

## Hypersurfaces satisfying $L_r x = Rx$ in sphere $\mathbb{S}^{n+1}$ or hyperbolic space $\mathbb{H}^{n+1}$

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**Abstract.** In this paper, using the method of moving frames, we consider hypersurfaces in Euclidean sphere  $\mathbb{S}^{n+1}$  or hyperbolic space  $\mathbb{H}^{n+1}$  whose position vector  $x$  satisfies  $L_r x = Rx$ , where  $L_r$  is the linearized operator of the  $(r + 1)$ -th mean curvature of the hypersurfaces for a fixed  $r = 0, \dots, n - 1$ ,  $R \in \mathbb{R}^{(n+2) \times (n+2)}$ . If the  $r$ -th mean curvature  $H_r$  is constant, we prove that the only hypersurfaces satisfying that condition are  $r$ -minimal ( $H_{r+1} \equiv 0$ ) or isoparametric. In particular, we locally classify such hypersurfaces which are not  $r$ -minimal.

**Keywords.**  $r$ -Minimal; linearized operator  $L_r$ ; isoparametric hypersurface.

### 1. Introduction

In 1992, Alías, Ferrández and Lucas [1] locally classified the surfaces  $M_s^2$  in the 3-dimensional Lorentz–Minkowski space  $\mathbb{L}^3$  satisfying the equation  $\Delta x = Ax + B$ . They also studied pseudo-Riemannian submanifolds  $M_s^n$  [2] in pseudo-Euclidean space  $\mathbb{R}_t^{n+k}$  satisfying the condition  $\Delta x = Ax + B$ , where  $A$  is an endomorphism of  $\mathbb{R}_t^{n+k}$  and  $B$  is a constant vector in  $\mathbb{R}_t^{n+k}$ . They gave a characterization theorem when  $A$  is a self-adjoint endomorphism. For hypersurfaces they obtained a classification theorem for any endomorphism  $A$ . Later, as a natural continuation of [1, 2], they studied and classified pseudo-Riemannian hypersurfaces [3] in pseudo-Riemannian space forms which satisfy the condition  $\Delta x = Ax + B$ . They proved that the family of such hypersurfaces consists of open pieces of minimal hypersurfaces, totally umbilical hypersurfaces, products of two non-flat totally umbilical submanifolds, and a special class of quadratic hypersurfaces. In addition, Park [9] also studied the hypersurface in a space form or in Lorentz–Minkowski space whose immersion  $x$  satisfies  $\Delta x = Rx + b$ , and proved it is minimal or isoparametric.

Recently, Alías and Gürbüz [4] studied hypersurfaces in  $(n + 1)$ -dimensional Euclidean space  $\mathbb{R}^{n+1}$  whose position vector  $x$  satisfies  $L_r x = Rx + b$ . They proved the following classification result:

**Theorem 1.1.** *Let  $x: M^n \rightarrow \mathbb{R}^{n+1}$  be an orientable hypersurface immersed into the Euclidean space and let  $L_r$  be the linearized operator of the  $(r + 1)$ -th mean curvature of  $M$ , for some fixed  $r = 0, \dots, n - 1$ . Then the immersion satisfies the condition  $L_r x = Rx + b$*

for some constant matrix  $R \in \mathbb{R}^{(n+1) \times (n+1)}$  and some constant vector  $b \in \mathbb{R}^{n+1}$  if and only if it is one of the following hypersurfaces in  $\mathbb{R}^{n+1}$ :

- (i) a hypersurface with zero  $(r + 1)$ -th mean curvature;
- (ii) an open piece of a round hypersphere  $\mathbb{S}^n(c)$ ;
- (iii) an open piece of a generalized right spherical cylinder  $\mathbb{S}^k(c) \times \mathbb{R}^{n-k}$ , with  $r + 1 \leq k \leq n - 1$ .

Hence, we should investigate hypersurfaces in sphere  $\mathbb{S}^{n+1}$  or hyperbolic space  $\mathbb{H}^{n+1}$  whose position vector  $x$  satisfies  $L_r x = Rx$ , where  $L_r$  is the linearized operator of the  $(r + 1)$ -th mean curvature of the hypersurface for a fixed  $r = 0, \dots, n - 1$ ,  $R \in \mathbb{R}^{(n+2) \times (n+2)}$  is a constant matrix. If the  $r$ -th mean curvature  $H_r$  is constant, we prove that the only hypersurfaces satisfying that condition are  $r$ -minimal or isoparametric. In fact, we prove the following result.

**Theorem 1.2.** *Let  $x: M^n \rightarrow \mathbb{S}^{n+1}$  (or  $\mathbb{H}^{n+1}$ ) be an orientable hypersurface immersed into sphere  $\mathbb{S}^{n+1}$  or hyperbolic space  $\mathbb{H}^{n+1}$  and let  $L_r$  be the linearized operator of the  $(r + 1)$ -th mean curvature of  $M$ , for some fixed  $r = 0, \dots, n - 1$ . If  $H_r$  is constant and the immersion satisfies the condition  $L_r x = Rx$  for some constant matrix  $R \in \mathbb{R}^{(n+2) \times (n+2)}$ , then  $M$  is  $r$ -minimal or isoparametric. Moreover, if  $M$  is isoparametric and not  $r$ -minimal, then  $M$  has distinct principal curvatures and it is an open piece of one of the following:  $\mathbb{S}^k(c_1) \times \mathbb{S}^{n-k}(c_2)$  in  $\mathbb{S}^{n+1}$  or  $\mathbb{S}^k(c_1) \times \mathbb{H}^{n-k}(c_2)$  in  $\mathbb{H}^{n+1}$ .*

*Remark 1.3.* A different but related result to our Theorem 1.2 has been proved recently by Alías and Kashani [5]. In fact, instead of assuming that  $H_r$  is constant, they assume that  $R$  is self-adjoint and get the same classification result.

