

## A note on a kind of character sums over the short interval

RONG MA<sup>1</sup> and YULONG ZHANG<sup>2</sup>

<sup>1</sup>School of Science, Northwestern Polytechnical University, Xi'an, Shaanxi 710072, People's Republic of China

<sup>2</sup>The School of Electronic and Information Engineering, Xi'an Jiaotong University, Xi'an, Shaanxi 710049, People's Republic of China  
E-mail: marong0109@163.com; zzboyzyl@163.com

MS received 14 March 2012; revised 13 August 2012

**Abstract.** Let  $p$  be a prime,  $\chi$  denote the Dirichlet character modulo  $p$  and  $L(p) = \{a \in \mathbb{Z}^+ | (a, p) = 1, a\bar{a} \equiv 1 \pmod{p}, |a - \bar{a}| \leq H\}$ . We study the distribution of elements in the set  $L(p)$  in character over the short interval. In this paper, we use the analytic method and show the distribution property of

$$\sum_{\substack{n \leq N \\ n \in L(p)}} \chi(n),$$

and give a non-trivial estimate.

**Keywords.** Character sum; short interval; inverse of integers; estimate.

### 1. Introduction

Let  $q \geq 3$  be an integer and  $\chi$  the Dirichlet character modulo  $q$ . For any real number  $x \geq 1$ ,

$$\sum_{n \leq x} \chi(n).$$

Perhaps one of the most famous results is Pólya's inequality [8]. That is when  $\chi$  is the primitive character modulo  $q$ ,

$$\sum_{n \leq x} \chi(n) < q^{\frac{1}{2}} \log q.$$

In fact, the result can be extended to the nonprincipal character  $\chi$  modulo  $q$  [4]. Then Burgess [2,3] improved the result of [8] as follows:

$$\sum_{n \leq x} \chi(n) \ll H^{1-\frac{1}{r}} q^{\frac{r+1}{4r^2} + o(1)},$$

where  $H$  is any positive integer,  $r = 1, 2, 3$  for any integer  $q \geq 3$  and arbitrary positive integer  $r$  if  $q$  is cube-free.

For any fixed integer  $H > 0$ , define the set

$$L(q) = \{a \in \mathbb{Z}^+ \mid (a, q) = 1, a\bar{a} \equiv 1 \pmod{q}, \quad |a - \bar{a}| \leq H\},$$

for any positive integer  $q \geq 3$ ,  $\chi$  the Dirichlet character modulo  $q$ , define the sums as follows:

$$\sum_{\substack{n \leq q \\ n \in L(q)}} \chi(n).$$

In fact, when  $\chi$  is the principal character modulo  $q$ , Zhang [11] obtained

$$\sum'_{\substack{n \leq q \\ n \in L(q)}} 1 = \frac{H}{q} \left(2 - \frac{H}{q}\right) \phi(q) + O(q^{\frac{1}{2}} d^2(q) \log^3 q),$$

where  $0 < H \leq q$  is a constant,  $\phi(q)$  is the Euler function and  $d(q)$  is the divisor function,  $\sum'$  denotes the summation over the integers which are coprime to  $q$ . For further results, we refer to [10].

In this paper, we generalize the sums and find some internal rules and relationships of the Dirichlet character between an integer and its inverse over the short interval. That is, for  $p \geq 3$  is a prime,  $\chi$  denotes the non-principal Dirichlet character modulo  $p$ , for any positive integer  $N < p$ , we study the sums of the elements in the set  $L(p)$  with character over the short interval,

$$\sum_{\substack{n \leq N \\ n \in L(p)}} \chi(n).$$

More precisely, we prove the following:

**Theorem.** *Let  $p \geq 3$  be a prime and  $\chi$  denote the non-principal Dirichlet character modulo  $p$ . We know  $\bar{a}$  is the integer inverse such that  $a\bar{a} \equiv 1 \pmod{p}$ . Let  $L(p) = \{1 \leq a \leq p \mid (a, p) = 1, |a - \bar{a}| \leq H\}$  be a set in which the integer and the integer inverse satisfy certain conditions ( $3 \leq H \leq p$  is any fixed integer). Then for any prime  $p \geq 3$  and  $3 \leq H \leq p$ , we have the estimate*

$$\sum_{\substack{n \leq N \\ n \in L(p)}} \chi(n) \ll p^{\frac{1}{2} + \epsilon},$$

where  $\epsilon$  is any positive real number.

