + [-(n - 1)^2 + n(n - 1)]b_{n-2}$. \square

We consider the integer sequence (A000255) in OEIS [11]. The n -th term \tilde{a}_n of this sequence counts permutations of $[n + 1]$ having no substring $\{l, l + 1\}$. It is known that for $n \geq 1$, $\tilde{a}_n = \det([\tilde{m}_{ij}]_{n \times n})$, where $\tilde{m}_{ii} = \tilde{m}_{i+1i} = i$, $\tilde{m}_{ii+1} = -1$ and $m_{ij} = 0$ if $|i - j| \geq 2$. It is straight forward to check that the integer sequence $\{\tilde{a}_n\}_{n=1}^\infty$ satisfies the second-order recurrence relation $\tilde{a}_n = n\tilde{a}_{n-1} + (n - 1)\tilde{a}_{n-2}$; $n \geq 3$ with initial conditions $\tilde{a}_1 = 1, \tilde{a}_2 = 3$.

Theorem 4.5.

$$|\tilde{\Lambda}_n^{\text{Cat}}| = \dim_k \left(\frac{R}{I_T^{[n]}} \right) = \det([\tilde{m}_{ij}]_{n \times n}).$$

Proof. Since both integer sequences $\{b_n = |\tilde{\Lambda}_n^{\text{Cat}}|\}_{n=1}^\infty$ and $\{\tilde{a}_n = \det([\tilde{m}_{ij}]_{n \times n})\}_{n=1}^\infty$ satisfy the same second-order recurrence relation with the same initial conditions, we have $b_n = \tilde{a}_n, \forall n \geq 1$. \square

5. Some generalizations

All the results about monomial ideals I_S, I_T and their Alexander duals can be extended to a slightly larger class of monomial ideals. In this section, we outline these generalizations. Let $\mathbf{u} = (u_1, \dots, u_n) \in \mathbb{N}^n$ with $1 \leq u_1 < \dots < u_n$ and for every $\sigma \in \mathfrak{S}_n, \mathbf{x}^{\sigma \mathbf{u}} = \prod_{i=1}^n x_i^{u_{\sigma(i)}}$ be the associated monomial. We consider the monomial ideals $I_S(\mathbf{u}) = \langle \mathbf{x}^{\sigma \mathbf{u}} : \sigma \in S \rangle$ and $I_T(\mathbf{u}) = \langle \mathbf{x}^{\sigma \mathbf{u}} : \sigma \in T \rangle$ in R . Clearly, $I_S((1, 2, \dots, n)) = I_S$ and $I_T((1, 2, \dots, n)) = I_T$. The monomial ideal $I(\mathbf{u}) = I_{\mathfrak{S}_n}(\mathbf{u}) = \langle \mathbf{x}^{\sigma \mathbf{u}} : \sigma \in \mathfrak{S}_n \rangle$ is again called a *permutohedron ideal*.

For an integer $c \geq 1$, set $\mathbf{u}_n + \mathbf{c} - \mathbf{1} = (u_n + c - 1, \dots, u_n + c - 1) \in \mathbb{N}^n$. We consider the Alexander dual $I_S(\mathbf{u})^{[\mathbf{u}_n + \mathbf{c} - \mathbf{1}]}$ (or $I_T(\mathbf{u})^{[\mathbf{u}_n + \mathbf{c} - \mathbf{1}]}$) of $I_S(\mathbf{u})$ (or $I_T(\mathbf{u})$) with respect to $\mathbf{u}_n + \mathbf{c} - \mathbf{1}$. Let $\lambda = (\lambda_1, \dots, \lambda_n)$, where $\lambda_i = u_n - u_i + c$.