## 2. Preliminaries

In this section, we give some formulas and notions of hypersurfaces in the space forms by using the method of moving frames. Let  $x: M \rightarrow \bar{M}^{n+1}(c) (\subset \mathbb{R}^{n+2}$  or  $\mathbb{L}^{n+2})$  be an isometric immersion from Riemannian manifold  $M$  to space forms  $\bar{M}^{n+1}(c)$  with constant sectional curvature  $c$ , where  $c = 1$ ,  $\bar{M}^{n+1}(1) = \mathbb{S}^{n+1}$ ; or  $c = -1$ ,  $\bar{M}^{n+1}(-1) = \mathbb{H}^{n+1}$ .

For any  $p \in M$ , we can choose a local orthonormal frame fields  $e_1, \dots, e_{n+2}$  in a neighborhood  $U$  of  $p \in M$  such that  $e_1, \dots, e_n$  are tangential to  $M$  and  $e_{n+1}$  is normal to  $M$ . In the following we shall make use of the following convention on the ranges of indices:

$$1 \leq A, B, C \leq n + 2, \quad 1 \leq i, j, k, l \leq n, \quad n + 1 \leq \alpha, \beta, \gamma \leq n + 2.$$

Let  $\omega_A$  be the corresponding dual frame and the smooth connection 1-forms are denoted by  $\omega_{AB}$ . Then we have structure equations of  $M^n$  in  $\bar{M}^{n+1}(c)$ ,

$$\begin{cases} dx = \sum_i \omega_i e_i, \\ de_i = \sum_j \omega_{ij} e_j + \sum_j h_{ij} \omega_j e_{n+1} - c \omega_i x, \\ de_{n+1} = - \sum_{i,j} h_{ij} \omega_j e_i. \end{cases} \tag{2.1}$$

where  $h_{ij}$  denote the components of the second fundamental form of  $M^n$  which is given by

$$B = \sum_{i,j} h_{ij} \omega_i \otimes \omega_j, \tag{2.2}$$

and the square of the length of  $B$  is defined by  $S = \|B\|^2 = \sum_{i,j} h_{ij}^2$ .

The Gauss equations are

$$R_{ijkl} = c(\delta_{ik}\delta_{jl} - \delta_{il}\delta_{jk}) + (h_{ik}h_{jl} - h_{il}h_{jk}), \tag{2.3}$$

$$R_{ij} = \sum_{k=1}^n R_{ikjk} = (n-1)c\delta_{ij} + nHh_{ij} - \sum_k h_{ik}h_{kj}, \tag{2.4}$$

$$n(n-1)(\rho - c) = n^2H^2 - S, \tag{2.5}$$

where  $\rho = \frac{1}{n(n-1)} \sum_{i=1}^n R_{ii}$  is the normalized scalar curvature of  $M$ .

The Codazzi equation is

$$h_{ijk} = h_{ikj}, \tag{2.6}$$

where the covariant derivative of  $h_{ij}$  is defined by

$$\sum_k h_{ijk}\omega_k = dh_{ij} + \sum_k h_{kj}\omega_{ki} + \sum_k h_{ik}\omega_{kj}. \tag{2.7}$$

Associated to the shape operator  $A$  of  $M^n$  one has  $n$  invariants  $S_r$ ,  $1 \leq r \leq n$ , given by the equality

$$\det(tI - A) = \sum_{k=0}^n (-1)^k S_k t^{n-k},$$

where  $S_0 = 1$ . If  $p \in M$  and  $\{e_k\}_{1 \leq k \leq n}$  is the basis of  $T_pM$  formed by eigenvectors of the shape operator  $A_p$ , with corresponding eigenvalues  $\lambda_k$ 's, one immediately sees that

$$S_r = \sigma_r(\lambda_1, \dots, \lambda_n),$$

where  $\sigma_r \in \mathbb{R}[x_1, \dots, x_n]$  is the  $r$ -th elementary symmetric polynomial on the indeterminates  $x_1, \dots, x_n$ . The  $r$ -th mean curvature of  $M$  is given by

$$H_r = \frac{1}{\binom{n}{r}} S_r.$$

In particular, when  $r = 1$ ,

$$H_1 = \frac{1}{n} \sum_i \lambda_i = \frac{1}{n} S_1 = H$$

is nothing but the mean curvature of  $M$ .

A hypersurface  $M^n$  in space forms  $\bar{M}^{n+1}(c)$  is called  $r$ -minimal if  $H_{r+1} \equiv 0$ .

