

Subintegrality, invertible modules and Laurent polynomial extensions

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Abstract. Let $A \subseteq B$ be a commutative ring extension. Let $\mathcal{I}(A, B)$ be the multiplicative group of invertible A -submodules of B . In this article, we extend a result of Sadhu and Singh by finding a necessary and sufficient condition on an integral birational extension $A \subseteq B$ of integral domains with $\dim A \leq 1$, so that the natural map $\mathcal{I}(A, B) \rightarrow \mathcal{I}(A[X, X^{-1}], B[X, X^{-1}])$ is an isomorphism. In the same situation, we show that if $\dim A \geq 2$, then the condition is necessary but not sufficient. We also discuss some properties of the cokernel of the natural map $\mathcal{I}(A, B) \rightarrow \mathcal{I}(A[X, X^{-1}], B[X, X^{-1}])$ in the general case.

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1. Introduction

In [4], Roberts and Singh have introduced the group $\mathcal{I}(A, B)$ to generalize a result of Dayton. The relation between the group $\mathcal{I}(A, B)$ and subintegral extensions has been investigated by Reid, Roberts and Singh in a series of papers. Recently in [5], Sadhu and Singh have proved that A is subintegrally closed in B if and only if the canonical map $\mathcal{I}(A, B) \rightarrow \mathcal{I}(A[X], B[X])$ is an isomorphism. It is easy to see that the map is injective and that $\mathcal{I}(A[X], B[X]) = \mathcal{I}(A, B) \oplus N\mathcal{I}(A, B)$, where $N\mathcal{I}(A, B)$ denotes the kernel of the map $\mathcal{I}(A[X], B[X]) \xrightarrow{X \mapsto 0} \mathcal{I}(A, B)$. So the result of [5], just mentioned, amounts to saying that $N\mathcal{I}(A, B) = 0$ if and only if A is subintegrally closed in B .

The primary goal of this paper is to extend the result of Sadhu and Singh in [5] by finding a necessary and sufficient condition on $A \subseteq B$, so that the natural map $\mathcal{I}(A, B) \rightarrow \mathcal{I}(A[X, X^{-1}], B[X, X^{-1}])$ is an isomorphism. It is easy to see that the map $\mathcal{I}(A, B) \rightarrow \mathcal{I}(A[X, X^{-1}], B[X, X^{-1}])$ is always injective (see Lemma 3.8). Thus the problem reduces to the investigation of conditions for the cokernel of the above map to be zero. This cokernel will be denoted by $M\mathcal{I}(A, B)$. The secondary goal will be to investigate properties of the cokernel $M\mathcal{I}(A, B)$ in the general case.

In §2, we mainly give basic definitions and notations. In §3, we discuss conditions on $A \subseteq B$ under which the map $\mathcal{I}(A, B) \rightarrow \mathcal{I}(A[X, X^{-1}], B[X, X^{-1}])$ is an isomorphism. We are able to prove some results in the situation when $A \subseteq B$ is an integral birational extension of domains. First, if $\dim A \leq 1$ then by using a result of Onoda-Yoshida (Theorem 1.13 of [3]), we prove the following.

Theorem 3.14. *Let $A \subseteq B$ be an integral, birational extension of domains with $\dim A \leq 1$. Then $M\mathcal{I}(A, B) = 0$ if and only if A is subintegrally closed in B and $A \subseteq B$ is anodal.*

For higher dimension, we show that the above conditions are necessary but not sufficient. More precisely, we prove the following

Theorem 3.17. *Let $A \subseteq B$ be an integral, birational extension of domains. Suppose $M\mathcal{I}(A, B) = 0$. Then A is subintegrally closed in B and $A \subseteq B$ is anodal.*

That the conditions are not sufficient is shown by an example of Weibel [9] (see Remark 3.18). We note that for any ring extension $A \subseteq B$, the condition $M\mathcal{I}(A, B) = 0$ implies easily that A is subintegrally closed in B (see Lemma 3.15(4)).

In §4, we examine the cokernel $M\mathcal{I}(A, B)$ in the general case. In order to do this, we first discuss the surjectivity of the natural map $\varphi(A, C, B) : \mathcal{I}(A, B) \rightarrow \mathcal{I}(C, B)$ given by $\varphi(A, C, B)(I) = IC$ for any ring extensions $A \subseteq C \subseteq B$. We show that the map $\varphi(A, C, B)$ is surjective in two cases: (1) C is subintegral over A , (2) $A \subseteq B$ is an integral extension with A being Hensel local (see Propositions 4.1 and 4.2). We show further that if C is subintegral over A , then the sequence

$$1 \rightarrow M\mathcal{I}(A, C) \rightarrow M\mathcal{I}(A, B) \rightarrow M\mathcal{I}(C, B) \rightarrow 1$$

is exact (see Proposition 4.3). Finally we prove the following

Theorem 4.7. *Let $A \subseteq B$ be a ring extension with A as Hensel local and B as seminormal. Then $M\mathcal{I}(A, B) \cong M\mathcal{I}(A, {}^+A) \oplus M\mathcal{I}({}^+A, B)$, where ${}^+A$ is the subintegral closure of A in B .*

In this section we also observe that if A is subintegrally closed in B with B a seminormal domain and A Hensel local, then $M\mathcal{I}(A, B) = 0$ (see Proposition 4.5(4)).

