

## Compact operators on a Banach space into the space of almost periodic functions

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**Abstract.** Let  $S$  be a topological semigroup and  $AP(S)$  the space of continuous complex almost periodic functions on  $S$ . We obtain characterizations of compact and weakly compact operators from a Banach space  $X$  into  $AP(S)$ . For this we use the almost periodic compactification of  $S$  obtained through uniform spaces. For a bounded linear operator  $T$  from  $X$  into  $AP(S)$ , let  $T_s$  be the translate of  $T$  by  $s$  in  $S$  defined by  $T_s(x) = (Tx)_s$ . We define topologies on the space of bounded linear operators from  $X$  into  $AP(S)$  and obtain the necessary and sufficient conditions for an operator  $T$  to be compact or weakly compact in terms of the uniform continuity of the map  $s \rightarrow T_s$ . If  $S$  is a Hausdorff topological semigroup, we also obtain characterizations of compact and weakly compact multipliers on  $AP(S)$  in terms of the uniform continuity of the map  $s \rightarrow \mu_s$ , where  $\mu_s$  denotes the unique vector measure corresponding to the operator  $T_s$ .

**Keywords.** Compact operators; almost periodic functions.

### 1. Introduction

Let  $S$  be a compact Hausdorff topological space and  $C(S)$ , the space of bounded continuous complex functions on  $S$  with uniform topology. Characterizations of compact and weakly compact linear operators from a Banach space  $X$  into  $C(S)$  are given by Dunford and Schwartz [5, theorem VI.7.3, 7.7]. We consider the case in which  $S$  is further a topological semigroup with a uniform structure. We obtain the necessary and sufficient conditions for operators from  $X$  into  $C(S)$  to be compact or weakly compact. Let  $(S, \mathcal{U})$  be a compact Hausdorff topological semigroup, where the uniformity  $\mathcal{U}$  is left translation invariant. (That is, for every entourage  $U$  in  $\mathcal{U}$ ,  $(s, t) \in U$  implies  $(us, ut) \in U$  for all  $u \in S$ ). Let  $H$  be a dense subsemigroup of  $(S, \mathcal{U})$ . For Banach spaces  $X$  and  $Y$  let  $BL(X, Y)$  be the space of bounded linear operators from  $X$  into  $Y$ . When  $X = Y$  we write  $BL(X)$  for  $BL(X, Y)$ . For  $T \in BL(X, C(S))$  and  $s \in S$ , define  $T_s: X \rightarrow C(S)$  by  $T_s(x) = (Tx)_s$ , where  $(Tx)_s$  is the right translate of  $Tx$ . As usual  $X^*$  denotes the topological dual of  $X$ .

In §3, it is proved that an operator  $T: X \rightarrow C(S)$  is compact if and only if the map  $s \rightarrow T_s$  from  $H$  into  $BL(X, C(S))$  is uniformly continuous in the norm topology of  $BL(X, C(S))$ . We define a topology  $\tau$  on  $BL(X, C(S))$  and characterize weakly compact operators  $T$  in terms of the uniform continuity of the maps  $s \rightarrow T_s$  from  $H$  into

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$BL(X, C(S))$  with respect to the topology  $\tau$ . In these results we consider the maps  $s \rightarrow T_s$  for  $s$  in the dense subsemigroup  $H$  of  $S$  because this is convenient for its applications considered in §3.

For a topological semigroup  $S$ , let  $AP(S)$  denote the space of continuous complex almost periodic functions on  $S$ . In §3, we characterize compact and weakly compact operators from a Banach space  $X$  into  $AP(S)$ . For this, we first construct the almost periodic compactification  $S^a$  of  $S$  by using uniform spaces. The space  $S^a$  is a compact Hausdorff topological semigroup and in fact coincides with the almost periodic compactification of  $S$  obtained by [4] using operators. It is well-known that the multiplication in  $S^a$  is jointly uniformly continuous. But what is essential for the results obtained here is the fact that the uniformity of  $S^a$  is left translation invariant. It is proved in [4] and [8] that the space  $AP(S)$  is isometrically isomorphic to  $C(S^a)$ . But we give an independent proof of this fact (theorem 1.6). Theorems of §3 are then applied to the operators from  $X$  into  $C(S^a)$  to obtain the desired characterizations.

Section 5 deals with the operators in  $BL(C(S))$  where  $S$  is a compact Hausdorff topological semigroup with left translate invariant uniformity. Let  $\mathcal{B}$  be the  $\sigma$ -field of Borel sets in  $S$  and  $rca(S)$  the space of regular countably additive scalar valued measures defined on  $\mathcal{B}$ . For a Banach space  $X$ , let  $X^{**}$  denote the second dual of  $X$  and  $F(S, \mathcal{B}, X^{**})$ , the space of set functions  $\mu$  on  $\mathcal{B}$  into  $X^{**}$  such that  $\mu(\cdot)x^* \in rca(S)$  for every  $x^* \in X^*$ , where  $(\mu(\cdot)x^*)(E) = \mu(E)x^*$ ,  $E \in \mathcal{B}$ . Let  $T \in BL(C(S), X)$  and  $\mu$  be the unique set function which represents the operator  $T$  [5, theorem VI.7.2]. Then, proposition 4.3 shows that for each  $s \in S$  the set function  $\mu_s: \mathcal{B} \rightarrow X^{**}$  defined by  $\mu_s(E) = \mu(Es)$  represents the operator  $T_s \in BL(C(S), X)$  where  $T_s(f) = T(f_s)$ ,  $f \in C(S)$ . In theorem 4.4 it is proved that a multiplier  $T$  in  $BL(C(S))$  is compact if and only if the map  $s \rightarrow \mu_s$  from a dense subsemigroup  $H$  of  $S$  into  $F(S, \mathcal{B}, C(S)^{**})$  is uniformly continuous in the topology on  $F(S, \mathcal{B}, C(S)^{**})$  defined in terms of semivariation. We also characterize weakly compact multipliers in  $BL(C(S))$  in terms of the uniform continuity of the map  $s \rightarrow \mu_s$  from  $H$  into  $(F(S, \mathcal{B}, C(S)^{**}), \tau')$  (theorem 4.5). In this case the set function  $\mu_s$  is in fact a vector measure defined on  $\mathcal{B}$  and takes values in  $C(S)$ . Using these results and the almost periodic compactification of  $S$ , in theorems 4.6 and 4.7 we obtain the necessary and sufficient conditions for multipliers in  $BL(AP(S))$  to be compact or weakly compact. If  $\mu$  is a translation invariant vector measure then  $\mu_s - \mu_t = 0$ , where  $\mu_s(E) = \mu(Es)$ . Our results show that for vector measures corresponding to compact multipliers,  $\mu_s - \mu_t$  is 'small' if  $s, t$  are 'near' to one another. This is thus a consequence of the assumption that the uniform structure on  $S$  is left translation invariant.