The classical Newton transformations  $P_r: \mathcal{X}(M) \rightarrow \mathcal{X}(M)$  (see [10]) are defined inductively from the shape operator  $A$  by

$$P_0 = I \quad \text{and} \quad P_r = S_r I - A \circ P_{r-1},$$

for every  $r = 1, \dots, n$ , where  $I$  denotes the identity in  $\mathcal{X}(M)$ . Equivalently,

$$P_r = \sum_{j=0}^r (-1)^j S_{r-j} A^j,$$

$P_n = 0$  by the Cayley–Hamilton theorem. Moreover, since  $P_r$  is a polynomial in  $A$  for every  $r$ , it is also self-adjoint and commutes with  $A$ . Therefore, all bases of  $T_pM$ , diagonalizing  $A$  at  $p \in M$ , also diagonalize all of  $P_r$ 's at  $p$ . Let  $\{e_k\}$  be such a basis. Denoting by  $A_i$  the restriction of  $A$  to  $\text{span}\{e_i\}^\perp \subset T_pM$ , it is easy to see that

$$\det(tI - A_i) = \sum_{k=0}^{n-1} (-1)^k S_k(A_i) t^{n-1-k},$$

where

$$S_k(A_i) = \sum_{\substack{1 \leq j_1 < \dots < j_k \leq n \\ j_1, \dots, j_k \neq i}} \lambda_{j_1} \dots \lambda_{j_k}.$$

With the above notions, it is also immediate to check that  $P_r(e_i) = \sum_j T_{ij}^r e_j = S_r(A_i)e_i$ , and we also have the following properties of  $P_r$  [6, 7, 10, 11].

*Lemma 2.1.* For each  $1 \leq r \leq n - 2$ ,

- (a)  $S_r(A_i) = S_r - \lambda_i S_{r-1}(A_i)$ ;
- (b)  $\text{tr}(P_r) = (n - r)S_r$ ;
- (c)  $\text{tr}(A \circ P_r) = (r + 1)S_{r+1}$ ;
- (d)  $\text{tr}(A^2 \circ P_r) = S_1 S_{r+1} - (r + 2)S_{r+2}$ ;
- (e)  $\text{tr}(P_r \circ \nabla_X A) = \langle \nabla S_{r+1}, X \rangle$ , for any  $X \in \mathcal{X}(M)$ .

Associated to each Newton transformation  $P_r$ , we consider the second-order linear differential operator  $L_r: C^\infty(M) \rightarrow C^\infty(M)$  given by

$$L_r(f) = \text{tr}(P_r \circ \nabla^2 f),$$

where  $\nabla^2 f: \mathcal{X}(M) \rightarrow \mathcal{X}(M)$  denotes the self-adjoint linear operator metrically equivalent to the Hessian of  $f$  and given by

$$\langle \nabla^2 f(X), Y \rangle = \langle \nabla_X(\nabla f), Y \rangle, \quad X, Y \in \mathcal{X}(M).$$

Using that  $P_r$  is a symmetrical operator, we have

$$L_r(fg) = fL_r g + gL_r f + 2\langle P_r(\nabla f), \nabla g \rangle \tag{2.8}$$

for every  $f, g \in C^\infty(M)$ .

Now we can let

$$f = \langle x, a \rangle, \quad g = \langle e_{n+1}, a \rangle,$$

where  $a$  is a fixed vector in  $\mathbb{R}^{n+2}$  if  $c = 1$ ; or a fixed vector in  $\mathbb{L}^{n+2}$  if  $c = -1$ . Then it is easy to see that

$$\nabla f = a^\top, \quad \nabla g = -A(a^\top), \tag{2.9}$$

where  $a^\top \in \mathcal{X}(M)$  denotes the tangential component of  $a$ .

Thus, we have the following lemma.

*Lemma 2.2.* For each  $1 \leq r \leq n - 2$ ,

$$L_r x = (r + 1)S_{r+1}e_{n+1} - c(n - r)S_r x, \tag{2.10}$$

$$L_r e_{n+1} = -\nabla S_{r+1} - (S_1 S_{r+1} - (r + 2)S_{r+2})e_{n+1} + c(r + 1)S_{r+1}x. \tag{2.11}$$

*Proof.* Since

$$f_i = e_i \langle x, a \rangle = \langle e_i, a \rangle,$$

then

$$\begin{aligned} \sum_j f_{ij} \omega_j &= df_i + \sum_j f_j \omega_{ji} \\ &= \langle de_i, a \rangle - \sum_j \langle e_j, a \rangle \omega_{ij} \\ &= \left\langle \sum_j \omega_{ij} e_j + \sum_j h_{ij} \omega_j e_{n+1} - c \omega_i x, a \right\rangle - \sum_j \langle e_j, a \rangle \omega_{ij} \\ &= \left\langle \sum_j h_{ij} \omega_j e_{n+1} - c \omega_i x, a \right\rangle \\ &= \sum_j h_{ij} \langle e_{n+1}, a \rangle \omega_j - c \sum_j \delta_{ij} \langle x, a \rangle \omega_j. \end{aligned}$$

