

Synthesis of fatty monoester lubricant base oil catalyzed by Fe-Zn double-metal cyanide complex

RAVINDRA K RAUT, MEHEJABEEN SHAIKH and SRINIVAS DARBHA*

Catalysis Division, CSIR-National Chemical Laboratory, Pune 411 008, India

e-mail: d.srinivas@ncl.res.in

MS received 15 February 2014; revised 7 April 2014; accepted 8 April 2014

Abstract. Fatty monoester lubricant base oils as high as 96.7 mol% were prepared by reacting methyl oleate with long-chain alcohols *viz.*, 2-ethyl-1-hexanol (C₈-OH), 1-decanol (C₁₀OH) and 1-dodecanol (C₁₂OH) in the presence of a solid Fe-Zn double-metal cyanide (DMC) complex catalyst. Unlike many other acid catalysts, DMC doesn't produce undesired ether side products. The catalyst was reusable in four recycling experiments with little loss in catalytic activity and ester yield. The long-chain esters prepared in the study have the desired physical properties for their application as lubricant base oils.

Keywords. Fatty monoester; lubricant base oil; transesterification; Fe-Zn double-metal cyanide (DMC) catalyst.

1. Introduction

High performance, long product life and better ecological compatibility are the characteristic features that make fatty monoesters important as high quality biodegradable lubricant base oils.^{1,2} Unlike other synthetic oils *ca.* poly alpha-olefins (PAO) and poly alkylene glycols (PAG), the ester oils are miscible with a large number of additives to formulate effective biodegradable lubricants. Currently, more than 10,000 lubricant formulations are needed to meet the world demand of 12 billion gallons per year.^{3–5} Through a proper choice of fatty acid and long-chain alcohol, a wide variety of lubricants with custom design properties can be synthesized. Biodegradable lubricants reduce environmental pollution which the conventional mineral oil-based lubricants cause after their usage.

Note: about 53% of mineral oil lubricants are collected as waste endangering the planet.⁶

Monoesters are categorized as 'non-water pollutant' lubes which reduce the expenses of oil spillage or disposal. It is realized that more than 90% of all the current lubricants could be formulated to be rapidly biodegradable.⁶ The ester oils are normally for high-end usage due to their higher price. Biolubricants are priced twice as high as conventional petroleum lubricants. As a consequence, only a few percentage of the market for slippery fluids is commanded by

bio-based lubricants.^{3,7} They are produced by esterification of a fatty acid or transesterification of vegetable oil with a long-chain alcohol in presence of a homogeneous acid/base catalyst^{8–10} or by using an ion-exchange resin.^{11,12} Their synthesis from the reaction of fatty acid methyl esters (FAME-biodiesel) and long-chain alcohol is attractive, as a part of biodiesel pool, can be converted to more valuable lube product. This diversion possibly makes biodiesel production economical even in the absence of incentives from the government.

Cost effective production of synthetic esters is of utmost importance for their wider use. The homogeneous mineral acid or base catalysts currently used are non-reusable. Additional process steps are needed for catalyst neutralization and separation. Significant amount of salt and hard water is generated as waste by-product. Ion exchange resins have limited thermal stability confining their application to reactions with short chain alcohols only. Use of solid catalysts in place of homogeneous catalysts make the process attractive and cost-effective. Solid catalysts can be recyclable and processes involving them can be green and zero-waste generating. Silica-sulphuric acid, Amberlyst-15, immobilized lipase (Novozym[®]435), sulfated zirconia, calcium methoxide, titanosilicates and zirconium phenyl phosphonate phosphite are a few solid catalysts reported for biolubricants' preparation.^{13–18} Earlier, we reported the application of Fe-Zn double-metal cyanide (DMC) complex as an efficient catalyst for producing biolubricants by transesterification of vegetable oils with linear chain monohydric C₈

*For correspondence

alcohol¹⁹ and esterification of oleic acid with polyhydric alcohols.²⁰ We now extend the study reporting their use for transesterification of methyl oleate (a component of biodiesel) with 2-ethyl-1-hexanol (C₈OH), 1-decanol (C₁₀OH) and 1-dodecanol (C₁₂OH) producing the corresponding long-chain alcohol monoesters. DMC complexes are hydrophobic and Lewis acid catalysts.^{19,20} The lubricant properties of the produced monoesters are reported.

