

On the frequency of Titchmarsh's phenomenon for $\zeta(s)$ —VIII

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Abstract. For suitable functions $H = H(T)$ the maximum of $|\zeta(\sigma + it)|^2$ taken over $T \leq t \leq T + H$ is studied. For fixed $\sigma (\frac{1}{2} \leq \sigma \leq 1)$ and fixed complex constants z "expected lower bounds" for the maximum are established.

Keywords. Riemann zeta-function; frequency; Titchmarsh's phenomenon.

1. Introduction

It is our object to prove the following three Ω -theorems by applying two fundamental theorems of Ramachandra which he proved in [5] (these theorems will be stated in §2). Let $G(\sigma, t) = |\zeta(\sigma + it)|^2$ where σ is a constant in $[\frac{1}{2}, 1]$ and z is a non-zero complex constant. Since z can be written as $z = re^{i\theta}$ where $r > 0$ and θ lies in $[0, 2\pi)$, in order to state Ω theorems for $G(\sigma, t)$ it suffices to assume $r = 1$. We shall in what follows write $z = e^{i\theta}$.

Theorem 1. We have, with $z = e^{i\theta}$,

$$\max_{T \leq t \leq T+H} G(1, t) \geq e^\gamma \lambda(\theta) (\log \log H - \log \log H) + O(1)$$

where γ is the Euler's constant and

$$\lambda(\theta) = \prod_p \left\{ \left(1 - \frac{1}{p} \right) \left(\frac{p[(p^2 - \sin^2 \theta)^{\frac{1}{2}} + \cos \theta]}{p^2 - 1} \right)^{\cos \theta} \right. \\ \left. \times \exp \left(\sin \theta \tan^{-1} \left(\frac{\sin \theta}{(p^2 - \sin^2 \theta)^{\frac{1}{2}}} \right) \right) \right\}.$$

The conditions on H and T are $T \geq H \geq C \log \log \log T$, $T \geq T_0$ where C and T_0 are large positive constants.

Remark 1. Levinson [3] was the first to prove that when $\theta = 0$

$$\max_{1 \leq t \leq T} G(1, t) \geq e^\gamma \log \log T + O(1)$$

and when $\theta = \pi$

$$\max_{1 \leq t \leq T} G(1, t) \geq \frac{6}{\pi^2} e^\gamma (\log \log T - \log \log \log T) + O(1).$$

Note that $\lambda(\pi) = 6/\pi^2$. However, around the same time Ramachandra [6] proved that

$$\max_{T \leq t \leq T+H} G(1, t) \geq e^\gamma \lambda(\theta) \log \log H (1 + O(1))$$

when $\theta = 0$, and $\theta = \pi$. Later Ramachandra [7] extended the conditions on H to $H \geq C \log \log \log \log T$ (without assuming any hypothesis) and to $H \geq C \log \log \log \log T$ (assuming Riemann hypothesis). These results go through for any θ in $[0, 2\pi)$.

Remark 2. This theorem as well as Theorems 2 and 3 has obvious extensions to ordinary L -functions and more generally to L -functions of algebraic number fields and so on. We do not carry out the details here.

Theorem 2. *Let α be a constant in $(\frac{1}{2}, 1)$. Then*

$$\max_{T \leq t \leq T+H} G(\alpha, t) > \exp\left(C_1 \frac{(\log H)^{1-\alpha}}{\log \log H}\right)$$

where C_1 is a positive constant depending on α and $T^{1/3} \leq H \leq T$.

The next theorem depends on Riemann hypothesis (R.H.).

Theorem 3. *(on R.H.). In Theorem 2 the condition on H can be relaxed to $T \geq H \geq C \log \log T$. Also under this condition on H , there holds*

$$\max_{T \leq t \leq T+H} G(\frac{1}{2}, t) > \exp\left(C_2 \left(\frac{\log H}{\log \log H}\right)^{\frac{1}{2}}\right)$$

where $C_2 > 0$ is a numerical constant.

Remark 1. When $\theta = 0$, Theorem 3 can be upheld without assuming R.H.