## 2. Preliminaries

We begin this section with the following definitions from [1].

### DEFINITION 1.1

A set  $S$  is called a topological semigroup if  $S$  is a semigroup with identity  $e$  and if  $S$  has a Hausdorff topology such that the multiplication on  $S$  is separately continuous. That is, for each  $t \in S$  the maps  $s \rightarrow st$  and  $s \rightarrow ts$  are continuous functions.

**DEFINITION 1.2**

For  $f$  in  $C(S)$  and  $s \in S$ , define the right translate  $f_s$  of  $f$  by  $f_s(t) = f(ts)$ ,  $t \in S$  and the orbit of  $f$ ,  $O_R(f) = \{f_s : s \in S\}$ .

**DEFINITION 1.3**

A function  $f$  in  $C(S)$  is right almost periodic if  $O_R(f)$  is relatively compact in the norm topology of  $C(S)$ .

Left almost periodic functions are similarly defined. The set of right almost periodic functions coincides with the set of left almost periodic functions [7, p. 167]. We denote this common set by  $AP(S)$  and call its functions almost periodic. For the proof of the following lemma, we refer [6, theorem 4.2].

*Lemma 1.4. The space  $AP(S)$  is translation invariant norm closed linear subspace of  $C(S)$  and hence a Banach space.*

To obtain results mentioned in the introduction we construct the almost periodic compactification of a topological semigroup as follows: Construction of  $S^a$ : Let  $S$  be a topological semigroup. Denote by  $\tilde{S}$  the quotient structure of  $S$  determined by the equivalence relation,  $s$  is related to  $t$  if and only if  $f_s = f_t$  for all  $f$  in  $AP(S)$ . Then  $\tilde{S}$  is a semigroup with the multiplication  $\tilde{s} \cdot \tilde{t} = \widetilde{st}$ . For  $\epsilon > 0$  and  $f$  in  $AP(S)$  define

$$U(\epsilon, f) = \{(\tilde{s}, \tilde{t}) \in \tilde{S} \times \tilde{S} : \|f_s - f_t\| < \epsilon \text{ for all } s \in \tilde{s}, t \in \tilde{t}\}.$$

Then the family of sets of the form  $U(\epsilon, f)$  forms a subbase for a uniformity, say  $\tilde{\mathcal{U}}$ , on  $\tilde{S}$ . We note that  $\tilde{\mathcal{U}}$  is left translation invariant, since  $\|f_{us} - f_{ut}\| \leq \|f_s - f_t\|$  for all  $u \in S$ . The proof of the following theorem is not difficult and hence it is omitted.

**Theorem 1.5.** *The space  $(\tilde{S}, \tilde{\mathcal{U}})$  is a totally bounded Hausdorff topological semigroup and its completion, say  $S^a$ , is a compact Hausdorff topological semigroup on which the multiplication is jointly uniformly continuous.*

**Theorem 1.6.** *Let  $S$  be a topological semigroup. The homomorphism  $\rho: S \rightarrow (\tilde{S}, \tilde{\mathcal{U}}) \subset S^a$  defined by  $\rho(s) = \tilde{s}$  is continuous with  $\rho(S)$  dense in  $S^a$ . The induced map  $\tilde{\rho}: C(S^a) \rightarrow C(S)$  given by  $\tilde{\rho}(\hat{f}) = \hat{f} \circ \rho$  is an isometric algebra isomorphism of  $C(S^a)$  onto  $AP(S)$ .*

*Proof.* By [1, theorem 1.7(iii)] for each  $f$  in  $AP(S)$  the map  $s \rightarrow f_s$  is norm continuous from  $S$  into  $AP(S)$ . Therefore, it follows that  $\rho$  is continuous. Also, since  $\rho(S) = (\tilde{S}, \tilde{\mathcal{U}})$ , a dense subspace of  $S^a$ ,  $\tilde{\rho}$  is one-one and an isometry. Moreover  $\tilde{\rho}$  preserves the ordinary multiplication of functions, so  $AP(S)$  is an algebra and  $\tilde{\rho}$  is an algebra isomorphism. Therefore it remains to prove that  $\tilde{\rho}$  maps  $C(S^a)$  onto  $AP(S)$ . By [1, theorem 1.8 (ii)'],  $\tilde{\rho}[C(S^a)] \subset AP(S)$ . To prove the reverse inclusion, let  $f \in AP(S)$ . Define  $\tilde{f}: (\tilde{S}, \tilde{\mathcal{U}}) \rightarrow \mathbb{C}$  by  $\tilde{f}(\tilde{s}) = f(s)$ . It is then easy to see that  $\tilde{f}$  is uniformly continuous and hence extends to a uniformly continuous function, say  $\hat{f}$ , on  $S^a$ . The extended function  $\hat{f}$  satisfies  $\tilde{\rho}(\hat{f})(s) = \hat{f} \circ \rho(s) = \hat{f}(\tilde{s}) = \tilde{f}(\tilde{s}) = f(s)$ . That is,  $\tilde{\rho}(\hat{f}) = f$ . Thus  $\tilde{\rho}[C(S^a)] = AP(S)$ .