2. Experimental

2.1 Catalyst preparation

DMC was prepared as reported by us earlier¹⁹ using K₄Fe(CN)₆·3H₂O as a source of Fe, ZnCl₂ as a source of Zn, *tert.*-butanol as complexing agent and PEG-4000 as co-complexing agent. Prior to use in reactions, the DMC catalyst was activated at 180°C for 2 h.

2.2 Characterization techniques

X-ray powder diffraction (XRD) patterns of fresh and spent DMC catalysts were recorded on a Phillips X'pert Pro diffractometer equipped with a Ni-filtered Cu-K α radiation ($\lambda = 0.15418$ nm, 40 kV and 30 mA) and a proportional counter detector. The diffraction patterns were recorded in the 2θ range of 10–80° with a scan rate of 4°/min and with a step size of 0.02°. Fourier transform infrared (FTIR) spectra of the samples as KBr pellets (1 wt %) were measured in the wavenumber range of 400–4000 cm⁻¹ with a spectral resolution of 4 cm⁻¹ on a Shimadzu 8300 spectrophotometer. All the other characterization studies were done as reported elsewhere.^{19,20} Physical properties of fatty acid monoesters were determined at Chem-Tech Laboratories Pvt. Ltd., Pune, India ([Supplementary Information](#)).

2.3 Reaction procedure

Methyl oleate (MO, Sigma-Aldrich Co., technical grade 70%; balance 30% being methyl palmitate and stearate) was transesterified with C₈–C₁₂ alcohols [2-ethyl-1-hexanol (Loba Chemie, 99%), 1-decanol and 1-dodecanol (SD Fine Chem.)]. In a typical reaction, 6.76 mmol of MO and three equivalent excess of alcohol and 0.06 g of DMC catalyst (3 wt% of MO) were taken in a glass, round-bottom flask placed in a temperature-controlled oil bath and connected with water-cooled condenser. Temperature was raised to 180°C and the reaction was conducted for 8 h at

atmospheric pressure under a flow of nitrogen. Nitrogen drives out the by-product methanol formed in the reaction and pushes the equilibrium towards the product. Then, the temperature of the reaction mixture was brought down to room temperature (25°C) and the catalyst was separated by centrifugation (RCF = 7000 g for 5 min using a Remi Laboratory Instrument, Model No. R-24)/filtration. Excess, unreacted alcohol in the product mixture was removed by steam-distillation. The product monoester was analyzed by a Perkin-Elmer (Series 200) high performance liquid chromatography fitted with an ELSD detector (Gilson) and reverse-phase Brownlee column (C-18, Spheri-5, 250 × 4.6 mm). For kinetics studies, reactions were conducted at five different temperatures (433, 443, 453, 463 and 473 K) for a period of 4 h. Rate constants were determined from the concentration versus time plots using a pseudo-first order rate equation. Arrhenius equation enabled determination of thermodynamic parameters (activation energy and Gibbs free energy). The yield of oleic fatty monoester was confirmed also by ¹H NMR (Bruker Avance 200 MHz) and gas chromatography (GC, Varian CP 3800; column - Varian CP 9079, 15 m long × 0.32 mm ID × 0.1 μ m film thickness) techniques. It should be noted that methyl palmitate and stearates present in MO as impurity (30%) have also been converted to the corresponding lube esters with equal efficiency as that of methyl oleate.

