

On the key role of water in the allylic activation catalysed by Pd (II) bisphosphinite complexes

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Abstract. Palladium and platinum complexes of bisphosphinites and bisphosphines derived from mandelic acid have been prepared and characterized. Their ability to catalyze allylation of imines with allyltributylstannane has been studied. Bisphosphinite complexes of Pd (II) are shown to be ideal and they work best in the presence of one equivalent of water. The near neutral conditions employed make the catalysts suitable for a wide variety of substrates.

Keywords. Bisphosphinites; bisphosphines; mandelic acid; allylation; palladium and platinum.

1. Introduction

Allylation of carbonyls and imines is an excellent and convenient source of homoallylic alcohols and amines respectively. These are important intermediates in the synthesis of many natural products of great medicinal value.¹ While homo allylic alcohols are more readily accessible due to the ready allylation of carbonyl compounds, the corresponding route to homo allylic amines via allylation of imines is less favoured due to reduced electrophilicity.² Activation of the imine with a Lewis acid increases its electrophilicity towards the allyl fragment^{3,4} but also promotes the ready hydrolysis of imines which is an unwanted side reaction. Thus the design of a non-Lewis acid catalyst for allylic imination is quite a challenge.

A recent report has explored the use of a *bis* π -allyl palladium complex⁵ as a catalyst in the presence of phosphines.⁶ The chemical and optical yields reported in that study, encouraged us to seek a better method for catalytic allylation of imines.

We report here the synthesis of a new series of catalysts based on phosphinites, readily generated from the alcohols and PPh₂Cl; metal coordinated or otherwise.

They promote the allylation of imines using allyltributylstannane as the source of the allylic fragment. The reaction is best carried out in the presence of one equivalent of water but is in no way unique as it has been observed in other systems as well.⁷ The catalyst

system described here, results in considerably improved yields. Although chiral forms of the catalysts are readily accessible from the available chiral pool of alcohols, optical yields are disappointingly low.

2. Results and discussion

The complexes described here were readily generated from the *bis*diphenylphosphinyl chloride complex *cis*-[MCl₂(PPh₂Cl)₂] (**2**) which in turn can be obtained from the reaction of PPh₂Cl with [MCl₂(1,5-COD)] (M = Pd/Pt) (**1**). Treatment of (**2**) with alcohols *in situ* generates phosphinite complexes of Pd/Pt. A range of alcohols, diols, chiral and achiral could be used in this reaction. Diols capable of chelation permitted isolation of molecular complexes which could be readily characterized. However, diols oriented in a *trans* fashion led to polymeric molecules and did not afford discrete molecules.

Preparation of diphosphinites followed the procedure reported by Bergamini and coworkers.⁸ Once coordinated to the metal, the *bis*phosphinites were found to be quite stable. Thus chiral 1,2-diols such as phenyl glycol derived from reduction of optically

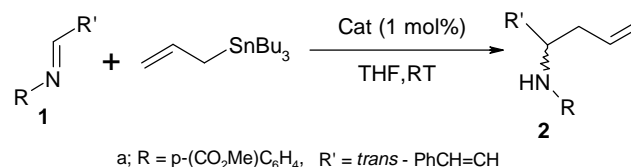
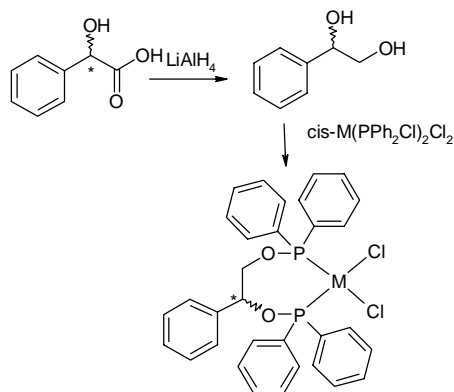


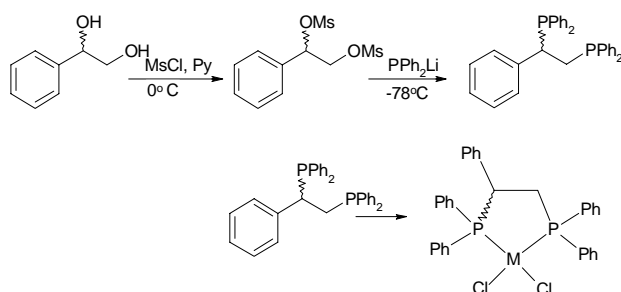
Figure 1.

