

# Appraisal of soft computing techniques in prediction of total bed material load in tropical rivers

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This paper evaluates the performance of three soft computing techniques, namely Gene-Expression Programming (GEP) (Zakaria *et al* 2010), Feed Forward Neural Networks (FFNN) (Ab Ghani *et al* 2011), and Adaptive Neuro-Fuzzy Inference System (ANFIS) in the prediction of total bed material load for three Malaysian rivers namely Kurau, Langat and Muda. The results of present study are very promising: FFNN ( $R^2 = 0.958$ , RMSE = 0.0698), ANFIS ( $R^2 = 0.648$ , RMSE = 6.654), and GEP ( $R^2 = 0.97$ , RMSE = 0.057), which support the use of these intelligent techniques in the prediction of sediment loads in tropical rivers.

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## 1. Introduction

In recent years, sand mining activities in Malaysian rivers have created several issues that need urgent attention. Among them is the deterioration of river water quality, bank erosion, river bed degradation, buffer zone encroachment, etc., that is mainly due to the excessive sand extraction along river stretches. Sand and gravel has long been used as aggregate for construction of roads and buildings. Today, the demand for these materials continues to rise and therefore, the estimation of river sediment load constitutes an important issue in river engineering. In Malaysia, the main source of sand and gravel is mostly from in-stream mining. In-stream sand mining is a common practice because the mining locations are usually along the transportation route, hence reducing transportation costs. However, in-stream sand mining can damage private and public properties as well as aquatic habitats. Excessive removal of sand may significantly distort

the natural equilibrium of a stream channel. By removing sediment from the active channel bed, in-stream mines interrupt the continuity of sediment transport through the river system, disrupting the sediment mass balance in the river downstream and inducing channel adjustments extending considerable distances beyond the extract site itself (Kondolf *et al* 2001). The sediment can increase the elevation of channel beds with excess sand and gravel for tens to hundreds of kilometers downstream. Such aggradation and degradation promotes the lateral migration of channels and may cause serious floods during rainstorms due to the loss of channel capacity necessary to convey floodwaters (Kisi 2005).

Currently, there are various sediment transport equations that have been developed based on different approaches to predict the total bed material load (Chang *et al* 2005; Ab Ghani *et al* 2011). Conventional approaches used in most modelling efforts begin with an assumed form of an empirical

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or analytical equation and follow with a regression analysis or curve fitting using experimental data to determine the unknown model coefficients (Sasal and Isik 2005; Ab Ghani *et al* 2011). Use of the conventional empirical equations is very convenient; however their major drawback is that they involve idealization, approximation and averaging of widely varying prototype conditions and could predict sediment load which may be considerably different from their actual values. It is felt that such vast differences are partly due to the complexity of the phenomenon involved and partly because of the limitation of the analytical tool commonly used by most of the earlier investigators namely, non-linear statistical regression. The present study therefore reanalyzes the past data using neural networks (Ab Ghani *et al* 2011) and genetic programming techniques (Zakaria *et al* 2010).

Although a number of successful attempts have been recorded by Dogan *et al* (2007); Azamathullah and Deo (2008); Guven (2009) and Azamathulla *et al* (2009), a wider application of theoretical models is restrained by their heavy demand in terms of computing capacity and time. Alternatively, soft computing techniques, such as neural networks, evolutionary computation, fuzzy logic and genetic programming have been successfully applied in water engineering problems since the last two decades (Nagy *et al* 2002; Kisi 2005; Kisi *et al* 2006; Aytek and Kisi 2008; Yang *et al* 2009). A wider application of theoretical models is restricted by their heavy demand in terms of computing capacity and time (Dogan *et al* 2009).

Feed forward neural networks (FFNN) technique and adaptive neuro-fuzzy inference system (ANFIS) and genetic expression programming (GEP) techniques were considered in the present study. Use of the neural network tool box under the MATLAB software has been made in the present study. The function ‘genfis1’ (genfis1 generates a Sugeno-type FIS structure used as initial conditions, i.e., initialization of the membership function parameters for anfis training) involved in the ANFIS provides an efficient design which produced acceptable results (Kisi 2007) and hence, the same was employed herein. Similarly the ‘genfis2’ (genfis2 generates a Sugeno-type FIS structure using subtractive clustering and requires separate sets of input and output data as input arguments. When there is only one output, genfis2 may be used to generate an initial FIS for anfis training; genfis2 accomplishes this by extracting a set of rules that models the data behaviour) code that generates the first order Sugeno fuzzy system based on the subtractive clustering of datasets has been used to develop the ANFIS system. A GEP software, GPLAB in conjunction with subroutines coded in MATLAB were used to develop GEP model.

