
Complex genetic origin of Indian populations and its implications

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Indian populations are classified into various caste, tribe and religious groups, which altogether makes them very unique compared to rest of the world. The long-term firm socio-religious boundaries and the strict endogamy practices along with the evolutionary forces have further supplemented the existing high-level diversity. As a result, drawing definite conclusions on its overall origin, affinity, health and disease conditions become even more sophisticated than was thought earlier. In spite of these challenges, researchers have undertaken tireless and extensive investigations using various genetic markers to estimate genetic variation and its implication in health and diseases. We have demonstrated that the Indian populations are the descendents of the very first modern humans, who ventured the journey of out-of-Africa about 65,000 years ago. The recent gene flow from east and west Eurasia is also evident. Thus, this review attempts to summarize the unique genetic variation among Indian populations as evident from our extensive study among approximately 20,000 samples across India.

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1. Introduction

Modern humans originated in Africa about 200,000 years before present (ybp) (Cavalli-Sforza 1998; Yotova *et al.* 2007). During the period 55,000 to 85,000 ybp, they started moving out of Africa. Based on the geological findings, Scholz *et al.* (2007) reported that during the East African mega droughts between 135,000 and 75,000 ybp, the water volume of the Lake Malawi reduced by at least 95%. The timing of this mega drought coincided with the timing of migration of modern humans out-of-Africa. It is well established that a relatively small group of modern humans ventured out-of-Africa through the southern coastal route to colonize the Middle East, India, Southeast Asia, Australia (figure 1) and subsequently the other parts of the globe. This indicates that India served as one of the important corridors for human migration. Modern human remains in Sri Lanka dating back to the late Pleistocene (55,000 to 25,000 ybp) have been reported by Kennedy *et al.* (1987). Misra (2001) has reported that by the middle Paleolithic period (50,000 to 20,000 ybp) humans

appear to have spread to different parts of the Indian sub-continent. Chamyal *et al.* (2011) showed the evidence of modern human in Orsang river valley, during 50,000 to 30,000 ybp. In order to get better insight on the migratory route taken by modern humans and to understand the peopling of India, several studies have been carried out on various tribes, castes and religious groups in India. Recent studies have shown evidence (stone tools) of early peopling of India by modern humans dating before and after the Toba eruption around 74,000 ybp. The unearthed tools were consistent with contemporaneous *Homo sapiens* tools in Africa (Petraglia *et al.* 2007; Clarkson *et al.* 2009).

2. Human diversity in India

India is considered as a treasure for the geneticists and evolutionary scholars as it is conglomerated with 4,635 anthropologically well-defined populations, among which 532 are tribes, including 72 primitive tribes (36 hunters and gatherers). They differ from each other with respect to their