*For correspondence



I, R isomer **III**, S isomer (M = Pd)
II, R isomer **IV**, S isomer (M = Pt)

Scheme 1.



V; R isomer (M = Pd) **VI**; R isomer (R = Pt)
VII; S isomer (M = Pd) **VIII**; S isomer (R = Pt)

Scheme 2.

pure mandelic acid⁹ were used in this study to obtain optically pure complexes.

The corresponding bisphosphines were also conveniently synthesised by reaction of the methanesulphonates derived from optically active phenyl glycols with PPh_2Li ¹⁴.

2.1 Catalytic allylation and the influence of water

Initial tests were carried out on the allylation of imines derived from cinnamaldehyde using allyltributylstannane with 10 mol percentage of the catalyst (table 1). Surprisingly, when the most active catalyst was tested using smaller quantity (1 mol %), the reaction was faster. However, the reaction was not accelerated further when, 0.1 mol% of catalyst was used. No further attempts were made to optimise the amount of catalyst. A second surprise we encountered in this reaction was its reaction in the presence of water. With 1 mol % of the catalyst, the reaction was com-

plete in 8 h, if one equivalent of water was present. This represented considerable acceleration over the reaction conducted in the absence of water (entry 3 table 1 and entry 1 table 2) Using greater than one equivalent of water was detrimental to the yield as it led to hydrolysis of imine. We attempted the catalysis of the reaction with complexes having triarylphosphines instead of the phosphinites and found them ineffective.

Attempts to extend the reaction to allylation of aldehydes were not very successful as catalyst decomposition was observed during the reaction. In order to study the electronic demands on the allylation, electron-withdrawing and electron-donating substituents were used on the amine and aldehyde that went into the making of the imine. The results are given in table 3. The maximum yields and minimum reaction times were observed with electron withdrawing substituents.

Based on the advantage provided by electron withdrawing groups, we propose nucleophilic allylation of a Pd coordinated imine. This would suggest a six-membered intermediate shown in scheme 4. Since one equivalent of water is required, a stoichiometric reaction of one of the intermediates is a key step. One possible role for water could be the liberation of the active form of the catalyst from the product-complexed-catalyst. Surprisingly, under similar conditions, the platinum complexes were found to be ineffective.

3. Experimental section

All reactions and manipulations were routinely performed under a dry nitrogen atmosphere by using standard Schlenk techniques. Tetrahydrofuran and diethyl ether were doubly distilled over sodium/benzophenone and LiAlH_4 . Dichloromethane was purified by distillation from P_2O_5 . PPh_2Cl was purified by distillation under nitrogen prior to use. ^1H and ^{31}P NMR spectra were recorded on Bruker AMX 400 MHz spectrometer. Peak positions are given in ppm relative to TMS (^1H) and to external H_3PO_4 (^{31}P). Elemental analyses (C, H, N) were performed using Thermo Finnigan FLASH EA 1112 analyser. Optical rotations were obtained on a Jasco DIP-370 instrument.

Literature methods were used for preparation of $[\text{PtCl}_2(1,5\text{-COD})]$ ¹¹ and $[\text{PdCl}_2(1,5\text{-COD})]$ ¹². Catalysts **V**–**VIII** were made according to literature procedure.¹³ All complexes were recrystallized from dichloromethane/diethyl ether.

Table 1. Allylation of imine **1a**.

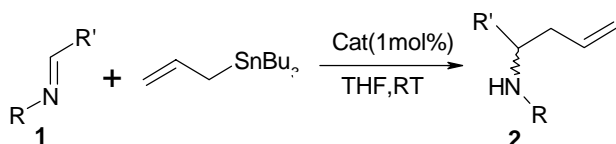
Entry	Catalyst	Reaction time (h)	Isolated yield (%)	Catalyst amount (mol. %)
1	I	24	77	10
2	II	42*	40	10
3	III	24	88	10
4	IV	48	37	10
5	V	36	82	10
6	VI	72	00	10
7	VII	38	86	10
8	VIII	72	00	10
9	I	16	94	1
10	I	80	92	0.1
11	III	17	87	1

*Including 36 h at RT followed by 6 h of reflux

Table 2. Effect of water.