Lemma 5.1. *The minimal generators of the Alexander duals $I_S(\mathbf{u})^{[\mathbf{u}_n + \mathbf{c} - \mathbf{1}]}$ and $I_T(\mathbf{u})^{[\mathbf{u}_n + \mathbf{c} - \mathbf{1}]}$ are given by*

$$I_S(\mathbf{u})^{[\mathbf{u}_n + \mathbf{c} - \mathbf{1}]} = \left\langle x_l^{\lambda_{n-l}}, \left(\prod_{j=m}^n x_j \right)^{\lambda_{n-m+1}} : 1 \leq l \leq n - 1, 1 \leq m \leq n \right\rangle$$

and

$$I_T(\mathbf{u})^{[\mathbf{u}_n + \mathbf{c} - \mathbf{1}]} = \left\langle x_l^{\lambda_{n-l}}, \left(\prod_{j \in [m, m+1]} x_j \right)^{\lambda_{n-m+1}} : 1 \leq l \leq n - 1, 1 \leq m \leq n \right\rangle,$$

where $[m, m + 1] = \{m, m + 1\}$ for $m \in [n - 1]$ and $[n, n + 1] = \{n\}$.

Proof. Proceeding as in the proof of Lemmas 2.1 and 2.2, we get the minimal generators on taking $\mathbf{b}_l(\mathbf{u}) = (u_n + c - 1, \dots, u_{n-l} - 1, \dots, u_n + c - 1)$, $\mathbf{b}_{[m,n]}(\mathbf{u}) = (u_n + c - 1, \dots, u_n + c - 1, u_{n-m+1} - 1, \dots, u_{n-m+1} - 1)$ and $\mathbf{b}_{[m,m+1]}(\mathbf{u}) = (u_n + c - 1, \dots, u_{n-m+1} - 1, u_{n-m+1} - 1, \dots, u_n + c - 1)$, in place of \mathbf{b}_l , $\mathbf{b}_{[m,n]}$ and $\mathbf{b}_{[m,m+1]}$, respectively. \square

Remark 5.2. Since we are interested in the Alexander duals $I_S(\mathbf{u})^{[u_n+c-1]}$ and $I_T(\mathbf{u})^{[u_n+c-1]}$ such that their respective quotients $\frac{R}{I_S(\mathbf{u})^{[u_n+c-1]}}$ and $\frac{R}{I_T(\mathbf{u})^{[u_n+c-1]}}$ are Artinian, we have assumed that $u_1 \geq 1$. However, both the ideals $I_S(\mathbf{u})$ and $I_T(\mathbf{u})$ are also defined for $\mathbf{u} = (u_1, \dots, u_n) \in \mathbb{N}^n$ with $u_1 = 0$.

We label the order complexes $\Delta(\Sigma_n)$ and $\Delta(\tilde{\Sigma}_n)$ so that the monomial ideals generated by vertex labels are $I_S(\mathbf{u})^{[u_n+c-1]}$ and $I_T(\mathbf{u})^{[u_n+c-1]}$, respectively. If F is an $i - 1$ -dimensional face of $\Delta(\Sigma_n)$ corresponding to a (strict) chain $C_1 < \dots < C_i$ of length $i - 1$ in Σ_n , then the monomial label $\mathbf{x}^{v^u(F)}$ on F is given by

$$\mathbf{x}^{v^u(F)} = \prod_{q=1}^i \left(\prod_{j \in C_q - C_{q-1}} x_j^{v_{j,C_q}^u} \right),$$

where $C_0 = \emptyset$ and

$$v_{j,C_q}^u = \begin{cases} \lambda_{n-l} & \text{if } C_q = \{l\}, \\ \lambda_{n-m+1} & \text{if } C_q = [m, n]. \end{cases} \tag{5.1}$$

