

Theoretical study of the reactivity trends in the Cl-abstraction reactions of $\text{CHCl}_3 + \text{CHX}^{\cdot-}/\text{CX}_2^{\cdot-}$ ($\text{X} = \text{Cl, Br and I}$)

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Abstract. To better understand how and to what extent the halosubstituted carbene radical anions effect the chlorine abstraction of CHCl_3 , a detailed theoretical investigation has been performed at the UMP2/6-311++G (d, p)/RECP level of theory. The model system $\text{CHCl}_3 + \text{CHX}^{\cdot-}/\text{CX}_2^{\cdot-}$ ($\text{X} = \text{Cl, Br, and I}$) has been chosen for the present study. According to the detailed discussions of geometries and energetics of the optimized stationary points, our theoretical findings suggest that the Cl-abstraction reactions by $\text{CHX}^{\cdot-}$ are energetically favourable, indicating the less massive substitution X in $\text{CHX}^{\cdot-}$ the easier abstraction reaction, as compared to those by $\text{CX}_2^{\cdot-}$, which are energetically unfavourable and would be endothermic.

Keywords. Carbene radical anion; Cl-abstraction reaction; second-order Møller–Plesset perturbation theory (UMP2).

1. Introduction

Halocarbenes are of interest as synthetic reagents in many organic reactions¹ and their chemistry have been extensively investigated both experimentally and theoretically.^{2–7} Also, their corresponding anions have received much attention, not only concerning experimental reports associated with the reactions with CH_3X ($\text{X} = \text{F, Cl, Br, I}$), ROH ($\text{R} = \text{CH}_3, \text{C}_2\text{H}_5, (\text{CH}_3)_2\text{CH}, (\text{CH}_3)_2\text{CHCH}_2$), $\text{CH}_n\text{Cl}_{4-n}$ ($n = 0–3$), CS_2 , CSO , CO_2 , O_2 , CO , and N_2O ,^{8–10} but also involving theoretical investigations in mechanism, especially the reactions with $\text{CHCl}^{\cdot-}$ in recent years.^{11,12}

Among a great deal of reactions induced by series of carbene radical anions, the reaction of $\text{CHCl}^{\cdot-} + \text{CHCl}_3$ attracts our interest. In 2008 Villano *et al.* used the flowing afterglow-selected ion flow tube instrument (FA-SIFT)¹³ to probe the reaction mechanism of $\text{CHCl}^{\cdot-}$ with CHCl_3 ¹⁰ and proposed that the reaction primarily occurs via proton transfer, and additionally by anion attack on Cl to produce CHCl_2^- . Note that in the Villano *et al.*'s experimental study the

mechanism of chlorine abstraction was not suggested as compared to the mechanisms mentioned. Consequently, the present study is limited to the Cl-abstraction reaction, which stimulated their study. It should be pointed out that comparative studies are very useful in understanding similarities and differences in the chemical properties of molecules. In such cases, we present a systematic computational investigation to characterize the properties of chlorine abstraction that can be studied as a function of the substituents based on the constructed reaction model $\text{CHCl}_3 + \text{CHX}^{\cdot-}/\text{CX}_2^{\cdot-}$ ($\text{X} = \text{Cl, Br, and I}$) by using the conventional *ab initio* UMP2/6-311++G (d, p)/RECP level of theory.

To examine the generality of the Cl-abstraction chemistry, we shall be devoted (i) to clarify the reaction mechanism via exploring the structures and energetics of the intermediate complexes and transition states, (ii) to investigate how and to what extent the halosubstituted carbene radical anions effect the chlorine abstraction of CHCl_3 molecule, (iii) to compare reactivity of the $\text{CHX}^{\cdot-}$ abstraction with that of the analogous $\text{CX}_2^{\cdot-}$ abstraction, (iv) to estimate their activation barriers and to understand the origin of the barrier heights

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using the Activation Strain model, and (v) to finally establish general trends and predictions for the chlorine abstraction of CHCl_3 by $\text{CHX}^-/\text{CX}_2^-$ (Cl, Br, and I).

2. Computational methods

All equilibrium geometries in this paper have been fully optimized at the UMP2 method.¹⁴ The large 6-311++G (d, p) basis set is performed using for H, C, Cl and Br atoms.¹⁵ For I atom a relativistic effective core potential (RECP) (Hay and Wadt)¹⁶ is used, also an f-type polarization function.¹⁷ So the 4f, 5s and 5p in I is treated explicitly by a (4s4p1f) Gaussian basis set contracted to [2s2p1f]. All calculations used the RECP in which 5s and 5p electrons were treated as ‘valence’ electron with the remaining electrons replaced by the RECP. Frequency calculations at the same level of theory have been achieved to identify each optimized stationary point as either minima (the number of imaginary frequencies (NIMAG = 0)) or transition-state structures (NIMAG = 1) and to evaluate the zero-point energy (ZPE) corrections, which are included in all relative energies. Moreover, the transition states corresponding

to both the reactants and the products direction in the reaction pathways were examined by using the intrinsic reaction coordinate (IRC).¹⁸ Furthermore, the natural population analysis (NPA) has been made in terms of natural bond orbital (NBO) method.¹⁹ All calculations reported here have been carried out with GAUSSIAN 03²⁰ program package.