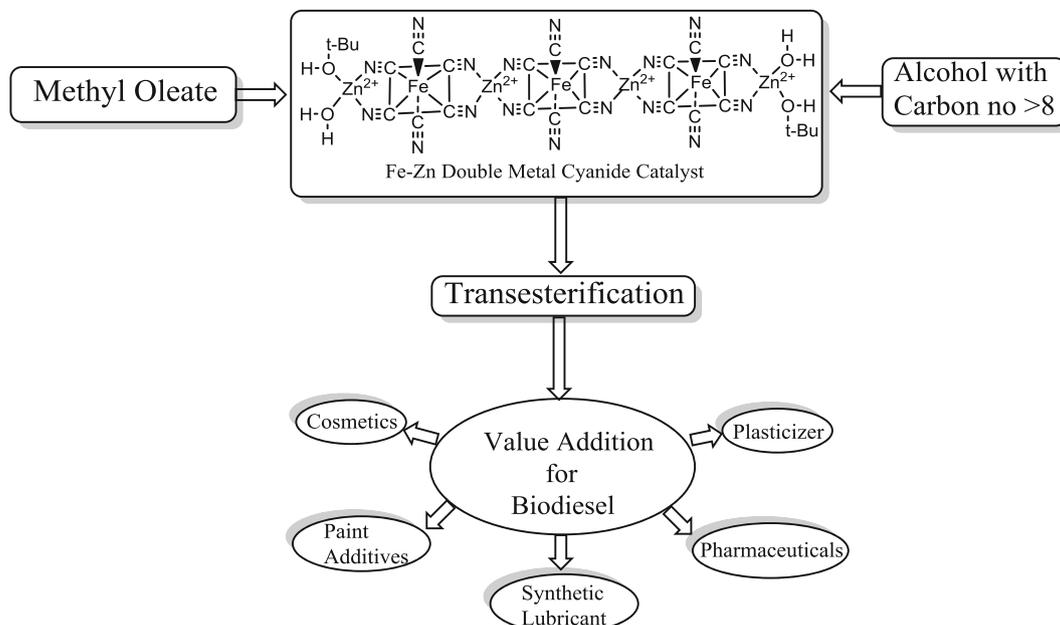
3. Results and discussion

3.1 Catalyst characterization

A detailed characterization of Fe-Zn DMC catalyst was reported by us earlier.^{19,20} Table 1 lists some characteristic parameters of the catalyst used in this study. DMC (molecular formula: K₄Zn₄[Fe(CN)₆]₃·6H₂O·2(*tert.*-

Table 1. Physicochemical characteristics of Fe-Zn DMC catalyst.

Physical property	Value
Molecular formula	K ₄ Zn ₄ [Fe(CN) ₆] ₃ ·6H ₂ O·2(<i>tert.</i> -BuOH)
Crystal lattice	Cubic
Unit cell parameter (<i>a</i>)	0.904 nm
Average crystallite size	36 nm
Average particle size	0.8 μ m
Particle morphology	Cubic/spherical
Specific surface area (<i>S</i> _{BET})	52 m ² /g
Acidity	1.96 m ² /g
IR bands	2925, 2096, 1260 – 1450 and 1095 cm ⁻¹



Scheme 1. Possible structure of DMC and the uses of fatty monoesters.

BuOH) and molecular structure as shown in scheme 1) depicted XRD pattern (figure 1a) which could be indexed to a cubic lattice with a unit cell parameter of 0.904 nm. FTIR spectrum of DMC (figure 1b) showed a characteristic band at 2096 cm^{-1} due to bridged cyanide group and other bands at 2925, 1260–1450 and 1095 cm^{-1} corresponding to C–H and C–O stretching vibrations of the coordinated *tert*-butanol. Nitrogen-physorption and high resolution transmission electron microscopy (HRTEM) studies revealed that DMC is mostly microporous but has some

mesoporosity of less ordered nature in its structure.²¹ Diffuse reflectance Fourier transform infrared (DRIFT) spectra of adsorbed pyridine and temperature-programmed desorption of ammonia (NH_3 -TPD) pointed out that it is Lewis acidic.^{19,22} Tetrahedrally coordinated Zn^{2+} are the sites of Lewis acidity which were active in transesterification reactions.²³ Water adsorption studies revealed that this catalyst is hydrophobic like silicalite-1.¹⁹ Hydrophobicity and Lewis acidity are the two unique characteristic features that differentiate DMC from several other solid acid catalysts.