## 2. Methodologies for soft computing techniques

### 2.1 Neural networks

Neural networks (NNs) technique is a data processing tool that mimics the function of the human brain and nerves built on the so-called neurons – processing elements – connected to each other. Artificial neurons are organized in such a way that the structure resembles a network. This technique differs from the traditional data processing; it studies the relationship between the input and output data (Azmathullah *et al* 2005).

The basic element of NNs is an artificial neuron, which consists of three main components; weights, bias, and an activation function. Each neuron receives inputs  $x_i$  ( $i = 1, 2, \dots, n$ ) attached with a weight  $w_{ij}$  ( $j \geq 1$ ) which shows the connection strength for a particular input for each connection. Every input is then multiplied by the corresponding weight of the neuron connection and is summed as:

$$W_i = \sum_{j=1}^n w_{ij}x_j. \quad (1)$$

A bias  $b_i$ , a type of correction weight with a constant non-zero value, is added to the summation ( $U$ ) in equation (1) as:

$$U_i = W_i + b_i. \quad (2)$$

In other words,  $W_i$  in equation (1) is the weighted sum of the  $i$ th neuron for the input received from the preceding layer with  $n$  neurons,  $w_{ij}$  is the weight between the  $i$ th neuron in the hidden layer and the  $j$ th neuron in the preceding (input) layer, and  $x_j$  is the output of the  $j$ th neuron in the input layer. After being corrected by a bias as in equation (2), the summation is transferred using a scalar-to-scalar function called an ‘activation or transfer function’,  $f(U_i)$ , to yield a value called the unit’s ‘activation’, given as:

$$y_i = f(U_i). \quad (3)$$

Readers can refer to other previously published works on scouring around and downstream of hydraulic structures such as Liriano and Day (2001), Azamathulla and Ab Ghani (2010).

### 2.2 FFNN

Artificial neural networks (ANN) have been successfully used in river flow forecasting (Aqil *et al* 2007; Firat and Turan 2010), rainfall-runoff modelling (Antar *et al* 2006) and water level fluctuations (Altunkaynak 2007). Typically, ANN are

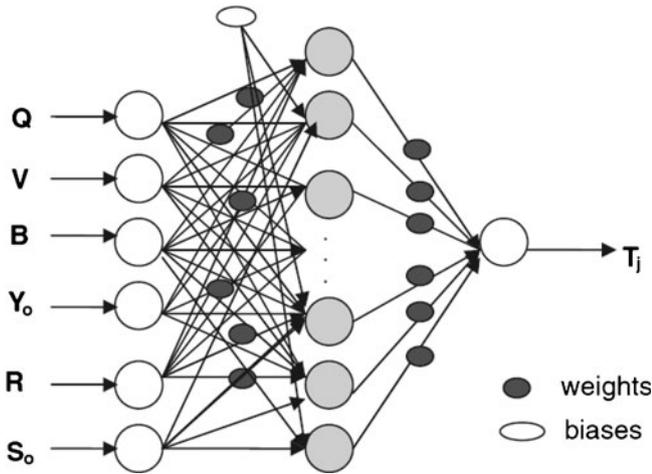


Figure 1. Feed forward neural network model (Ab Ghani *et al* 2011).

adjusted or trained, so that a particular input leads to a specific target output. ANN are good at fitting functions, reorganizing patterns and clustering data (Demuth *et al* 2007).

Ab Ghani *et al* (2011) applied the configuration of a typical three-layer neural network, which consists of an input layer, a hidden layer, and an output layer as shown in figure 1. The basic idea of the neural network can be described as following: First, a set of data ( $Q, V, B, Y_o, R, S_o$ ) as raw information is fed into the network at the input layer; then, the neural network will be trained and the complex relationship between inputs and output ( $T_j$ ).

### 2.3 ANFIS

The adaptive neuro-fuzzy inference system (ANFIS) on the other hand is a hybrid scheme which uses the learning capability of the ANN

to derive the fuzzy if-then rules with appropriate membership functions worked out from the training pairs leading finally to the inference. The difference between the common neural network and the ANFIS is that while the former captures the underlying dependency in the form of the trained connection weights, the latter does so by establishing the fuzzy language rules. The input in ANFIS (figure 2) is first converted into fuzzy membership functions, which are combined together, and after following an averaging process, used to obtain the output membership functions and finally the desired output.