2. Basic definitions and notations

All the rings we consider are commutative with 1, and all ring homomorphisms are unitary. Let X, T be indeterminates.

An *elementary subintegral* extension is an extension of the form $A \subseteq B$ with $B = A[b]$ for some $b \in B$ such that $b^2, b^3 \in A$. An extension $A \subseteq B$ is *subintegral* if it is a filtered union of elementary subintegral extensions; that is, for each $b \in B$ there is a finite sequence $A = C_0 \subseteq C_1 \subseteq \cdots \subseteq C_r \subseteq B$ of ring extensions such that $b \in C_r$ and $C_{i-1} \subseteq C_i$ is elementary subintegral for each i , $1 \leq i \leq r$. We say that A is *subintegrally closed* in B if whenever $b \in B$ and $b^2, b^3 \in A$, then $b \in A$. The ring A is *seminormal* if the following condition holds: $b, c \in A$ and $b^3 = c^2$ imply that there exists $a \in A$ with $b = a^2$ and $c = a^3$. A seminormal ring is necessarily reduced and is subintegrally closed in every reduced overring. It is easily seen that if A is subintegrally closed in B with B seminormal then A is seminormal. For details, see [7, 8].

For a ring A we denote by

$U(A)$: the groups of units of A ,

$H^0(A) = H^0(\text{Spec}(A), \mathbb{Z})$: the group of continuous maps from $\text{Spec}(A)$ to \mathbb{Z} ,

$\text{Pic } A$: the Picard group of A ,

$KU(A)$: cokernel of the natural map $U(A) \rightarrow U(A[X])$,

$MU(A)$: cokernel of the natural map $U(A) \rightarrow U(A[X, X^{-1}])$,

$NU(A)$: kernel of the map $U(A[X]) \rightarrow U(A)$,

$K \text{ Pic } A$: cokernel of the natural map $\text{Pic } A \rightarrow \text{Pic } A[X]$,

$M \text{ Pic } A$: cokernel of the natural map $\text{Pic } A \rightarrow \text{Pic } A[X, X^{-1}]$,

$N \text{ Pic } A$: kernel of the map $\text{Pic } A[X] \rightarrow \text{Pic } A$,

$L \text{ Pic } A$: cokernel of the map $\text{Pic } A[X] \times \text{Pic } A[X^{-1}] \xrightarrow{\text{add}} \text{Pic } A[X, X^{-1}]$.

Let $A \subseteq B$ be a ring extension. Then we denote by

$\mathcal{I}(A, B)$: the group of invertible A -submodules of B .

It is easily seen that \mathcal{I} is a functor from extensions of rings to abelian groups. Some properties of $\mathcal{I}(A, B)$ can be found in [§2 of [4]].

$K\mathcal{I}(A, B)$: cokernel of the natural map $\mathcal{I}(A, B) \rightarrow \mathcal{I}(A[X], B[X])$,

$M\mathcal{I}(A, B)$: cokernel of the natural map $\mathcal{I}(A, B) \rightarrow \mathcal{I}(A[X, X^{-1}], B[X, X^{-1}])$,

$N\mathcal{I}(A, B)$: kernel of the map $\mathcal{I}(A[X], B[X]) \rightarrow \mathcal{I}(A, B)$ (Here the map is induced by the B -algebra homomorphism $B[X] \rightarrow B$ given by $X \mapsto 0$).

Recall from §2 of [4] that for any commutative ring extension $A \subseteq B$, we have the exact sequence

$$1 \rightarrow U(A) \rightarrow U(B) \rightarrow \mathcal{I}(A, B) \rightarrow \text{Pic } A \rightarrow \text{Pic } B.$$

Applying M, K we obtain the chain complexes:

$$1 \rightarrow MU(A) \rightarrow MU(B) \rightarrow M\mathcal{I}(A, B) \xrightarrow{\eta} M \text{ Pic } A \xrightarrow{\varphi} M \text{ Pic } B \quad (2.1)$$

and

$$1 \rightarrow KU(A) \rightarrow KU(B) \rightarrow K\mathcal{I}(A, B) \xrightarrow{\alpha} K \text{ Pic } A \xrightarrow{\beta} K \text{ Pic } B. \quad (2.2)$$

3. The map $\mathcal{I}(A, B) \rightarrow \mathcal{I}(A[X, X^{-1}], B[X, X^{-1}])$

In this section, we examine some conditions on $A \subseteq B$ under which the natural map

$\mathcal{I}(A, B) \rightarrow \mathcal{I}(A[X, X^{-1}], B[X, X^{-1}])$ is an isomorphism. For this, we consider the notions of quasinormal and anodal extensions (or u -closed).