Similarly, if \tilde{F} is an $i - 1$ -dimensional face of $\Delta(\tilde{\Sigma}_n)$ corresponding to a (strict) chain $\tilde{C}_1 < \dots < \tilde{C}_i$ of length $i - 1$ in $\tilde{\Sigma}_n$, then the monomial label $\mathbf{x}^{\mu^u(\tilde{F})}$ on \tilde{F} is given by

$$\mathbf{x}^{\mu^u(\tilde{F})} = \prod_{q=1}^i \left(\prod_{j \in \tilde{C}_q - \tilde{C}_{q-1}} x_j^{\mu_{j,\tilde{C}_q}^u} \right),$$

where $\tilde{C}_0 = \emptyset$ and

$$\mu_{j,\tilde{C}_q}^u = \begin{cases} \lambda_{n-l} & \text{if } \tilde{C}_q = \{l\}, \\ \lambda_{n-m+1} & \text{if } \tilde{C}_q = [m, m + 1]. \end{cases} \tag{5.2}$$

Now we have the following generalization of Theorem 2.7.

PROPOSITION 5.3

For $0 \leq r \leq n - 1$,

$$\beta_r(I_S(\mathbf{u})^{[u_n+c-1]}) = f_r(\Delta(\Sigma_n)) \quad \text{and} \quad \beta_r(I_T(\mathbf{u})^{[u_n+c-1]}) = f_r(\Delta(\tilde{\Sigma}_n)).$$

Proof. Both $I_S(\mathbf{u})^{[u_n+c-1]}$ and $I_T(\mathbf{u})^{[u_n+c-1]}$ are order monomial ideals, thus the cellular resolution supported on the order complexes $\Delta(\Sigma_n)$ and $\Delta(\tilde{\Sigma}_n)$ give their minimal resolutions, respectively. \square

Remark 5.4.

- (1) $I_S(\mathbf{u})^{[u_n+c-1]}$ and $I_T(\mathbf{u})^{[u_n+c-1]}$ are both strongly generic ideals.
- (2) The LCM-lattices of $I_S^{[n]}$ and $I_S(\mathbf{u})^{[u_n+c-1]}$ (or $I_T^{[n]}$ and $I_T(\mathbf{u})^{[u_n+c-1]}$) are isomorphic by an isomorphism induced by ‘relabeling’ [3]. This also establishes the equality of Betti numbers

$$\beta_r(I_S(\mathbf{u})^{[u_n+c-1]}) = \beta_r(I_S^{[n]}) \quad \text{and} \quad \beta_r(I_T(\mathbf{u})^{[u_n+c-1]}) = \beta_r(I_T^{[n]}).$$

We recall that the standard monomials of $\frac{R}{I(\mathbf{u})^{[u_n+c-1]}}$ are of the form $\mathbf{x}^{\mathbf{p}}$, where \mathbf{p} is a λ -parking function of length n for $\lambda = (\lambda_1, \dots, \lambda_n)$; $\lambda_i = u_n - u_i + c$. Now the standard monomials of $\frac{R}{I_S(\mathbf{u})^{[u_n+c-1]}}$ and $\frac{R}{I_T(\mathbf{u})^{[u_n+c-1]}}$ are given as follows.

Lemma 5.5. Let $\mathbf{p} = (p_1, \dots, p_n)$ be a λ -parking function of length n . Then

- (a) $\mathbf{x}^{\mathbf{p}} \notin I_S(\mathbf{u})^{[u_n+c-1]} \Leftrightarrow p_j < \lambda_{n-j} \forall j \in [n-1]$.
- (b) $\mathbf{x}^{\mathbf{p}} \notin I_T(\mathbf{u})^{[u_n+c-1]} \Leftrightarrow p_j < \lambda_{n-j} \forall j \in [n-1]$ and either $p_j < \lambda_{n-j+1}$ or $p_{j+1} < \lambda_{n-j+1}$.