Remark 2. The results of this paper are inspired by the paper [4] of Montgomery who proves

$$\max_{0 \leq t \leq T} G(\alpha, t) > \exp\left(C_3 \frac{(\log T)^{1-\alpha}}{(\log \log T)^\alpha}\right)$$

where $C_3 \geq 0$ depends on α and $\frac{1}{2} < \alpha < 1$. In a recent paper [8], Ramachandra and Sankaranarayanan have obtained this result with $C_3 = C_4(\alpha - \frac{1}{2})^{\frac{1}{2}}/(1 - \alpha)$ where $C_4 > 0$ is a numerical constant. (The quantity $(\alpha - \frac{1}{2})^{\frac{1}{2}}$ can be replaced by 1 if we assume R.H.). However Montgomery's method does not work for short intervals $[T, T + H]$ and also for L -functions.

2. Ramachandra's theorems

We shall now state a special case of the main theorem of [5].

Theorem 4. Let $a_1 = 1, a_2, a_3, \dots$ be a sequence of complex numbers satisfying $|a_n| \leq (nH)^A$ where $H \geq 10^{10}$ and A is a positive constant. Let $F(s) = \sum_{n=1}^{\infty} a_n/n^s$ (where $s = \sigma + it$) admit an analytic continuation in $\sigma > 0, T \leq t \leq T + H$. Then

$$\max_{\sigma > 0} \left(\frac{1}{H} \int_T^{T+H} |F(\sigma + it)|^2 dt \right) > C(A) \sum_{n \leq H/200} |a_n|^2 \left(1 - \frac{\log n}{\log H} + \frac{1}{\log \log H} \right),$$

where $C(A)$ is a positive constant depending only on A .

As a corollary he deduced

Theorem 5. In addition to the condition of Theorem 4 let us suppose that in $(\sigma > 0, T \leq t \leq T + H)$ the maximum of $|F(s)|$ be $\leq \exp \exp(H/100A)$. Then

$$\left(\frac{1}{H} \int_T^{T+H} |F(it)|^2 dt \right) \geq C(A) \sum_{n \leq H/200} |a_n|^2 \left(1 - \frac{\log n}{\log H} + \frac{1}{\log \log H} \right)$$

where $C(A) > 0$ depends only on A , provided the LHS is interpreted in a limiting sense.

Remark 1. In the reference to Ramachandra's paper the theorem proved is slightly different. But it is not hard (from his argument) to prove Theorem 4 and and deduce Theorem 5. In that reference Ramachandra uses the kernel related to $\exp(s^{4a+2})$ where a is a non negative integer. However in deducing Theorem 5 from Theorem 4 we have to use the kernel related to $\exp((\sin s)^2)$.

Remark 2. From Theorem 4 we can also deduce that the maximum of $G(\sigma, t)$ in $(\sigma \geq 1, T \leq t \leq T + H)$ exceeds the right hand side of Theorem 1. Similar remarks holds good for Theorems 2 and 3.

Remark 3. For improvements of Theorems 4 and 5 see the paper [2] by Balasubramanian and Ramachandra.

3. Proof of theorem 1.

We will prove Theorems 1, 2 and 3 in §§ 3, 4 and 5 respectively. We adopt different notations in each of these sections and we will explain the notations in each of these sections in the respective sections.

Lemma 3.1. Let k be a positive integer and let

$$F(s) = (\zeta(1 + s))^{kz} = \sum_{n=1}^{\infty} \frac{a_n}{n^s} \tag{3.1}$$

then

$$a_1 = 1 \text{ and } |a_n| \leq n^2 (\zeta(2))^k. \tag{3.2}$$

Proof. Follows by Euler product for $\zeta(1+s)$.

Lemma 3.2. We have in $(\sigma \geq 0, T \leq t \leq T+H)$

$$|F(s)| \leq \exp(k C_1 \log \log T) \quad (3.3)$$

where c_1 is a positive constant and $10 < H \leq T$.

Proof. Follows since it is well-known that

$$\log \zeta(\sigma + it) = O(\log \log T)$$

in $(\sigma \geq 1, T \leq t \leq T+H)$.

Lemma 3.3. The conditions for the application of Theorem 5 are satisfied if $H \gg \log \log T$ where the implied constant is a large positive constant, and $k = O(\log H)$.

Proof. Follows from Lemmas 3.1 and 3.2.

