

Irrational factor races

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Abstract. We investigate the behavior of the sum of the irrational factor function over arithmetic progressions. We first establish a general asymptotic formula for such a sum, and then obtain some further results in the case of arithmetic progressions $3n \pm 1$.

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1. Introduction

By the prime number theorem on arithmetic progressions, the prime numbers are distributed evenly among the residue classes $[a]$ modulo q , with a relatively prime to q . Chebyshev observed that there are more prime numbers congruent to 3 modulo 4 than congruent to 1 modulo 4. In other words, he observed that the difference

$$\sum_{\substack{p \leq x \\ p \text{ prime} \\ p \equiv 3 \pmod{4}}} 1 - \sum_{\substack{p \leq x \\ p \text{ prime} \\ p \equiv 1 \pmod{4}}} 1$$

appears to be always positive. A definite result by Littlewood [9] states that there exists an unbounded set of values of x for which the above difference is positive, and there also is an unbounded set of values of x for which the above difference is negative. One may rewrite the above difference in terms of sums of an arithmetic function over all positive integers n less than x , for example

$$\sum_{\substack{n \leq x \\ n \equiv 3 \pmod{4}}} \frac{\Lambda(n)\mu^2(n)}{\log n} - \sum_{\substack{n \leq x \\ n \equiv 1 \pmod{4}}} \frac{\Lambda(n)\mu^2(n)}{\log n},$$

where $\Lambda(n)$ and $\mu(n)$ are the von Mangoldt function and Möbius function respectively. In the present paper, we consider a similar difference for another arithmetic function $I(n)$,

the irrational factor function introduced by Atanassov in [2]. If $n = \prod_{j=1}^k p_j^{\alpha_j}$ is the prime factorization of n , then one defines $I(n) := \prod_{j=1}^k p_j^{1/\alpha_j}$.

Alkan et al. [1] have obtained the following asymptotic formula:

$$\sum_{n \leq x} I(n) = c_2 x^2 + O(x^{3/2}(\log x)^{9/4}) \quad \text{for some absolute constant } c_2 > 0.$$

We start by following the same argument in order to obtain a similar asymptotic formula for

$$S(x; q, a) := \sum_{\substack{n \leq x \\ n \equiv a \pmod{q}}} I(n).$$

Theorem 1.1. *Let a and q be relatively prime positive integers. Then*

$$S(x; q, a) = \frac{1}{\phi(q)} \frac{c_{q,a}}{2\zeta(2)} x^2 + O_q(x^{3/2}(\log x)^{9/4})$$

for some constant $c_{q,a} > 0$, depending on q and a .

In particular, when $q = 3$, one finds that $c_{3,1} = c_{3,2}$ and $c_{3,0} > c_{3,1}$. As a consequence, the difference $S(x; 3, 0) - S(x; 3, 1)$ is strictly positive for x sufficiently large. We are interested in the behavior of the sign changes of the difference $S(x; 3, 1) - S(x; 3, 2)$. Similar to Littlewood's theorem, we prove the following result:

Theorem 1.2. *The set of values of x for which the difference $S(x; 3, 1) - S(x; 3, 2)$ is strictly positive and the set of values of x for which the difference $S(x; 3, 1) - S(x; 3, 2)$ is strictly negative are unbounded.*

2. Proof of Theorem 1.1

In this section we provide a proof of Theorem 1.1. The proof below follows the work from Theorem 2(i) of [1], so we will merely provide the details where there are differences in the two proofs.

Proof. Let a and q be relatively prime. For any $n \equiv a \pmod{q}$, $\sum_{\chi} \bar{\chi}(a) \chi(n) = \phi(q)$, where the sum is over all Dirichlet characters modulo q . For all other integers n , the above sum vanishes. As a consequence,

$$S(x; q, a) = \frac{1}{\phi(q)} \sum_{\chi \pmod{q}} \bar{\chi}(a) \sum_{n \leq x} \chi(n) I(n).$$