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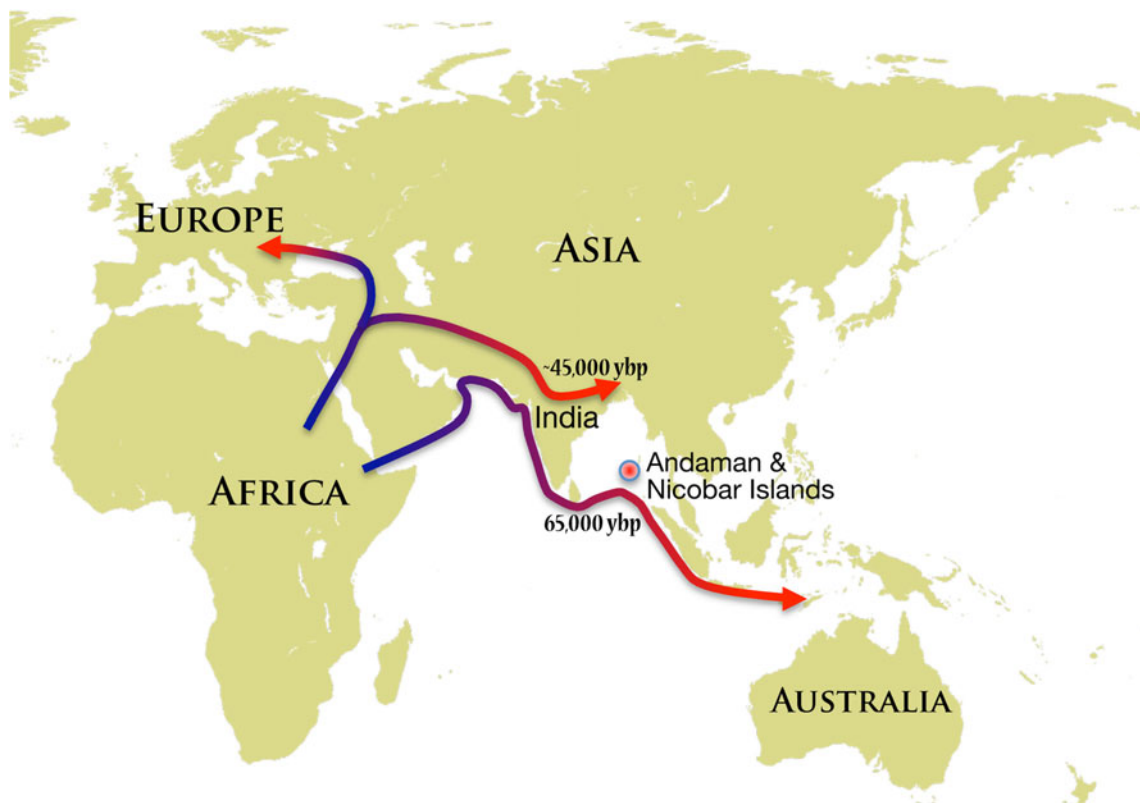


Figure 1. Possible routes of modern human migrations to Indian subcontinent.

language, social structure, dress and food habits, marriage practices, physical appearance and genetic architecture. India harbours a variety of geographical realms that give refuge to diverse humans and a verity of microbes, plants and animals. In India, four major language families are spoken such as Indo-European, Dravidian, Austroasiatic and Tibeto-Burman. In addition, India has enigmatic Andaman and Nicobar Islanders, whom we predicted as the descendants of early group of modern humans (Thangaraj *et al.* 2003, 2005; Metspalu *et al.* 2004; Macaulay *et al.* 2005) (figure 1).

3. Social stratification

The caste system was a typical features of the Hindu society and it divided Hindus into four categories viz. Brahmins, Kshatriyas, Vaishyas and Sudras. Brahmins were primarily involved in teachings and performing rituals, Kshatriyas were rulers and defended the territory, Vaishyas were businessmen and the Sudras served as the labourers. Further, each caste is subdivided into subcastes and subcastes into multiple Gotras. The caste system became the governing factor of all socio-religious and economic activities of people. The tribes remained isolated from the other groups and

occupied relatively remote places. Several religious communities build up in mainland India during the course of time due to several waves of migrations from different directions. The rise of the majority of religious groups was basically due to cultural adaptations.

4. Genetic affinities of Indian populations

4.1 The era of ancestry makers

A study using allelic distribution among five major blood-groups among 15 populations (Cavalli-Sforza and Edwards 1967) was the first molecular biological attempt to understand human prehistory. Later, protein and enzyme polymorphisms were used in building human genetic relationships (Nei and Roychoudhury 1982). With the help of the classical markers, Papiha (1996) suggested a distinct demarcation between Indian caste and tribal populations. Later, Y-chromosome and mitochondrial DNA (mtDNA) markers became efficient and handy tools in understanding human phylogeny. mtDNA variations are widely used in deciphering the maternal lineages as it is passed intact from mother to her sons and daughters. Earlier, variations in HVSI

and HVSII regions of mtDNA were extensively used, but soon the sequencing of complete mtDNA came into practice. Y-chromosome markers (STRs and SNPs) are located in the non-recombining region of Y-chromosome (NRY) and can preserve paternal history. Very recently, there has been an increasing use of hundreds of thousands of autosomal SNPs to deduce population structure (Reich *et al.* 2009; Xing *et al.* 2009; Behar *et al.* 2010; Chaubey *et al.* 2011; Metspalu *et al.* 2011; Shah *et al.* 2011).