### 2.4 GEP

Gene-expression programming (GEP), an extension to genetic programming (GP) (Koza 1992), is a search technique that evolves computer programs (mathematical expressions, decision trees, polynomial constructs, logical expressions, and so on). The computer programs of GEP are all encoded in linear chromosomes, which are then expressed or translated into expression trees (ETs). ETs are sophisticated computer programs that are usually evolved to solve a particular problem, and are selected according to their fitness at solving that problem. Thanks to genetic modification, population of ETs will discover traits and therefore will adapt to the particular problem they are employed to solve. This means that, within the stipulated time and setting the stage correctly, a good solution to the problem will be discovered (Ferreira 2001a, 2001b).

GEP is a full-fledged genotype/phenotype system, with the genotype totally separated from the phenotype, while in GP, genotype and phenotype are one entangled mess or more formally,

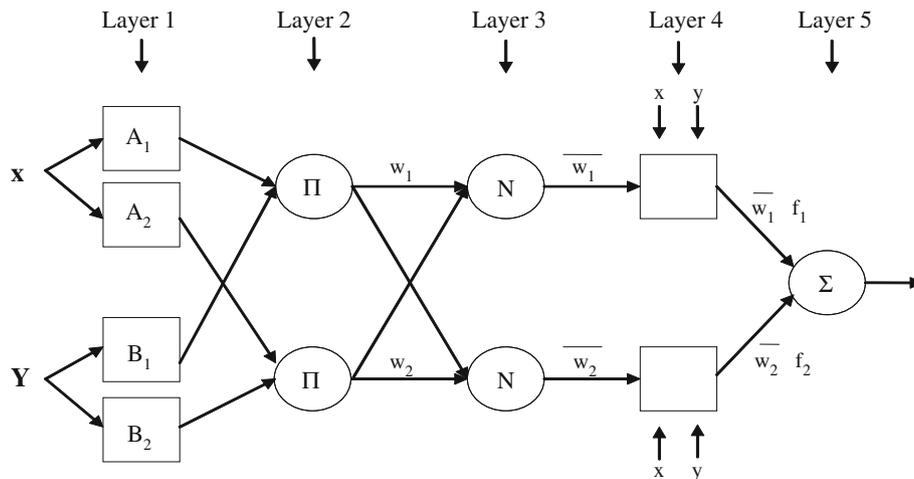


Figure 2. ANFIS network architecture.

a simple replicator system. As a consequence of this, the full-fledged genotype/phenotype system of GEP surpasses the old GP system by a factor of 100–60,000 (Ferreira 2001a, 2001b).

Initially, the chromosomes of each individual of the population are randomly generated. Then the chromosomes are expressed and each individual is evaluated based on a fitness function, and selected to reproduce with modification, leaving progeny with new traits. The individuals of new generation are, in turn, subjected to some developmental processes such as expression of the genomes, confrontation of the selection environment, and reproduction with modification. These processes are repeated for a predefined number of generations or until a solution is achieved (Ferreira 2001a, 2001b; Guven and Aytekin 2009; Zakaria *et al* 2010).

### 3. Study area and data used

In Malaysia, the main source of sand is mostly from in-stream mining. Therefore, the present study covers six sites at each of the three rivers, i.e., Kurau, Langat and Muda that have different levels of sand mining activities (figure 3). Fewer activities of sand mining are on-going in Kurau River at the upstream of Bukit Merah Reservoir. Langat River recently has been a major source of sand for construction with the development of Putrajaya. Muda River has a long history of sand mining activity along the upper reach.