Thus

$$f_{ij} = h_{ij} \langle e_{n+1}, a \rangle - c \delta_{ij} \langle x, a \rangle,$$

$$x_{ij} = h_{ij} e_{n+1} - c \delta_{ij} x.$$

With that in mind we calculate

$$\begin{aligned} L_r f &= \sum_{i,j} T_{ij}^r f_{ij} \\ &= \sum_{i,j} T_{ij}^r h_{ij} \langle e_{n+1}, a \rangle - c \sum_{i,j} T_{ij}^r \delta_{ij} \langle x, a \rangle \\ &= \text{tr}(A \circ P_r) \langle e_{n+1}, a \rangle - c \text{tr}(P_r) \langle x, a \rangle \\ &= (r + 1)S_{r+1} \langle e_{n+1}, a \rangle - c(n - r)S_r \langle x, a \rangle \\ &= (r + 1)S_{r+1}g - c(n - r)S_r f. \end{aligned}$$

This shows that

$$L_r x = (r + 1)S_{r+1}e_{n+1} - c(n - r)S_r x.$$

Note that

$$g_i = e_i \langle e_{n+1}, a \rangle = \langle de_{n+1}(e_i), a \rangle = - \sum_j h_{ij} \langle e_j, a \rangle,$$

so by a direct calculation we can get

$$\begin{aligned} \sum_j g_{ij} \omega_j &= dg_i + \sum_j g_j \omega_{ji} \\ &= - \sum_j dh_{ij} \langle e_j, a \rangle - \sum_j h_{ij} \langle de_j, a \rangle - \sum_j g_j \omega_{ij} \\ &= - \sum_{j,k} (h_{ijk} \omega_k - h_{kj} \omega_{ki} - h_{ik} \omega_{kj}) \langle e_j, a \rangle \\ &\quad - \left\langle \sum_{j,k} h_{ij} \omega_{jk} e_k + \sum_{j,k} h_{ij} h_{jk} \omega_k e_{n+1} - c \sum_j h_{ij} \omega_j x, a \right\rangle \\ &\quad + \sum_{j,k} h_{jk} \langle e_k, a \rangle \omega_{ij} \\ &= - \sum_{j,k} h_{ijk} \omega_j \langle e_k, a \rangle + c \sum_j h_{ij} \omega_j \langle x, a \rangle - \sum_{j,k} h_{ik} h_{jk} \omega_j \langle e_{n+1}, a \rangle. \end{aligned}$$

This shows that

$$g_{ij} = -h_{ijk} \langle e_k, a \rangle + ch_{ij} \langle x, a \rangle - \sum_k h_{ik} h_{jk} \langle e_{n+1}, a \rangle.$$

With this we have

$$\begin{aligned} L_r g &= \sum_{i,j} T_{ij}^r g_{ij} \\ &= - \sum_{i,j,k} T_{ij}^r h_{ijk} \langle e_k, a \rangle + c \sum_{i,j} T_{ij}^r h_{ij} \langle x, a \rangle - \sum_{i,j,k} T_{ij}^r h_{ik} h_{jk} \langle e_{n+1}, a \rangle \\ &= - \sum_{i,j,k} T_{ij}^r h_{ijk} \langle e_k, a \rangle - \text{tr}(A^2 \circ P_r) \langle e_{n+1}, a \rangle + c \text{tr}(A \circ P_r) \langle x, a \rangle \\ &= - \langle \nabla S_{r+1}, a \rangle - (S_1 S_{r+1} - (r+2) S_{r+2}) \langle e_{n+1}, a \rangle \\ &\quad + c(r+1) S_{r+1} \langle x, a \rangle \\ &= - \langle \nabla S_{r+1}, a \rangle - (S_1 S_{r+1} - (r+2) S_{r+2}) g + c(r+1) S_{r+1} f. \end{aligned}$$

This means

$$L_r e_{n+1} = - \nabla S_{r+1} - (S_1 S_{r+1} - (r+2) S_{r+2}) e_{n+1} + c(r+1) S_{r+1} x. \quad \square$$

We can now define  $r_{AB} = \epsilon_B \langle Re_A, e_B \rangle$ , where  $e_{n+2} = x$ , and  $\epsilon_A = 1$  ( $1 \leq A \leq n+1$ ),  $\epsilon_{n+2} = \langle x, x \rangle = c$ , then

$$R = \sum_{A,B} \epsilon_B r_{AB} \omega_A \otimes e_B.$$

Recall that

$$L_r x = (r + 1)S_{r+1}e_{n+1} - c(n - r)S_r x = R x, \tag{2.12}$$

can imply that

$$\begin{cases} r_{n+2i} = 0, \\ r_{n+2,n+1} = (r + 1)S_{r+1}, \\ r_{n+2,n+2} = -c(n - r)S_r, \end{cases} \tag{2.13}$$

and taking exterior differential of (2.12), we can obtain

$$\begin{aligned} R dx &= R \left( \sum_i \omega_i e_i \right) \\ &= \sum_i R e_i \omega_i \\ &= (r + 1)dS_{r+1}e_{n+1} + (r + 1)S_{r+1}de_{n+1} \\ &\quad - c(n - r)dS_r x - c(n - r)S_r dx \\ &= \sum_i (r + 1)S_{r+1;i}e_{n+1}\omega_i - \sum_{i,j} (r + 1)S_{r+1}h_{ij}e_j\omega_i \\ &\quad - \sum_i c(n - r)S_{r;i}x\omega_i - \sum_i c(n - r)S_r e_i\omega_i. \end{aligned}$$