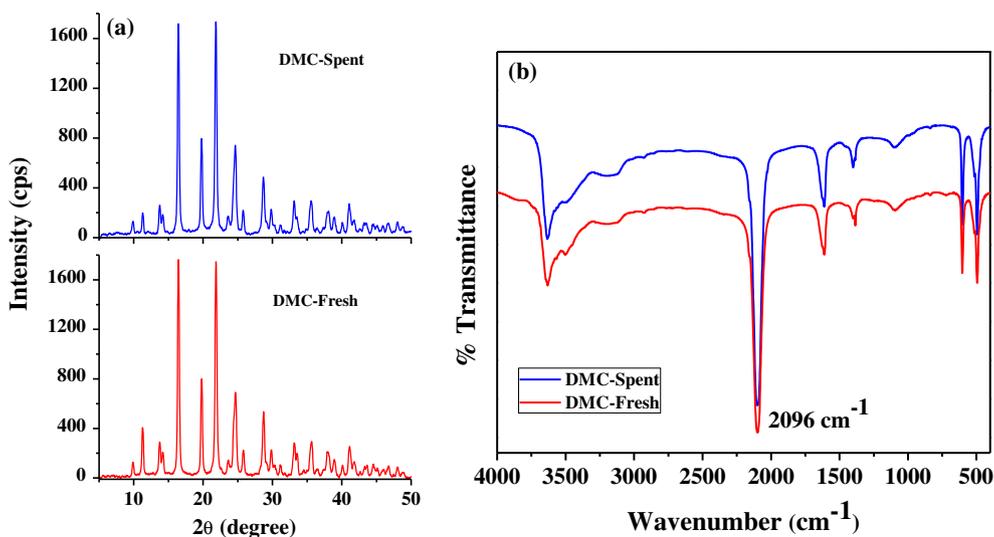


Figure 1. (a) XRD and (b) FTIR spectra of fresh and spent DMC catalysts.

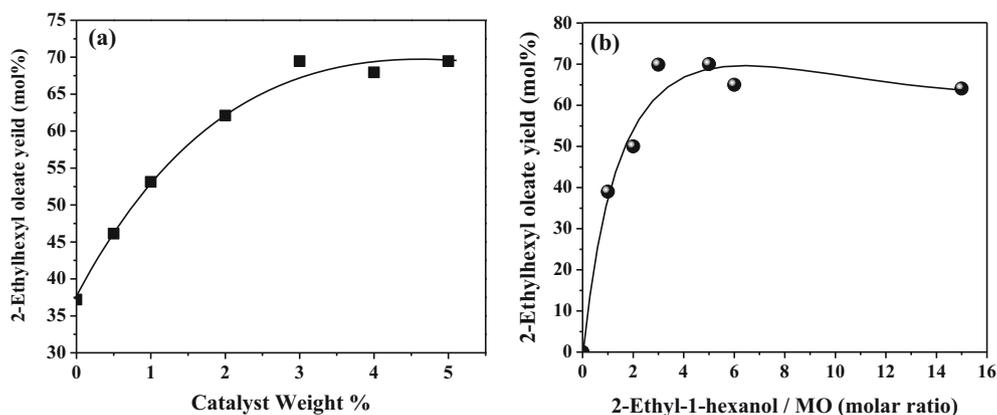


Figure 2. Effects of (a) catalyst amount and (b) 2-ethyl-1-hexanol/MO molar ratio on the yield of 2-ethylhexyl oleate. *Reaction conditions:* For (a): methyl oleate (MO) = 2 g, 2-ethyl-1-hexanol = 2.64 g, MO : 2-ethyl-1-hexanol (molar ratio) = 1:3, temperature = 180°C, reaction time = 8 h, pressure = 1 atm, under a positive flow of nitrogen. For (b): MO = 2 g, DMC = 3 wt% of MO, temperature = 180°C, reaction time = 8 h, pressure = 1 atm, under a positive flow of nitrogen.

3.2 Catalytic activity

Transesterification of methyl oleate (MO) with long-chain monohydric alcohols (2-ethyl-1-hexanol, 1-decanol and 1-dodecanol) yields fatty acid monoesters that find application not only in synthetic lubricants but in paint additives, plasticizers, pharmaceuticals and cosmetics (scheme 1). Methanol is a by-product. Formation of ethers via condensation of long-chain alcohols or methanol was not detected at our experimental conditions over DMC catalyst (HPLC, GC and ^1H NMR). Control experiments at 180°C for 8 h with 2-ethyl-1-hexanol/MO molar ratio = 3, revealed that this reaction occurs even in the absence of a catalyst. But the yield of 2-ethylhexyl oleate was 37 mol% only

(figure 2a). In presence of DMC catalyst, the yield was higher. It increased with increasing amount of the catalyst, reaching a maximum of 70 mol% at a catalyst loading of 3 wt% of MO and became stable thereafter (reaction conditions: methyl oleate (MO) = 2 g, 2-ethyl-1-hexanol = 2.64 g, temperature = 180°C and reaction time = 8 h). External mass transfer is the possible cause for this behavior at a catalyst loading above 3 wt%. 2-Ethyl-1-hexanol/MO molar ratio had also a marked effect on the product yield (figure 2b). The yield of 2-ethylhexyl oleate increased, reached a maximum of 70 mol% at a 2-ethyl-1-hexanol/MO molar ratio of 3 and decreased thereafter (reaction conditions: 180°C, reaction time = 8h and catalyst = 3 wt% of MO). Transesterification is an equilibrium reaction. Higher