Proof. These conditions are verified as in the proof of Lemma 3.1. □

DEFINITION 5.6

A λ -parking function $\mathbf{p} = (p_1, \dots, p_n)$ of length n is said to be a *Catalan λ -parking function* if $p_j < \lambda_{n-j} \forall j \in [n-1]$. Also, a Catalan λ -parking function $\mathbf{p} = (p_1, \dots, p_n)$ is said to be a *restricted Catalan λ -parking function* if in addition, either $p_j < \lambda_{n-j+1}$ or $p_{j+1} < \lambda_{n-j+1} \forall j \in [n-1]$.

Henceforth, we take $\mathbf{u} = (u_1, \dots, u_n)$ such that $u_1 \geq 1$ and $u_i = u_1 + (i-1)b$ for some integer $b \geq 1$. In other words, the sequence $\{u_i\}$ is an arithmetic progression. The sequence $\{\lambda_i\}$ with $\lambda_i = u_n - u_i + c = c + (n-i)b \forall i \in [n]$ is also an arithmetic progression. Sometimes, we put $\lambda_0 = c + nb$. To emphasize that λ depends only on b and c , we write $\lambda = \lambda(c, b)$. Let $\Lambda_n(\lambda(c, b))$ be the set of $\lambda(c, b)$ -parking functions of length n and its subset consisting of Catalan $\lambda(c, b)$ -parking functions (or restricted Catalan $\lambda(c, b)$ -parking functions) be denoted by $\Lambda_n^{\text{Cat}}(\lambda(c, b))$ (or $\tilde{\Lambda}_n^{\text{Cat}}(\lambda(c, b))$). Then $|\Lambda_n(\lambda(c, b))| = c(c+nb)^{n-1}$ (see [8,9]). In view of Lemma 5.5, we have $|\Lambda_n^{\text{Cat}}(\lambda(c, b))| = \dim_k \left(\frac{R}{I_S(\mathbf{u})^{[u_n+c-1]}} \right)$ and $|\tilde{\Lambda}_n^{\text{Cat}}(\lambda(c, b))| = \dim_k \left(\frac{R}{I_T(\mathbf{u})^{[u_n+c-1]}} \right)$.

Theorem 5.7. Let $\mathbf{u} = (u_1, \dots, u_n)$ with $u_1 \geq 1$ and $u_i = u_1 + (i-1)b \forall i \in [n]$.

- (1) The number of standard monomials of $\frac{R}{I_S(\mathbf{u})^{[u_n+c-1]}}$ is given by

$$\begin{aligned} \dim_k \left(\frac{R}{I_S(\mathbf{u})^{[u_n+c-1]}} \right) &= \lambda_1 \prod_{t=1}^{n-1} \lambda_t \\ &+ \sum_{i=1}^n (-1)^{n-i} \sum_{0=j_0 < j_1 < \dots < j_i < n} \Theta(j_1, \dots, j_i), \end{aligned}$$

where summation runs over all sequences $0 < j_1 < \dots < j_i < n$ and

$$\Theta(j_1, \dots, j_i) = b^{n-j_i+1} (n - j_i)(n - j_i)! \left(\prod_{q=2}^i b^{j_q - j_{q-1}} (j_q - j_{q-1})! \right) \prod_{s=n-j_i+1}^{n-1} \lambda_s.$$

(2) Let $A_{n+1}^\lambda = [m_{ij}^\lambda]_{(n+1) \times (n+1)}$ be a matrix such that

$$m_{ij}^\lambda = \begin{cases} b^{j-i+1} (j - i + 1)! & \text{if } i \leq j + 1; j < n + 1, \\ 0 & \text{if } i > j + 1; j < n + 1, \\ \prod_{s=i-1}^{n-1} \lambda_s & \text{if } j = n + 1. \end{cases}$$

Then $|\Lambda_n^{\text{Cat}}(\lambda(c, b))| = \dim_k \left(\frac{R}{I_S(\mathbf{u})^{[n+c-1]}} \right) = (-1)^n \det(A_{n+1}^\lambda).$