Next, we focus on the inner sum $\sum_{n \leq x} \chi(n)I(n)$. Note that the arithmetic function $\chi(n)I(n)$ is multiplicative. Thus the associated Dirichlet series admits an Euler product, which is easily seen to converge for $\Re(s) > 2$. One has

$$\begin{aligned} \sum_{n=1}^{\infty} \frac{\chi(n)I(n)}{n^s} &= \prod_p \left(1 + \frac{\chi(p)}{p^{s-1}} + \frac{\chi(p^2)}{p^{2s-1/2}} + \dots + \frac{\chi(p^m)}{p^{ms-1/m}} + \dots \right) \\ &= \frac{L(s-1, \chi)}{L(2s-2, \chi^2)} K_{\chi}(s), \end{aligned} \tag{2.1}$$

where

$$K_{\chi}(s) = \prod_p (1 + A_{p,\chi}(s))$$

and

$$\begin{aligned} A_{p,\chi}(s) &= \frac{\chi(p)^2}{(1 + \chi(p)/p^{s-1})(p^{2s-1/2})} \\ &\quad \times \left(1 + \frac{\chi(p)}{p^{s+1/6}} + \frac{\chi(p^2)}{p^{2s+1/4}} + \dots + \frac{\chi(p^{m-2})}{p^{(m-2)s+1/2-1/m}} + \dots \right). \end{aligned}$$

For any complex number s we write $s = \sigma + it$. On the question of convergence of $K_{\chi}(s)$, observe that

$$\left| \frac{\chi(p)^2}{(1 + \chi(p)/p^{s-1})(p^{2s-1/2})} \right| \leq \left(1 - \frac{1}{2^{\sigma-1}} \right)^{-1} \frac{1}{p^{2\sigma-1/2}}$$

and

$$\begin{aligned} &\left| 1 + \frac{\chi(p)}{p^{s+1/6}} + \frac{\chi(p^2)}{p^{2s+1/4}} + \dots + \frac{\chi(p^{m-2})}{p^{(m-2)s+1/2-1/m}} + \dots \right| \\ &\leq 1 + \left| \frac{\chi(p)}{p^{s+1/6}} \right| + \left| \frac{\chi(p^2)}{p^{2s+1/4}} \right| + \dots + \left| \frac{\chi(p^{m-2})}{p^{(m-2)s+1/2-1/m}} \right| + \dots \\ &\leq 1 + \frac{1}{p^{\sigma+1/6}} + \frac{1}{p^{2\sigma+1/4}} + \dots + \frac{1}{p^{(m-2)\sigma+1/2-1/m}} + \dots \end{aligned}$$

We deduce that for any character χ modulo q , $K_{\chi}(s)$ is absolutely convergent and analytic on the half-plane $\sigma > 1$.

Next, by Perron’s formula,

$$\sum_{n \leq x} \chi(n)I(n) = \frac{1}{2\pi i} \int_{\alpha-iT}^{\alpha+iT} \frac{x^s L(s-1, \chi)}{sL(2s-2, \chi^2)} K_{\chi}(s) ds + R(x, \alpha, T),$$

where $R(x, \alpha, T)$ is obtained by integrating the above integrand along the line $\alpha - i\infty$ to $\alpha - iT$ and along $\alpha + iT$ to $\alpha + i\infty$.

Let $2 \leq T \leq x^2$ and $\alpha = 2 + \frac{c}{\log x}$ for some absolute constant $c > 0$. Since $|\chi(n)I(n)| \leq I(n)$, the bound for $R(x, \alpha, T)$ obtained on page 303 of [1] also works in our case. Therefore,

$$|R(x, \alpha, T)| \leq \frac{x^\alpha}{T} \sum_{n=1}^\infty \frac{|\chi(n)I(n)|}{n^\alpha |\log x/n|} = O\left(\frac{x^2 \log x}{T}\right).$$

We now focus on the integral $\frac{1}{2\pi i} \int_{\alpha-iT}^{\alpha+iT} \frac{x^s L(s-1, \chi)}{sL(2s-2, \chi^2)} K_\chi(s) ds$, which we evaluate by shifting the path of integration to the rectangular path with vertices $\alpha \pm iT$ and $3/2 \pm iT$.