Let $A \subseteq B$ be a ring extension. We say that A is *quasinormal* in B if the natural map $M \text{ Pic } A \rightarrow M \text{ Pic } B$ is injective. For properties of quasinormal extensions, see [3].

An inclusion $A \subseteq B$ of rings is called *anodal* or *an anodal extension*, if every $b \in B$ such that $(b^2 - b) \in A$ and $(b^3 - b^2) \in A$ belongs to A . This notion was first introduced by Asanuma and Onoda-Yoshida in [3], and they called this notion ‘ u -closed’. Some related details can be found in [1, 3, 9].

We first show in Proposition 3.2 below that a subintegral extension is always an anodal extension, which is perhaps a result of independent interest.

Lemma 3.1. *Let $A \subseteq C \subseteq B$ be extensions of rings. Then the following statements hold:*

- (1) *If A is anodal in B , then so is A in C .*
- (2) *If A is anodal in C and C is anodal in B , then so is A in B .*

Proof. Clear from the definition. \square

PROPOSITION 3.2

Let $A \subseteq B$ be a ring extension. If $A \subseteq B$ is subintegral, then it is anodal.

Proof. Assume first that $A \subseteq B$ is an elementary subintegral extension, i.e., $A \subseteq B = A[b]$ such that $b^2, b^3 \in A$. Let $f \in B$ such that $f^2 - f, f^3 - f^2 \in A$. We have to show that $f \in A$. Clearly f is of the form $a + \lambda b$ where $a, \lambda \in A$. So it is enough to show that $\lambda b \in A$. Since $\lambda b(2a-1), \lambda b(3a^2-1) \in A, \lambda b = \lambda b \cdot 1 = \lambda b[(6a+3)(2a-1) - 4(3a^2-1)] \in A$. Hence $f \in A$.

In the general case, for $f \in B$ there exists a finite sequence $A = C_0 \subseteq C_1 \subseteq \dots \subseteq C_r \subseteq B$ of extensions such that $C_i \subseteq C_{i+1}$ is an elementary subintegral extension for each $i, 0 \leq i \leq r-1$ and $f \in C_r$. So by the above argument $C_i \subseteq C_{i+1}$ is anodal for each i . Now the result follows from Lemma 3.1(2). \square

The following result is due to Sadhu and Singh (Theorem 1.5 of [5]) which we use frequently throughout this paper.

Lemma 3.3. Let $A \subseteq B$ be a ring extension. Then A is subintegrally closed in B if and only if the canonical map $\mathcal{I}(A, B) \rightarrow \mathcal{I}(A[X], B[X])$ is an isomorphism.

One can restate the above result in the following way: A is subintegrally closed in $B \Leftrightarrow K\mathcal{I}(A, B) = 0 \Leftrightarrow N\mathcal{I}(A, B) = 0$.

The following result is due to Weibel (Theorem 5.2 of [9]).

Lemma 3.4. There is a natural decomposition

$$\text{Pic } A[X, X^{-1}] \cong \text{Pic } A \oplus N \text{ Pic } A \oplus L \text{ Pic } A$$

for any commutative ring A .

Remark 3.5. By Swan theorem [7], $N \text{ Pic } A = 0$ if and only if A_{red} is seminormal. So for a seminormal ring A , $L \text{ Pic } A \cong M \text{ Pic } A$.

The next result is given in (Exercise 3.17, page 30 of [10]).

Lemma 3.6. There is a natural decomposition

$$U(A[X, X^{-1}]) \cong U(A) \oplus NU(A) \oplus H^0(A)$$

for any commutative ring A .

Remark 3.7. It follows that for a reduced ring A , $H^0(A) \cong MU(A)$.

Lemma 3.8. The natural map $\phi : \mathcal{I}(A, B) \rightarrow \mathcal{I}(A[X, X^{-1}], B[X, X^{-1}])$, given by $I \rightarrow IA[X, X^{-1}]$, is injective. Thus, ϕ is an isomorphism if and only if $M\mathcal{I}(A, B) = 0$.