4.2 Worldwide scenario

Y-chromosome and mtDNA markers have been extensively used to infer peopling of different continents/countries and to trace the maternal and paternal lineages of different populations (Hammer *et al.* 1997; Dipierri *et al.* 1998; Kivisild *et al.* 1999, 2004; Qamar *et al.* 1999; Thangaraj *et al.* 1999, 2003, 2005, 2006a; Richards *et al.* 2000; Bamshad *et al.* 2001; Metspalu *et al.* 2004; Rootsi *et al.* 2004; Zhivotovsky *et al.* 2004; Goncalves *et al.* 2005; Bandelt and Kivisild 2006; Hudjashov *et al.* 2007; Underhill and Kivisild 2007; Behar *et al.* 2008; Chaubey *et al.* 2008, 2011). The most accepted model for human origin and migration is known as 'out-of-Africa', which suggests origin of modern human in Africa and subsequent migration and expansion to different continents (Stringer and Andrews 1988); through southern coastal route during 60,000 to 85,000 ybp (Thangaraj *et al.* 2005; Metspalu *et al.* 2004; Macaulay *et al.* 2005) (figure 1). The southern coastal route hypothesis is based on a fact that a small group of modern human on crossing fertile crescent entered India followed by their entry to southeast Asia and subsequently (50,000 to 60,000 ybp) to Australia and rest of the world (Metspalu *et al.* 2004; Thangaraj *et al.* 2005; Macaulay *et al.* 2005). Recently, the early peopling of Europe has been dated approximately 45,000 ybp and many more corrections on the previous dating have been put forward (Callaway 2012).

4.3 Indian scenario

The DNA-based studies on Indian populations began during early 1990s. However, some of the initial studies dealt with populations, which are neither anthropologically well-defined nor were really representative Indian populations (Semino *et al.* 1991; Passarino *et al.* 1992; Soodyal and Jenkins 1992; Barnabas *et al.* 1996). Mountain *et al.* (1995) were probably the first, who tried to deal with demographic history of India, based on sequencing of the mitochondrial control (D-loop) region. In a study dealing with the 9 bp deletion located in the mitochondrial genome among a number of tribal and caste populations of southern India, Watkins *et al.* (1999) suggested multiple origin of 9 bp deletion in

southern India, indicating the heterogeneity among the Indians. The traces of socio-cultural, linguistic physiological boundaries and evolutionary forces leading to diversity are well documented in the recent studies. The most accepted and proven view on Indians is that peopling of India is very ancient along with recent gene flow from west and east Eurasia (Kivisild *et al.* 1999; Bamshad *et al.* 2001; Misra 2001; Basu *et al.* 2003; Thangaraj *et al.* 2003, 2005, 2006a, b, 2010; Sengupta *et al.* 2006; Easwarkhanth *et al.* 2010; Underhill *et al.* 2010; Chaubey *et al.* 2008, 2011; Chandrasekar *et al.* 2009). We have performed very extensive study among approximately 20,000 Indians including tribes, castes and religious communities using uniparentally inherited Y-chromosome and mitochondrial DNA (mtDNA), and biparentally inherited autosomes.