Entry	Catalyst	Reaction time (h)	Water	Isolated yield (%)	Catalyst amount (mol. %)
1	III	14	1 eq	89	(10)
2	III	8	1 eq	95	(1.0)
3	III	37	1 eq	93	(0.1)
4	III	13	Excess	83	(1.0)

Standard reaction condition: To a solution of **1a** (0.07 mmol) in dry THF allyltributylstannane (0.09 mmol) was added and stirred for 10 min at RT. Catalyst **III** (1 mol%) was added followed by degassed water (1 equiv) and the reaction mixture stirred for the given time. The product was separated by column chromatography



a: R = *p*-(COOMe)₆H₄, R' = *trans*-PhCH=CH; b: R = Ph, R' = Ph; c: R = *p*-C₆H₄(OMe), R' = Ph; d: R = *p*-C₆H₄(CO₂Me), R' = h; e: R = Ph, R' = *p*-C₆H₄(NO₂); f: R = *p*-C₆H₄(OMe), R' = *p*-C₆H₄(NO₂); g: R = *p*-C₆H₄(CO₂Me), R' = *p*-C₆H₄(NO₂); h: R = Ph, R' = *p*-C₆H₄(OMe); i: R = *p*-C₆H₄(OMe), R' = *p*-C₆H₄(OMe); j: R = *p*-C₆H₄(CO₂Me), R' = *p*-C₆H₄(OMe); k: R = Ph, R' = *trans*-PhCH=CH; l: R = *p*-C₆H₄(OMe), R' = *trans*-PhCH=CH.

Scheme 3.

(A); (R)-(-)-Phenylethane-1,2-diol. A solution of (-)-(*R*)-mandelic acid (1 g, 6.57 mmol) in dry diethyl ether (20 ml) was added dropwise to a stirred suspension of LAH (1.49 g, 39.43 mmol) in diethyl ether (20 ml) at 15°C over a period of 2 h. After the addition was complete, the reaction mixture was refluxed for 3 h and cooled in an ice bath. Dilute HCl was cautiously added to the stirred solution till the

pH was between 4 and 5. The resulting suspension was passed through celite and washed with diethyl ether (50 ml). The diethyl ether solution was dried over sodium sulphate and then concentrated to give 0.85 g (93%) (lit.,¹⁴ 71%) of (R)-(-)-C₆H₅CHOHCH₂OH. Recrystallisation from toluene-hexane (1:2) gave colourless plates, m.p. 66–67°C; [α]_D²² -39.2° (c 4.0, EtOH); ¹H NMR (CDCl₃) δ 7.31 (*m*, 5H, C₆H₅), 4.80 (*q*, 1H, CH), 3.68 (*m*, 2H, CH₂), 2.90 (*br*, 1H, OH), 2.48 (*br*, 1H, OH); ¹³C NMR (CDCl₃) δ 140.5, 128.5, 127.9, 126.1, 74.7, 68.0.

A completely analogous procedure was used to convert S-(+)-mandelic acid into (B); S-(+)-C₆H₅CHOHCH₂OH, [α]_D²² +38.6° (c 4.0, EtOH).