3. Results and discussion

Before the presentation of the calculated results for those Cl-abstraction reactions, it is perhaps worthwhile to describe briefly the mechanisms of the title reactions. As shown in figure 1, a double-well PES can be displayed in their mechanisms as vividly expressed as follows: reactants (Rea) \rightarrow precursor complex (Cpx) \rightarrow transition state (TS) \rightarrow radical intermediate (Rim) \rightarrow products (Pro). To simplify the comparisons and to emphasize the trends, we have given their energies relative to the two reactant molecules, i.e., $\text{CHX}^-/\text{CX}_2^- + \text{CHCl}_3$, which are listed in table 1. The major conclusions drawn from the current study can be summarized as follows.

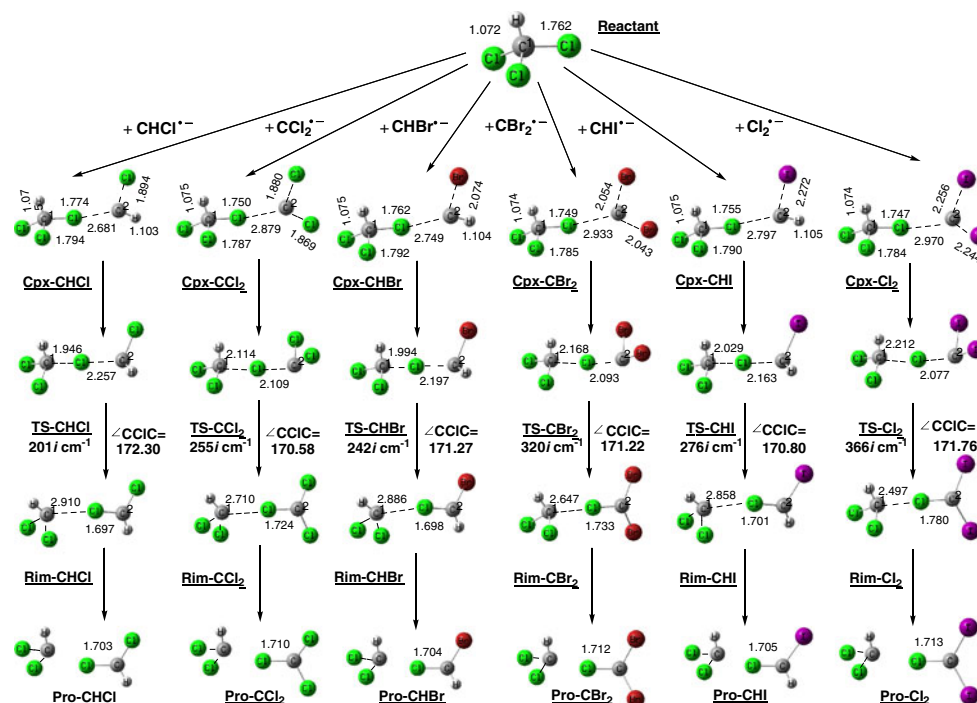


Figure 1. Optimized geometries of Cl-abstraction reactions of CHCl_3 with $\text{CHX}^-/\text{CX}_2^-$ (X = Cl, Br and I) at the UMP2/6-311++G (d, p)/RECP level of theory, the bond distance of reactants CHX^- and CX_2^- (CHCl^- [C–H: 1.120; C–Cl: 1.892], CHBr^- [C–H: 1.121; C–Br: 2.064], CHI^- [C–H: 1.121; C–I: 2.253], CCl_2^- [C–Cl: 1.871], CBr_2^- [C–Br: 2.046], Cl_2^- [C–I: 2.238]), with bond distances in Å and angles in degree.

Table 1. Relative energy E_r (kJ mol⁻¹) and spin density S_d in C¹ and C² atoms of all geometries of Cl-abstraction reactions.

System	E_r	C ¹ atom with S_d	C ² atom with S_d
Rea-CHCl	0.00	0.000	1.079
Rea-CHBr	0.00	0.000	1.066
Rea-CHI	0.00	0.000	1.045
Rea-CCl ₂	0.00	0.000	1.000
Rea-CBr ₂	0.00	0.000	0.989
Rea-Cl ₂	0.00	0.000	0.976
Cpx-CHCl	-33.66	-0.012	1.093
Cpx-CHBr	-29.43	-0.008	1.082
Cpx-CHI	-26.61	-0.008	1.066
Cpx-CCl ₂	-19.50	-0.005	1.003
Cpx-CBr ₂	-15.77	-0.004	0.994
Cpx-Cl ₂	-13.98	-0.003	0.985
TS-CHCl	-27.52	0.141	0.878
TS-CHBr	-16.62	0.216	0.788
TS-CHI	-7.37	0.262	0.751
TS-CCl ₂	17.97	0.484	0.472
TS-CBr ₂	35.76	0.497	0.508
TS-Cl ₂	46.63	0.503	0.603
Rim-CHCl	-85.54	-0.005	0.907
Rim-CHBr	-63.46	-0.007	0.904
Rim-CHI	-44.93	-0.005	0.897
Rim-CCl ₂	10.57	-0.004	0.828
Rim-CBr ₂	29.86	-0.010	0.799
Rim-Cl ₂	41.44	-0.032	0.752
Pro-CHCl	-65.19 (-65.20) ^a	0.000	0.921
Pro-CHBr	-41.85 (-41.69) ^a	0.000	0.920
Pro-CHI	-23.33 (-22.87) ^a	0.000	0.921
Pro-CCl ₂	40.32 (56.25) ^a	0.000	0.818
Pro-CBr ₂	76.89 (83.61) ^a	0.000	0.811
Pro-Cl ₂	102.25 (99.65) ^a	0.000	0.803