*Remark 1.* The space obtained above coincides with the almost periodic compactification of  $S$  in [4] and [8]. This follows from [4, theorem 6.3] and the above theorem.

*Remark 2.* When  $S$  has sufficiently many semicharacters to separate the points of  $S$  then  $\tilde{s} = \{s\}$  for each  $s$  and hence  $\tilde{S}$  defined above coincides with  $S$ .

#### DEFINITION 1.7

Let  $S$  be a topological semigroup and  $X$ , a Banach space. For an operator  $T: X \rightarrow C(S)$  and  $s \in S$ , define  $T_s: X \rightarrow C(S)$  by  $T_s(x) = (Tx)_s$ , the right translate of  $Tx$ .

#### DEFINITION 1.8

Let  $\mathcal{B}$  denote the field of Borel sets in a topological space  $S$ . If  $\mu$  is a function on  $\mathcal{B}$  with values in a Banach space, then the semi-variation of  $\mu$  over  $E \in \mathcal{B}$  is defined as

$$\|\mu\|(E) = \sup \left\| \sum_{i=1}^n \alpha_i \mu(E_i) \right\|,$$

where the supremum is taken over all finite collections of disjoint Borel sets in  $E$  and all finite set of scalars  $\alpha_1, \dots, \alpha_n$  with  $|\alpha_i| \leq 1$ .

The following theorems are from [5]:

**Theorem 1.9.** *Let  $X$  be a Banach space and  $X^*$ , the topological dual of  $X$ . If  $J$  is the natural embedding of  $X$  into  $X^{**}$ , the  $JX$  is  $X^*$ -dense in  $X^{**}$ .*

**Theorem 1.10.** *Let  $S$  be a compact Hausdorff space and let  $T$  be a bounded linear operator from a Banach space  $X$  into  $C(S)$ . Then there exists a mapping  $\tau: S \rightarrow X^*$  which is continuous with the  $X$ -topology in  $X^*$  such that*

- (1)  $Tx(s) = \tau(s)(x)$ ,  $x \in X$ ,  $s \in S$
- (2)  $\|T\| = \sup_{s \in S} \|\tau(s)\|$ .

Conversely, if such a map  $\tau$  is given, then the operator  $T$  defined in (1) is a bounded linear operator from  $X$  into  $C(S)$  with norm given by (2). The operator  $T$  is weakly compact if and only if  $\tau$  is continuous with the  $X^{**}$  topology in  $X^*$ . The operator  $T$  is compact if and only if  $\tau$  is continuous with the norm topology in  $X^*$ .

**Theorem 1.11.** *Let  $S$  be a compact Hausdorff space and let  $T$  be a weakly compact operator from  $C(S)$  to a Banach space  $X$ . Then there exists a vector measure  $\mu$  defined on the Borel sets in  $S$  and having values in  $X$  such that*

- (a)  $x^* \mu$  is in  $\text{rca}(S)$ ,  $x^* \in X^*$ .
- (b)  $Tf = \int_S f(s) \mu(ds)$ ,  $f \in C(S)$ .
- (c)  $\|T\| = \|\mu\|(S)$
- (d)  $T^*x^* = x^* \mu$ .

Conversely if  $\mu$  is a vector measure on the Borel sets in  $S$  to the Banach space  $X$  which satisfies (a) then the operator  $T$  defined by (b) is a weakly compact operator from  $C(S)$  to  $X$  whose norm is given by (c) and whose adjoint is given by (d).

**Theorem 1.12.** *An operator  $T: C(S) \rightarrow X$  is compact if and only if the vector measure*

$\mu: \mathcal{B} \rightarrow X$  corresponding to it as in the above theorem takes its values in a compact subset of  $X$ .

Throughout the paper all topological spaces are assumed to be Hausdorff. Hence we shall not mention this in further discussion.

### 3. Operators in $\text{BL}(X, C(S))$

Let  $S$  be a compact uniform topological semigroup such that the uniformity is left translation invariant. Let  $H$  be a dense subsemigroup of  $S$ . We denote the relative uniformity on  $H$  by  $\mathcal{U}$ . In this section we obtain characterizations of compact and weakly compact operators from a Banach space  $X$  into  $C(S)$ . We crucially use theorem 1.10 and the fact that the uniformity on  $S$  is left translation invariant.

The following theorem gives the necessary and sufficient condition for an operator  $T$  in  $\text{BL}(X, C(S))$  to be compact.

**Theorem 2.1.** *A bounded linear operator  $T: X \rightarrow C(S)$  is compact if and only if the map  $\theta: (H, \mathcal{U}) \rightarrow \text{BL}(X, C(S))$  defined by  $\theta(s) = T_s$  is uniformly continuous in the norm topology of  $\text{BL}(X, C(S))$ .*

*Proof.* From theorem 1.10 and the fact that  $(H, \mathcal{U})$  is dense in  $S$  we see that  $T$  is compact if and only if  $\tau/H: (H, \mathcal{U}) \rightarrow (X^*, \|\cdot\|)$  defined by  $\tau(s)(x) = Tx(s)$  is uniformly continuous. To prove the theorem first assume that  $T$  is compact. Let  $\varepsilon > 0$ . Then by the uniform continuity of  $\tau$ , there exists a basic entourage  $U$  in  $\mathcal{U}$  such that  $(s, t) \in U$  implies  $\|\tau(s) - \tau(t)\| < \varepsilon/2$ . Since the uniformity  $\mathcal{U}$  is left translation invariant,  $(s, t) \in U$  implies  $(us, ut) \in U$  for all  $u \in S$ . Hence whenever

$$(s, t) \in U, \|\tau(us) - \tau(ut)\| < \varepsilon, \quad \text{for all } u \in S. \quad (1)$$