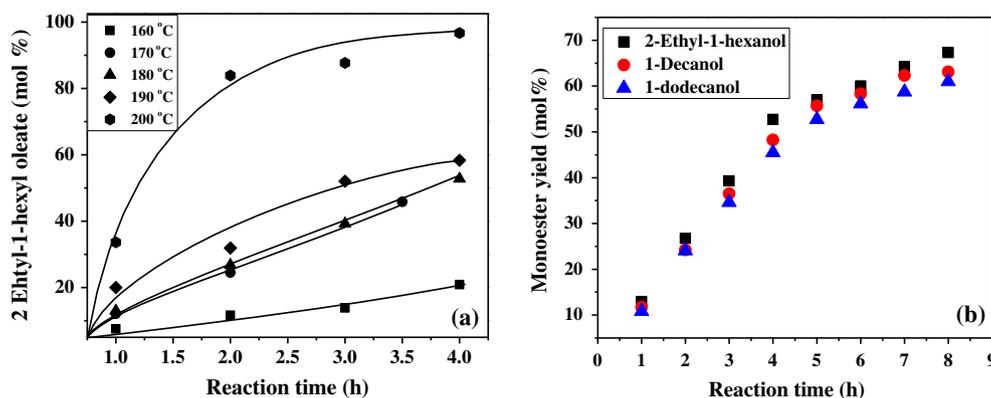


Figure 3. Effect of (a) reaction temperature and time and (b) type of long-chain alcohol on the yield of fatty monoester. *Reaction conditions:* For (a): methyl oleate (MO) = 2 g, 2-ethyl-1-hexanol = 2.64 g, MO: 2-ethyl-1-hexanol (molar ratio) = 1 : 3, DMC = 3 wt% of MO, pressure = 1 atm, under positive flow of nitrogen. For (b): MO = 2 g, MO: long-chain alcohol (molar ratio) = 1:3, DMC = 3 wt% of MO, reaction temperature = 180°C, reaction time = 8 h, pressure = 1 atm, under a positive flow of nitrogen.

amount of 2-ethyl-1-hexanol drives the equilibrium toward right, forming fatty monoester lubricant. At much higher concentrations of 2-ethyl-1-hexanol (molar ratio of 2-ethyl-1-hexanol/MO ≥ 5), accessibility of MO to the active sites was low and hence, lower yields of 2-ethylhexyl oleate were obtained. Under similar experimental conditions, the product yield increased with increasing reaction time and attained equilibrium at 8 h. Also with increasing temperature, the yield of 2-ethylhexyl oleate increased. At 180°C and in 4h, monoester yield as high as 96.7 mol% was obtained (figure 3a). At high temperature, most of the known acid catalysts form ethers. The unique feature of DMC is that even at 180°C, formation of such undesired ether products was not detected. The selectivity for the monoester and methanol were 100%. DMC was reused in four recycling experiments. At the end of each run, the catalyst was separated from the reaction mixture; it was washed with methanol, dried at 100°C for 12h and used in another recycle. The yield of 2-ethylhexyl oleate was nearly the same in each recycle. XRD and FTIR spectra of the fresh and spent catalyst (at the end of 4th recycle) were almost the same (figure 1) confirming the structural integrity of DMC in transesterification runs. No leaching of metal ions was detected as revealed by atomic absorption spectroscopy (AAS). Conversion verses time plots at five different temperatures enabled rate constant and energy of activation (E_a from the Arrhenius plot). The E_a value was determined to be 75.9 kJ/mol. Using Eyring equation enthalpy of activation (ΔH^\ddagger) and entropy of activation (ΔS^\ddagger) were determined to be 72.3 kJ/mol and 283.3 J/mol, respectively. As this reaction proceeds to some extent even in the absence of a catalyst, the activation energy has contributions from both thermal and catalytic reactions.

DMC is effective for transesterification of MO with different long-chain alcohols (figure 3b). A marginal decrease in equilibrium conversion of MO from 70 to 62 mol% was observed when 1-dodecanol instead of 2-ethyl-1-hexanol was used. The monoester product after removal of methanol and unreacted long-chain alcohol was pale yellow in color. Its physical properties (table 2) match well with those of hydraulic oil lubricants confirming that these long-chain alcohol fatty esters are suitable lubricant base oil. Kinematic viscosity of long-chain alcohol oleates were higher than that of the starting ester (MO). As expected, 2-ethylhexyl oleate has pour point lower than the other esters and MO.