^aValues in parentheses denote the $\Delta_r H_m^\theta$ at 298 K

3.1 Precursor complexes

As expected, the weakly bound intermediate complexes for the reactions, $\text{CHCl}_3 + \text{CHX}^-/\text{CX}_2^-$ ($X = \text{Cl, Br}$ and I), are initially formed. Calculated vibrational frequencies for the ion-dipole complexes reveal that their structures are true minima on the potential energy surfaces (PES). According to our computations as given

in figure 1, the precursor complexes (Cpx-CHCl, Cpx-CHBr, Cpx-CHI, Cpx-CCl₂, Cpx-CBr₂ and Cpx-Cl₂) all display very similar C²HX/C²X₂...C¹HCl₃ bonding characteristics. More intriguing, the calculated C¹-Cl* bond distance (the Cl* denotes the abstracted chlorine) is lengthened by 0.7% in Cpx-CHCl, unchanged in Cpx-CHBr, shortened by 0.4% in Cpx-CHI, and uniformly shortened by 0.7–0.9% in Cpx-CX₂ ($X = \text{Cl, Br}$ and

Table 2. Computed NBO charges (a.u.) at the UMP2/6-311++G (d, p)/RECP level.

X ¹ X ²	Rea			Cpx			TS				Pro	
	C ² X ¹ X ² -	Cl*	C ¹ HCl ₂	C ² X ¹ X ²	Cl*	C ¹ HCl ₂	C ² X ¹ X ²	Cl*	C ¹ HCl ₂	[Cl*]-[C ²]	Cl*C ² X ¹ X ²	C ¹ HCl ₂
HCl	-1.000	0.029	-0.029	-0.889	0.109	-0.221	-0.659	-0.009	-0.332	0.474	0.000	-1.000
HBr	-1.000	0.029	-0.029	-0.919	0.119	-0.199	-0.627	-0.034	-0.339	0.479	0.000	-1.000
HI	-1.000	0.029	-0.029	-0.936	0.121	-0.185	-0.611	-0.047	-0.342	0.535	0.000	-1.000
ClCl	-1.000	0.029	-0.029	-0.961	0.121	-0.160	-0.584	-0.128	-0.335	0.160	0.000	-1.000
BrBr	-1.000	0.029	-0.029	-0.976	0.116	-0.141	-0.535	-0.112	-0.378	0.307	0.000	-1.000
II	-1.000	0.029	-0.029	-0.983	0.114	-0.131	-0.516	-0.095	-0.389	0.517	0.000	-1.000

I), respectively, while the other C¹–Cl bond distance is completely stretched by 1.8%, 1.7%, 1.6%, 1.4%, 1.3% and 1.2% in Cpx–CHCl, Cpx–CHBr, Cpx–CHI, Cpx–CCl₂, Cpx–CBr₂ and Cpx–Cl₂, respectively, compared to the C¹–Cl equilibrium bond length in isolated CHCl₃. The reasons for such a difference can be traced to charge transfer as reported in table 2. Our theoretical calculations suggest that the trend in charges transferred also mirrors the trend in C¹–Cl bond length change: Cpx–CHCl (0.111 a.u.) > Cpx–CHBr (0.081 a.u.) > Cpx–CHI (0.064 a.u.) and Cpx–CCl₂ (0.039 a.u.) > Cpx–CBr₂ (0.024 a.u.) > Cpx–Cl₂ (0.017 a.u.). In addition, the quantitative analysis has been provided to account for the correlation of degree of charge transfer in the Cpxs with bond length change of C¹–Cl* from the Reactants to corresponding Cpxs. As shown in figure 2, there are two good linear correlations for Cpx–CHX (R = 0.985) and for Cpx–CX₂ (R = 0.922), respectively, indicating the extent of bond distance change is associated with the degree of charge transfer, suggesting similar bond change arises in Cpx–CX₂ than in Cpx–CHX, where X = Cl, Br and I. Obviously, it is a critical point that the charge transferred of 0.081 a.u. in Cpx, i.e., from reactants to corresponding precursor complexes, the C¹–Cl* is lengthened when the charge transferred is above 0.081 a.u., while analogous C¹–Cl* is shortened if the charge transferred is below 0.081 a.u.. Furthermore, it should be noted that the length of the σ C¹–Cl* bond decreases in the order Cpx–CHCl (1.774 Å) > Cpx–CHBr (1.762 Å) > Cpx–CHI (1.755 Å), but closes

as Cpx–CCl₂ (1.750 Å) \approx Cpx–CBr₂ (1.749 Å) \approx Cpx–Cl₂ (1.747 Å). Presumably this is due to the existence of conjugated Π p-bond in CX₂ fragment, further indicating less charge transfer take place in Cpx–CX₂ than in Cpx–CHX. This bonding effect is also reflected that the C²–X bond distances of Cpx–CX₂ are shorter than analogous those of Cpx–CHX, as indicated in figure 1.