But as

$$\begin{aligned} \|\tau_s - \tau_t\| &= \sup_{u \in S} \|\tau(us) - \tau(ut)\| \\ &= \sup_{u \in S} \sup_{\|x\| \leq 1} |\tau(us)(x) - \tau(ut)(x)| \\ &= \sup_{u \in S} \sup_{\|x\| \leq 1} |Tx(us) - Tx(ut)| \\ &= \sup_{\|x\| \leq 1} \sup_{u \in S} |(Tx)_s(u) - (Tx)_t(u)| \\ &= \sup_{\|x\| \leq 1} \|T_s(x) - T_t(x)\| \\ &= \|T_s - T_t\| \\ &= \|\theta(s) - \theta(t)\|, \end{aligned}$$

the uniform continuity of  $\theta$  follows from (1). By reversing the chain of equalities and arguments used above we see that if  $\theta$  is uniformly continuous in the norm topology of  $\text{BL}(X, C(S))$  then  $\tau: (H, \mathcal{U}) \rightarrow (X^*, \|\cdot\|)$  is uniformly continuous. This proves the compactness of  $T$ .

*Remark 1.* If we define the left translate  ${}_sT$  of  $T$  in  $\text{BL}(X, C(S))$  by  ${}_sT(x) = {}_s(Tx)$ , the left translate of  $Tx$ , then the result similar to the above theorem can be obtained. Combining the two we get the following result: If  $T$  in  $\text{BL}(X, C(S))$  is compact then the map  $s \rightarrow T_s$  is norm continuous if and only if the map  $s \rightarrow {}_sT$  is norm continuous.

*Remark 2.* Let  $X, Y$  be Banach spaces on which a group  $G$  acts. Then the notion of uniformly almost periodic multiplier from  $X$  into  $Y$  is defined by [3]. If  $Y = S(G)$ , a symmetric segal algebra on  $G$  then a characterization of a compact multipliers is obtained in [9, theorem 3]. If  $X$  and  $C(S)$  are as in the above theorem we define uniformly almost periodic operators from  $X$  into  $C(S)$  in a manner analogous to that of [3]. (We say that  $T \in \text{BL}(X, C(S))$  is uniformly almost periodic if the set  $\{T_s : s \in S\}$  is relatively compact in the uniform operator topology on  $\text{BL}(X, C(S))$ ). Theorem 2.1 then shows that if a bounded linear operator  $T: X \rightarrow C(S)$  is compact then it is uniformly almost periodic in this sense.

We now characterize weakly compact operators from  $X$  into  $C(S)$ . For Banach spaces  $X, Y$  we first define a topology on  $\text{BL}(X, Y)$  as follows: For  $\varepsilon > 0$  and  $x^{**} \in X^{**}$ , define a neighbourhood of zero,

$$N(\varepsilon, x^{**}) = \{T \in \text{BL}(X, Y) : \|T^{**}(x^{**})\| < \varepsilon\}.$$

The family of such sets forms a subbase for a neighbourhood system of zero in  $\text{BL}(X, Y)$ . We denote by  $\tau$  the topology generated in this manner. One can easily see that  $\tau$  is a locally convex Hausdorff topology and lies between the uniform and the strong operator topology of  $\text{BL}(X, Y)$ .

Before proving the next theorem, we note the following: Let  $A$  be a dense subspace of a uniform space  $X$  and  $(Y, w)$ , a Banach space  $Y$  with its weak topology. Then every uniformly continuous function from  $A$  into  $(Y, w)$  has a continuous extension to  $X$ . If  $(Y, w)$  is complete there is nothing to prove. If it is not, let  $\bar{Y}$  denote its completion. The result then follows from the fact that  $(\bar{Y})^* = (Y, w)^*$ .

**Theorem 2.2.** *An operator  $T$  in  $\text{BL}(X, C(S))$  is weakly compact if and only if the map  $\theta$  defined in Theorem 2.1 is uniformly continuous with respect to the topology  $\tau$  on  $\text{BL}(X, C(S))$ .*

*Proof.* Since  $(H, \mathcal{U})$  is dense in  $S$ , it follows from theorem 1.10 and the discussion above that  $T$  is weakly compact if and only if  $\tau/H: (H, \mathcal{U}) \rightarrow (X^*, w)$  is uniformly continuous. Now assume that  $T$  is weakly compact. To prove the continuity of  $\theta$ , let  $N(\varepsilon, x^{**})$  be any arbitrary subbasic neighbourhood of zero in  $\tau$ . Then by the uniform continuity of  $\tau$ , there exists a subbasic entourage  $U$  in  $\mathcal{U}$  such that  $(s, t) \in U$  implies  $|x^{**}(\tau(s) - \tau(t))| < \varepsilon/8$ . If  $J$  denotes the natural embedding of  $X$  into  $X^{**}$  then, by theorem 1.9, there exists a net  $\{x_\alpha\}$  in  $X$  such that  $J(x_\alpha)$  converges to  $x^{**}$  in the weak star topology of  $X^{**}$ . Therefore there exists  $\alpha_0 = \alpha_0(s, t)$  such that

$$|J(x_\alpha)(\tau(s) - \tau(t)) - x^{**}(\tau(s) - \tau(t))| < \varepsilon/8 \text{ for all } \alpha \geq \alpha_0.$$

Thus if  $(s, t) \in U$  and  $\alpha \geq \alpha_0$ ,

$$\begin{aligned} |J(x_\alpha)(\tau(s) - \tau(t))| &\leq |J(x_\alpha)(\tau(s) - \tau(t)) - x^{**}(\tau(s) - \tau(t))| + |x^{**}(\tau(s) - \tau(t))| \\ &< \varepsilon/8 + \varepsilon/8 = \varepsilon/4 \end{aligned} \tag{1}$$