Proof. Let $I = (b_1, b_2, \dots, b_r)A \in \ker \phi$, where $b_i \in B$. Then $IA[X, X^{-1}] = A[X, X^{-1}]$. This implies that $b_i \in A[X, X^{-1}] \cap B = A$, for all i . So $I \subseteq A$. Similarly $I^{-1} \subseteq A$. Hence $I = A$. \square

Lemma 3.9. *The sequence (2.1) (respectively (2.2)) is exact, except possibly at the place $M \text{Pic } A$ (respectively $K \text{Pic } A$). It is exact there too if the map $\text{Pic } A \rightarrow \text{Pic } B$ is surjective.*

Proof. We have the following commutative diagram:

$$\begin{array}{ccccccccc}
 & & 1 & & 1 & & 1 & & 0 & & 0 \\
 & & \downarrow & & \downarrow & & \downarrow & & \downarrow & & \downarrow \\
 1 & \longrightarrow & U(A) & \longrightarrow & U(B) & \longrightarrow & \mathcal{I}(A, B) & \longrightarrow & \text{Pic } A & \longrightarrow & \text{Pic } B \\
 & & \downarrow & & \downarrow & & \downarrow & & \downarrow & & \downarrow \\
 1 & \longrightarrow & U(A[X, X^{-1}]) & \longrightarrow & U(B[X, X^{-1}]) & \longrightarrow & \mathcal{I}(A[X, X^{-1}], B[X, X^{-1}]) & \longrightarrow & \text{Pic } A[X, X^{-1}] & \longrightarrow & \text{Pic } B[X, X^{-1}] \\
 & & \downarrow & & \downarrow & & \downarrow & & \downarrow & & \downarrow \\
 1 & \longrightarrow & MU(A) & \longrightarrow & MU(B) & \longrightarrow & M\mathcal{I}(A, B) & \longrightarrow & M\text{Pic } A & \longrightarrow & M\text{Pic } B \\
 & & \downarrow & & \downarrow & & \downarrow & & \downarrow & & \downarrow \\
 & & 1 & & 1 & & 1 & & 0 & & 0
 \end{array}$$

where the first two rows are exact and each column is exact. Now the result follows by chasing this diagram. \square

Lemma 3.10. *Let $A \subseteq B$ be a ring extension. The map $\text{Pic } A \rightarrow \text{Pic } B$ is surjective if any one of the following conditions holds:*

- (1) $A \subseteq B$ is subintegral.
- (2) $A \subseteq B$ is an integral, birational extension of domains with $\dim A \leq 1$.

Proof.

- (1) See Proposition 7 of [2].
- (2) Let K be the quotient field of A and B . We have the commutative diagram:

$$\begin{array}{ccccc}
 \mathcal{I}(A, K) & \longrightarrow & \text{Pic } A & \longrightarrow & 0 \\
 \varphi(A, B, K) \downarrow & & \rho \downarrow & & \\
 \mathcal{I}(B, K) & \longrightarrow & \text{Pic } B & \longrightarrow & 0
 \end{array},$$

where $\varphi(A, B, K)$ is surjective by Proposition 2.3 of [5]. Hence ρ is surjective. \square

Lemma 3.11 (cf. Lemma 1.4 of [3]). *Let $A \subseteq B$ be a ring extension with B reduced and A quasinormal in B . Then A is subintegrally closed in B .*

Proof. We have not assumed B to be a domain. By Lemma 3.3, it is enough to show that $K\mathcal{I}(A, B) = 0$. We have the sequence

$$1 \rightarrow KU(A) \rightarrow KU(B) \rightarrow K\mathcal{I}(A, B) \xrightarrow{\alpha} K \text{Pic } A \xrightarrow{\beta} K \text{Pic } B$$

which is exact except possibly at the place $K \text{ Pic } A$. Since A and B are reduced, $KU(A) = 0$ and $KU(B) = 0$. In the proof of Lemma 1.4 of [3], it is shown that the map $K \text{ Pic } A \rightarrow K \text{ Pic } B$ is injective, i.e., $\ker \beta = 0$. We have $\text{im } \alpha \subseteq \ker \beta$. Hence $K\mathcal{I}(A, B) = 0$. \square

Remark 3.12. In the above lemma we cannot drop the condition that B is reduced. For example, consider the extension $A = K \subsetneq B = K[b]$ with $b^2 = 0$, where K is any field. Since $M \text{ Pic } K = 0$, clearly A is quasinormal in B . But A is not subintegrally closed in B , because $b^2 = b^3 = 0 \in K$, $b \notin K$.

Lemma 3.13. *Let $A \subseteq B$ be a ring extension with B a domain. Then the following statements hold:*

- (1) *If A is quasinormal in B , then $M\mathcal{I}(A, B) = 0$.*
- (2) *Suppose the extension $A \subseteq B$ is integral and birational with $\dim A \leq 1$, and $M\mathcal{I}(A, B) = 0$. Then A is quasinormal in B .*

Proof.