On the other hand, the complexation energies obtained for the ion-molecule complexes, at the UMP2/6-311++G (d, p)/RECP level of theory, are uniformly negative and increases in the order (kJ mol⁻¹) Cpx–CHCl (–33.66) < Cpx–CHBr (–29.43) < Cpx–CHI (–26.61) and Cpx–CCl₂ (–19.50) < Cpx–CBr₂ (–15.77) < Cpx–Cl₂ (–13.98), as observed from table 1. Notably, the formation of all complexes do not need to conquer any energy barriers and corresponding stabilization decreases as X varies from Cl to Br to I, and in this case, the Cpx–CHX complexes possess higher stabilization than the Cpx–CX₂ complexes. Moreover, the difference in stability of these adducts is easily understood in terms of the HOMO (Lewis base; i.e., CHX⁻/CX₂⁻)–LUMO (Lewis acid; i.e., CHCl₃)^{21–25} interaction. According to the perturbation theory, both a smaller HOMO–LUMO gap and a larger overlap between them results in a greater Lewis adduct stabilization. According to our theoretical investigations, we note that the energy of the HOMO decreases in the order (kJ mol⁻¹) CHCl⁻ (–136.53) > CHBr⁻ (–162.78) > CHI⁻ (–170.71) and CCl₂⁻ (–254.67) > CBr₂⁻ (–267.80) > Cl₂⁻ (–286.24). In other words, a less massive substitution X results in a greater Cpx stabilization in which the stability of Cpx–CHX are higher than that of Cpx–CX₂.

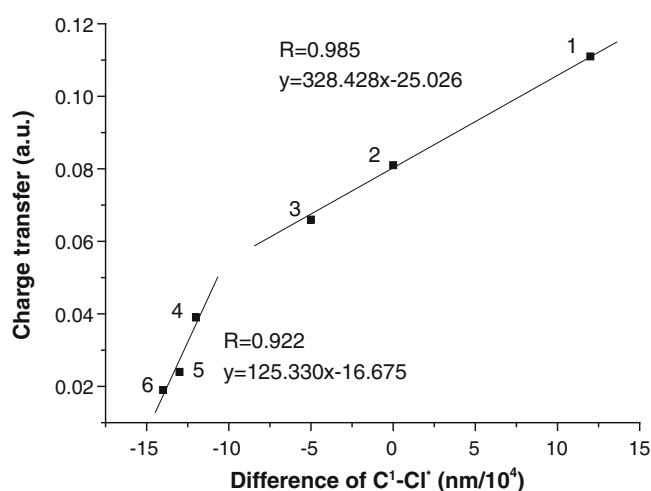


Figure 2. Correlations of charges transfer with difference of C¹–Cl* bond distances. The Cpxs (1, Cpx–CHCl [0.111, 12]; 2, Cpx–CHBr [0.081, 0]; 3, Cpx–CHI [0.064, –3]; 4, Cpx–CCl₂ [0.039, –12]; 5, Cpx–CBr₂ [0.024, –13]; 6, Cpx–Cl₂ [0.017, –15]).

3.2 Transition states

Starting from the stable precursor complexes, we have successfully located the transition states (TS–CHCl, TS–CHBr, TS–CHI, TS–CCl₂, TS–CBr₂ and TS–Cl₂) for the abstraction reactions, and all TSs were confirmed to be first-order saddle points with only one imaginary frequency. Examination of the single imaginary frequency for each transition state (201*i* cm⁻¹ for TS–CHCl, 242*i* cm⁻¹ for TS–CHBr, 276*i* cm⁻¹ for TS–CHI, 255*i* cm⁻¹ for TS–CCl₂, 320*i* cm⁻¹ for TS–CBr₂, and 366*i* cm⁻¹ for TS–Cl₂) provides excellent confirmation of the concept of the abstraction process, primarily the C–Cl bond in CHCl₃ stretching with Cl atom migrating to the carbon center of CHX⁻/CX₂⁻. This is fully borne out by our IRC computations, as seen from figure 3. Indeed, the pronounced similarity among those

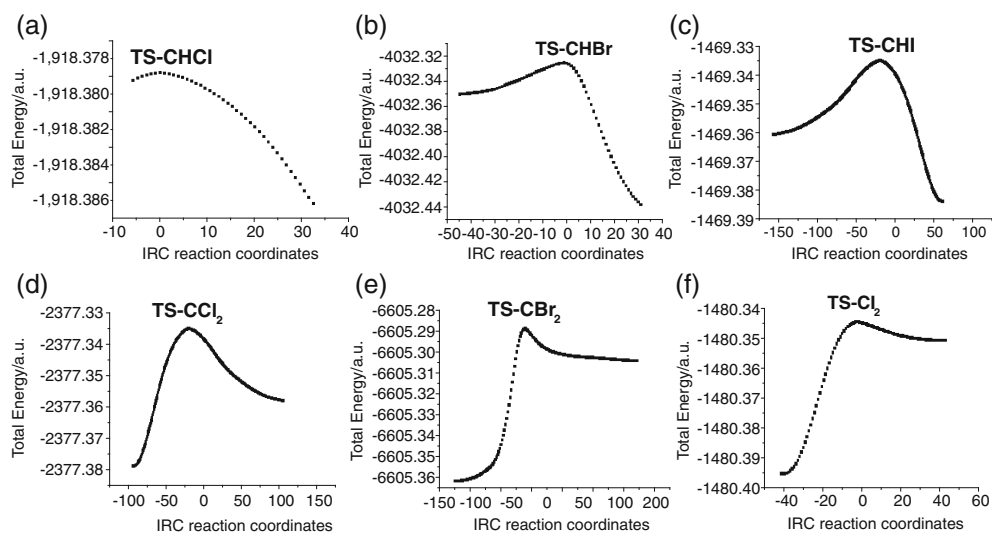


Figure 3. Potential energy profiles along the coordinate.