We first consider the principal character χ_0 modulo q . In this case,

$$L(s, \chi_0) = \zeta(s) \prod_{p|q} (1 - p^{-s}),$$

and by the residue theorem,

$$\frac{1}{2\pi i} \int_{\alpha-iT}^{\alpha+iT} \frac{x^s L(s-1, \chi_0)}{sL(2s-2, \chi_0^2)} K_\chi(s) ds = \prod_{p|q} (1-p^{-1}) \frac{K_{\chi_0}(2)}{2L(2, \chi_0)} x^2 + \sum_{m=1}^3 J_m, \tag{2.2}$$

where J_1 is the integral along the line from $\alpha + iT$ to $3/2 + iT$, J_2 is the integral along the line from $3/2 + iT$ to $3/2 - iT$, and J_3 is the integral along the line from $3/2 - iT$ to $\alpha - iT$.

Note that the first term in equation (2.2), which is obtained from the residue of the pole at $s = 2$, gives rise to the main term in the asymptotic formula in the statement of Theorem (1.1).

To estimate the J_m 's, one finds that the bounds provided on page 304 of [1], for similar integrals, apply to our case as well, modulo multiplication by constants depending on q . Therefore,

$$|J_1|, |J_3| = O_q\left(\frac{x^2(\log x)^2}{T}\right)$$

and

$$|J_2| = O_q(x^{3/2} \log x (\log T)^{5/4}).$$

Next, we consider the case when we have a non-principal character modulo q . We continue with the rectangular contour defined above. We use the following bounds to estimate $|J_m|$:

$$|L(s-1, \chi)| = \begin{cases} O_\epsilon(T^{1/6(1-\sigma)+\epsilon} q^{1-\sigma}), & \text{for } 3/2 \leq \sigma \leq 2, \\ O((\log T)^{2/3}), & \text{for } 2 \leq \sigma \leq \alpha. \end{cases}$$

The first bound is standard, and the second follows from the bound

$$|L(s, \chi)| = O(\tau^{100(1-\sigma)3/2} q^{1-\sigma} (\log \tau)^{2/3})$$

for $1/2 \leq \sigma \leq 1$ and $\tau = |t| + 2$ provided by Kolesnik in [7]. We will also use the bound

$$\left| \frac{1}{L(2\sigma - 2 + i2T, \chi^2)} \right| = O_q(\log T),$$

for $3/2 \leq \sigma \leq \alpha$. Lastly, note that $|K_\chi(s)|$ is bounded on the region $3/2 \leq \sigma \leq \alpha$.

Then

$$\begin{aligned} |J_1|, |J_3| &= O_q \left(\int_{3/2}^\alpha \frac{|x^{\sigma+iT}| |L(\sigma - 1 + iT, \chi)|}{|\sigma + iT| |L(2\sigma - 2 + i2T, \chi^2)|} |K_\chi(\sigma + iT)| d\sigma \right) \\ &= O_{q,\epsilon} \left(\int_{3/2}^2 \frac{x^\sigma T^{1/6(1-\sigma)+\epsilon} q^{1-\sigma}}{T} \log T d\sigma \right. \\ &\quad \left. + \int_2^\alpha \frac{x^\sigma (\log T)^{2/3}}{T} \log T d\sigma \right) \\ &= O_{q,\epsilon} \left((\log x) \int_{3/2}^2 \frac{x^\sigma T^{1/6(1-\sigma)+\epsilon} q^{1-\sigma}}{T} d\sigma \right. \\ &\quad \left. + (\log x)^{5/3} \int_2^\alpha \frac{x^\sigma}{T} d\sigma \right) \\ &= O_q \left(\frac{(\log x)^{5/3} x^\alpha}{T} \right). \end{aligned}$$

On the line segment $s = 3/2 + it$ for $|t| \leq T$, we have $|\frac{1}{L(1+i2t, \chi^2)}| = O_q(\log t)$. Then

$$\begin{aligned} |J_2| &= O_q \left(\int_{-T}^T \frac{|x^{3/2+it}| |L(3/2 + it, \chi)|}{|3/2 + it| |L(1 + i2t, \chi^2)|} |K_\chi(3/2 + it)| dt \right) \\ &= O_q \left(x^{3/2} \log t \int_{-T}^T \frac{|L(3/2 + it, \chi)|}{|t|} dt \right) \\ &= O_q \left(x^{3/2} \log t \left[1 + \sum_{0 \leq k \leq \lfloor \log T / \log 2 \rfloor} \frac{1}{2^k} \int_{2^k}^{2^{k+1}} (|L(3/2 + it, \chi)| + |L(3/2 - it, \chi)|) dt \right] \right) \\ &= O_q \left(x^{3/2} \log t \left(1 + \sum_{0 \leq k \leq \lfloor \log T / \log 2 \rfloor} k^{1/4} \right) \right) \\ &= O_q(x^{3/2} \log t (\log T)^{5/4}) \end{aligned}$$

by Ramachandra’s mean value theorem (Theorem 2 and Remark 2 of [10]). Collecting all the above estimates and selecting $T = x$ one obtains a bound for the error term of the form $O_q(x^{3/2}(\log x)^{9/4})$. This completes the proof of Theorem 1.1. \square