5. The descendants of early modern humans in India

The Andaman and Nicobar Islands is located in southeast of the Indian subcontinent. It is inhabited by the enigmatic tribes who have remained isolated from the rest of the world for centuries. There are two distinct tribes in the Andaman and Nicobar Islands: (i) those who share physical features with African pygmies and other Asian Negrito people, and (ii) Mongoloid people – those who share physical features with the Southeast Asians. The precise origins of these isolated tribes have been topic of speculation among the scholars of different fields (Abbi 2006; Kumar *et al.* 2006; Chaubey *et al.* 2011). Therefore, we performed an extensive study to understand their origin and evolution using Y-chromosome and mtDNA markers. We sequenced the complete mtDNA genomes of Onges, Great Andamanese and Nicobarese and identified several novel mutations defining two new deep-rooted mtDNA haplogroups, M31 and M32, which are not found anywhere else in the world (Thangaraj *et al.* 2005). We predicted that the Onges and Great Andamanese are the descendants of the early group of modern humans venturing out-of-Africa journey *via* southern coastal route about 65,000 ybp (figure 1). Thus, with this evidence, we proposed an alternate theory of migration; from Africa *via* India to Southeast Asia and Australia, and subsequently to the rest of the world. We took a massive search of these haplogroups (M31 and M32) among the mainland Indians and found none to harbour it. Interestingly, two individuals from Rajbhansi (West Bengal) were found to harbour M31 haplogroup (Palanichamy *et al.* 2006), but the M31 haplogroup was absent among 107 samples of our survey. Additionally, recent studies using high density markers among Indian populations revealed that the Onges are unique (Barik *et al.* 2008; Reich *et al.* 2009).

6. Genetic structure of Indian population

6.1 Native populations

India is inhabited by autochthonous as well as migrant populations. The advancement from low-resolution markers to high-throughput whole genome sequencing has enabled us to better understand their complex genetic architecture. In spite of these advancements, studies using high-density markers in India are very limited. Therefore, very few groups have taken rigorous efforts to understand genetic structure of Indian populations using high-density markers, hoping to solve the complexities of Indian genetic structure and its implications. We performed an extensive investigation among various Indian populations using Affymetrix (SNP 6.0) array (Reich *et al.* 2009). We studied a total of 132 individuals from 25 diverse groups representing five major language groups. With powerful statistical analyses, we predicted that the present-day Indian populations probably originated from a relatively small group of isolated ancestors. We predicted the existence of two ancestral groups in the pre-historic India: an 'ancestral North Indian (ANI)', which shared genetic affinity with the populations of the Middle East, Central Asia and Europe (30 to 70%), and an 'ancestral South Indian (ASI)', which has no relation with any population outside India (figure 2) (Reich *et al.* 2009). The present-day Indian populations are the admixture of both ANI and ASI (figure 2). The ASI ancestry may no longer exist in mainland India. The indigenous Andaman Islanders are unique in being only ASI-related groups without ANI ancestry (Reich *et al.* 2009). Further, complete sequencing of the mtDNA has revealed many deep rooted autochthonous haplogroups in India (Thangaraj *et al.* 2003, 2006a, 2009; Chaubey *et al.* 2008; Sharma *et al.* 2012).

6.2 Waves of immigration

Beside the indigenous populations, India is also inhabited by several recently migrated populations such as, Siddi, Muslim and the Jews. We have carried out extensive genetic studies among these groups and are well corroborated with their arrival history.

The arrival of Muslim population is well documented. The first Muslims kingdom in India was established in Sindh during 711 AD (Schimmel 1982; Robb 2002). The Turkic Kingdom was established in Delhi during the 13th century, and in the 16th century, the Mughal Empire was established in India. Our study among Indian Muslims inhabited in different parts of India showed their genetic affinity with indigenous non-Muslim populations along with a small frequency of the Middle East ancestry.

Therefore, the spread of Muslims in India was mainly due to cultural adaptation (Easwarkhanth *et al.* 2009, 2010).

The Siddis have typical African features and are mainly inhabited in Gujarat, Karnataka and Andhra Pradesh. They were brought to India as slaves and soldiers by Portuguese traders and sold to the Nawabs and the Sultans of India. A high-resolution study among Indian Siddis was lacking. Thus, we screened the Indian Siddis using mitochondrial, Y-chromosomal and autosomal markers and showed a combination of ancestries (i.e. 70% Africans and 30% Indians and Europeans) in the Siddi population (Shah *et al.* 2011). Y-chromosome results revealed that the Siddis are the direct descendants of the Bantu-speakers of sub-Saharan Africa. The signal of unidirectional gene flow from the Indian population to the Siddis confirms the rigidity of the Indian social structure. The effective male population size to arrive India during 17 to 19th century was estimated to be approximately 1,500 individuals (Shah *et al.* 2011). We also estimated that the Siddis were admixed with the local Indian populations since last 8 generations, which is in good agreement with the historical record of their arrival in India (Shah *et al.* 2011).