The surveyed cross sections for the Muda and Langat rivers are single thread channels with the top width ranging between 22.5 and 134.0 m, representing medium-sized rivers, and the top width

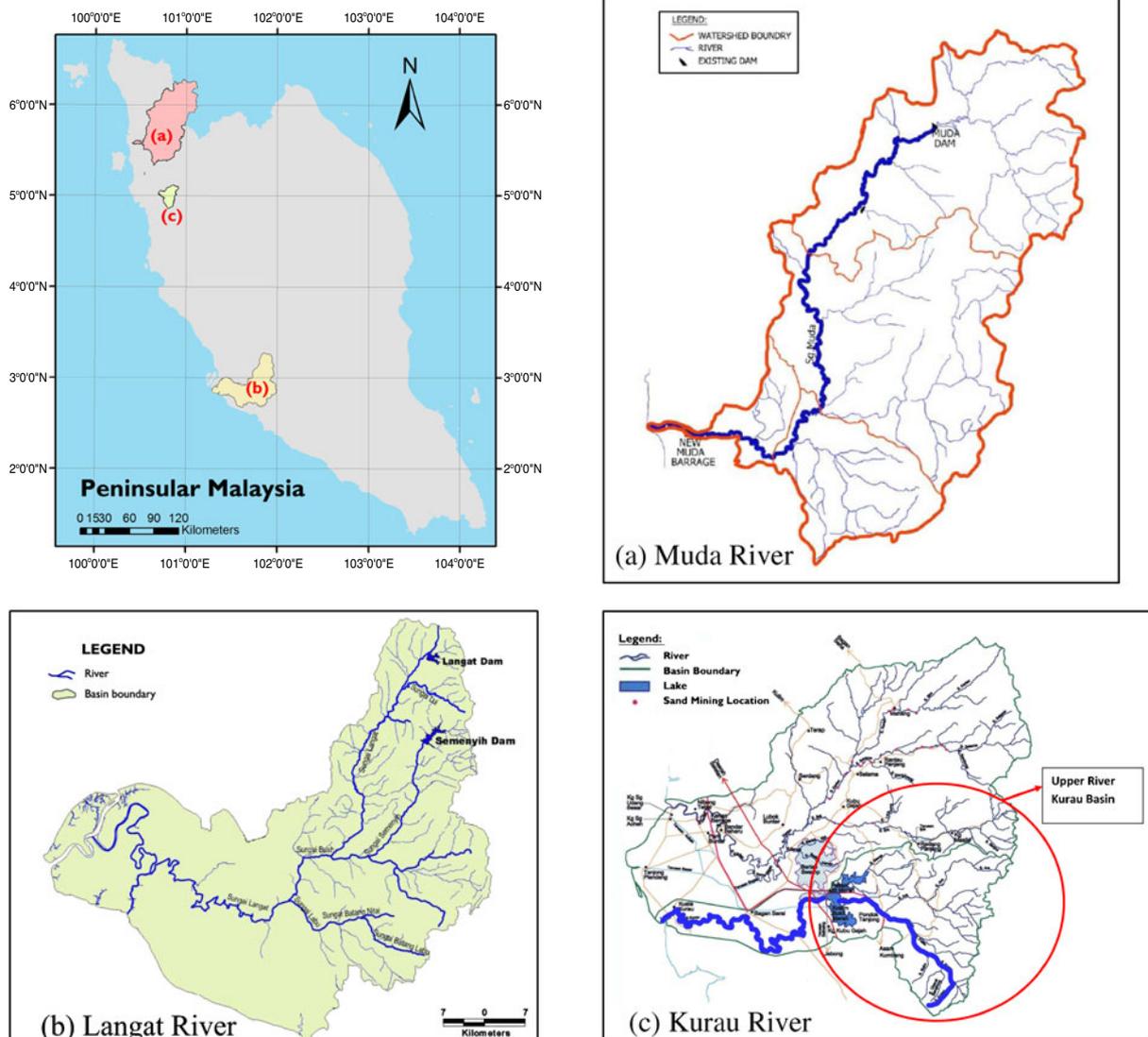


Figure 3. Study area (location of three rivers).

Table 1. Range of field data for Kurau, Langat and Muda rivers.

Parameters	Study area		
	Kurau River	Langat River	Muda River
Flow discharge, $Q$ (m <sup>3</sup> /s)	0.63–28.94	2.75–120.76	2.59–343.71
Flow velocity, $V$ (m/s)	0.27–1.12	0.23–1.01	0.14–1.45
Water-surface width, $B$ (m)	6.30–26.00	16.4–37.6	9.0–90.0
Flow depth, $Y_o$ (m)	0.36–1.91	0.64–5.77	0.73–6.90
Cross sectional area of flow, $A$ (m <sup>2</sup> )	1.43–33.45	8.17–153.57	5.12–278.34
Hydraulic radius, $R$ (m)	0.177–1.349	0.45–3.68	0.55–3.90
Channel slope, $S_o$	0.00050–0.00210	0.00065–0.00185	0.00008–0.000235
Bed load, $T_b$ (kg/s)	0.080–0.488	0.027–0.363	0–0.191
Suspended load, $T_t$ (kg/s)	0.001–2.660	0.2860–99.351	0.024–15.614
Total bed material load, $T_j$ (kg/s)	0.089–2.970	0.525–99.398	0.099–15.644
Median sediment size, $d_{50}$ (mm)	0.41–1.90	0.31–3.00	0.29–2.10
Manning's $n$	0.014–0.066	0.034–0.195	0.021–0.108