3. Proof of Theorem 1.2

In this section we provide a proof of Theorem 1.2. We begin with the following remark.

Remark. The constant $c_{3,a}$ which appears in the main term in the asymptotic formula for an arithmetic progression $3n + a$, where $a \in \{1, 2\}$ is given by $c_{3,a} = \frac{K_{\chi_0}(2)}{\phi(3)2\zeta(2)}$. Combining this with the result from [1] concerning the sum over all $n \leq x$, one obtains an asymptotic formula corresponding to the arithmetic progression $3n + a$ with $a = 0$. In particular, the corresponding constant in [1] equals $\frac{K(2)}{2\zeta(2)}$, for some specific function $K(s)$, hence

$$c_{3,0} = \frac{K(2)}{2\zeta(2)} - 2\frac{K_{\chi_0}(2)}{\phi(3)2\zeta(2)}.$$

As mentioned in the Introduction, a numerical comparison of the two constants reveals that $\frac{c_{3,0}}{c_{3,1}} > 1$. So it follows that the sum of irrational factor grows quicker over the progression $3n$. Theorem 1.2 compares its growth over the arithmetic progressions $3n + 1$ and $3n + 2$.

Proof. In order to prove Theorem 1.2, we use the approach by Knapowski and Turan in [6]. We begin with the integral

$$\int_1^\infty (S(x; k, l_1) - S(x; k, l_2) \pm cx^{\frac{5}{4}-\epsilon})x^{-s-1} dx, \quad \text{for } \epsilon > 0.$$

As noted before,

$$S(x; q, l) = \frac{1}{\phi(q)} \sum_{\chi \bmod q} \bar{\chi}(l) \sum_{n \leq x} \chi(n)I(n),$$

hence

$$S(x; q, l_1) - S(x; q, l_2) = \left(\frac{1}{\phi(q)} \sum_{\chi \bmod q} (\bar{\chi}(l_1) - \bar{\chi}(l_2)) \right) \sum_{n \leq x} \chi(n)I(n).$$

Therefore

$$\begin{aligned} & \int_1^\infty \frac{S(x; q, l_1) - S(x; q, l_2)}{x^{s+1}} dx \pm \int_1^\infty \frac{cx^{\frac{5}{4}-\epsilon}}{x^{s+1}} dx \\ &= \frac{1}{\phi(q)} \sum_{\chi \bmod q} \left((\bar{\chi}(l_1) - \bar{\chi}(l_2)) \int_1^\infty \frac{\sum_{n \leq x} \chi(n)I(n)}{x^{s+1}} dx \right) \\ & \pm \frac{c}{s - \frac{5}{4} + \epsilon}. \end{aligned} \tag{3.1}$$

Note that the integral on the right-hand side above represents the Dirichlet series $\sum_{n=1}^\infty \chi(n)I(n)n^{-s}$ as a Mellin transform. Combining this with (2.1), we find that

$$\int_1^\infty \frac{\sum_{n \leq x} \chi(n)I(n)}{x^{s+1}} dx = \frac{L(s-1, \chi)}{sL(2s-2, \chi^2)} K_\chi(s), \quad \text{for } \sigma > 2,$$

therefore

$$\begin{aligned} & \int_1^\infty \frac{S(x; q, l_1) - S(x; q, l_2) \pm cx^{\frac{5}{4}-\epsilon}}{x^{s+1}} dx \\ &= \frac{1}{\phi(q)} \sum_{\chi \bmod q} (\bar{\chi}(l_1) - \bar{\chi}(l_2)) \frac{L(s-1, \chi)}{sL(2s-2, \chi^2)} K_\chi(s) \\ & \pm \frac{c}{s - \frac{5}{4} + \epsilon}. \end{aligned}$$