Proof. Proceeding as in the proof of Proposition 3.3, we get an expression for $\dim_k \left(\frac{R}{I_S(\mathbf{u})^{[n+c-1]}} \right)$ exactly similar to that of $\dim_k \left(\frac{R}{I_S^{[n]}} \right)$, with $v_{j,C_q}^{\mathbf{u}}$ in place of v_{j,C_q} . Now a straightforward calculation verifies the first part. On applying the row operation $R_1 - bR_2$ on the matrix A_{n+1}^λ , and expanding the determinant of the resulting matrix along the $(n + 1)$ -th column, we also get the second part. \square

The (fine) Hilbert series $H \left(\frac{R}{I_T(\mathbf{u})^{[n+c-1]}}, \mathbf{x} \right)$ of $\frac{R}{I_T(\mathbf{u})^{[n+c-1]}}$ is obtained from (4.1) by simply replacing μ_{j,\tilde{C}_q} with $\mu_{j,\tilde{C}_q}^{\mathbf{u}}$ (as in (5.2)). Thus

$$H \left(\frac{R}{I_T(\mathbf{u})^{[n+c-1]}}, \mathbf{x} \right) = \frac{\sum_{i=0}^n (-1)^i \sum_{(\tilde{C}_1, \dots, \tilde{C}_i) \in \tilde{\mathcal{F}}_{i-1}} \prod_{q=1}^i \left(\prod_{j \in \tilde{C}_q - \tilde{C}_{q-1}} x_j^{\mu_{j,\tilde{C}_q}^{\mathbf{u}}} \right)}{(1 - x_1) \cdots (1 - x_n)}.$$

PROPOSITION 5.8

The number of standard monomials of $\frac{R}{I_T(\mathbf{u})^{[n+c-1]}}$ is given by

$$\dim_k \left(\frac{R}{I_T(\mathbf{u})^{[n+c-1]}} \right) = \sum_{i=1}^n (-1)^{n-i} \sum_{\substack{(\tilde{C}_1, \dots, \tilde{C}_i) \in \tilde{\mathcal{F}}_{i-1} \\ \tilde{C}_1 \cup \dots \cup \tilde{C}_i = [n]}} \prod_{q=1}^i \left(\prod_{j \in \tilde{C}_q - \tilde{C}_{q-1}} \mu_{j,\tilde{C}_q}^{\mathbf{u}} \right),$$

where summation is carried over all $i - 1$ -dimensional faces $(\tilde{C}_1, \dots, \tilde{C}_i) \in \tilde{\mathcal{F}}_{i-1}$ of $\Delta(\tilde{\Sigma}_n)$ with $\bigcup_{l=1}^i \tilde{C}_l = [n]$ and $\tilde{C}_0 = \emptyset$.

Proof. Proceed as in the proof of Proposition 4.2. \square

For an integer $n \geq 1$, let $b_n^\lambda = |\tilde{\Lambda}_n^{\text{Cat}}(\lambda(c, b))| = \dim_k \left(\frac{R}{I_T(\mathbf{u})^{[u_n+c-1]}} \right)$. Then $b_1^\lambda = c$ and $b_2^\lambda = c(c + 2b)$.

Theorem 5.9. *The integer sequence $\{b_n^\lambda = |\tilde{\Lambda}_n^{\text{Cat}}(\lambda(c, b))|\}_{n=1}^\infty$ satisfies a second-order recurrence relation*

$$b_n^\lambda = ((n - 1)b + c)b_{n-1}^\lambda + ((n - 2)b^2 + bc)b_{n-2}^\lambda; \quad n \geq 3$$

with initial conditions $b_1^\lambda = c, b_2^\lambda = c(c + 2b)$.