Lemma 3.4. Let $k_0 = kz$ and let $n \geq 2$ and let $n = \prod_p p^{m_p}$ be the prime power decomposition of n . Then

$$a_1 = 1 \text{ and } a_n = \prod_p \frac{k_0(k_0+1)\dots(k_0+m_p-1)}{m_p! p^{m_p}} \quad (3.4)$$

Proof. Follows by Euler's product for $\zeta(1+s)$.

Lemma 3.5. Let, for each $p \leq k$,

$$l = k \left(\frac{\cos \theta + (p^2 - \sin^2 \theta)^{\frac{1}{2}}}{p^2 - 1} \right) = \frac{k}{q} \text{ say and } m = [l]. \quad (3.5)$$

Then, putting

$$n = \prod_{p \leq k} p^{m_p}, \text{ we have}$$

$$\frac{1}{2k} \log |a_n|^2 = \frac{1}{2k} \sum_{p \leq k} \{ -2m \log m + 2m + O(\log m) - 2m \log p + E(k, m) \} \quad (3.6)$$

where

$$E(k, m) = \sum_{v=0}^{m-1} \log(k^2 + v^2 + 2kv \cos \theta). \quad (3.7)$$

Proof. Follows from the formula

$$\log m! = m \log m - m + O(\log m).$$

Lemma 3.6. We have,

$$E(k, m) = 2m \log k + k \int_0^{1/q} \log(1 + u^2 + 2u \cos \theta) du + O\left(\frac{1}{p}\right). \quad (3.8)$$

Proof. We have

$$E(k, m) = \sum_{v=0}^{m-1} \left\{ \log(k^2 + v^2 + 2kv \cos \theta) - \int_v^{v+1} \log(k^2 + u^2 + 2ku \cos \theta) du \right\} + \int_0^m \log(k^2 + u^2 + 2ku \cos \theta) du.$$

Here the sum on the right is easily seen to be $O(1/p)$. The integral on the right is

$$2m \log k + \int_0^m \log \left(1 + \frac{u^2}{k^2} + 2\frac{u}{k} \cos \theta \right) du.$$

Here we can replace the upper limit m of the integral by l with an error $O(m/k) = O(1/p)$. The lemma now follows by a change of variable.

Lemma 3.7. We have,

$$\frac{1}{k} \sum_{p \leq k} \log m = O\left(\frac{1}{\log k}\right) \tag{3.9}$$

and

$$\frac{1}{k} \sum_{p \leq k} \frac{1}{p} = O\left(\frac{1}{\log k}\right).$$

Proof. Follows by prime number theorem.

Lemma 3.8. We have,

$$\begin{aligned} & \frac{1}{2k} \sum_{p \leq k} \{-2m \log m + 2m - 2m \log p + 2m \log k\} \\ &= \sum_{p \leq k} \left\{ -\frac{1}{q} \log \frac{p}{q} + \frac{1}{q} \right\} + O\left(\frac{1}{\log k}\right). \end{aligned} \tag{3.10}$$

Proof. On the LHS we can replace m by l with a total error

$$\leq \frac{1}{2k} \sum_{p \leq k} O(\log m) = O\left(\frac{1}{\log k}\right).$$

The rest is

$$\sum_{p \leq k} \left\{ -\frac{1}{q} \log \frac{k}{q} + \frac{1}{q} - \frac{1}{q} \log p + \frac{1}{q} \log k \right\}$$

which gives the lemma.

Lemma 3.9. We have,

$$\begin{aligned} & \frac{1}{2k} \sum_{p \leq k} k \int_0^{(1/q)} \log(1 + u^2 + 2u \cos \theta) du \\ &= \operatorname{Re} \sum_{p \leq k} \left(\frac{1 + (1/q)e^{i\theta}}{e^{i\theta}} \log \left(1 + \frac{1}{q} e^{i\theta} \right) - \frac{1}{q} \right). \end{aligned} \quad (3.11)$$

Proof. Trivial.

Lemma 3.10. We have,

$$\frac{1}{2k} \log |a_n|^2 = \log \log k + \gamma + \log \lambda(\theta) + O\left(\frac{1}{\log k}\right), \quad (3.12)$$

where $\lambda(\theta)$ is as in Theorem 1.