Table 3. Activation strain analysis of the activation barriers relative to precursor complexes.^a

	ΔE^\ddagger	$\Delta E_{\text{strain}}^\ddagger$	$\Delta E_{\text{int}}^\ddagger$
TS-CHCl	6.14	32.59	-26.45
TS-CHBr	12.81	57.36	-44.55
TS-CHI	19.24	76.65	-57.41
TS-CCl ₂	37.47	123.82	-86.35
TS-CBr ₂	51.53	142.08	-90.55
TS-Cl ₂	60.61	152.93	-92.32

^aValues are reported in kJ mol^{-1} at the UMP2 level

Table 4. NBO analysis for the transition-state structures for the reactions of the mono- and dihalosubstituted species.^a

	σ_{donor}	$\sigma_{\text{acceptor}}^*$	$E(2)$ (kJ mol^{-1})	ΔE (a.u.)	F_{ij} (a.u.)
$\text{C}^2\text{HCl}-\text{Cl}^*-\text{C}^1\text{HCl}_2$	$\sigma_{\text{C}^2-\text{Cl}}$	$\text{LP}^*_{\text{Cl}^*}$	0.67	1.42	0.019
$\text{C}^2\text{HBr}-\text{Cl}^*-\text{C}^1\text{HCl}_2$	$\sigma_{\text{C}^2-\text{Br}}$	$\text{LP}^*_{\text{Cl}^*}$	1.84	1.35	0.031
$\text{C}^2\text{HI}-\text{Cl}^*-\text{C}^1\text{HCl}_2$	$\sigma_{\text{C}^2-\text{I}}$	$\text{LP}^*_{\text{Cl}^*}$	3.03	1.19	0.038
$\text{C}^2\text{Cl}_2-\text{Cl}^*-\text{C}^1\text{HCl}_2$	$\sigma_{\text{C}^2-\text{Cl}}$	$\text{LP}^*_{\text{Cl}^*}$	1.92	1.55	0.034
$\text{C}^2\text{Br}_2-\text{Cl}^*-\text{C}^1\text{HCl}_2$	$\sigma_{\text{C}^2-\text{Br}}$	$\text{LP}^*_{\text{Cl}^*}$	2.93	1.37	0.039
$\text{C}^2\text{I}_2-\text{Cl}^*-\text{C}^1\text{HCl}_2$	$\sigma_{\text{C}^2-\text{I}}$	$\text{LP}^*_{\text{Cl}^*}$	4.11	1.22	0.045

^a $E(2)$ is the perturbative analysis hyperconjugative energy, ΔE is the energy difference between the σ and σ^* NBOs, and F_{ij} is the Fock matrix element between the NBOs i and j

transition states is the near linear three-center pattern involving a chlorine atom and two carbon atoms. As seen from figure 1, the $C^1-Cl^*-C^2$ bond angles for the mono- and dihalosubstituted TS structures range 170.80–172.30° and 170.58–171.76°, respectively. Moreover, our theoretical results also presented some periodic trends in TS structures as given in figure 1. For instance, the C^1-Cl^* internuclear separations for the monochloro-, bromo-, and iodo-substituted transition states are in the order TS-CHCl (1.946 Å) < TS-CHBr (1.994 Å) < TS-CHI (2.029 Å). Additionally, the same trend is predicted for the disubstituted transition states TS-CCl₂ (2.114 Å) < TS-CBr₂ (2.168 Å) < TS-Cl₂ (2.212 Å). These values suggest that the Cl-abstraction reaction with lighter halosubstituted carbene radical anion takes place earlier along the reaction coordinate. Thus, the TS of the reaction by CHCl⁻ has stronger reactant-like character than those of the others. According to the Hammond postulate,²⁶ the TS-CHCl should have the smallest and TS-Cl₂ the highest activation barriers. This was fully confirmed by our theoretical calculations. As shown in table 3, the barrier height from the corresponding precursor complex increases in the order (kJ mol⁻¹) TS-CHCl (6.14) < TS-CHBr (12.81) < TS-CHI (19.24) and TS-CCl₂ (37.47) < TS-CBr₂ (51.53) < TS-Cl₂ (60.61). In other words, the closer the X atom is to the end of a group, the higher the chlorine abstraction conquers barrier. Comparatively, the distance of the C² and Cl* atoms shows also similar trends upon mono- and disubstitution TSs by chlorine, bromine, and iodine atoms, that is, TS-CHCl (2.257 Å) > TS-CHBr (2.197 Å) > TS-CHI (2.163 Å) and TS-CCl₂ (2.109 Å) > TS-CBr₂ (2.093 Å) > TS-Cl₂ (2.077 Å). Those are ascribed to the electrostatic repulsion between the negatively charged C² and Cl* atoms. As indicated in table 2, our UMP2 calculations suggest that the difference in negative charges between Cl* and C² increases in the order (a.u.) TS-CHCl (0.474) < TS-CHBr (0.479) < TS-CHI (0.535) and TS-CCl₂ (0.160) < TS-CBr₂ (0.307) < TS-Cl₂ (0.517). Moreover, as reflected in NBO analysis for the TS structures as indicated in table 4, the difference in the donor abilities supported by the energy of $\sigma_{C^2-X} \rightarrow LP^*_{Cl^*}$ interaction increase in the order (for monosubstituted TSs) $\sigma_{C^2-Cl} \rightarrow LP^*_{Cl^*}$ (0.67 kJ mol⁻¹) < $\sigma_{C^2-Br} \rightarrow LP^*_{Cl^*}$ (1.84 kJ mol⁻¹) < $\sigma_{C^2-I} \rightarrow LP^*_{Cl^*}$ (3.03 kJ mol⁻¹). The same trend applies to the disubstituted TS structures $\sigma_{C^2-Cl} \rightarrow LP^*_{Cl^*}$ (1.92 kJ mol⁻¹) < $\sigma_{C^2-Br} \rightarrow LP^*_{Cl^*}$ (2.93 kJ mol⁻¹) < $\sigma_{C^2-I} \rightarrow LP^*_{Cl^*}$ (4.11 kJ mol⁻¹). Also, these trends reflect those of charges transferred from C²HX/C²X₂ moieties, which increase in the order