Table 2. Discrepancy ratio for three rivers using Yang and Engelund–Hansen equations.

Location	Total data	Discrepancy ratio (DR) between 0.5 and 2.0			
		Yang equation		Engelund–Hansen equation	
		No. of data	%	No. of data	%
Kurau River	78	33	42.31	38	48.72
Langat River	60	30	50.00	31	51.67
Muda River	76	16	21.05	19	25.00
	214	79	36.92	88	41.12

for Kurau River ranges between 25.8 and 41.0 m, representing a small-medium river. The slopes are between 0.00008 and 0.0021, indicating that the cross sections are still natural (Ab Ghani *et al* 2003). The details of the morphological and hydrological descriptors and range of field data are given in table 1. Details of the measurement methodology are given in Ab Ghani *et al* (2003). The data collection includes flow discharge ( $Q$ ), bed load ( $T_b$ ) and water surface slope ( $S_o$ ). In addition, the bed elevation, water surface and thalweg measurement (the minimum bed elevation for a cross section) were also determined at the selected cross sections. The total bed material load ( $T_j$ ) is composed of the suspended load and bed load. The total bed material load must be specified for sediment transport, scour and deposition analysis.

#### 4. Sediment transport equations assessment

A detailed sediment transport study at six sites for each river was conducted and it was found that from the previous studies (Ab Ghani *et al* 2003; Ariffin *et al* 2008; Chang *et al* 2008), Yang and Engelund–Hansen equations are the best existing

equations to predict the trend of sediment transport for the Malaysian rivers (Ab Ghani *et al* 2011).

Yang (1972) related the bed material load to the rate of energy dissipation of the flow as an agent for sediment transport. The theory of minimum rate of energy dissipation states that when a dynamic system reaches its equilibrium condition, its rate of energy dissipation is at a minimum. The minimum value depends on the constraints applied to the system. Engelund and Hansen (1967) applied Bagnold's stream power concept and the similarity principle to derive the sediment transport function. Engelund and Hansen equation can be used in both dune bed forms and upper regime (plane bed) with mean sediment size ( $d_{50}$ ) larger than 0.15 mm.

The assessments of two existing sediment transport equations, the Yang (1972) and Engelund–Hansen (1967) equations were performed for the 214 sets of data for present study (table 1). The performances of the equations were measured using the discrepancy ratio (DR), which is the ratio of the estimated load to the measured load (DR = estimated/measured). As applied in most sediment transport studies (Yang 1972; Yang *et al* 2009), a discrepancy ratio of 0.5–2.0 was used as a criterion in the evaluation of the selected equations.

The evaluation using these equations shows that both equations, in most cases, overestimated the measured values, as shown in table 2.

## 5. Implementation of the soft computing techniques

The nature and motivation of traditional total bed material load models differ significantly. These approaches are normally able to make predictions within about one order of magnitude of the actual measurements. To overcome the complexity and uncertainty associated with total-load estimation, this research demonstrates that soft computing techniques can be applied for accurate prediction of total bed material load transport. The present study summarizes the recent results based on field data collected at three river catchments in Malaysia, i.e., the Kurau, Langat and Muda rivers (DID 2009).

### 5.1 ANFIS

In the present study, the usual ANFIS network was considered (figure 4). It was trained using both *genfis1* and *genfis2*, as well as ANFIS with different radii to ensure that proper training is imparted. Further, in order to see if advanced training schemes (GEP) provide better learning than the basic feed forward back propagation network. In the ANFIS model use of input variables, the input was changed to single and the output was the relative total bed material load ( $T_j$ ).