Proof. By Lemmas 3.5, 3.6, 3.7 and 3.8 we see that LHS of (3.12) is, (with an error $O(1/\log k)$),

$$\operatorname{Re} \sum_{p \leq k} \left\{ -\frac{1}{q} \log \frac{p}{q} + \frac{1}{q} \log \left(1 + \frac{1}{q} e^{i\theta} \right) + e^{-i\theta} \log \left(1 + \frac{1}{q} e^{i\theta} \right) \right\}.$$

Now the contribution from the first two terms (in the curly bracket) to the sum is

$$\operatorname{Re} \sum_{p \leq k} \frac{1}{q} \log \left| \frac{q + e^{i\theta}}{p} \right| = 0,$$

since

$$\begin{aligned} |q + e^{i\theta}|^2 &= \left(\frac{p^2 - 1}{(p^2 - \sin^2 \theta)^{\frac{1}{2}} + \cos \theta} + \cos \theta \right)^2 + \sin^2 \theta \\ &= \left(\frac{p^2 - 1 + \cos^2 \theta + \cos \theta (p^2 - \sin^2 \theta)^{\frac{1}{2}}}{(p^2 - \sin^2 \theta)^{\frac{1}{2}} + \cos \theta} \right)^2 + \sin^2 \theta \\ &= p^2. \end{aligned}$$

The third term contributes

$$\begin{aligned} & \sum_{p \leq k} \left(\cos \theta \log \frac{p}{q} + \sin \theta \tan^{-1} \left(\frac{\sin \theta}{q + \cos \theta} \right) \right) \\ &= \sum_{p \leq k} \left\{ \log \left(1 - \frac{1}{p} \right) + \cos \theta \log \frac{p}{q} + \sin \theta \tan^{-1} \left(\frac{\sin \theta}{q + \cos \theta} \right) \right\} \\ & \quad + \sum_{p \leq k} \log \left(1 - \frac{1}{p} \right)^{-1}. \end{aligned}$$

This together with the well-known formula $\prod_{p \leq k} (1 - 1/p)^{-1} = e^\gamma \log k + O(1)$ proves the lemma.

Lemma 3.11. For the n defined in Lemma 3.5, we have,

$$\log n = \sum_{p \leq k} m \log p = k \log k + O(k). \quad (3.13)$$

Proof. Replacement of m by l involves an error $O(k)$ by the prime number theorem. Now $l = k/q$ and

$$\begin{aligned} q &= p \left(p - \frac{1}{p} \right) (p [1 - (\sin^2 \theta / p^2)]^{\frac{1}{2}} + \cos \theta)^{-1} \\ &= p \left(1 - \frac{1}{p^2} \right) \left([1 - (\sin^2 \theta / p^2)]^{\frac{1}{2}} + \frac{\cos \theta}{p} \right)^{-1} \\ &= p + O(1). \end{aligned}$$

This proves the lemma.

Lemma 3.12. Set $k = [\log H / (2 \log \log H)]$. Then for all H exceeding a large positive constant, we have,

$$n \leq \frac{H}{200}.$$

Proof. Follows from Lemma 3.11.

Lemma 3.13. The maximum of $|\zeta(1+s)|^2$ in $(\sigma = 0, T \leq t \leq T + H)$ exceeds

$$\left(\frac{C(A)}{\log \log H} |a_n|^2 \right)^{1/2k}.$$

Proof. Follows from Theorem 5.

Lemmas 3.12 and 3.13 complete the proof of Theorem 1, in view of Lemma 3.10.

4. Proof of theorem 2.

Lemma 4.1. Let $\frac{1}{2} \leq \beta \leq 1$ and $H = T^{1/3}$. Then the number of zeros of $\zeta(s)$ in $(\sigma \geq \beta, T \leq t \leq T + H)$ is

$$\ll H^{4(1-\beta)/(3-2\beta)} (\log T)^{100} \quad (4.1)$$

where the constant implied by the Vinogradov symbol \ll is absolute.

Proof. This is a consequence of a deep result of Balasubramanian [1] on the mean-square of $|\zeta(\frac{1}{2} + it)|$. (See Theorem 6 on page 576 of his paper).

Lemma 4.2. Let $\frac{1}{2} < \beta < \alpha < 1$. Then there exists a t -interval I contained in $T \leq t \leq T + H$, of length T^δ (where $\delta > 0$ is a constant depending on β) such that the region $(\sigma \geq \beta, t \in I)$ is free from zeros of $\zeta(s)$.

Proof. Follows from Lemma 4.1.