*Remark.* This is a non-trivial estimate for  $N \gg p^{\frac{1}{2}}$ . However, for any integer  $q \geq 3$ , whether there is the estimate of

$$\sum_{\substack{n \leq N \\ n \in L(q)}} \chi(n)$$

is an open problem.

## 2. Some lemmas

To complete the proof of the above theorem, we need the following lemmas. First, we quote a familiar result on a kind of exponential sums.

*Lemma 1.* For any integer  $K \geq 1$  and  $0 < \alpha < 1$ , we have

$$\left| \sum_{n=1}^K e(\alpha n) \right| \leq \min \left( K, \frac{1}{2\langle \alpha \rangle} \right),$$

where  $\langle \alpha \rangle = \min(\{\alpha\}, 1 - \{\alpha\})$ ,  $\{\alpha\}$  is the decimal part of  $\alpha$ .

*Proof.* See ref. [1].

*Lemma 2.* Let  $q \geq 3$  be an integer, and  $\chi$  denote the Dirichlet character. The generalized Kloosterman sum is defined by

$$S(m, n, \chi; q) = \sum_{a=1}^q \chi(a) e \left( \frac{ma + n\bar{a}}{q} \right),$$

where  $a$  and  $\bar{a}$  are such that the congruence equation  $a\bar{a} \equiv 1 \pmod{q}$ . Then for any positive integers  $m$  and  $n$ , we have the estimate

$$S(m, n, \chi; q) \ll (m, n, q)^{\frac{1}{2}} q^{\frac{1}{2}} d(q),$$

where  $(m, n, q)$  is the greatest common divisor of  $m, n$  and  $q$ ,  $d(q)$  is the divisor function.

*Proof.* See ref. [6,9].

*Lemma 3.* Let  $q \geq 3$  be an integer, and  $\chi$  denote the Dirichlet character. The Gauss sum is defined by

$$G(n, \chi) = \sum_{a=1}^q \chi(a) e \left( \frac{na}{q} \right).$$

Then by the principal Dirichlet character  $\chi_0$  modulo  $q$ , we have the identity

$$G(n, \chi_0) = \mu \left( \frac{q}{(n, q)} \right) \phi(q) \phi^{-1} \left( \frac{q}{(n, q)} \right),$$

where  $\mu(n)$  is the Möbius function,  $\phi(q)$  is Euler function, and  $(n, q)$  is the greatest common divisor of  $n$  and  $q$ .

*Proof.* See ref. [7].

*Lemma 4.* For  $p \geq 3$  a prime, any non-principal Dirichlet character  $\chi$  modulo  $p$  and any positive integers  $b$  and  $l$ , we have the estimate

$$\sum_{\chi' \pmod{p}} G(b, \chi') G(l, \chi' \chi) \ll (b, l, p)^{\frac{1}{2}} p^{\frac{3}{2} + \epsilon}, \tag{1}$$

where the definition of  $(b, l, p)$  is the same as in Lemma 2,  $\epsilon$  is any fixed positive real number.

On the other hand, for the principal Dirichlet character  $\chi_0$ , any non-principal Dirichlet character  $\chi$  and any positive integers  $b$  and  $l$ , we also have

$$G(b, \chi_0)G(l, \chi) \ll (b, p)p^{1+\epsilon}. \quad (2)$$

*Proof.* Firstly we prove (1). According to the orthogonality of character sums, we have

$$\sum_{\chi \bmod q} \chi(n) = \begin{cases} \phi(q), & n \equiv 1 \pmod{q} \\ 0, & n \not\equiv 1 \pmod{q}, \end{cases}$$