Thus we have

$$\begin{cases} r_{ij} = -(r + 1)S_{r+1}h_{ij} - c(n - r)S_r\delta_{ij}, \\ r_{in+1} = (r + 1)S_{r+1;i}, \\ r_{in+2} = -c(n - r)S_{r;i}. \end{cases} \tag{2.14}$$

On the one hand, from (2.8), (2.9) and using Lemma 2.2, we have

$$\begin{aligned} L_r(L_r f) &= L_r((r + 1)S_{r+1}g - c(n - r)S_r f) \\ &= (r + 1)\{L_r(S_{r+1})g + S_{r+1}L_r g + 2\langle P_r(\nabla S_{r+1}), \nabla g \rangle\} \\ &\quad - c(n - r)\{L_r(S_r)f + S_r L_r f + 2\langle P_r(\nabla S_r), \nabla f \rangle\} \\ &= (r + 1)\{L_r(S_{r+1})g + 2\langle P_r(\nabla S_{r+1}), -A(a^\top) \rangle\} \\ &\quad + S_{r+1}[-\langle \nabla S_{r+1}, a \rangle - (S_1 S_{r+1} - (r + 2)S_{r+2})g + c(r + 1)S_{r+1}f] \\ &\quad - c(n - r)\{L_r(S_r)f + S_r((r + 1)S_{r+1}g - c(n - r)S_r f) + 2\langle P_r(\nabla S_r), a^\top \rangle\} \\ &= (r + 1)[L_r(S_{r+1}) - S_{r+1}(S_1 S_{r+1} - (r + 2)S_{r+2}) - c(n - r)S_r S_{r+1}]g \\ &\quad + [c(r + 1)^2 S_{r+1}^2 - c(n - r)L_r(S_r) + c^2(n - r)^2 S_r^2]f \\ &\quad - \langle (r + 1)S_{r+1} \nabla S_{r+1} + 2(r + 1)A \circ P_r(\nabla S_{r+1}) + 2c(n - r)P_r(\nabla S_r), a \rangle. \end{aligned}$$

Hence

$$\begin{aligned} L_r(L_r x) &= (r + 1)\{L_r(S_{r+1}) - S_{r+1}[S_1 S_{r+1} - (r + 2)S_{r+2} + c(n - r)S_r]\}e_{n+1} \\ &\quad + [c(r + 1)^2 S_{r+1}^2 - c(n - r)L_r(S_r) + c^2(n - r)^2 S_r^2]x \\ &\quad - [(r + 1)S_{r+1}\nabla S_{r+1} + 2(r + 1)A \circ P_r(\nabla S_{r+1}) + 2c(n - r)P_r(\nabla S_r)]. \end{aligned}$$

On the other hand,

$$\begin{aligned} L_r(L_r x) &= L_r(Rx) = R(L_r x) = (r + 1)S_{r+1}Re_{n+1} - c(n - r)S_r Rx \\ &= (r + 1)S_{r+1}Re_{n+1} - c(n - r)(r + 1)S_r S_{r+1}e_{n+1} \\ &\quad + c^2(n - r)^2 S_r^2 x. \end{aligned}$$

Consequently, we have

$$\begin{cases} (r + 1)S_{r+1}r_{n+1,n+1} = (r + 1)L_r(S_{r+1}) - (r + 1)S_{r+1}(S_1 S_{r+1} - (r + 2)S_{r+2}) \\ (r + 1)S_{r+1}r_{n+1,n+2} = c(r + 1)^2 S_{r+1}^2 - c(n - r)L_r(S_r), \\ (r + 1)S_{r+1}r_{n+1i} = -(r + 1)S_{r+1}S_{r+1;i} - 2(r + 1)\lambda_i S_{r+1;i} S_r(A_i) \\ \qquad \qquad \qquad - 2c(n - r)S_{r;i} S_r(A_i). \end{cases} \tag{2.15}$$

Note that

$$\begin{aligned} dr_{ij} &= d\langle Re_i, e_j \rangle = \langle Rde_i, e_j \rangle + \langle Re_i, de_j \rangle \\ &= \left\langle R \left( \sum_k \omega_{ik} e_k + \sum_k h_{ik} \omega_k e_{n+1} - c\omega_i x \right), e_j \right\rangle \\ &\quad + \left\langle Re_i, \sum_k \omega_{jk} e_k + \sum_k h_{jk} \omega_k e_{n+1} - c\omega_j x \right\rangle \\ &= \sum_k r_{kj} \omega_{ik} + \sum_k r_{ik} \omega_{jk} + \sum_k r_{n+1j} h_{ik} \omega_k \\ &\quad + \sum_k r_{in+1} h_{jk} \omega_k - cr_{n+2j} \omega_i - r_{in+2} \omega_j. \end{aligned}$$

By (2.14), we know  $r_{ij} = r_{ji}$ , so we obtain

$$\begin{aligned} &(r_{n+1j} - r_{jn+1})h_{ik} + (r_{jn+2} - cr_{n+2j})\delta_{ik} \\ &= (r_{n+1i} - r_{in+1})h_{jk} + (r_{in+2} - cr_{n+2i})\delta_{jk}. \end{aligned} \tag{2.16}$$

### 3. Proof of Theorem 1.2

In this section, using the similar method in [4] we will prove Proposition 3.1 which will be essential to Theorem 1.2. Its proof is presented here to make this work self-contained.