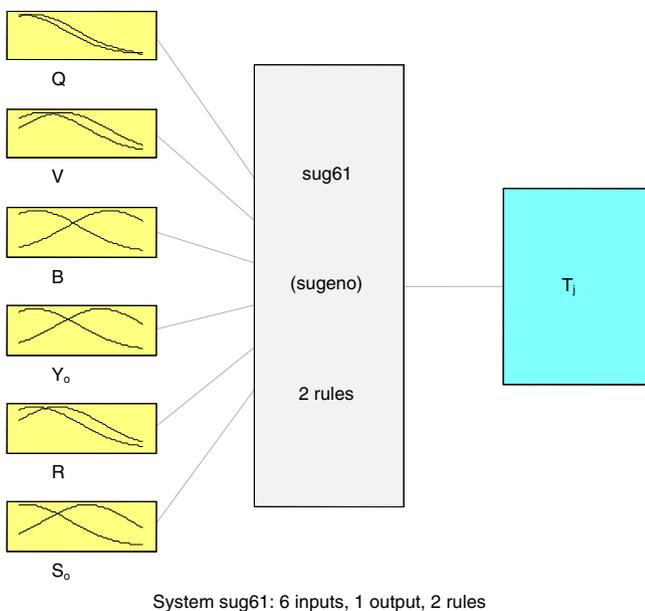


Figure 4. ANFIS model for total bed material load in rivers.

After the input and output parameters were determined, *genfis2* was employed to generate first-order Sugeno fuzzy system and the ANFIS architectures are similar as they have the same number of inputs and rules. ANFIS model employs the input variables namely,  $Q$ ,  $V$ ,  $B$ ,  $Y_o$ ,  $R$  and  $S_o$  and results the output of total bed material load, respectively.

A total of 214 input–output pairs, 80% were randomly selected and were used for training and the remaining 20% of values were used for testing or validation, dictated by the use of Gaussian function. All patterns were normalized within the range of 0.0–1.0 before their use. The trainings of these networks were stopped after reaching the minimum error goal of 0.0001 (MATLAB 2007).

### 5.2 GEP

A GEP technique, which is an extension to GP, used computer programs all encoded in linear chromosomes, which are then expressed or translated into expression trees (ETs). ETs are sophisticated computer programs that are usually used to solve a particular problem and are selected according to their fitness at solving that problem. Once the training set was selected, one could say that the learning environment of the system is defined. A population of candidate chromosomes (programs) is created and then each program is tested against a pre-defined fitness (error) criterion.

It should be noted that the proposed GEP formulations in equation (4) (Zakaria *et al* 2010) is valid for total bed material load variables ranging between minimum and maximum values given in table 3. Examination of table 3 for the case of total bed material load suggests that there is a large variation in magnitudes of error measures across the neural networks and that the most accurate network is the FFNN Model (Ab Ghani *et al* 2011), the coefficient of determination  $R^2$ , 0.958 and the RMSE 0.0698 of the ANN method are higher than those of the traditional method.

The best of generation individual, chromosome 10, has fitness 470 for this GEP modelling of sediment transport (Zakaria *et al* 2010). The explicit formulations of GEP for total bed material load,

Table 3. Comparison of network – yielded and true values.

Model	CPU time	$R^2$	RMSE
GEP	48 h	0.97	0.057
FFNN	30 min	0.958	0.0698
Yang (1973)	–	0.722	10.376
Engelund–Hansen (1967)	–	0.623	12.735
ANFIS	20 sec	0.648	6.654

as a function of  $Q, V, B, Y_o, R, S_o, Ws, d_{50}$ , were obtained as:

$$T_j = \left[ \left( -0.39 * RY_o * \sqrt{S_o} \right) / (-0.72 + S_o) \right] + \left( R + e^{\sin(QVR)} \right) + \text{Tan}^{-1} \left( -0.16 * RB \right) + R\sqrt{Q} + (d_{50} - 3.39) * d_{50}^3 * S_o + \text{Tan}^{-1} (V) * e^V - \log (6.93 - Y_o) * ((Ws * B) / (-2.075)). \quad (4)$$

## 6. Result and discussion

The performance of the ANFIS model in predicting the total bed material load transport for all the measured data after removing outliers were measured using the discrepancy ratio values, which is the ratio of the predicted load to measured load. From the analysis, the performance of the model was assessed by evaluating the scatter plots between the observed and predicted results (figure 5). The model has produced an average discrepancy ratio greater than 5 with the low coefficient of determination ( $R^2$ ) of 0.648 and root mean squared error (RMSE) of 6.654. A comparison result was made with earlier ANN results using FFNN and GEP (table 3). The FFNN has yielded an  $R^2$  of 0.958 and an RMSE of 0.0698 (Ab Ghani *et al* 2011) whilst, GEP yielded an  $R^2$  of 0.97 and an RMSE of 0.057 (Zakaria *et al* 2010) respectively. Using the FFNN and GEP network, the computed total load transport rates were in much closer agreement.