3.1 Preparation of catalyst

(I); R-(*-*)-Ph(OPPh₂)CHCH₂(OPPh₂)PdCl₂: A typical procedure for making the catalyst is as follows. A solution of PPh₂Cl (0.128 ml, 0.735 mmol) in dry degassed THF (2 ml) was added dropwise to a stirred solution of [PdCl₂ (1,5-COD)] (0.1 g, 0.350 mmol) in

THF (5 ml) at room temperature under nitrogen. A yellow suspension of $[\text{PdCl}_2(\text{PPh}_2\text{Cl})_2]$ was formed which was not isolated. An aliquot of the suspension was evacuated to dryness and dissolved in dry CDCl_3 to confirm formation of the complex $[\text{PdCl}_2(\text{PPh}_2\text{Cl})_2]$ ^{31}P NMR (95.8 ppm).⁸ (R)-(-)-phenylethane-1,2-diol (0.048 g, 0.350 mmol) was added (in 2 ml THF) to the reaction mixture and stirred for 12 h. A light yellow precipitate separated out. The reaction mixture was concentrated to half the volume and dry diethyl ether (20 ml) was added to complete the precipitation with stirring for one more hour. The precipitate was filtered and washed with diethyl ether, and dried in a

vacuum desiccator for 12 h (0.195 g, 81%). $\text{C}_{32}\text{H}_{28}\text{P}_2\text{O}_2$ $\text{PdCl}_2 \cdot \text{CH}_2\text{Cl}_2$ calcd for C 51.55, H 3.93 found C 50.50, H 3.79. $[\alpha]_{\text{D}}^{25} -42.6^\circ$ (c 1.0, CHCl_3); ^1H NMR (400 MHz, CDCl_3) δ 7.06–8.08 (m , 20H, Ph) 5.84 (m , 1H, CHOP) 4.11 (m , 1H, CHHOP) 3.89 (m , 1H, CHHOP). ^{31}P NMR (162.02 MHz, CDCl_3) δ 125.2 (d) and 113.1 (d), $^2J_{\text{PP}} = 35.6$ Hz.

(II); R-(-)-Ph(OPPh₂)CHCH₂(OPPh₂)PtCl₂: Complex $[\text{PtCl}_2(\text{PPh}_2\text{Cl})_2]$ was prepared by adding PPh₂Cl (0.13 g, 0.59 mmol) to $[\text{PtCl}_2(\text{COD})]$ (0.1 g, 0.27 mmol) in dry THF.^{8,15} (R)-(-)-Phenylethane-1,2-diol (0.036 g, 0.59 mmol) also dissolved in THF was added to the reaction mixture and stirred for 30 h. (0.167 g, 80%) $\text{C}_{32}\text{H}_{28}\text{P}_2\text{O}_2\text{PtCl}_2$ $[\alpha]_{\text{D}}^{25} -45.9^\circ$ (c 1.0, CHCl_3) ^1H NMR (400 MHz, CDCl_3) δ 7.11–7.97 (m , 20H, Ph) 6.10 (m , 1H, CHOP) 4.08 (m , 1H, CHHOP) 3.92 (m , 1H, CHHOP). ^{31}P NMR (162.02 MHz, CDCl_3) δ 95.9 ($^1J_{\text{Pt-P}} = 4105$ Hz) and 84.5 ($^1J_{\text{Pt-P}} = 3914$ Hz).

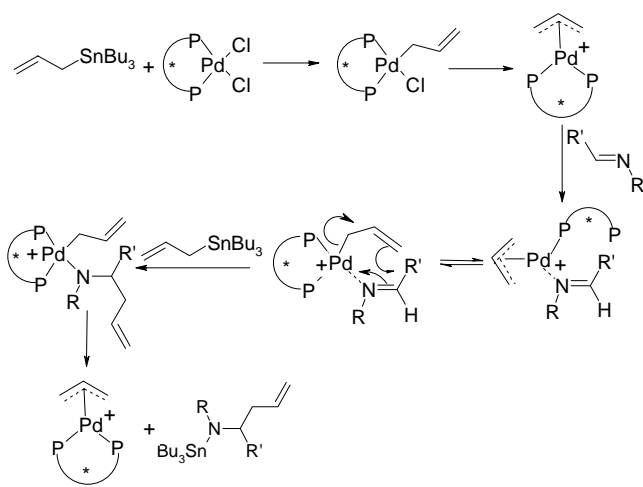
(III); S-(+)-Ph(OPPh₂)CHCH₂(OPPh₂)PdCl₂: Prepared used for preparing (I) $\text{C}_{32}\text{H}_{28}\text{P}_2\text{O}_2\text{PdCl}_2$ (83%) $[\alpha]_{\text{D}}^{23} -40.1^\circ$ (c 1.0, CHCl_3) ^1H NMR (400 MHz, CDCl_3) δ 7.06–8.01 (m , 20H, Ph) 5.84 (m , 1H, CHOP) 4.09 (m , 1H, CHHOP) 3.90 (m , 1H, CHHOP). ^{31}P NMR (162.02 MHz, CDCl_3) δ 125.2 (d) and 113.2 (d), $^2J_{\text{PP}} = 35.6$ Hz.