PROPOSITION 3.1

If  $x: M \rightarrow \mathbb{S}^{n+1}$  (or  $\mathbb{H}^{n+1}$ ) satisfies the condition  $L_r x = Rx$ , for some constant matrix  $R \in \mathbb{R}^{(n+2) \times (n+2)}$  and if  $H_r$  is constant, then the  $(r + 1)$ -th mean curvature  $H_{r+1}$  is also constant on  $M$ .

*Proof.* The case  $r = 0$ , naturally  $S_0 = 1$ , was already solved by Park (Proposition 4.3 of [9]) (see also Proposition 3.2 of [3]) for the general case, so we may consider the case  $r \geq 1$ . Since  $S_r$  is a constant, by (2.14),  $r_{in+2} = 0$ . From (2.13) and (2.16), we obtain

$$(r_{n+1j} - r_{jn+1})h_{ik} = (r_{n+1i} - r_{in+1})h_{jk}. \tag{3.1}$$

Consider the open set

$$\mathcal{U} = \{p \in M \mid S_{r+1}(p)\nabla S_{r+1}(p) \neq 0\}.$$

We will show that  $\mathcal{U}$  is empty, using the method of reduction to absurdity. Otherwise, we assume that  $\mathcal{U}$  is non-empty. In the following, we will discuss on  $\mathcal{U}$  if without special statement.

From (2.15), we can obtain

$$r_{n+1i} = -S_{r+1;i} - \frac{2}{S_{r+1}}\lambda_i S_{r+1;i} S_r(A_i). \tag{3.2}$$

Putting (3.2) and (2.14) into (3.1), we obtain

$$\begin{aligned} & \left( (n + 2)S_{r+1;i} + \frac{2}{S_{r+1}}\lambda_i S_{r+1;i} S_r(A_i) \right) \lambda_j \\ &= \left( (n + 2)S_{r+1;j} + \frac{2}{S_{r+1}}\lambda_j S_{r+1;j} S_r(A_i) \right) \lambda_i, \end{aligned} \tag{3.3}$$

i.e.

$$\langle T_r(\nabla S_{r+1}), e_i \rangle A e_j = \langle T_r(\nabla S_{r+1}), e_j \rangle A e_i, \tag{3.4}$$

where  $T_r$  is the operator given by

$$T_r = \frac{2}{S_{r+1}}A \circ P_r + (r + 2)I.$$

Therefore

$$\langle T_r(\nabla S_{r+1}), X \rangle A Y = \langle T_r(\nabla S_{r+1}), Y \rangle A X \tag{3.5}$$

for every  $X, Y \in \mathcal{X}(M)$ .

We claim that

$$T_r(\nabla S_{r+1}) = 0. \tag{3.6}$$

Actually, if  $T_r(\nabla S_{r+1})(p_0) \neq 0$  at some point  $p_0 \in \mathcal{U}$ , then we can choose, on a neighborhood of  $p_0$  where  $T_r(\nabla S_{r+1}) \neq 0$ , and a local orthonormal frame  $e_1, \dots, e_n$  with  $e_1$  in the direction of  $T_r(\nabla S_{r+1})$ . Thus it yields to  $A e_i = 0$  for every  $i = 2, \dots, n$  from (3.4),

which implies that  $\text{rank } A = 1$  on  $\mathcal{U}$ . But this implies that  $S_{r+1} = 0$  on  $\mathcal{U}$  for every  $r \geq 1$ , which is not possible. Therefore,  $T_r(\nabla S_{r+1}) = 0$  on  $\mathcal{U}$ , or equivalently

$$2A \circ P_r(\nabla S_{r+1}) = -(r + 2)S_{r+1}\nabla S_{r+1} \tag{3.7}$$

on  $\mathcal{U}$ . Therefore

$$S_{r+1;i} = 0 \text{ or } \lambda_i S_r(A_i) = -\frac{r + 2}{2}S_{r+1}. \tag{3.8}$$

This implies that  $S_{r+1;i} = 0$  necessarily for some  $i$ . Otherwise, we would have

$$(r + 1)S_{r+1} = \text{tr}(A \circ P_r) = \sum_i \lambda_i S_r(A_i) = -\frac{n(r + 2)}{2}S_{r+1},$$

and thus  $S_{r+1} = 0$  on  $\mathcal{U}$ , which is a contradiction. Therefore, rearranging the local orthonormal frame if necessary, we may assume  $S_{r+1;i} = 0$  for  $1 \leq i \leq m < n$ ,  $S_{r+1;j} \neq 0$  for  $m + 1 \leq j \leq n$ .

In the case  $r = n - 1$ , since  $P_n = 0$  and from the inductive definition of  $P_r$  and (3.7), it can reduce to

$$P_n(\nabla S_n) = \frac{n + 3}{2}S_n\nabla S_n = 0, \tag{3.9}$$

which is a contradiction. So in the following we consider the case  $1 \leq r \leq n - 2$ .