A tentative mechanism for this reaction over Lewis acidic Zn^{2+} active sites in DMC catalyst is shown in figure 4. Activation of MO at Zn^{2+} site; formation of carbocation; nucleophilic attack by the long-chain

Table 2. Properties of methyl and long-chain alcohol fatty esters prepared using DMC catalyst^a.

Test description	Units	Methyl oleate	2-Ethylhexyl oleate	Decyl oleate	Dodecyl oleate	Test method
Kinematic viscosity @ 40°C	cST	4.628	7.417	9.091	11.93	IS 1448 (P25) 2007
Kinematic viscosity @ 100°C	cST	1.764	2.423	2.573	3.377	IS 1448 (P25) 2007
Viscosity index	-	-	167	116	169	IS:1448[p.56]
Density @ 15°C	g/cc	0.8804	0.8717	0.8581	0.8619	IS 1448 (P32) 2008
Acid value	mgKOH/g	Nil	Nil	Nil	Nil	IS:1448[p.1]
Flash point (Cleveland open cup)	°C	177	145	123	157	IS 1448 (P69) 2008
Pour point	°C	-15	< -27	-6	+9	IS 1448 (P10, sec 2) 2013, ISO 3016: 1994
Copper strip corrosion for 3 h @100°C	-	1b (slight tarnish)	1a (slight tarnish)	1b (slight tarnish)	1b (slight tarnish)	IS 1448 (P15) 2011, ISO 2160: 1998
Water content	vol%	<0.05	<0.05	<0.05	<0.05	IS 1448 (P40) 2011

^aThese esters contain 70% of oleate and 30% of palmitate + stearate esters in their composition.

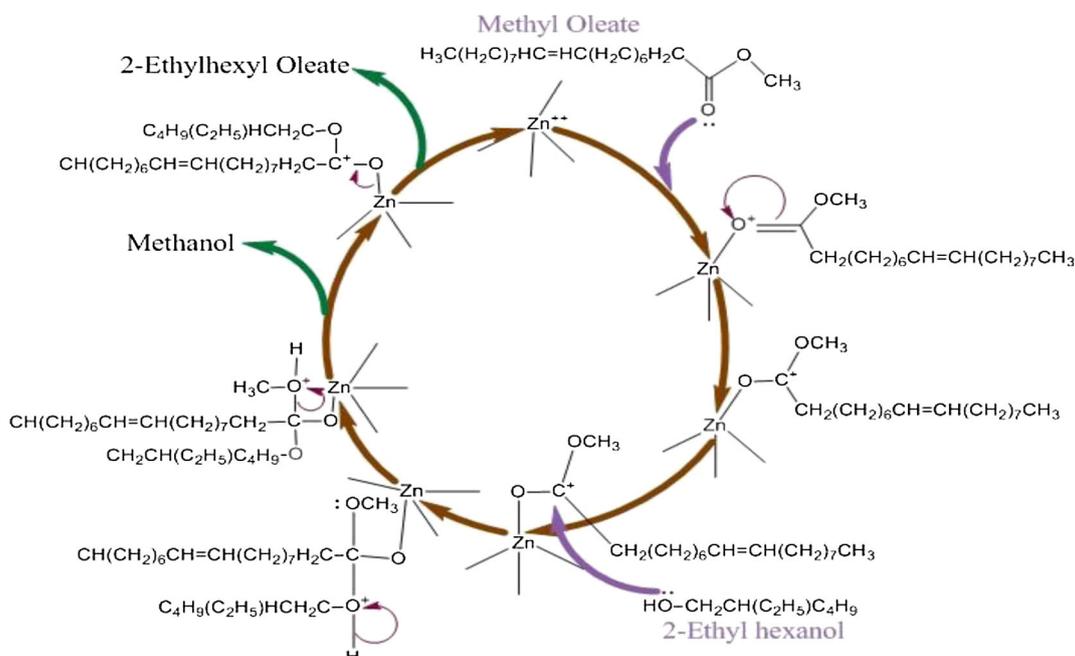


Figure 4. Tentative mechanism for the reaction of methyl oleate with 2-ethyl-1-hexanol.

alcohol (2-ethyl-1-hexanol, for example) on the carbo cation, followed by removal of methanol and long-chain alcohol oleate ester formation are the steps involved in the reaction.