(a.u.) TS-CHCl (0.341) < TS-CHBr (0.373) < TS-CHI (0.389) and TS-CCl₂ (0.416) < TS-CBr₂ (0.465) < TS-Cl₂ (0.484), as seen from table 2. This is in accordance with the result²⁷ that a later TS would allow more charges to transfer. Hence, our theoretical calculations suggest that the ease of chlorine migration at the TS states for both mono- and dihalosubstituted reactions decreases as substitution X goes down the group and TS-CHX exhibit more preferential chlorine migration than corresponding TS-CX₂. On the basis of above discussions, one may therefore conclude that chlorine abstraction of the title reactions (CHCl₃ + CHX⁻/CX₂⁻) is increasing difficult as substitution X varies from Cl to I. Besides, the model calculations also suggest the Cl-abstraction reactions of CHX⁻ are usually more favourable than those of CX₂⁻.

To better understand the reactivity among 6 reaction processes, we have analysed their activation barriers using the Activation Strain model^{28–32} in which the activation energy ΔE^\ddagger is decomposed into the activation strain $\Delta E^\ddagger_{\text{strain}}$ and the stabilizing transition state (TS) interaction $\Delta E^\ddagger_{\text{int}}$ between the reactants in the activated complex: $\Delta E^\ddagger = \Delta E^\ddagger_{\text{strain}} + \Delta E^\ddagger_{\text{int}}$. In this paper, we choose the system of CHCl₃ + CHCl⁻ as an illustrative example to explain our Activation Strain model and the other studied systems are analogous. For the system of CHCl₃ + CHCl⁻, the activation strain $\Delta E^\ddagger_{\text{strain}}$ refers to the relative energy of the total energy of the deformed reactants (CHCl₃ + CHCl⁻) in geometry of activated complex with that of Cpx-CHCl and corresponding transition state interaction $\Delta E^\ddagger_{\text{int}}$ is the relative energy of the total energy of the deformed reactants with that of corresponding TS-CHCl. All results of the Activation Strain analysis are listed in table 3. Comparisons of the $\Delta E^\ddagger_{\text{strain}}$ and $\Delta E^\ddagger_{\text{int}}$ components in TSs reveal that the $\Delta E^\ddagger_{\text{strain}}$ for both Cpx-CHX and Cpx-CX₂ demonstrate a same trend as increase in the order: TS-CHCl < TS-CHBr < TS-CHI and TS-CCl₂ < TS-CBr₂ < TS-Cl₂, but the $\Delta E^\ddagger_{\text{int}}$ obviously show decreased behaviour as follows, TS-CHCl > TS-CHBr > TS-CHI and TS-CCl₂ > TS-CBr₂ > TS-Cl₂, and the net result is that the ΔE^\ddagger exhibit the orders of CHCl⁻ + CHCl₃ < CHBr⁻ + CHCl₃ < CHI⁻ + CHCl₃ and CCl₂⁻ + CHCl₃ < CBr₂⁻ + CHCl₃ < Cl₂⁻ + CHCl₃. Obviously, in this case $\Delta E^\ddagger_{\text{int}}$ is not the decisive factor of activation energy. Furthermore, as known that the origin of activation strain is directly related to the bond strength of the activated bond³³ which may be expressed by the percentage-wise extent of bond stretching in TS. This is fully confirmed by our theoretical calculations. As shown in figure 4, there are two good linear correlations