By (3.2) and (3.8), we have

$$r_{n+1i} = -S_{r+1;i} - \frac{2}{S_{r+1}}\lambda_i S_r(A_i)S_{r+1;i} = (r + 1)S_{r+1;i}.$$

Using (2.13)–(2.15), we can see that the matrix  $R$  with respect to  $e_A$  is of the form

$$\begin{pmatrix} \mu_1 & \cdots & 0 & (r + 1)S_{r+1;1} & 0 \\ \vdots & \ddots & \vdots & \vdots & \vdots \\ 0 & \cdots & \mu_n & (r + 1)S_{r+1;n} & 0 \\ (r + 1)S_{r+1;1} & \cdots & (r + 1)S_{r+1;n} & \mu_{n+1} & c(r + 1)S_{r+1} \\ 0 & \cdots & 0 & (r + 1)S_{r+1} & -c(n - r)S_r \end{pmatrix}, \tag{3.10}$$

where  $\mu_i = -(r + 1)S_{r+1}\lambda_i - c(n - r)S_r$  for  $1 \leq i \leq n$ ,  $\mu_{n+1} = \frac{1}{S_{r+1}}L_r(S_{r+1}) - S_1S_{r+1} + (r + 2)S_{r+2}$ .

Thus, from (3.10) it is easy to see that the characteristic polynomial of the matrix  $R$  is given by

$$\begin{aligned} \det(tI - R) &= (t + c(n - r)S_r) \left\{ \prod_{i=1}^{n+1} (t - \mu_i) \right. \\ &\quad \left. - \sum_{i=1}^n (r + 1)^2 S_{r+1;i}^2 (t - \mu_1) \cdots \widehat{(t - \mu_i)} \cdots (t - \mu_n) \right\} \\ &\quad - c(r + 1)^2 S_{r+1}^2 \prod_{i=1}^n (t - \mu_i). \end{aligned} \tag{3.11}$$

where  $\widehat{\phantom{x}}$  denotes deletion.

Since  $S_{r+1;i} = 0$  for  $1 \leq i \leq m$ , it is easy to see that  $\mu_i$  ( $1 \leq i \leq m$ ) are eigenvalues of  $R$ , and thus they are constant. If  $\lambda_j = \lambda_k$  for  $m + 1 \leq j < k \leq n$ , then  $\mu_j = \mu_k$ , and by (3.11) we know  $\mu_j = \mu_k$  are also eigenvalues of  $R$ , and thus they are constant. Therefore, without generality, we can assume  $\lambda_{m+1} < \dots < \lambda_n$ . By (3.8) we know that

$$\lambda_j S_r(A_j) = -\frac{r+2}{2} S_{r+1} \neq 0, \tag{3.12}$$

for  $m + 1 \leq j \leq n$  on  $\mathcal{U}$ . Taking notice of

$$\lambda_j S_r(A_j) = \sum_{s=0}^r (-1)^s \lambda_j^{s+1} S_{r-s} = -\frac{r+2}{2} S_{r+1},$$

hence  $\lambda_j$  ( $m + 1 \leq j \leq n$ ) are distinct real roots of the following equation of degree  $r + 1$ ,

$$\sum_{s=0}^r (-1)^s t^{s+1} S_{r-s} + \frac{r+2}{2} S_{r+1} = 0.$$

And thus  $n - m \leq r + 1$ . On the other hand, each  $\lambda_j$  is also the distinct roots of the characteristic polynomial of  $A$ ,

$$\sum_{s=0}^n (-1)^s t^s S_{n-s}.$$

Therefore  $\lambda_j$  ( $m + 1 \leq j \leq n$ ) are distinct real roots of the following equation of degree  $n - r - 1$ ,

$$\sum_{s=0}^{n-r-1} (-1)^s t^s S_{n-s} + \frac{r+2}{2} (-1)^{n-r} S_{r+1} t^{n-r-1} = 0.$$

In particular,  $n - m \leq n - r - 1$ , that is  $m \geq r + 1$ .

Now we claim that

$$S_{r+1}(A_{m+1}) = \dots = S_{r+1}(A_n) = S_{r+1}(A_{m+1\dots n}). \tag{3.13}$$

In fact, by  $m + 1 \leq j < k \leq n$ ,

$$S_{r+1}(A_j) = \lambda_k S_r(A_{jk}) + S_{r+1}(A_{jk}) = \lambda_j S_r(A_{jk}) + S_{r+1}(A_{jk}) = S_{r+1}(A_k),$$

this means  $S_r(A_{jk}) = 0$ . Therefore

$$S_{r+1}(A_j) = S_{r+1}(A_{jk}).$$

Thereby, we can obtain (3.13) by induction. From (3.13) we get that

$$\begin{aligned} \frac{r+4}{2} S_{r+1} &= S_{r+1}(A_{m+1}) = S_{r+1}(A_{m+1\dots n}) \\ &= \sum_{1 \leq i_1 < \dots < i_{r+1} \leq m} \lambda_{i_1} \dots \lambda_{i_{r+1}} \\ &= \frac{(-1)^{r+1}}{(r+1)^{r+1} S_{r+1}^{r+1}} \cdot \sum_{1 \leq i_1 < \dots < i_{r+1} \leq m} \prod_{k=1}^{r+1} (\mu_{i_k} + c(n-r) S_r) \end{aligned}$$

on  $\mathcal{U}$ . But this mean that  $S_{r+1}$  is locally constant on  $\mathcal{U}$ , which is a contradiction with definition of  $\mathcal{U}$ . Hence  $S_{r+1}$  is constant.  $\square$