Now as  $\mathcal{U}$  is translation invariant  $(s, t) \in U$  implies  $(us, ut) \in U$  for all  $u \in S$ . Hence from (1) whenever  $(s, t) \in U$  and  $\alpha \geq \alpha_0$  we have  $|J(x_\alpha)(\tau(us) - \tau(ut))| < \varepsilon/4$ , for all  $u$  in  $S$ . But

$$\begin{aligned} \sup_{u \in S} |J(x_\alpha)(\tau(us) - \tau(ut))| &= \sup_{u \in S} |\tau(us)(x_\alpha) - \tau(ut)(x_\alpha)| \\ &= \sup_{u \in S} |Tx_\alpha(us) - Tx_\alpha(ut)| \\ &= \sup_{u \in S} |(Tx_\alpha)_s(u) - (Tx_\alpha)_t(u)| \\ &= \sup_{u \in S} |T_s x_\alpha(u) - T_t x_\alpha(u)| \\ &= \|T_s(x_\alpha) - T_t(x_\alpha)\|. \end{aligned}$$

Thus for all  $\alpha \geq \alpha_0$ ,

$$(s, t) \in U \Rightarrow \|T_s(x_\alpha) - T_t(x_\alpha)\| \leq \varepsilon/4. \quad (2)$$

Now let  $f^* \in C(S)^*$  be such that  $\|f^*\| \leq 1$ . Again since  $J(x_\alpha)$  converges to  $x^{**}$  in the weak star topology, there exists  $\beta_0 = \beta_0(f^*, s, t)$  such that

$$|J(x_\alpha)(T_s^* f^* - T_t^* f^*) - x^{**}(T_s^* f^* - T_t^* f^*)| < \varepsilon/4 \text{ for all } \alpha \geq \beta_0.$$

Let  $\gamma_0 \geq \alpha_0, \beta_0$ . Then for  $(s, t) \in U$  and  $\alpha \geq \gamma_0$  we have from (2)

$$\begin{aligned} |(T_s^{**} - T_t^{**})(x^{**})(f^*)| &= |x^{**}(T_s^* f^* - T_t^* f^*)| \\ &\leq |x^{**}(T_s^* f^* - T_t^* f^*) - J(x_\alpha)(T_s^* f^* - T_t^* f^*)| \\ &\quad + |J(x_\alpha)(T_s^* f^* - T_t^* f^*)| \\ &< \varepsilon/4 + |T_s^* f^*(x_\alpha) - T_t^* f^*(x_\alpha)| \\ &< \varepsilon/4 + |f^*(T_s(x_\alpha) - T_t(x_\alpha))| \\ &\leq \varepsilon/4 + \|f^*\| \|T_s(x_\alpha) - T_t(x_\alpha)\| \\ &\leq \varepsilon/4 + \varepsilon/4 = \varepsilon/2. \end{aligned}$$

Thus if  $(s, t) \in U$ ,

$$\|T_s^{**} x^{**} - T_t^{**} x^{**}\| = \sup_{\|f^*\| \leq 1} |T_s^{**} x^{**}(f^*) - T_t^{**} x^{**}(f^*)| < \varepsilon.$$

Equivalently,  $T_s - T_t \in N(\varepsilon, x^{**})$ . This proves that the map  $\theta$  is uniformly continuous with respect to the topology  $\tau$  on  $BL(X, C(S))$ . Conversely, suppose that  $\theta$  is uniformly continuous in  $\tau$ . To prove  $T$  is weakly compact, it is enough to show that  $\tau: (H, \mathcal{U}) \rightarrow X^*$  is uniformly continuous in the weak topology of  $X^*$ . Let  $V(\varepsilon, x^{**})$  be any arbitrary weak neighbourhood of zero in  $X^*$ . Since  $\theta$  is uniformly continuous, for the neighbourhood of zero in  $\tau$  determined by  $\varepsilon$  and  $x^{**}$  there exists  $U$  in  $\mathcal{U}$  such that

$$(s, t) \in U \Rightarrow |(T_s^{**} - T_t^{**})(x^{**})(f^*)| < \varepsilon \text{ for all } \|f^*\| \leq 1.$$

Define  $m_e: C(S) \rightarrow \mathbb{C}$  by  $m_e(f) = f(e)$  where  $e$  is the identity of  $S$ . Then  $m_e \in C(S)^*$  and  $\|m_e\| \leq 1$ . Therefore

$$|(T_s^{**} - T_t^{**})(x^{**})(m_e)| < \varepsilon, \text{ whenever } (s, t) \in U. \quad (3)$$

Now, let  $\{x_\alpha\}$  be a net in  $X$  such that  $J(x_\alpha)$  converges to  $x^{**}$  in the weak-star topology. Then

$$\begin{aligned}
 |(T_s^{**} - T_t^{**})(x^{**})(m_e)| &= |x^{**}(T_s^* m_e - T_t^* m_e)| \\
 &= \lim_\alpha |J(x_\alpha)(T_s^* m_e - T_t^* m_e)| \\
 &= \lim_\alpha |T_s^* m_e(x_\alpha) - T_t^* m_e(x_\alpha)| \\
 &= \lim_\alpha |m_e(T_s(x_\alpha)) - m_e(T_t(x_\alpha))| \\
 &= \lim_\alpha |Tx_\alpha(s) - Tx_\alpha(t)| \\
 &= \lim_\alpha |(\tau(s) - \tau(t))(x_\alpha)| \\
 &= \lim_\alpha |J(x_\alpha)(\tau(s) - \tau(t))| \\
 &= |x^{**}(\tau(s) - \tau(t))|.
 \end{aligned}$$

Thus from (3),  $(s, t) \in U$  implies  $|x^{**}(\tau(s) - \tau(t))| < \varepsilon$ . Equivalently,  $\tau(s) - \tau(t) \in V(\varepsilon, x^{**})$ , which proves that the map  $\tau$  is uniformly continuous in the weak topology of  $X^*$ . This completes the proof.