- (1) Since A and B are domains, $MU(A) = MU(B) \cong \mathbb{Z}$. By (2.1), $\text{im } \eta \subseteq \ker \varphi$. As A is quasinormal in B , $\ker \varphi = 0$. This implies that $\text{im } \eta = 0$. We get $M\mathcal{I}(A, B) = 0$.
- (2) By Lemma 3.10(2) and Lemma 3.9, the sequence (2.1) is exact at $M \text{ Pic } A$ also. Since $M\mathcal{I}(A, B) = 0$, we get the result. \square

Theorem 3.14. *Let $A \subseteq B$ be an integral, birational extension of domains with $\dim A \leq 1$. Then $M\mathcal{I}(A, B) = 0$ if and only if A is subintegrally closed in B and $A \subseteq B$ is anodal.*

Proof. If $\dim A = 0$, then $A = B$ and the assertion holds trivially in this case. If $\dim A = 1$, then by Theorem 1.13 of [3], A is quasinormal in B if and only if A is subintegrally closed in B and $A \subseteq B$ is anodal. We also have that A is quasinormal in B if and only if $M\mathcal{I}(A, B) = 0$ by Lemma 3.13. Combining these two results we get the assertion. \square

Next, in Theorem 3.17 and Remark 3.18, we show that in general, the condition A is subintegrally closed in B and $A \subseteq B$ is anodal are necessary but not sufficient.

Lemma 3.15.

- (1) *The diagram*

$$\begin{array}{ccc} \mathcal{I}(A, B) & \xrightarrow{\psi} & \mathcal{I}(A[X], B[X]) \\ & \searrow \phi & \downarrow \theta \\ & & \mathcal{I}(A[X, X^{-1}], B[X, X^{-1}]) \end{array}$$

is commutative.

- (2) *The maps ϕ , ψ and θ are injective.*
- (3) *ϕ is an isomorphism if and only if ψ and θ are isomorphisms.*
- (4) *If ϕ is an isomorphism, i.e., $M\mathcal{I}(A, B) = 0$, then A is subintegrally closed in B .*

Proof.

- (1) Since the maps are natural, the diagram is commutative.
- (2) ϕ is injective by Lemma 3.8. The injectivity of ψ and θ follows by a similar argument as in Lemma 3.8.
- (3) If ψ and θ are isomorphisms then clearly ϕ is an isomorphism. Conversely, suppose ϕ is an isomorphism. Then by simple diagram chasing we get that ψ and θ are isomorphisms.
- (4) If ϕ is an isomorphism, then ψ is an isomorphism. Hence by Lemma 3.3, A is subintegrally closed in B . \square

Lemma 3.16. *Let \mathfrak{a} be a B -ideal contained in A . Then the homomorphism $M\mathcal{I}(A, B) \rightarrow M\mathcal{I}(A/\mathfrak{a}, B/\mathfrak{a})$ is an isomorphism.*

Proof. Clearly, $\mathfrak{a}[X, X^{-1}]$ is a $B[X, X^{-1}]$ -ideal contained in $A[X, X^{-1}]$. We have $\mathcal{I}(A, B) \cong \mathcal{I}(A/\mathfrak{a}, B/\mathfrak{a})$ and $\mathcal{I}(A[X, X^{-1}], B[X, X^{-1}]) \cong \mathcal{I}(A/\mathfrak{a}[X, X^{-1}], B/\mathfrak{a}[X, X^{-1}])$ by Proposition 2.6 of [4]. Now by chasing a suitable diagram, we get the result. \square

Theorem 3.17. *Let $A \subseteq B$ be an integral, birational extension of domains. Suppose $M\mathcal{I}(A, B) = 0$. Then A is subintegrally closed in B and $A \subseteq B$ is anodal.*

Proof. By Lemma 3.15(4), A is subintegrally closed in B . To prove $A \subseteq B$ is anodal, by Lemma 1.10 of [3], it is enough to show that for every intermediate ring C between A and B such that C is a finite A -module, the map $M \text{Pic } A \rightarrow M \text{Pic } (A/\mathfrak{c}) \times M \text{Pic } C$ is injective, where \mathfrak{c} is the conductor of C in A . We first claim that the map $\tau : M\mathcal{I}(A, C) \rightarrow M\mathcal{I}(A, B)$ is injective, where C is any intermediate ring between A and B .