Now, we begin to prove Theorem 1.2. By Proposition 3.1, we already know  $S_{r+1}$  is constant on  $M$ . If  $S_{r+1} = 0$ , then  $M$  is  $r$ -minimal, and there is nothing to prove. Hence, we may suppose that  $S_{r+1}$  is a non-zero constant. Since  $S_r$  and  $S_{r+1}$  all are constant, using (3.10), we can see that the matrix  $R$  with respect to  $e_A$  is of the form

$$\begin{pmatrix} \mu_1 & \cdots & 0 & 0 & 0 \\ \vdots & \ddots & \vdots & \vdots & \vdots \\ 0 & \cdots & \mu_n & 0 & 0 \\ 0 & \cdots & 0 & \mu_{n+1} & c(r+1)S_{r+1} \\ 0 & \cdots & 0 & (r+1)S_{r+1} & -c(n-r)S_r \end{pmatrix}, \tag{3.14}$$

where  $\mu_i = -(r+1)S_{r+1}\lambda_i - c(n-r)S_r$  for  $1 \leq i \leq n$ ,  $\mu_{n+1} = \frac{1}{S_{r+1}}L_r(S_{r+1}) - S_1S_{r+1} + (r+2)S_{r+2}$ . It is easy to see that  $\mu_i$ 's are eigenvalues of  $R$ , thus they are constant, i.e.  $\lambda_i = -\frac{\mu_i + c(n-r)S_r}{(r+1)S_{r+1}}$  ( $1 \leq i \leq n$ ) are also constant. Hence  $M$  is isoparametric. Meanwhile, since we know that  $S_r$  and  $S_{r+1}$  are all constants, by (2.14) and (2.15) we have

$$Re_i = -[(r+1)S_{r+1}\lambda_i + c(n-r)S_r]e_i, \tag{3.15}$$

$$Re_{n+1} = -[S_1S_{r+1} - (r+2)S_{r+2}]e_{n+1} + c(r+1)S_{r+1}x. \tag{3.16}$$

Then, on the one hand, taking covariant derivative in (3.16) we obtain

$$\begin{aligned} \bar{\nabla}_{e_i}(Re_{n+1}) &= -[S_1S_{r+1} - (r+2)S_{r+2}]\bar{\nabla}_{e_i}e_{n+1} + c(r+1)S_{r+1}\bar{\nabla}_{e_i}x \\ &= [S_1S_{r+1} - (r+2)S_{r+2}]Ae_i + c(r+1)S_{r+1}e_i \\ &= [S_1S_{r+1} - (r+2)S_{r+2}]\lambda_i e_i + c(r+1)S_{r+1}e_i. \end{aligned} \tag{3.17}$$

On the other hand,

$$\begin{aligned} \bar{\nabla}_{e_i}(Re_{n+1}) &= R(\bar{\nabla}_{e_i}e_{n+1}) \\ &= R(-Ae_i) \\ &= -\lambda_i R(e_i) \\ &= [(r+1)S_{r+1}\lambda_i + c(n-r)S_r]\lambda_i e_i. \end{aligned} \tag{3.18}$$

Consequently, using (3.17) and (3.18), we can obtain

$$\begin{aligned} &[(r+1)S_{r+1}\lambda_i + c(n-r)S_r]\lambda_i \\ &= [S_1S_{r+1} - (r+2)S_{r+2}]\lambda_i + c(r+1)S_{r+1}, \end{aligned}$$

i.e.

$$(r+1)S_{r+1}\lambda_i^2 + [-S_1S_{r+1} + (r+2)S_{r+2} + c(n-r)S_r]\lambda_i - c(r+1)S_{r+1} = 0. \tag{3.19}$$

Hence  $\lambda_i$ 's are the roots of the following equation

$$(r+1)S_{r+1}t^2 + [-S_1S_{r+1} + (r+2)S_{r+2} + c(n-r)S_r]t - c(r+1)S_{r+1} = 0. \tag{3.20}$$

This means that there are at most two constant distinct principal curvatures among  $\lambda_i$  ( $1 \leq i \leq n$ ) and  $M$  is an isoparametric hypersurface of  $\mathbb{S}^{n+1}$  or  $\mathbb{H}^{n+1}$  with at most two distinct principal curvatures. Consequently, either  $M$  is totally umbilical in  $\bar{M}^{n+1}(c)$  (but not totally geodesic, because of  $H_{r+1} \neq 0$ ) or  $M$  an isoparametric hypersurface of  $\bar{M}^{n+1}(c)$  with two constant principal curvatures. The former cannot occur, because all the totally umbilical hypersurfaces in  $\bar{M}^{n+1}(c)$  which are not totally geodesic do not satisfy  $L_r x = Rx$  (see [12]). In the latter, from well-known results by Lawson (Lemma 2 of [8]) and Ryan (Theorem 2.5 of [12]), we conclude that  $M$  is an open piece of a standard Riemannian product. Hence, if  $M$  is not  $r$ -minimal,  $M$  is an open piece of one of the following:  $\mathbb{S}^k(c_1) \times \mathbb{S}^{n-k}(c_2)$  in  $\mathbb{S}^{n+1}$  or  $\mathbb{S}^k(c_1) \times \mathbb{H}^{n-k}(c_2)$  in  $\mathbb{H}^{n+1}$ .

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