4. Conclusions

Catalytic activity of DMC for forming fatty monoester lubricants through transesterification of methyl oleate (FAME-biodiesel) with long-chain alcohols (2-ethyl-1-hexanol, 1-decanol and 1-dodecanol) was investigated. 2-Ethylhexyl oleate yield as high as 96.7 mol% was achieved at 180°C in 4 h. DMC was reusable in at least four recycling experiments with little loss in catalytic activity. The product long-chain alcohol ester had the desired physical properties required for lubricant applications. Fatty monoesters of long-chain alcohols were the selective products over DMC. Formation of undesired ether products were not detected even at 200°C. Our earlier studies and this work together reveal that Fe-Zn DMC is an efficient catalyst for converting fatty compounds (vegetable oils, fatty acids and/or fatty acid methyl esters) into lubricant base oils by esterification/transesterification reactions.

Supplementary Information

Details on analytical studies and properties of lubricant base oil are available as supporting information in the Journal of Chemical Sciences website (www.ias.ac.in/chemsci).

Acknowledgements

M K acknowledges the Council of Scientific and Industrial Research (CSIR), New Delhi for the award of Senior Research Fellowship. This work forms a part of the Network Project ‘Catalysts for Sustainable Energy (ECat, CSC 0117)’ sponsored by CSIR.

References

1. Rudnick L R 2006 *Synthetics, mineral oils and bio-based lubricants: chemistry and technology* (Boca Raton, USA: Taylor & Francis, CRC Press)
2. Honary L A T and Richter E 2011 *Biobased lubricants and greases: Technology and products* (West Sussex, UK: John-Wiley & Sons Ltd.)
3. Bomgardner M M 2013 *C&EN* October 28 19
4. <http://www.freedoniagroup.com/FreedoniaPressRelease/World-Demand-for-Lubricants-to-Approach-42-World-Demand-for-Lubricants-to-Approach-42-Million-Metric-Tons-in-2015.html>
5. Mang T 2007 In *Lubricants and lubrication* (eds.) T Mang and W Dresel (Weinheim, Germany: Wiley-VCH Verlag GmbH & Co. KgaA)
6. Wagner H, Luther R and Mang T 2001 *Appl. Catal. A: Gen.* **221** 429
7. Höfer R and Bigorra J 2007 *Green Chem.* **9** 203
8. Resul M F M G, Ghazi T I M and Idris A 2012 *Ind. Crops Product.* **38** 87
9. Padmaja K V, Rao B V S K, Reddy R K, Bhaskar P S, Singh A K and Prasad R B N 2012 *Ind. Crops Product.* **35** 237
10. US Patent No. 8,101,560 B2
11. Nagendramma P 2011 *Lubrication Sci.* **23** 355
12. Madankar C S, Dalai A K and Naik S N 2013 *Ind. Crops Product.* **44** 139

13. Åkerman C O, Gaber Y, Ghani N A, Lämsä M and Hatti-Kaul R 2011 *J. Mol. Catal. B: Enz.* **72** 263
14. Oh J, Yang S, Kim C, Choi I, Kim J H and Lee H 2013 *Appl. Catal. A: Gen.* **455** 164
15. Masood H, Yunus R, Choong T S Y, Rashid U and Taufiq Yap Y H 2012 *Appl. Catal. A: Gen.* **425–426** 184
16. Santacesaria E, Martinez Vicente G, Di Serio M and Tesser R 2012 *Catal. Today* **195** 2
17. Kotwal M, Kumar A and Srinivas D 2013 *J. Mol. Catal. Chem.* **377** 65
18. Varhadi P, Kotwal M and Srinivas D 2013 *Appl. Catal. A: Gen.* **462** 129
19. Sreeprasanth P S, Srivastava R, Srinivas D and Ratnasamy P 2006 *Appl. Catal. A: Gen.* **314** 148
20. Kotwal M, Deshpande S S and Srinivas D 2011 *Catal. Commun.* **12** 1302
21. Sebastian J and Srinivas D 2011 *Chem. Commun.* **47** 10449
22. Satyarthi J K and Srinivas D 2010 *Energy Fuel* **24** 2154
23. Srivastava R, Srinivas D and Ratnasamy P 2006 *J. Catal.* **241** 34