and hence we have

$$\begin{aligned} & \sum_{\chi' \bmod p} G(b, \chi')G(l, \chi' \chi) \\ &= \sum_{\chi' \bmod p} \sum_{s=1}^p \chi'(s) e\left(\frac{bs}{p}\right) \sum_{t=1}^p \chi' \chi(t) e\left(\frac{lt}{p}\right) \\ &= \sum_{s=1}^p \sum'_{t=1}^p \chi(t) e\left(\frac{bs+lt}{p}\right) \sum_{\chi' \bmod p} \chi'(s) \chi'(t) \\ &= \phi(p) \sum_{\substack{s=1 \\ st \equiv 1 \pmod{p}}}^{p-1} \sum_{t=1}^{p-1} \chi(t) e\left(\frac{bs+lt}{p}\right) \\ &= (p-1) \sum'_{t=1}^{p-1} \chi(t) e\left(\frac{bt+lt}{p}\right) \\ &\ll (b, l, p)^{\frac{1}{2}} p^{\frac{3}{2}+\epsilon}, \end{aligned} \quad (3)$$

where we have used Lemma 2 for the last step.

Now we show (2). From Lemma 3 and the definition of Gauss sum, we have

$$\begin{aligned} G(b, \chi_0)G(l, \chi) &= \mu\left(\frac{p}{(b, p)}\right) \phi(p) \phi^{-1}\left(\frac{p}{(b, p)}\right) \sum_{t=1}^p \chi(t) e\left(\frac{lt}{p}\right) \\ &\ll \phi(p) \frac{(b, p)d(p)}{p} p \\ &\ll (b, p)p^{1+\epsilon}, \end{aligned} \quad (4)$$

where we have used  $\phi(q) \gg \frac{q}{d(q)}$  (see ref. [5]), and  $d(q)$  is the divisor function. Combining (3) and (4), we can get Lemma 4.

Lemma 5. For any integer  $q \geq 3$ ,  $\frac{q}{2} \leq N < q$ , and any positive integer  $a \geq 1$ , we have

$$\sum_{l=1}^{q-1} \left| \sum_{n \leq N} e\left(\frac{n(a-l)}{q}\right) \right| \ll q \log q.$$

*Proof.* From Lemma 1, when  $a \not\equiv 0 \pmod{q}$ , for  $1 \leq l \leq q-1$ , there must be one and only one  $l$  such that  $a-l \equiv 0 \pmod{q}$ , so we get

$$\begin{aligned} & \sum_{l=1}^{q-1} \left| \sum_{n \leq N} e\left(\frac{n(a-l)}{q}\right) \right| \\ &= \sum_{\substack{l=1 \\ l \not\equiv a \pmod{q}}}^{q-1} \left| \sum_{n \leq N} e\left(\frac{n(a-l)}{q}\right) \right| + \left| \sum_{n \leq N} 1 \right| \\ &\leq \sum_{\substack{l=1 \\ l \not\equiv a \pmod{q}}}^{q-1} \left| \min \left\{ N, \frac{1}{2 < \frac{a-l}{q} >} \right\} \right| + N \\ &\leq \sum_{\substack{l=1 \\ l \not\equiv a \pmod{q}}}^{q-1} \left| \frac{1}{2 < \frac{a-l}{q} >} \right| + N \\ &= \sum_{l=1}^{q-1} \left| \frac{1}{2 < \frac{l}{q} >} \right| - \left| \frac{1}{2 < \frac{a}{q} >} \right| + N \\ &\leq 2 \sum_{1 \leq l \leq [\frac{q}{2}]} \frac{1}{\frac{2l}{q}} - \left| \frac{1}{2 < \frac{a}{q} >} \right| + N \\ &= q \sum_{1 \leq l \leq [\frac{q}{2}]} \frac{1}{l} - \left| \frac{1}{2 < \frac{a}{q} >} \right| + N \\ &\ll q \log q, \end{aligned} \tag{5}$$

when  $a \equiv 0 \pmod{q}$ . From Lemma 1, we also get

$$\begin{aligned} & \sum_{l=1}^{q-1} \left| \sum_{n \leq N} e\left(\frac{n(a-l)}{q}\right) \right| \\ &= \sum_{l=1}^{q-1} \left| \sum_{n \leq N} e\left(\frac{nl}{q}\right) \right| \\ &\leq \sum_{l=1}^{q-1} \left| \min \left\{ N, \frac{1}{2 < \frac{l}{q} >} \right\} \right| \\ &\ll q \log q. \end{aligned} \tag{6}$$

Therefore, combining (5) and (6), for any positive integer  $a \geq 1$ , we have the estimate

$$\sum_{l=1}^{q-1} \left| \sum_{n \leq N} e\left(\frac{n(a-l)}{q}\right) \right| \ll q \log q.$$

This proves Lemma 5.