Note that the contribution of the principal character χ_0 on the right-hand side above vanishes as $\bar{\chi}_0(l_1) = \bar{\chi}_0(l_2) = 1$, hence

$$\begin{aligned} & \int_1^\infty \frac{S(x; q, l_1) - S(x; q, l_2) \pm cx^{\frac{5}{4}-\epsilon}}{x^{s+1}} dx \\ &= \frac{1}{\phi(q)} \sum_{\substack{\chi \bmod q \\ \chi \neq \chi_0}} (\bar{\chi}(l_1) - \bar{\chi}(l_2)) \frac{L(s-1, \chi)}{sL(2s-2, \chi^2)} K_\chi(s) \\ & \pm \frac{c}{s - \frac{5}{4} + \epsilon}. \end{aligned} \tag{3.2}$$

Next we use the following classical theorem of Landau [8] on the location of singularities of the Mellin transforms of a non-negative function.

Suppose $A(x)$ is a real valued function in one variable, and $A(x)$ does not change its sign for $x > x_0$, where x_0 is a sufficiently large real number. Suppose also for some real number $\beta < \gamma$, that the Mellin transform $g(s) := \int_1^\infty A(x)x^{-s-1}dx$ is analytic for $\Re(s) > \gamma$, and can be analytically continued to the real segment $(\beta, \gamma]$. Then $g(s)$ represents an analytic function in the half plane $\Re(s) > \beta$.

We apply Landau’s result by taking

$$A(x) = S(x; 3, l_1) - S(x; 3, l_2) \pm cx^{\frac{5}{4}-\epsilon}.$$

Hence from eq. (3.2),

$$g(s) = \frac{1}{s\phi(3)} \sum_{\substack{\chi \bmod q \\ \chi \neq \chi_0}} (\bar{\chi}(l_1) - \bar{\chi}(l_2)) \frac{L(s-1, \chi)}{L(2s-2, \chi^2)} K_\chi(s) \pm \frac{c}{s - \frac{5}{4} - \epsilon}.$$

Clearly $g(s)$ is regular in the half plane $\sigma \geq \frac{3}{2}$ as none of the denominators $L(2s-2, \chi^2)$ have zeros in that region. Also, unless at least one of these denominators $L(2s-2, \chi^2)$ has nontrivial real zeros, the function $g(s)$ will be regular on the real segment $\frac{5}{4} - \epsilon < \sigma \leq \frac{3}{2}$.

We now return to our particular case, where $q = 3$. In this case, there is only one non-principal character χ , which is a quadratic character. So we have only one denominator $L(2s-2, \chi^2) = L(2s-2, \chi_0)$, which does not have any nontrivial real zeros since the Riemann zeta function does not have any. Hence in this case $g(s)$ is regular on the real segment $\frac{5}{4} - \epsilon < \sigma \leq \frac{3}{2}$.

Let us assume now that there exists a real number x_0 such that $A(x)$ does not change sign for $x > x_0$. Then by Landau’s theorem, $g(s)$ is regular in the half plane $\sigma > \frac{5}{4} - \epsilon$. Therefore each zero of the denominator $L(2s - 2, \chi^2) = L(2s - 2, \chi_0)$ in this half plane must also be either a zero of $L(s - 1, \chi)$ or a zero of $K_\chi(s)$. But, it is well known that almost all the nontrivial zeros of the Riemann zeta function cluster around the critical line, so almost all the zeros of the denominator $L(2s - 2, \chi_0)$ are close to the line $\sigma = 5/4$. Similarly, almost all the nontrivial zeros of $L(s - 1, \chi)$ are close to the line $\sigma = 3/2$. Therefore the proportion of zeros of the denominator $L(2s - 2, \chi_0)$ that are cancelled by zeros of $L(s - 1, \chi)$ is negligible. It remains to consider possible instances when zeros of $L(2s - 2, \chi_0)$ are also zeros of $K_\chi(s)$.

To proceed, we will focus on those zeros which lie on the critical line. We know that a positive proportion of zeros of the Riemann zeta function lie on the critical line [3]. Thus, the number of zeros of the denominator $L(2s - 2, \chi_0)$ which lie on the line $\sigma = 5/4$ and with imaginary parts bounded by a large parameter T , grows at least like a constant times $T \log T$ as T tends to infinity.