We have the commutative diagram

$$\begin{array}{ccccccc}
 1 & \longrightarrow & \mathcal{I}(A, C) & \longrightarrow & \mathcal{I}(A[X, X^{-1}], C[X, X^{-1}]) & \longrightarrow & M\mathcal{I}(A, C) & \longrightarrow & 1 \\
 & & \downarrow & & \downarrow & & \downarrow \tau & & \\
 1 & \longrightarrow & \mathcal{I}(A, B) & \xrightarrow{\phi} & \mathcal{I}(A[X, X^{-1}], B[X, X^{-1}]) & \longrightarrow & M\mathcal{I}(A, B) & \longrightarrow & 1
 \end{array}$$

where the first two vertical arrows are natural inclusions (because any invertible A -submodule of C is also an invertible A -submodule of B).

Let $\bar{J} \in \ker \tau$, where $J \in \mathcal{I}(A[X, X^{-1}], C[X, X^{-1}])$. Then $J \in \text{im } \phi$ and there exists $J_1 \in \mathcal{I}(A, B)$ such that $J_1 A[X, X^{-1}] = J$. Let $J_1 = (b_1, b_2, \dots, b_r)A$ and $J = (f_1, f_2, \dots, f_s)A[X, X^{-1}]$, where $b_i \in B$ and $f_i \in C[X, X^{-1}]$. Then clearly $b_i \in B \cap C[X, X^{-1}] = C$ for all i . So $J_1 \subseteq C$. Also $J_1^{-1} \subseteq C$. This implies that $J_1 \in \mathcal{I}(A, C)$. So $\bar{J} = 0$. This proves the claim.

Since $M\mathcal{I}(A, B) = 0$, $M\mathcal{I}(A, C) = 0$. By Lemma 3.16, $M\mathcal{I}(A/\mathfrak{c}, C/\mathfrak{c}) = 0$, where \mathfrak{c} is the conductor of C in A . By (2.1), we have $MU(A) \cong MU(C)$ and $MU(A/\mathfrak{c}) \cong MU(C/\mathfrak{c})$. Now the result follows from the following exact sequence which we obtain by applying M to the unit-Pic sequence (Theorem 3.10 of [10]),

$$\begin{array}{l}
 MU(A) \rightarrow MU(A/\mathfrak{c}) \times MU(C) \rightarrow MU(C/\mathfrak{c}) \rightarrow M \text{Pic } A \\
 \rightarrow M \text{Pic } (A/\mathfrak{c}) \times M \text{Pic } C
 \end{array}
 \quad \square$$

Remark 3.18. The converse of the above theorem holds for $\dim A \leq 1$ as seen in Theorem 3.14. In general, the converse does not hold. This is seen by considering Example 3.5 of [9]. In that example, A is a 2-dimensional noetherian domain whose integral closure is $B = K[X, Y]$, where K is a field. So $A \subseteq B$ is an integral, birational extension. By Proposition 3.5.2 of [9], $A \subseteq B$ is anodal and A is subintegrally closed in B . Since B is a UFD, $\text{Pic } B = \text{Pic } B[T, T^{-1}] = 0$. Then we get the exact sequence

$$1 \rightarrow MU(A) \rightarrow MU(B) \rightarrow M\mathcal{I}(A, B) \rightarrow M \text{ Pic } A \rightarrow 0.$$

As A, B are domains, $MU(A) = MU(B) \cong \mathbb{Z}$. So $M\mathcal{I}(A, B) \cong M \text{ Pic } A$. By Remark 3.5, $L \text{ Pic } A \cong M \text{ Pic } A$. Hence by Proposition 3.5.2 of [9], $M\mathcal{I}(A, B) \neq 0$.

4. Some observations on $M\mathcal{I}(A, B)$

In this section we discuss some properties of the cokernel $M\mathcal{I}(A, B)$ in the general case.

Recall from §3 of [6] that for any extensions $A \subseteq C \subseteq B$ of rings, we have the exact sequence

$$1 \rightarrow \mathcal{I}(A, C) \rightarrow \mathcal{I}(A, B) \xrightarrow{\varphi(A, C, B)} \mathcal{I}(C, B)$$

where the map $\varphi(A, C, B)$ is given by $\varphi(A, C, B)(I) = IC$.

Now it is natural to ask under what conditions on $A \subseteq B$ the map $\varphi(A, C, B)$ is surjective. In [6], Singh has proved that if B is subintegral over A then the map $\varphi(A, C, B)$ is surjective. In the next Proposition, we generalize Singh’s result as follows:

PROPOSITION 4.1

For all rings C between A and B such that C is subintegral over A , the map $\varphi(A, C, B)$ is surjective.