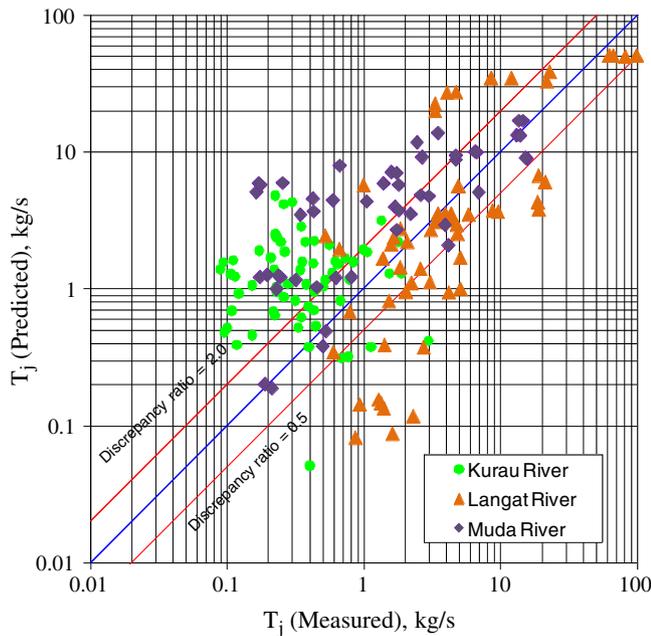


Figure 5. Predicted using ANFIS against observed total bed material load.

The results show that there is an excellent agreement between the FFNN and GEP models only and these models have predicted values with the lowest errors. Also, besides high accuracy, the calculation speed of the proposed models to obtain the results is important. On the same workstation, the simulation time for obtaining total bed material load was measured for the ANFI, FFNN and GEP models (table 3). The result shows that ANFIS is able to complete the training within 20 seconds (CPU time) while FFNN and GEP have taken more CPU time compared to ANFIS. Using the same input data for the predicting total bed material load transport, it is clear that the ANFIS model is much faster than FFNN and GEP models, but it does not perform well in predicting total bed material load transport compared to GEP (Zakaria *et al* 2010) and FFNN (Ab Ghani 2011). As a result, GEP which is able to produce a simple formula with a mathematical function to fit to given observed sediment data and yield higher accuracy is recommended in this research.

For practical problems, using an easy method, which is usable for different cases, is more acceptable than traditional methods. Also, using low cost public domain software (QNET software) would be more satisfactory for researchers and engineers, especially for students, instead of costly softwares like MATLAB, GPLAB and Neuro Solutions. However, sensitivity and performance of the model need to be tested and evaluated with the input data so that the goal of the procedure to evolve the best agreement with the lower errors function that will fit to the data is achieved.

## 7. Conclusions

In this study, the performance of three soft computing techniques, namely FFNN, ANFIS and GEP, was evaluated in prediction of total bed material load. Actual field measurements were used in calibration and testing the proposed models. A common application of the different error criteria indicated an overall best performance of the GEP in this particular mapping problem. The treatment to non-linearities in the sediment load data meted out by the GEP approach worked much better than ANFIS and FFNNs (black box models). The results were compared with the sediment transport equations, ANFIS and neural network scheme; it was found that although the CPU time of GEP is longer, it is highly satisfactory and performs well in predicting total bed material load transport. This study also shows that soft computing techniques are efficient tools to predict total bed material load more accurately for the Malaysian rivers.

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## Notations

$b$	bias
$B$	river width
$d_{50}$	mean sediment size
$Q$	flow discharge
$R$	hydraulic radius
$R^2$	coefficient of determination
$S_o$	water surface slope
$T_b$	bed load
$T_j$	total bed material load
$T_s$	suspended load
$U$	summation of weighted input and bias
$V$	average flow velocity
$W$	weighted input
$W_s$	fall velocity of the sediment particle
$Y_o$	flow depth

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