Lemma 4.3. Let I_0 denote the t -interval obtained from I by removing on both sides intervals of length $(1/100)T^\delta$. Then in $(\sigma \geq \alpha, t \in I_0)$ we have

$$\log \zeta(s) = O(\log T).$$

Proof. Follows by Borel-Caratheodory theorem.

Lemma 4.4. We apply Theorem 5 to the interval I_0 in place of $T \leq t \leq T + H$. Then

$$\max_{(\sigma=0, t \in I_0)} |(\zeta(\alpha + s))^z| \geq \left(\frac{C(A)}{\log \log H} |a_n|^2 \right)^{1/2k} \quad (4.2)$$

where $n \leq H/200$, $k \geq 1$ is any integer which is $O(\log H)$, and a_n are defined by

$$F(s) = (\zeta(\alpha + s))^{kz} = \sum_{n=1}^{\infty} \frac{a_n}{n^s}. \quad (4.3)$$

Proof. It is easily seen (as before) that the conditions for the application of Theorem 5 are satisfied and hence the lemma.

Lemma 4.5. Let

$$n = \prod_{(k/4)^{1/\alpha} \leq p \leq (k/2)^{1/\alpha}} p. \quad (4.4)$$

Then

$$|a_n|^2 \geq \exp\left(\frac{C_1 k^{1/\alpha}}{\log k}\right).$$

where C_1 is a positive constant.

Proof. Follows by Euler's product for $\zeta(s)$.

Lemma 4.6. Let $k = [C_2(\log H)^\alpha]$ where $C_2 > 0$ is a small constant. Then, we have,

$$n \leq \frac{H}{200} \quad (4.5)$$

and so R.H.S. of (4.2) exceeds

$$\exp\left(\frac{C_3(\log H)^{1-\alpha}}{\log \log H}\right), \quad (4.6)$$

where $C_3 > 0$ is a constant.

Proof. Follows from lemma 4.5.

Theorem 2 now follows from (4.2) and lemma 4.6.

5. Proof of theorem 3

The first part of Theorem 3 follows exactly as in the proof of Theorem 2. It remains to prove only the second part of Theorem 3. We begin with

Lemma 5.1. Given any $t \geq 10$ there exists a real number τ with $|t - \tau| \leq 1$ such that

$$\frac{\zeta'(\sigma + i\tau)}{\zeta(\sigma + i\tau)} = O((\log t)^2) \quad (5.1)$$

uniformly in $-1 \leq \sigma \leq 2$. Hence

$$\log \zeta(\sigma + i\tau) = O((\log t)^2) \quad (5.2)$$

uniformly in $-1 \leq \sigma \leq 2$.

Proof. See Theorem 9.6 (A), p. 184 of [9].

Lemma 5.2. Let

$$F(s) = ((\zeta(\frac{1}{2} + s))^{kz}) = \sum_{n=1}^{\infty} \frac{a_n}{n^s} (\sigma \geq 2), \quad (5.3)$$

where $k \geq 1$ is any integer. Let $x \geq 1000$,

$$\prod_{k^2 \leq p \leq k^4} \left(1 + \frac{k^2}{p^s}\right) = \sum_{n=1}^{\infty} \frac{b_n}{n^s}. \quad (5.4)$$

Then

$$\sum_{n \leq x} \frac{b_n}{n} \leq \sum_{n \leq x} |a_n|^2, \quad (5.5)$$

$$\prod_{k^2 \leq p \leq k^4} \left(1 + \frac{k^2}{p}\right) > \exp(k^2 \log 5/4) \quad (5.6)$$

$$\prod_{k^2 \leq p \leq k^4} \left(1 + \frac{k^2}{p^{1+\delta}}\right) < \exp(k^2 e^{-C_1}) \quad (5.7)$$

where $\delta = C_1/\log k$ and C_1 is any positive constant.

$$\sum_{n \leq x} \frac{b_n}{n} > \exp(k^2 \log 5/4) - x^\delta \sum_{n > x} \frac{b_n}{n^{1+\delta}} \quad (5.8)$$

$$> \frac{1}{2} \exp(k^2 \log 5/4) \quad (5.9)$$

provided

$$k \geq C_2 \left(\frac{\log x}{\log \log x}\right)^{\frac{1}{2}} \quad (5.10)$$

where C_2 is a positive constant.