(IV); S-(+)-Ph(OPPh₂)CHCH₂(OPPh₂)PtCl₂: Prepared by similar procedure described for preparation of (II) (87%) $\text{C}_{32}\text{H}_{28}\text{P}_2\text{O}_2\text{PtCl}_2$ $[\alpha]_{\text{D}}^{25} +47.2^\circ$ (c 1.0, CHCl_3) ^1H NMR (400 MHz, CDCl_3) δ 7.11–8.01 (m , 20H, Ph) 6.09 (m , 1H, CHOP) 4.10 (m , 1H, CHHOP) 3.91 (m , 1H, CHHOP). ^{31}P NMR (162.02 MHz, CDCl_3) δ 95.9 ($^1J_{\text{Pt-P}} = 4105$ Hz) and 84.5 ($^1J_{\text{Pt-P}} = 3920$ Hz).

Table 3.

Entry	Imine 1	Reaction time (h)	Isolated yield 2 (%)	Ref. (product 2)
1	1a	8	95	6
2	1b	50	63*	5
3	1c	40	89	5
4	1d	9	96	6
5	1e	17	88	6
6	1f	8	90	8
7	1g	9	96	6
8	1h	30	95	9
9	1i	24	80	4
10	1j	20	93	6
11	1k	17	85	10
12	1l	12	93	4

*Based on ^1H NMR. The reaction was run on a 10 mg scale, the ratio of reagents was allylstannanes/imine 1 catalyst III = 1.2/1/0.01 in 1 ml THF at 25°C *Complete conversion of imine was not observed even at 50 h



Scheme 4.

3.2 Catalytic reactions

Allylation of imines: N-(4-Methoxycarbonyl)phenyl-1-phenyl-1,5-hexadien-3-ylamine (2a); A typical procedure for allylation is as follows. Imine 1a (20 mg, 0.0754 mmol) was dissolved in dry degassed THF in a round-bottom flask under nitrogen. Allyltributylstannane (28 μL , 0.09 mmol) was added and the mixture was stirred for 10 min before the catalyst, palladium complex I (0.5 mg, 0.754 μmol) was added under nitrogen. The mixture was stirred at room temperature and the reaction progress monitored by TLC. On completion, the reaction mixture was passed through a silica gel column. Elution using 10% ethyl acetate in petroleum ether gave a light yellow thick liquid, (21 mg, 91%), $[\alpha]_{\text{D}}^{23} +10.8^\circ$, ^1H

NMR (400 MHz, CDCl₃) δ 7.83 (*d*, 2H, $J = 8.8$ Hz), 7.28 (*m*, 5H), 6.59 (*d*, 2H, $J = 8.8$ Hz), 6.55 (*d*, 1H, $J = 16.4$ Hz), 6.17 (*dd*, $J = 15.6, 5.6$, 1H), 5.83 (*m*, 1H), 5.19 (*m*, 2H), 4.29 (*br*, 1H), 4.14 (*d*, 1H, $J = 5.2$ Hz), 3.83 (*s*, 3H), 2.50 (*m*, 2H).

4. Conclusion

We have prepared a series of new bisphosphinite complexes of Pd (II) and Pt (II) derived from optically pure mandelic acids. The utility of these complexes were investigated in the allylation of diverse imines. We have found that addition of one equivalent of water reduces the reaction time. The Pd-catalysed allylation proceeds under essentially neutral conditions. The reactions tolerate a variety of functional groups making it a useful catalyst system.

At this stage we can only provide a tentative role for water in the rate enhancement. It is probably required for the formation of the active form of the catalyst. Further investigations are in progress to probe this role.

Acknowledgements

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