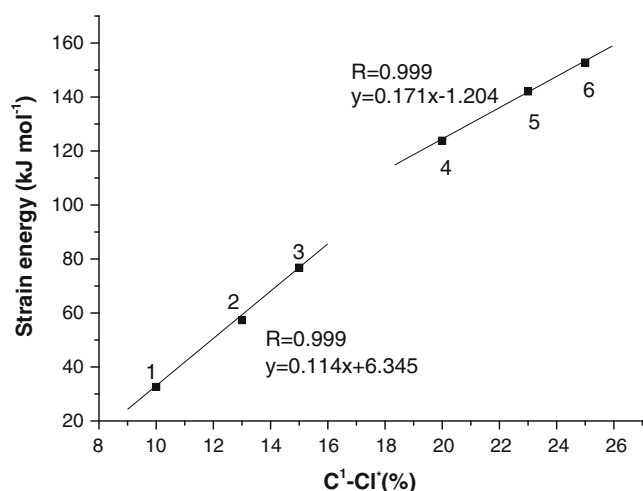


Figure 4. Correlations of strain energy $\Delta E_{\text{strain}}^{\ddagger}$ with C^1-Cl^* bond strength for the Cl-abstraction reactions. The TSs (1, TS-CHCl [32.59, 10]; 2, TS-CHBr [57.36, 13]; 3, TS-CHI [76.65, 15]; 4, TS-CCl₂ [123.82, 20]; 5, TS-CBr₂ [142.08, 23]; 6, TS-Cl₂ [152.93, 25]).

of $\Delta E_{\text{strain}}^{\ddagger}$ with the strength of C^1-Cl^* bond, indicating the same R (0.999) in the mono- and disubstituted transition states. Thus, we conclude that the Cl^* transfer ability derives directly from the strength of C^1-Cl^* bond activated by $CHX^{\cdot-}/CX_2^{\cdot-}$, in other words, a longer C^1-Cl^* bond distance need to suffer a higher energy barrier because of a higher destabilizing substrate strain.

3.3 Radical intermediates

Along the pathways, as shown in figure 1, the corresponding product intermediates $C^1HCl_2^{\cdot-} \cdots Cl^*C^2HX/Cl^*C^2X_2$ (Rim-CHCl, Rim-CHBr, Rim-CHI, Rim-CCl₂, Rim-CBr₂ and Rim-Cl₂) are yielded. As expected, the structures of the complexes are found to be more similar to those of final products. Indeed, the C^2-Cl^* bonds were already formed for each product intermediate. Our UMP2 calculations indicate that the distance of formed C^2-Cl^* bond is 1.697, 1.698, and 1.701 Å for Rim-CHCl, Rim-CHBr, and Rim-CHI and 1.724, 1.733, and 1.780 Å for Rim-CCl₂, Rim-CBr₂, and Rim-Cl₂, respectively. Moreover, the Rim-CHX complexes should possess higher stabilization than the Rim-CX₂ complexes. For instance, the UMP2 results estimate that the energies of Rim-CHCl, Rim-CHBr, and Rim-CHI are below those of the reactants by 85.54, 63.46, and 44.93 kJ mol⁻¹, respectively, whereas the energies of Rim-CCl₂, Rim-CBr₂, and Rim-Cl₂ are above those of the reactants by 10.57, 29.86, and 41.44 kJ mol⁻¹, respectively. On these basis,

we further confirm that the Cl-abstraction reactions by $CHX^{\cdot-}$ are more favourable than those by $CX_2^{\cdot-}$.

3.4 Products

The optimized structures (Pro-CHCl, Pro-CHBr, Pro-CHI, Pro-CCl₂, Pro-CBr₂ and Pro-Cl₂) are collected in figure 1. Our theoretical results reveal that all products consist of two sections, that is, $C^1HCl_2^{\cdot-}$ with strongly pyramidal structure and $Cl^*C^2HX^{\cdot-}/Cl^*C^2X_2^{\cdot-}$ with nearly planar geometry. Here, especially it may be noted that the newly formed C^2-Cl^* bonds in TSs relative to their final equilibrium values are lengthened by a range of 33%-27% for $CHX^{\cdot-}$ abstractions and 23%-21% for $CX_2^{\cdot-}$ abstractions, respectively. These features strongly suggest that the $CHX^{\cdot-}$ abstraction reactions reach the TS relatively early compared to the $CX_2^{\cdot-}$ abstraction reactions which arrive at the TS relatively late. Thus, one may anticipate a larger exothermicity for the reactions by $CHX^{\cdot-}$, which is further confirmed by our spin density (S_d) analysis. As shown in table 1, for six Cl-abstractions, the S_d in C^2 for either precursor or radical complexes uniformly demonstrates the same trend as the order of C^2 ($CHCl^{\cdot-}$) > C^2 ($CHBr^{\cdot-}$) > C^2 ($CHI^{\cdot-}$) > C^2 ($CCl_2^{\cdot-}$) > C^2 ($CBr_2^{\cdot-}$) > C^2 ($Cl_2^{\cdot-}$). Presumably the instability for both precursor and radical complexes would follow a monotonic increase from *Complex* ($CHCl^{\cdot-}$) to *Complex* ($CHBr^{\cdot-}$) to *Complex* ($CHI^{\cdot-}$) to *Complex* ($CCl_2^{\cdot-}$) to *Complex* ($CBr_2^{\cdot-}$) to *Complex* ($Cl_2^{\cdot-}$) because the investigated system is an ion-dipole complex. This is not inconsistent with the known result,³⁴ proposing the systems with the lowest S_d is the most stable. More importantly, the S_d values given above in $CHX^{\cdot-}$ abstractions are bigger than those in $CX_2^{\cdot-}$ abstractions. So, it is reasonable if we consider the exothermic for $CHX^{\cdot-}$ reactions and the endothermic for $CX_2^{\cdot-}$ reactions.²⁷ There is also, for example, a clear trend in reaction enthalpies $\Delta_r H_m^\theta$ (298 K) that can be observed in table 1 for the monosubstituted reactions are in the order (kJ mol⁻¹) Pro-CHCl (-65.20) < Pro-CHBr (-41.69) < Pro-CHI (-22.87). The same trend is predicted for the disubstituted reactions (kJ mol⁻¹) Pro-CCl₂ (56.25) < Pro-CBr₂ (83.61) < Pro-Cl₂ (99.65). Here, the reaction enthalpy (-65.20 kJ mol⁻¹) obtained for the reaction of $CHCl^{\cdot-}$ with $CHCl_3$ is in good agreement with the experimental data (≈ -66.94 kJ mol⁻¹),¹⁰ indicating our computational approach introduced should be rationally adapted to this investigative system. In addition, although $CHX^{\cdot-}$ reactions are predicted to undergo exothermic