Proof. Equation (5.5) is trivial. Equations (5.6) and (5.7) follow from $\log(1+y) < y$ and $> y - y^2/2$ for $0 < y < 1$. Equation (5.8) is trivial where (5.9) follows if $x^\delta \leq \exp(k^2 e^{-C_1})$ and C_1 is large. This leads to the condition (5.10) for the validity of (5.9).

Lemma 5.3. We have, with $k = [C_3(\log H/\log \log H)^\frac{1}{2}]$,

$$\left(\frac{C(A)}{\log \log H} \sum_{n \leq H/200} |a_n|^2 \right)^{1/2k} > \exp\left(k \log \frac{100}{99}\right) \quad (5.11)$$

where C_3 is a certain positive constant.

Proof. Follows from (5.5), (5.9) and (5.10).

Lemma 5.4. The condition $|a_n| \leq (nH)^A$ is satisfied for some $A > 0$.

Proof. Follows from the Euler product for $\zeta(s)$.

Lemma 5.5. Without loss of generality we can assume that

$$\max_{T \leq t \leq T+H} |F(it)| \leq \exp(\log H)^3. \quad (5.12)$$

Proof. Otherwise the required result follows.

Lemma 5.6. The inequality (5.12) implies (subject to $H \geq C_4 \log \log T$ where $C_4 > 0$ is a large constant) that

$$\max_{\sigma \geq 0, T+(H/9) \leq t \leq T+(8H/9)} |F(\sigma + it)| \leq \exp((\log H)^4). \quad (5.13)$$

Proof. Let $s_0 = \sigma_0 + it_0$ where $0 < \sigma_0 \leq 1$ and $T + \frac{H}{9} \leq t_0 \leq T + \frac{8H}{9}$. Consider the analytic function

$$\phi(s) = F(s) \exp\left(\left(\sin\left(\frac{s-s_0}{100}\right)\right)^2\right).$$

For any real $t \geq 10$ let t^* denote the real number τ given by Lemma 5.1.

Let R denote the rectangle with the following corners,

$$s_1 = i\left(t_0 - \frac{H}{10}\right)^*, s_2 = i\left(t_0 + \frac{H}{10}\right)^*$$

$$s_3 = 2 + i\left(t_0 + \frac{H}{10}\right)^* \text{ and } s_4 = 2 + i\left(t_0 - \frac{H}{10}\right)^*.$$

On the horizontal sides of R we have

$$|F(s)| = \exp(O(k(\log T)^2)).$$

On the vertical sides we have, by lemma 5.5,

$$|F(s)| \leq \exp((\log H)^3).$$

Lemma 5.6 now follows since (by maximum modulus principle) $|F(s_0)| = |\phi(s_0)| \leq$ maximum of $|\phi(s)|$ on the boundary of R provided $C_4 > 0$ is a large constant.

Lemma 5.7. The conditions for applying Theorem 5 are satisfied for the interval

$$T + \frac{H}{9} \leq t \leq T + \frac{8H}{9}.$$

Proof. Follows from Lemmas 5.4 and 5.6.

The second part of Theorem 3 now follows from Lemma 5.3 (by a slight change of notation).

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- 2)* K Ramachandra, *On the frequency of Titchmarsh's phenomenon for $\zeta(s)$ -IX*, *Hardy-Ramanujan J*, **13** (1990), 28–33

in [1]* the author has shown that we can take $C_2 = 3/4$.

in [2]* the author has shown that if

$$\min_{|I|=H} \max_{t \in I} G(1, t) = f(H)$$

the minimum being over all intervals I of length H , then, for all $H \geq H_0(\theta)$,

$$|f(H)e^{-\gamma(\lambda(\theta))^{-1}} - \log \log H| \leq \log \log \log H + O(1)$$

where

$$\lambda(\theta) = \prod_p \lambda_p(\theta),$$

$$\lambda_p(\theta) = \left(1 - \frac{1}{p}\right) \left(\left(1 - \frac{s^2}{p^2}\right)^{1/2} - \frac{c}{p} \right)^{-c} \exp\left(s \sin^{-1} \frac{s}{p}\right)$$

c and s being defined by $c + is = \exp(i\theta)$. It is not hard to see that $\lambda(\theta)$ is the same as before.