*Lemma 6.* Let  $p \geq 3$  be a prime, and  $\chi$  denote the Dirichlet character modulo  $p$ , for any positive integers  $a, b$  and  $\frac{q}{2} \leq N < q$ . We define

$$S(a, b, N, \chi; q) = \sum_{n \leq N} \chi(n) e\left(\frac{an + b\bar{n}}{q}\right),$$

where  $\bar{n}$  is the integer inverse of  $n$ . Then for any positive integers  $a, b$  and  $(b, p) = 1$ , we have

$$S(a, b, N, \chi; p) \leq p^{\frac{1}{2} + \epsilon}.$$

*Proof.* From the definition of  $S(a, b, N, \chi; p)$  and the orthogonality of character sums, we have

$$\begin{aligned} & S(a, b, N, \chi; p) \\ &= \sum_{\substack{m=1 \\ mn \equiv 1 \pmod{p}}}^p \sum_{n \leq N} \chi(n) e\left(\frac{an + bm}{p}\right) \\ &= \frac{1}{\phi(p)} \sum_{m=1}^p \sum_{n \leq N} \chi(n) e\left(\frac{an + bm}{p}\right) \sum_{\chi' \pmod{p}} \chi'(m) \chi'(n) \\ &= \frac{1}{p-1} \sum_{\chi' \pmod{p}} \left( \sum_{m=1}^p \chi'(m) e\left(\frac{bm}{p}\right) \right) \left( \sum_{n \leq N} \chi' \chi(n) e\left(\frac{an}{p}\right) \right) \\ &= \frac{1}{p-1} \sum_{\chi' \neq \chi_0} \left( \sum_{m=1}^p \chi'(m) e\left(\frac{bm}{p}\right) \right) \left( \sum_{n \leq N} \chi' \chi(n) e\left(\frac{an}{p}\right) \right) \\ &\quad + \frac{1}{p-1} \left( \sum_{m=1}^{p-1} e\left(\frac{bm}{p}\right) \right) \left( \sum_{n \leq N} \chi(n) e\left(\frac{an}{p}\right) \right) \\ &= S_1 + S_2. \end{aligned} \tag{7}$$

Now we will estimate both  $S_1$  and  $S_2$  respectively. Firstly, we shall estimate  $S_1$ . From the identity, for any Dirichlet character  $\chi \neq \chi_0$  modulo  $q$ ,

$$\chi(a) = \frac{1}{q} \sum_{k=1}^{q-1} G(k, \chi) e\left(-\frac{ak}{q}\right).$$