#### 4. Operators in $\text{BL}(X, \text{AP}(S))$

Let  $S$  be a topological semigroup and  $X$ , a Banach space. As mentioned in the introduction, here we apply results of §3 to the operators from  $X$  in  $C(S^a)$ , where  $S^a$  is the almost periodic compactification of  $S$ . (theorem 1.5). We note that the space  $(\tilde{S}, \tilde{\mathcal{U}})$  constructed in §2 is dense in the compact Hausdorff topological semigroup  $S^a$ . Also the uniformity  $\tilde{\mathcal{U}}$  is left translation invariant. This meets the requirement of results in §3.

For the simplicity of notation throughout this section we write  $(S, \mathcal{U})$  for the space  $(\tilde{S}, \tilde{\mathcal{U}})$  and denote the element  $\tilde{s}$  of  $\tilde{S}$  by  $s$  itself. As mentioned in Remark 2 of §3,  $\tilde{s} = \{s\}$  when  $S$  has sufficiently many semicharacters to separate the points of  $S$ . Indeed this is the case when  $S$  is a locally compact abelian group. Let  $\tilde{\rho}$  be the isometric isomorphism of  $C(S^a)$  onto  $\text{AP}(S)$  (theorem 1.6). For proving the next result we first observe here the following:

If  $f \in \text{AP}(S)$  and  $\hat{f}$  denotes the continuous extension of  $f$  to  $S^a$  then  $\tilde{\rho}(\hat{f}) = f$ . Further for any  $s \in S$ ,  $(\hat{f})_s = (\tilde{f})_s$  and  $\|(\hat{f})_s\| = \|f_s\|$ . Also if  $K \in \text{BL}(X, \text{AP}(S))$  then  $T = \tilde{\rho}^{-1} \circ K \in \text{BL}(X, C(S^a))$  and  $T_s = (\tilde{\rho}^{-1} \circ K)_s = \tilde{\rho}^{-1} \circ K_s$ . The following theorem characterizes compact operators from  $X$  into  $\text{AP}(S)$ .

**Theorem 3.1.** *A bounded linear operator  $K: X \rightarrow \text{AP}(S)$  is compact if and only if the map  $\psi: (S, \mathcal{U}) \rightarrow \text{BL}(X, \text{AP}(S))$  defined by  $\psi(s) = K_s$  is uniformly continuous in the norm topology of  $\text{BL}(X, \text{AP}(S))$ .*

*Proof.* It is easy to see that  $K: X \rightarrow \text{AP}(S)$  is compact if and only if the operator  $T = \tilde{\rho}^{-1} \circ K: X \rightarrow C(S^a)$  is compact. But by theorem 2.1, this is equivalent to the fact

that the map  $\theta: (S, \mathcal{U}) \rightarrow \text{BL}(X, C(S^\alpha))$  defined by  $\theta(s) = T_s$  is uniformly continuous in the norm topology. Now from the above observations and the fact that  $(S, \mathcal{U})$  is dense in  $S^\alpha$ , we have, for  $s, t$  in  $S$ ,

$$\begin{aligned} \|T_s - T_t\| &= \sup_{\|x\| \leq 1} \|T_s(x) - T_t(x)\| \\ &= \sup_{\|x\| \leq 1} \sup_{u \in S} |(\tilde{\rho}^{-1} \circ K_s)(x)(u) - (\tilde{\rho}^{-1} \circ K_t)(x)(u)| \\ &= \sup_{\|x\| \leq 1} \sup_{u \in S} |(\widehat{Kx})(us) - (\widehat{Kx})(ut)| \\ &= \sup_{\|x\| \leq 1} \sup_{u \in S} |K_s x(u) - K_t x(u)| \\ &= \|K_s - K_t\| \\ &= \|\psi(s) - \psi(t)\|. \end{aligned}$$

From this the assertion of the theorem follows easily.

We now obtain the characterization of a weakly compact operator in  $\text{BL}(X, \text{AP}(S))$  in terms of the topology on  $\text{BL}(X, \text{AP}(S))$  defined in §3.

**Theorem 3.2.** *A bounded linear operator  $K: X \rightarrow \text{AP}(S)$  is weakly compact if and only if the map  $\psi$  defined in theorem 3.1 is uniformly continuous in the topology  $\tau$  on  $\text{BL}(X, \text{AP}(S))$ .*

*Proof.* As in the above theorem we identify the space  $\text{AP}(S)$  with  $C(S^\alpha)$ . Then  $K: X \rightarrow \text{AP}(S)$  is weakly compact if and only if  $T = \tilde{\rho}^{-1} \circ K: X \rightarrow C(S^\alpha)$  is weakly compact. But by theorem 2.2, this is equivalent to the uniform continuity of the map  $\theta: (S, \mathcal{U}) \rightarrow (\text{BL}(X, C(S^\alpha)), \tau)$  given by  $\theta(s) = T_s$ . Let  $N(\varepsilon, x^{**})$  be any arbitrary subbasic neighbourhood of zero in  $(\text{BL}(X, \text{AP}(S)), \tau)$ . Then by the uniform continuity of  $\theta$ , for the neighbourhood of zero in  $(\text{BL}(X, C(S^\alpha)), \tau)$  determined by  $\varepsilon$  and  $x^{**}$ , there exists  $U$  in  $\mathcal{U}$  such that  $(s, t) \in U$  implies  $\|(T_s)^{**}x^{**} - (T_t)^{**}x^{**}\| < \varepsilon$ . But from the observations made before theorem 3.1 one can easily see that  $\|(T_s)^{**}x^{**} - (T_t)^{**}x^{**}\| = \|K_s^{**}x^{**} - K_t^{**}x^{**}\|$ . This shows that  $\theta$  is uniformly continuous if and only if the map  $s \rightarrow K_s$  is uniformly continuous with respect to topology  $\tau$ . This proves the theorem.