On the other hand, the argument from the previous section used to establish convergence of $K_\chi(s)$ on the half plane $\sigma > 1$ also shows that the only possible zeros of $K_\chi(s)$ in this half plane are zeros of individual Euler factors $1 + A_{p,\chi}(s)$. The same argument also shows that there exists a p_0 such that for each $p > p_0$, the Euler factor $1 + A_{p,\chi}(s)$ does not have any zeros in the half plane $\sigma \geq 5/4$, and in particular, does not have any zeros on the line $\sigma = 5/4$.

Let us fix now a prime p with $p \leq p_0$, and consider the Euler factor $1 + A_{p,\chi}(s)$ on the line $\sigma = 5/4$. As a function of t , $1 + A_{p,\chi}(5/4 + it)$ is periodic with period $2\pi/\log p$, and on the interval $0 \leq t \leq 2\pi/\log p$ it has only finitely many zeros. Therefore, the number of zeros of $1 + A_{p,\chi}(5/4 + it)$ with $|t| \leq T$ grows at most like a constant times T as T tends to infinity. This holds for each $p \leq p_0$, hence the total number of zeros of $K_\chi(s)$ on the line $\sigma = 5/4$ and with imaginary parts bounded by T , grows most like a constant times T as T tends to infinity.

We deduce that there exist zeros of the denominator $L(2s - 2, \chi_0)$ on the line $\sigma = 5/4$ which are not zeros of the product $L(s - 1, \chi)K_\chi(s)$. Any such zero is a pole of $g(s)$, and this contradicts via Landau’s theorem, the assumption that there exists an x_0 such that $A(x)$ does not change sign for $x > x_0$. This completes the proof of Theorem 1.2. \square

Remark. Using the above methods, we do not gain any information about the frequency of sign changes of the function $S(x; 3, 1) - S(x; 3, 2)$. A result by Kaczorowski and Wiertelak (see Lemma 3.1 of [5]), allows us to consider this question and further characterize the behavior of the race. Before we state their result, we provide some necessary notation from Kaczorowski and Pintz in [4].

For any real function $f(x)$ defined for $x > 0$, Kaczorowski and Pintz defined the number $V(f, T)$ of sign changes in the interval $(0, T]$ as

$$V(f, T) := \sup\{N : \exists\{x_i\}_{i=1}^N, 0 < x_1 < x_2 < \dots < x_N \leq T, f(x_i) \neq 0, \text{sgn } f(x_i) \neq \text{sgn } f(x_{i+1}), 1 \leq i < N\}.$$

They say $V(f, T) > h(T)$ with combined oscillation of size $g(x)$ if there exists a series $\{x_i\}_{i=1}^{h(T)}$ with $\text{sgn } f(x_i) \neq \text{sgn } f(x_{i+1})$ and $|f(x_i)| > g(x_i)$.

We are now in a position to state Kaczorowski and Wiertelak’s result:

Let $A(x)$ be real for $x > 0$ and suppose that the integral $g(s) := \int_0^\infty A(x)x^{-s-1}dx$ converges absolutely for $\Re(s) > \sigma_0$, and has meromorphic continuation to a half-plane $\Re(s) > \theta$ for certain $\theta < \sigma_0$. Suppose that $g(s)$ is not holomorphic for $\Re(s) > \theta$, but is holomorphic on the segment $(\theta, \sigma_0]$ of the real axis. Then, for sufficiently large T , $A(x)$ has in the interval $(0, T]$ at least $\gg \log T$ oscillations of size x^θ .

Applying this in our case for the function

$$A(x) = S(x; 3, 1) - S(x; 3, 2) \pm cx^{\frac{5}{4}-\epsilon},$$

we obtain a sequence $\{x_i\}_{i=1}^{\lfloor \log T \rfloor}$ of length $\log T$ in the interval $(1, T]$ such that $\operatorname{sgn} A(x_i) \neq \operatorname{sgn} A(x_{i+1})$ and $|A(x_i)| > x_i^{5/4-\epsilon}$. Thus, in the interval $(1, T]$, $A(x)$ has at least $\gg \log T$ oscillations of size $x^{5/4-\epsilon}$.

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