Hence according to Lemmas 4 and 5, we have

$$\begin{aligned}
 S_1 &= \frac{1}{p-1} \sum_{\chi' \neq \chi_0} \left( \sum_{m=1}^p \frac{1}{p} \sum_{k=1}^{p-1} G(k, \chi') e\left(-\frac{mk}{p}\right) e\left(\frac{bm}{p}\right) \right) \\
 &\quad \times \left( \sum_{n \leq N} \frac{1}{p} \sum_{l=1}^{p-1} G(l, \chi' \chi) e\left(-\frac{nl}{p}\right) e\left(\frac{an}{p}\right) \right) \\
 &= \frac{1}{p^2(p-1)} \sum_{\chi' \neq \chi_0} \left( \sum_{k=1}^{p-1} G(k, \chi') \sum_{m=1}^p e\left(\frac{m(b-k)}{p}\right) \right) \\
 &\quad \times \left( \sum_{l=1}^{p-1} G(l, \chi' \chi) \sum_{n \leq N} e\left(\frac{n(a-l)}{p}\right) \right) \\
 &= \frac{p}{p^2(p-1)} \sum_{\chi' \neq \chi_0} \sum_{\substack{k=1 \\ p|(b-k)}}^{p-1} G(k, \chi') \sum_{l=1}^{p-1} G(l, \chi' \chi) \sum_{n \leq N} e\left(\frac{n(a-l)}{p}\right) \\
 &= \frac{1}{p(p-1)} \sum_{\chi' \neq \chi_0} G(b, \chi') \sum_{l=1}^{p-1} G(l, \chi' \chi) \sum_{n \leq N} e\left(\frac{n(a-l)}{p}\right) \\
 &= \frac{1}{p(p-1)} \sum_{l=1}^{p-1} \sum_{n \leq N} e\left(\frac{n(a-l)}{p}\right) \sum_{\chi' \neq \chi_0} G(b, \chi') G(l, \chi' \chi) \\
 &= \frac{1}{p(p-1)} \sum_{l=1}^{p-1} \sum_{n \leq N} e\left(\frac{n(a-l)}{p}\right) \sum_{\chi' \bmod p} G(b, \chi') G(l, \chi' \chi) + \\
 &\quad + \frac{1}{p(p-1)} \sum_{l=1}^{p-1} \sum_{n \leq N} e\left(\frac{n(a-l)}{p}\right) G(b, \chi_0) G(l, \chi) \\
 &\leq \frac{1}{p(p-1)} \sum_{l=1}^{p-1} \left| \sum_{n \leq N} e\left(\frac{n(a-l)}{p}\right) \right| \left| \sum_{\chi' \bmod p} G(b, \chi') G(l, \chi' \chi) \right| + \\
 &\quad + \frac{1}{p(p-1)} \sum_{l=1}^{p-1} \left| \sum_{n \leq N} e\left(\frac{n(a-l)}{p}\right) \right| |G(b, \chi_0) G(l, \chi)| \\
 &\leq \frac{1}{p(p-1)} \sum_{l=1}^{p-1} \left| \sum_{n \leq N} e\left(\frac{n(a-l)}{p}\right) \right| (b, l, p)^{\frac{1}{2}} p^{\frac{3}{2} + \epsilon} \\
 &\quad + \frac{1}{p(p-1)} \sum_{l=1}^{p-1} \left| \sum_{n \leq N} e\left(\frac{n(a-l)}{p}\right) \right| (b, p) p^{1 + \epsilon} \\
 &\ll p^{-\frac{1}{2} + \epsilon} \sum_{l=1}^{p-1} \left| \sum_{n \leq N} e\left(\frac{n(a-l)}{p}\right) \right| \\
 &\ll p^{\frac{1}{2} + \epsilon}.
 \end{aligned}$$

(8)

Now we estimate  $S_2$ . For  $(b, p) = 1$ , from the identity

$$\sum_{m=1}^p e\left(\frac{bm}{p}\right) = 0,$$

we have

$$\begin{aligned} |S_2| &= \left| \frac{1}{p-1} \left( \sum_{m=1}^{p-1} e\left(\frac{bm}{p}\right) \right) \left( \sum_{n \leq N} \chi(n) e\left(\frac{an}{p}\right) \right) \right| \\ &= \left| \frac{1}{p-1} \left( \sum_{m=1}^p e\left(\frac{bm}{p}\right) - 1 \right) \left( \sum_{n \leq N} \chi(n) e\left(\frac{an}{p}\right) \right) \right| \\ &\leq \frac{1}{p-1} \left| \sum_{n \leq N} \chi(n) e\left(\frac{an}{p}\right) \right| \\ &\ll \frac{N}{p} \\ &\ll 1. \end{aligned} \tag{9}$$

Therefore, from eqs (7), (8) and (9), we have

$$S(a, b, N, \chi; p) \ll p^{\frac{1}{2} + \epsilon},$$

where  $\epsilon$  is any positive real number.