## 5. Compact and weakly compact multipliers in $\text{BL}(\text{AP}(S))$

Let  $S, \mathcal{U}$  and  $H$  be as in §3 and  $X$  a Banach space. In this section we first obtain characterizations of compact and weakly compact multipliers in  $\text{BL}(C(S))$ . When  $S$  is not compact, these results are then applied to the operators on  $C(S^\alpha)$ , where  $S^\alpha$  is the almost periodic compactification of  $S$ . As in §4, we identify the space  $\text{AP}(S)$  with  $C(S^\alpha)$  to obtain the desired characterizations.

Let  $\mathcal{B}$  denotes the  $\sigma$ -field of Borel sets in  $S$  and  $\text{rca}(S)$ , the space of regular countably additive scalar valued measures defined on  $\mathcal{B}$ .

Notation: For a set function  $\mu: \mathcal{B} \rightarrow X^{**}$  and  $x^* \in X^*$  we write  $\mu(\cdot)x^*$  for the scalar valued set function defined by  $(\mu(\cdot)x^*)(E) = \mu(E)x^*$ ,  $E \in \mathcal{B}$ . Let  $F(S, \mathcal{B}, X^{**}) = \{\mu: \mathcal{B} \rightarrow X^{**}: \mu(\cdot)x^* \in \text{rca}(S) \text{ for every } x^* \in X^*\}$ .

## DEFINITION 4.1

For a set function  $\mu: \mathcal{B} \rightarrow X$  and  $s \in S$  define  $\mu_s: \mathcal{B} \rightarrow X$  by  $\mu_s(E) = \mu(Es)$ ,  $E \in \mathcal{B}$ .

## DEFINITION 4.2

An operator  $T: C(S) \rightarrow X$  is a multiplier if  $(Tf)_s = T(f_s)$ ,  $f \in C(S)$ ,  $s \in S$ .

For a linear operator  $T: C(S) \rightarrow X$  and  $s \in S$  we define  $T_s: C(S) \rightarrow X$  by  $T_s(f) = T(f_s)$ ,  $f \in C(S)$ . This may be compared with the definition of  $T_s$  given in §3. When  $X = C(S)$  and  $T$  is a multiplier the two meanings for  $T_s$  coincide.

## PROPOSITION 4.3

Let  $T \in BL(C(S), X)$  and  $\mu$  be the unique set function which represents  $T$  [5, theorem VI.7.2]. Then for any  $s \in S$ ,  $T_s \in BL(C(S), X)$  and the set function  $\mu_s$  represents  $T_s$ .

*Proof.* It is easy to see that if  $T \in BL(C(S), X)$ ,  $T_s \in BL(C(S), X)$  for any  $s \in S$ . Let  $E \in \mathcal{B}$  and  $\phi_E \in C(S)^{**}$  be defined by  $\phi_E(\lambda) = \lambda(E)$ ,  $\lambda \in \text{rca}(S)$ . Then by [5, theorem VI.7.2], the set function  $\mu: \mathcal{B} \rightarrow X^{**}$  defined by  $\mu(E) = T^{**}(\phi_E)$  represents the operator  $T$  and  $T^*x^* = \mu(\cdot)x^* \in \text{rca}(S)$  for every  $x^* \in X^*$ . Further  $\|T\| = \|\mu\| (S)$ , the semivariation of  $\mu$ . Now, for  $x^* \in X^*$  and  $f \in C(S)$ ,  $(T^*x^*)_s(f) = T^*x^*(f_s) = x^*(T(f_s)) = x^*(T_s(f)) = (T_s)^*x^*(f)$ . Thus  $(T^*x^*)_s = (T_s)^*x^*$  for every  $x^* \in X^*$ . But then  $\mu_s(E)x^* = \mu(Es)x^* = T^{**}(\phi_{Es})(x^*) = \phi_{Es}(T^*x^*) = T^*x^*(Es) = (T^*x^*)_s(E) = T_s^*x^*(E) = \phi_E(T_s^*x^*) = T_s^{**}(\phi_E)(x^*)$ . Hence  $\mu_s(E) = T_s^{**}(\phi_E)$  and for every  $x^* \in X^*$ ,  $\mu_s(\cdot)x^* = T_s^*x^* \in \text{rca}(S)$ . As in [5, theorem VI. 7.2] one can then prove that  $\|T_s\| = \|\mu_s\| (S)$ . This shows that the set function  $\mu_s$  represents the operator  $T_s$ .

To obtain the results of this section we define topologies on  $F(S, \mathcal{B}, X^{**})$  as follows:

(1)  $\tau_V$ -topology on  $F(S, \mathcal{B}, X^{**})$ : For  $\mu \in F(S, \mathcal{B}, X^{**})$  define  $p(\mu) = \|\mu\| (S)$ , where  $\|\mu\| (S)$  denotes the semivariation of  $\mu$ . Let  $\varepsilon > 0$  and  $V(p, \varepsilon) = \{\mu: p(\mu) < \varepsilon\}$ . Then the family of sets of this type forms a subbase at zero for a locally convex topology on  $F(S, \mathcal{B}, X^{**})$ . We denote this topology by  $\tau_V$ .

(2)  $\tau'$ -topology on  $F(S, \mathcal{B}, X^{**})$ : For  $\varepsilon > 0$  and  $f^{**} \in C(S)^{**}$  define

$$V(\varepsilon, f^{**}) = \left\{ \mu \in F(S, \mathcal{B}, X^{**}): \sup_{\|x^*\| \leq 1} |f^{**}(\mu(\cdot)x^*)| < \varepsilon \right\}.$$

The family of sets of this type forms a subbase at zero for a topology on  $F(S, \mathcal{B}, X^{**})$ . We denote this topology by  $\tau'$ .

We use results of §3 to characterize compact and weakly compact multipliers in  $BL(C(S))$  in terms of the topologies defined in (1) and (2). It may be noted that the uniformity  $\mathcal{U}$  on  $S$  is assumed to be left translation invariant.