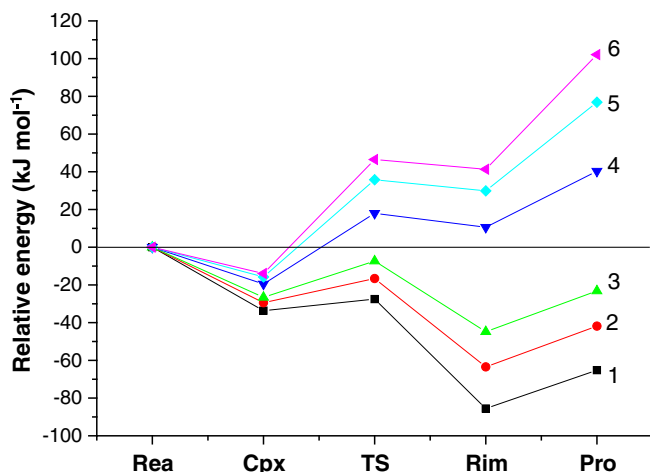


Figure 5. Energy profiles for the Cl-abstraction reactions of substrate CHCl_3 with both CHX^- and CX_2^- . The pathways (1, $\text{CHCl}^- + \text{CHCl}_3$; 2, $\text{CHBr}^- + \text{CHCl}_3$; 3, $\text{CHI}^- + \text{CHCl}_3$; 4, $\text{CCl}_2^- + \text{CHCl}_3$; 5, $\text{CBr}_2^- + \text{CHCl}_3$; 6, $\text{CI}_2^- + \text{CHCl}_3$), the relative energies are taken from the UMP2/6-311++G (d, p)/RECP level of theory as given in table 1.

abstractions whereas CX_2^- reactions endothermic processes as shown above, from figure 5 it can be noted that the energies for both CHX^- and CX_2^- abstraction reactions present a great similarity in trend, which is borne out by our UMP2 calculations. Considering entropy factor is nearly equal in the reactant and products, so relative energy E_r description is introduced in next discussion. As shown in table 1, the E_r order of CHX^- abstractions that also follows the trend in activation energy is (kJ mol $^{-1}$) Pro-CHCl (-65.19 kJ) < Pro-CHBr (-41.85) < Pro-CHI (-23.33), which supports the prediction that the activation barrier should be correlated to the exothermicity.^{35,36} Likewise, the endothermicity for the CX_2^- abstractions is predicted to be in the order (kJ mol $^{-1}$) Pro-CHCl (40.32) < Pro-CHBr (76.89) < Pro-CHI (102.25), indicating that the abstraction reactions induced by CX_2^- are energetically unfavourable.

4. Conclusion

In summary, to gain insight into the essence of the Cl-abstraction reactions of CHCl_3 with $\text{CHX}^-/\text{CX}_2^-$ ($\text{X} = \text{Cl}, \text{Br}, \text{and I}$), a detailed theoretical investigation has been provided with *ab initio* calculations at UMP2/6-311++G (d, p)/RECP level of theory. With the above analysis in mind, the following conclusion can be drawn: (i) all the reaction model proceed via a double-well PES involving a central barrier and a transition state. (ii) The formation of the initial encounter

complexes are uniformly spontaneous and barrierless processes, when $\text{CHX}^-/\text{CX}_2^-$ approaches CHCl_3 . (iii) Using the activation strain model analysis, it is inferred that $\Delta E_{\text{int}}^\ddagger$ can not dominate the activation barriers of the Cl-abstraction reactions. (iv) The reactivity of the title reactions increase as the order of $\text{CHI}^- + \text{CHCl}_3 < \text{CHBr}^- + \text{CHCl}_3 < \text{CHCl}^- + \text{CHCl}_3$ and $\text{CI}_2^- + \text{CHCl}_3 < \text{CBr}_2^- + \text{CHCl}_3 < \text{CCl}_2^- + \text{CHCl}_3$, where the reactions by CHX^- exhibit more activity than those by CX_2^- .

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