### 3. Proof of the theorem

In this section, we shall complete the proof of the theorem. We note that  $p$  is a prime number and hence  $(m, p) = 1$  for all integers  $m$  with  $1 \leq m \leq p-1$ . From Lemmas 1 and 6, we have

$$\begin{aligned} \sum_{\substack{n \leq N \\ n \in L(p)}} \chi(n) &= \sum_{\substack{n \leq N \\ |n - \bar{n}| \leq H}} \chi(n) = \sum_{-H \leq t \leq H} \sum_{\substack{n \leq N \\ n - \bar{n} \equiv t \pmod{p}}} \chi(n) \\ &= \frac{1}{p} \sum_{m \leq p} \sum_{-H \leq t \leq H} e\left(\frac{-mt}{p}\right) \sum_{n \leq N} \chi(n) e\left(\frac{m(n - \bar{n})}{p}\right) \\ &= \frac{1}{p} \sum_{-H \leq t \leq H} \sum_{n \leq N} \chi(n) + \frac{1}{p} \sum_{m \leq p-1} \sum_{-H \leq t \leq H} e\left(\frac{-mt}{p}\right) \\ &\quad \times \sum_{n \leq N} \chi(n) e\left(\frac{m(n - \bar{n})}{p}\right) \end{aligned}$$



$$\begin{aligned}
&\ll Hp^{-\frac{1}{2}} \log p + \frac{1}{p} \sum_{m \leq p-1} \left| \sum_{0 \leq t \leq H} e\left(\frac{-mt}{p}\right) + \sum_{1 \leq t \leq H} e\left(\frac{mt}{p}\right) \right| \\
&\quad \times \left| \sum_{n \leq N} \chi(n) e\left(\frac{m(n-\bar{n})}{p}\right) \right| \\
&\ll p^{\frac{1}{2}} \log p + \frac{1}{p} \sum_{m \leq p-1} \min\left(H, \frac{1}{2\| \frac{m}{p} \|}\right) p^{\frac{1}{2}+\epsilon} \\
&\ll p^{\frac{1}{2}} \log p + p^{-\frac{1}{2}+\epsilon} \left( \sum_{m \leq \frac{p}{2H}} H + \sum_{\frac{p}{2H} < m \leq p-1} \frac{p}{2m} \right) \\
&\ll p^{\frac{1}{2}} \log p + p^{-\frac{1}{2}+\epsilon} \left( \frac{p}{2} + \frac{p}{2} \log 2H \right) \\
&\ll p^{\frac{1}{2}+\epsilon},
\end{aligned}$$

where we have used the familiar estimate  $\sum_{n \leq N} \chi(n) \ll p^{\frac{1}{2}} \log p$  in the first inequality and  $3 \leq H \leq p$  in the second inequality. This completes the proof of the theorem.

### Acknowledgement

The authors wish to express their gratitude to the referee for very helpful and detailed comments. This work is supported by Basic Research Fund of Northwestern Polytechnical University, People's Republic of China (JC2011023 and JC2012252).

### References

- [1] Apostol T M, Introduction to analytic number theory (1976) (New York: Springer-Verlag)
- [2] Burgess D A, On character sums and  $L$ -series. II, *Proc. London Math. Soc.* **13** (1963) 524–536
- [3] Burgess D A, The character sum estimate with  $r = 3$ , *J. London Math. Soc.* **33** (1986) 219–226
- [4] Hua L K and Min S H, On a double exponential sum, *Science Record* **1** (1942) 23–25
- [5] Ivic A, The Riemann zeta-function. The theory of the Riemann zeta-function with applications (1985) (New York: Wiley)
- [6] Malyshev A V, A generalization of Kloosterman sums and their estimates, *Vestnik Leningrad Univ.* **15(13)** (1960) 59–75
- [7] Min S H and Yan S J, *Elementary number theory* (2003) (Beijing: China Higher Education)
- [8] Pólya G, Über die Verteilung der quadratische Reste und Nichtreste, *Göttingen Nachr.* (1918) 21–29
- [9] Weil A, Sur les courbes algébriques et les variétés qui s'en déduisent', *Actualités Math. Sci.* 1041, deuxième partie, Part IV (1945) (Paris)
- [10] Xi P and Yi Y, On character sums over flat numbers, *J. Number Theory* **130** (2010) 1234–1240
- [11] Zhang W, On the distribution of inverses modulo  $n$ , *J. Number Theory* **61** (1996) 301–310