7. The Indian Austroasiatic speakers

The Austroasiatic language family has 104 million speakers globally. It is widely spoken in central, eastern and north-eastern India. Two major branches of the Austroasiatic language spoken in India are: (i) Munda branch, which is mostly found in eastern, north-eastern and central India, and (ii) Khasi-Aslian branch, mostly spoken in Meghalaya and Nicobar Island (Diffloth 2009). There are two views on the origin and migration of this language (van Driem 2001; Fuller 2007; Kumar *et al.* 2007; Chaubey *et al.* 2011). The first view states Southeast Asia as its place of origin and their subsequent migration to South Asia during the Neolithic (Higham 2003), whereas pre-Neolithic origins and dispersal of this language family from South Asia was hypothesized by the second view (Fuller 2007). A comprehensive study with extensive sampling from both Southeast Asia and South Asia to strongly support the origin of Austroasiatic speakers was lacking. Therefore, we performed an extensive study to trace the precise origin of the Austroasiatic language using uniparentally inherited markers and thousands of SNPs (Chaubey *et al.* 2011). We estimated older coalescent age of 17,000 to 28,000 ybp for the haplogroup M95-O2a, and its higher diversity in Southeast Asia strongly supporting the first of the two views. Thus, the Indian Austroasiatic speakers are derived from Southeast Asia, subsequently followed by sex-specific admixture with local Indians (Chaubey *et al.* 2011).