Proof. We have the commutative diagram

$$\begin{array}{ccccccccc} 1 & \longrightarrow & U(A) & \longrightarrow & U(B) & \longrightarrow & \mathcal{I}(A, B) & \longrightarrow & \text{Pic } A & \longrightarrow & \text{Pic } B \\ & & \downarrow & & \downarrow = & & \downarrow \varphi(A, C, B) & & \downarrow \rho & & \downarrow = \\ 1 & \longrightarrow & U(C) & \longrightarrow & U(B) & \longrightarrow & \mathcal{I}(C, B) & \longrightarrow & \text{Pic } C & \longrightarrow & \text{Pic } B \end{array}$$

Since ρ is surjective by Lemma 3.10(1), the result follows by chasing the diagram. \square

The following result gives another case where the map $\varphi(A, C, B)$ is surjective.

Recall that a local ring A is *Hensel* if every finite A -algebra B is a direct product of local rings.

PROPOSITION 4.2

Let $A \subseteq B$ be an integral extension with A Hensel local. Then for all rings C with $A \subseteq C \subseteq B$, the map $\varphi(A, C, B)$ is surjective.

Proof. By Lemma 2.2 of [5], it is enough to show that $\varphi(A, D, B)$ is surjective for every subring D of C containing A such that D is finitely generated as an A -algebra. Let such a ring D be given. Since D is integral over A , D is a finite A -algebra. As A is Hensel, D is a finite direct product of local rings. Then $\text{Pic } A$ and $\text{Pic } D$ are both trivial. This implies that $\mathcal{I}(A, B) = U(B)/U(A)$, $\mathcal{I}(D, B) = U(B)/U(D)$ and clearly $\varphi(A, D, B)$ is surjective. \square

PROPOSITION 4.3

Let $A \subseteq C \subseteq B$ be extensions of rings with $A \subseteq C$ subintegral. Then the sequence

$$1 \rightarrow MI(A, C) \rightarrow MI(A, B) \rightarrow MI(C, B) \rightarrow 1$$

is exact.

Proof. Consider the commutative diagram

$$\begin{array}{ccccccc}
 & & 1 & & 1 & & 1 \\
 & & \downarrow & & \downarrow & & \downarrow \\
 1 & \longrightarrow & \mathcal{I}(A, C) & \longrightarrow & \mathcal{I}(A[X, X^{-1}], C[X, X^{-1}]) & \longrightarrow & MI(A, C) \longrightarrow 1 \\
 & & \downarrow & & \downarrow & & \downarrow \\
 1 & \longrightarrow & \mathcal{I}(A, B) & \longrightarrow & \mathcal{I}(A[X, X^{-1}], B[X, X^{-1}]) & \longrightarrow & MI(A, B) \longrightarrow 1 \\
 & & \downarrow & & \downarrow & & \downarrow \\
 1 & \longrightarrow & \mathcal{I}(C, B) & \longrightarrow & \mathcal{I}(C[X, X^{-1}], B[X, X^{-1}]) & \longrightarrow & MI(C, B) \longrightarrow 1 \\
 & & \downarrow & & \downarrow & & \downarrow \\
 & & 1 & & 1 & & 1
 \end{array}$$

where the rows are clearly exact. Since $A \subseteq C$ is subintegral, so is $A[X, X^{-1}] \subseteq C[X, X^{-1}]$. Therefore by Proposition 4.1, the first two columns are exact. Hence exactness of the last column follows by chasing the diagram. \square

COROLLARY 4.4

Let $A \subseteq B$ be a ring extension and let A^+ denote the subintegral closure of A in B . Then the sequence

$$1 \rightarrow MI(A, A^+) \rightarrow MI(A, B) \rightarrow MI(A^+, B) \rightarrow 1$$

is exact.

Proof. Immediate from Proposition 4.3. □

PROPOSITION 4.5

Let $A \subseteq B$ be a ring extension. Assume that A is subintegrally closed in B . Then

- (1) $M\mathcal{I}(A, B) \cong M\mathcal{I}(A[T], B[T])$.
- (2) $M\mathcal{I}(A, B)$ is a torsion-free abelian group if B is a seminormal ring.
- (3) $M\mathcal{I}(A, B)$ is a free abelian group if B is a seminormal ring and A is Hensel local.
- (4) $M\mathcal{I}(A, B) = 0$ if B is a seminormal domain and A is Hensel local.

Proof.

- (1) Since A is subintegrally closed in B , $A[X]$ is subintegrally closed in $B[X]$ by Corollary 1.6 of [5]. Therefore $A[X, X^{-1}]$ is subintegrally closed in $B[X, X^{-1}]$. We have the commutative diagram

$$\begin{array}{ccccccc}
 1 & \longrightarrow & \mathcal{I}(A, B) & \longrightarrow & \mathcal{I}(A[X, X^{-1}], B[X, X^{-1}]) & \longrightarrow & M\mathcal{I}(A, B) & \longrightarrow & 1 \\
 & & \downarrow \psi & & \downarrow \xi & & \downarrow & & \\
 1 & \longrightarrow & \mathcal{I}(A[T], B[T]) & \longrightarrow & \mathcal{I}(A[T][X, X^{-1}], B[T][X, X^{-1}]) & \longrightarrow & M\mathcal{I}(A[T], B[T]) & \longrightarrow & 1
 \end{array}$$

where ψ and ξ are isomorphisms by Lemma 3.3. Hence we get the result.