Proof. From Proposition 5.8, $b_n^\lambda = \sum_{i=1}^n (-1)^{n-i} (\sum_{\tilde{F} \in \mathcal{F}_{i-1}, \cup \tilde{F}=[n]} \pi^{\mathbf{u}}(\tilde{F}))$, where summation is carried over $(i - 1)$ -dimensional faces $\tilde{F} = (\tilde{C}_1, \dots, \tilde{C}_i)$ of $\Delta(\tilde{\Sigma}_n)$ with $\cup \tilde{F} = \tilde{C}_1 \cup \dots \cup \tilde{C}_i = [n]$ and $\pi^{\mathbf{u}}(\tilde{F}) = \prod_{q=1}^i (\prod_{j \in \tilde{C}_q - \tilde{C}_{q-1}} \mu_{j, \tilde{C}_q}^{\mathbf{u}})$. For $n \geq 3$, we divide such faces \tilde{F} of $\Delta(\tilde{\Sigma}_n)$ into three types as in the proof of Theorem 4.4.

Let \tilde{F} be an $(i - 1)$ -dimensional face of $\Delta(\tilde{\Sigma}_n)$. If \tilde{F} is of Type I, then there is a unique $(i - 2)$ -dimensional face \tilde{F}' of $\Delta(\tilde{\Sigma}_{n-1})$ with $\cup \tilde{F}' = [n - 1]$ and $\pi^{\mathbf{u}}(\tilde{F}) = \lambda_1 \pi^{\mathbf{u}}(\tilde{F}')$. If \tilde{F} is of Type II, then there is a unique $(i - 2)$ -dimensional face \tilde{F}'' of $\Delta(\tilde{\Sigma}_{n-2})$ with $\cup \tilde{F}'' = [n - 2]$ and $\pi^{\mathbf{u}}(\tilde{F}) = (\lambda_2)^2 \pi^{\mathbf{u}}(\tilde{F}'')$. Again, if \tilde{F} is of Type III, then there is a unique $(i - 3)$ -dimensional face \tilde{F}''' of $\Delta(\tilde{\Sigma}_{n-2})$ with $\cup \tilde{F}''' = [n - 2]$ and $\pi^{\mathbf{u}}(\tilde{F}) = \lambda_1 \lambda_2 \pi^{\mathbf{u}}(\tilde{F}''')$.

Now rearranging terms in b_n^λ , we get

$$b_n^\lambda = \sum_{i=1}^n (-1)^{n-i} \left[\sum_{\tilde{F} \text{ (Type I)}} + \sum_{\tilde{F} \text{ (Type II)}} + \sum_{\tilde{F} \text{ (Type III)}} \right] \pi^{\mathbf{u}}(\tilde{F}).$$

As $n - i = (n - 1) - (i - 1) = (n - 2) - (i - 1) + 1 = (n - 2) - (i - 2)$, we clearly have $b_n^\lambda = \lambda_1 b_{n-1}^\lambda + [-(\lambda_2)^2 + \lambda_1 \lambda_2] b_{n-2}^\lambda$. □

Let $\lambda = \lambda(c, b)$ and let $[\tilde{m}_{ij}^\lambda]_{n \times n}$ be a tridiagonal matrix such that

$$\tilde{m}_{ij}^\lambda = \begin{cases} c + (i - 1)b & \text{if } i = j \text{ or } i = j + 1, \\ -b & \text{if } j = i + 1, \\ 0 & \text{if } |i - j| \geq 2. \end{cases}$$

Theorem 5.10.

$$|\tilde{\Lambda}_n^{\text{Cat}}(\lambda(c, b))| = \dim_k \left(\frac{R}{I_T(\mathbf{u})^{[u_n+c-1]}} \right) = \det([\tilde{m}_{ij}^\lambda]_{n \times n}).$$

Proof. Since integer sequences $\{b_n^\lambda = |\tilde{\Lambda}_n^{\text{Cat}}(\lambda(c, b))|\}_{n=1}^\infty$ and $\{\det([\tilde{m}_{ij}^\lambda]_{n \times n})\}_{n=1}^\infty$ satisfy the same second-order recurrence relation with the same initial conditions, they must be identical. □

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