**Theorem 4.4.** *A multiplier  $T$  in  $BL(C(S))$  is compact if and only if the map  $\phi: H \rightarrow (F(S, \mathcal{B}, C(S)^{**}), \tau_V)$  defined by  $\phi(s) = \mu_s$  is uniformly continuous, where  $\mu_s$  is the unique set function which represents  $T_s$ . In this case in fact the set function  $\mu_s$  is a vector measure on  $\mathcal{B}$  with values in  $C(S)$  and its range is relatively compact.*

*Proof.* Since  $T$  is a multiplier,  $T_s(f) = T(f_s) = (Tf)_s$  for every  $s \in S$  and  $f \in C(S)$ . By theorem 2.1  $T$  is compact if and only if the map  $s \rightarrow T_s$  is uniformly norm continuous

from  $H$  into  $BL(C(S))$ . But since the set function  $\mu_s$  represents the operator  $T_s$ , we have  $\|T_s - T_t\| = \|\mu_s - \mu_t\| (S)$ . This shows that the map  $s \rightarrow T_s$  is uniformly norm continuous if and only if  $\phi: s \rightarrow \mu_s$  is uniformly continuous with respect to the topology  $\tau_\nu$ . The fact that  $\mu_s$  is a vector measure on  $\mathcal{B}$  with values in  $C(S)$  and its range is relatively compact is a consequence of theorem 1.12.

**Theorem 4.5.** *A multiplier  $T$  in  $BL(C(S))$  is weakly compact if and only if the map defined in theorem 4.4 is uniformly continuous with respect to the topology  $\tau'$  on  $F(S, \mathcal{B}, C(S)^{**})$  and in this case the set function  $\mu_s$  is a vector measure on  $\mathcal{B}$  with values in  $C(S)$ .*

*Proof.* By theorem 2.2,  $T$  is weakly compact if and only if the map  $s \rightarrow T_s$  is uniformly continuous from  $H$  into  $BL(C(S))$  with respect to the topology  $\tau$  (defined in §2) on  $BL(C(S))$ , where  $T_s(f) = (Tf)_s$ . But since  $T$  is a multiplier,  $T_s(f) = (Tf)_s = T(f_s)$ . Also if  $\mu_s$  is the set function which represents the operator  $T_s$ , then for every  $f^* \in C(S)^*$ ,  $T_s^* f^* = \mu_s(\cdot) f^* \in rca(S)$ . Let  $N(\epsilon, f^{**})$  and  $V(\epsilon, f^{**})$  be the neighbourhoods of zero in  $(BL(C(S)), \tau)$  and  $(F(S, \mathcal{B}, C(S)^{**}), \tau')$  respectively. Then

$$\begin{aligned} \|(T_s)^{**} f^{**} - (T_t)^{**} f^{**}\| &= \sup_{\|f^*\| \leq 1} |f^{**}(T_s^* f^* - T_t^* f^*)| \\ &= \sup_{\|f^*\| \leq 1} |f^{**}(\mu_s(\cdot) f^* - \mu_t(\cdot) f^*)|. \end{aligned}$$

This shows that  $T_s - T_t \in N(\epsilon, f^{**})$  if and only if  $\mu_s - \mu_t \in V(\epsilon, f^{**})$ . Hence the map  $s \rightarrow T_s$  is uniformly  $\tau$ -continuous if and only if  $\phi: s \rightarrow \mu_s$  is uniformly  $\tau'$ -continuous. By theorem 1.11 it follows that  $\mu_s$  is a vector measure on  $\mathcal{B}$  with values in  $C(S)$ . This proves the theorem.

Let  $S$  be a topological semigroup. To characterize compact and weakly compact multipliers on  $AP(S)$  we now apply results obtained above to the operators on  $C(S^a)$ , where  $S^a$  denotes the almost periodic compactification of  $S$ . Let  $\mathcal{B}$  denote the  $\sigma$ -field of Borel sets of  $S^a$  and let the space  $(S, \mathcal{U})$  be as in §4. Since the space  $AP(S)$  is isometrically isomorphic to  $C(S^a)$ , the first and the second dual of  $AP(S)$  are identified with those of  $C(S^a)$  up to an isometric isomorphism.

The following theorems give the characterizations of compact and weakly compact multipliers on  $AP(S)$ .

**Theorem 4.6.** *A multiplier  $K$  in  $BL(AP(S))$  is compact if and only if the map  $s \rightarrow \mu_s$  from  $(S, \mathcal{U})$  into  $(F(S^a, \mathcal{B}, AP(S)^{**}), \tau_\nu)$  is uniformly continuous, where  $\mu_s$  is the unique set function which represents the operator on  $C(S^a)$  corresponding to  $K$ .*

*Proof.* It is easy to see that a multiplier  $K$  in  $BL(AP(S))$  is compact if and only if the corresponding operator on  $C(S^a)$  is compact. But since  $(S, \mathcal{U})$  is dense in  $S^a$ , by theorem 4.4, this is equivalent to the uniform continuity of the map  $s \rightarrow \mu_s$  from  $(S, \mathcal{U})$  into  $F(S^a, \mathcal{B}, C(S^a)^{**})$  with respect to the topology  $\tau_\nu$ , where the set function  $\mu_s$  represents the operator on  $C(S^a)$  corresponding to  $K$ . The theorem now follows from the fact that the space  $C(S^a)^{**}$  is isometrically isomorphic to  $AP(S)^{**}$ .

**Theorem 4.7.** *A multiplier  $K$  in  $BL(AP(S))$  is weakly compact if and only if the map defined in theorem 4.6 is uniformly continuous with respect to the topology  $\tau'$  on  $F(S^a, \mathcal{B}, AP(S)^{**})$ .*

*Proof.* As in theorem 4.6 we identify the space  $AP(S)$  with  $C(S^a)$ . The required assertion then follows by applying theorem 4.5 and using the arguments similar to those in the proof of the above theorem.

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