- (2) As A is subintegrally closed in B and B is a seminormal ring, A is seminormal. Then by Remark 3.5, $L \text{ Pic } A \cong M \text{ Pic } A$. Since any seminormal ring is reduced, $MU(A) = H^0(A)$ and $MU(B) = H^0(B)$ by Remark 3.7. Now, from (2.1), we have the exact sequence

$$1 \rightarrow H^0(A) \rightarrow H^0(B) \rightarrow M\mathcal{I}(A, B) \rightarrow M \text{ Pic } A,$$

where $M \text{ Pic } A$ is a torsion-free abelian group by Corollary 2.3.1 of [9]. Let T be the cokernel of the map $H^0(A) \rightarrow H^0(B)$. Then

$$1 \rightarrow T \rightarrow M\mathcal{I}(A, B) \rightarrow M \text{ Pic } A$$

is exact and T is a free abelian group by Proposition 1.3 of [9]. Therefore $M\mathcal{I}(A, B)$ is a torsion-free abelian group.

- (3) By Theorem 2.5 of [9], $L \text{ Pic } A = 0$. Since A is seminormal, $M \text{ Pic } A = 0$. Then we have the exact sequence

$$1 \rightarrow H^0(A) \rightarrow H^0(B) \rightarrow M\mathcal{I}(A, B) \rightarrow 1$$

and $M\mathcal{I}(A, B) = \text{Coker}[H^0(A) \rightarrow H^0(B)]$ is a free abelian group by Proposition 1.3 of [9].

- (4) Since B is a domain, $H^0(A) = H^0(B) \cong \mathbb{Z}$. So $M\mathcal{I}(A, B) = 0$. □

Lemma 4.6. Let $A \subseteq B$ be a subintegral extension. Then the map $L \text{ Pic } A \rightarrow L \text{ Pic } B$ is surjective.

Proof. Since $A \subseteq B$ is subintegral, so are $A[X] \subseteq B[X]$ and $A[X, X^{-1}] \subseteq B[X, X^{-1}]$. Then the maps $\text{Pic } A[X] \times \text{Pic } A[X^{-1}] \rightarrow \text{Pic } B[X] \times \text{Pic } B[X^{-1}]$ and $\text{Pic } A[X, X^{-1}] \rightarrow \text{Pic } B[X, X^{-1}]$ are surjective by Lemma 3.10(1). Hence we get the result by chasing the following commutative diagram:

$$\begin{array}{ccccccccc}
 \text{Pic } A[X] & \text{Pic } A[X^{-1}] & \longrightarrow & \text{Pic } A[X, X^{-1}] & \longrightarrow & L\text{Pic } A & \longrightarrow & 1 \\
 \downarrow & \downarrow & & \downarrow & & \downarrow & & \\
 \text{Pic } B[X] & \text{Pic } B[X^{-1}] & \longrightarrow & \text{Pic } B[X, X^{-1}] & \longrightarrow & L\text{Pic } B & \longrightarrow & 1 \\
 \downarrow & \downarrow & & \downarrow & & & & \\
 1 & 1 & & 1 & & & &
 \end{array}$$

□

Theorem 4.7. *Let $A \subseteq B$ be a ring extension with A Hensel local and B seminormal. Then $M\mathcal{I}(A, B) \cong M\mathcal{I}(A, {}^+A) \oplus M\mathcal{I}({}^+A, B)$, where ${}^+A$ is the subintegral closure of A in B .*

Proof. By Lemma 4.6, $L\text{Pic } A \rightarrow L\text{Pic } {}^+A$ is surjective. Since A is Hensel local, $L\text{Pic } A = 0$ by Theorem 2.5 of [9]. Therefore $L\text{Pic } {}^+A = 0$ and $M\text{Pic } {}^+A = 0$ because ${}^+A$ is seminormal. Then by the same argument as Proposition 4.5(3), $M\mathcal{I}({}^+A, B)$ is a free abelian group. Now the result follows from the following exact sequence (Corollary 4.4)

$$1 \rightarrow M\mathcal{I}(A, {}^+A) \rightarrow M\mathcal{I}(A, B) \rightarrow M\mathcal{I}({}^+A, B) \rightarrow 1.$$

□

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