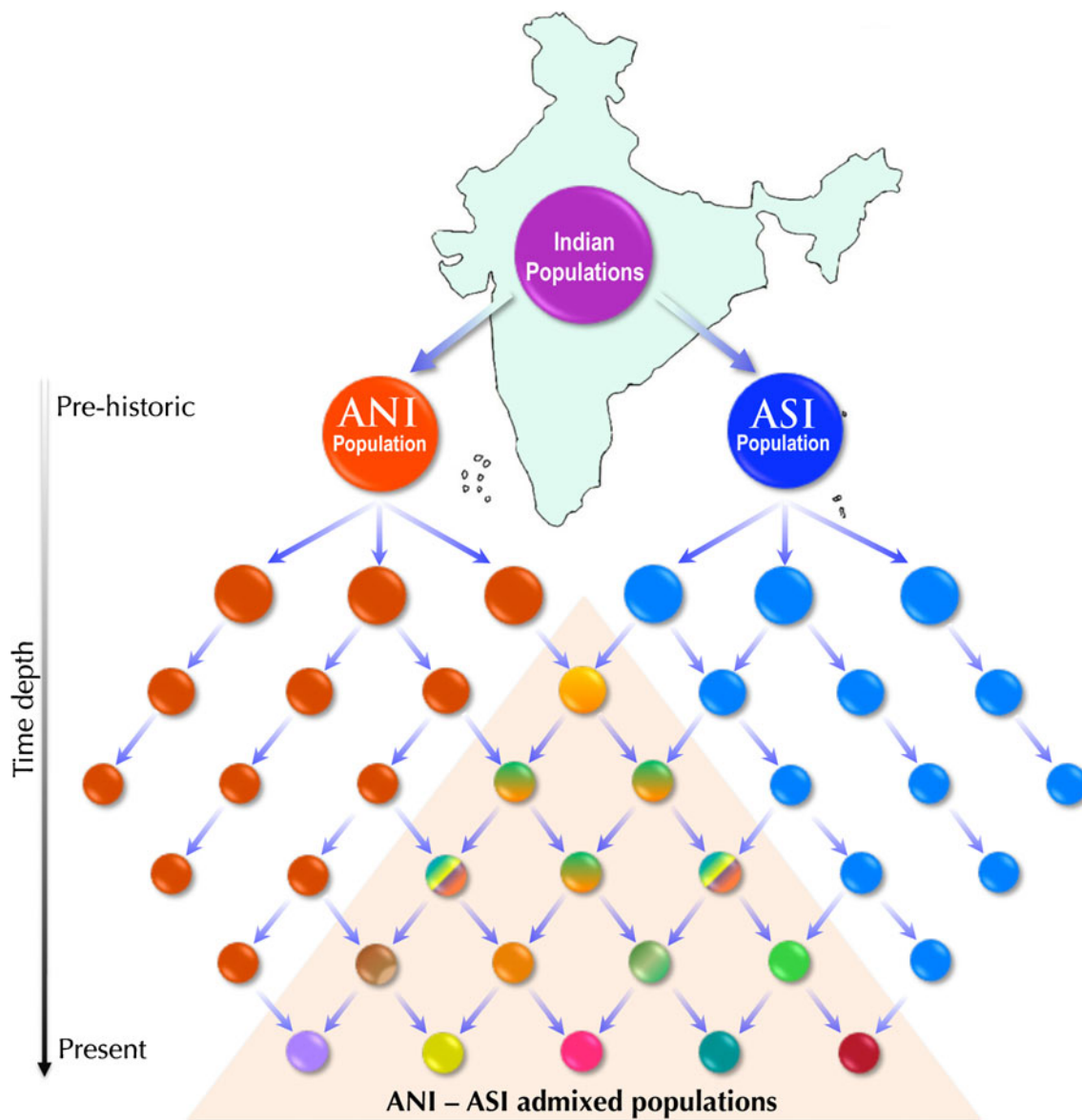


Figure 2. Schematic diagram showing the process of formation of the present-day Indian populations. The hypothetical Ancestral North Indian (ANI) and Ancestral South Indian (ASI) populations got admixed and gave rise to the extant Indian populations, except the Onges (they are unique with only ASI ancestry component).

8. Was there an Aryan invasion?

It is commonly believed that there was an Aryan invasion/migration to India from the west. However, there is prolonged debate on this topic. It has been well established that various castes and tribal populations of India have a common late Pleistocene maternal as well as paternal ancestry and minor east and west Eurasian ancestries (Kivisild *et al.* 2003; Metspalu *et al.* 2004, 2011; Sahoo *et al.* 2006; Sengupta *et al.* 2006; Chaubey *et al.* 2007, 2008; Reich *et al.* 2009; Shah

et al. 2011; Sharma *et al.* 2012). Most of these studies presumed that the detected west Eurasian genepool may be the Aryan component. Interestingly, both the ANI and ASI ancestry components of the Indian populations are found to harbour higher haplotypic diversity than those predominant in west Eurasia. The shared genetic affinity between the ANI component of northern India and west Eurasia was dated prior to the Aryan invasion (Metspalu *et al.* 2011). These realities suggest the rejection of the Aryan invasion hypothesis but support an ancient demographic history of India.

9. Indian genetic diversity and its medical implications

The genetic heterogeneity among the Indian populations has put forward an immense challenge before the researchers of different fields. The Indian population is governed by various socio-cultural, religious, geographical and linguistic demarcations that ultimately have given birth to strict endogamy practices. Subsequently, this endogamy practices along with evolutionary forces have resulted in higher differences in allele frequency between the groups in India, which has remained intact for thousands of years (Reich *et al.* 2009). Therefore, we have predicted an excess of recessive diseases in India. There are several lines of evidence to support this prediction. We have witnessed various regional and population-specific diseases in many parts of the country, for example, Handigodu disease (Agarwal *et al.* 1994; Badadani *et al.* 2008), Madras motor neuron disease (Nalini *et al.* 2006, 2008), and pseudocholinesterase deficiency among Vyshyas (Rao and Gopalam 1979; Pandit *et al.* 2011), etc. Our recent study using hundreds of thousands of autosomal markers among different ethnic groups in India has showed the evidence of positive selection of *MSTN* and *DOK5* genes (Metspalu *et al.* 2011). These genes have potential implications in lipid metabolism and the etiology of type 2 diabetes only amongst Indians (Metspalu *et al.* 2011). Our other study dealing with *PTPN11* gene, responsible for 50% of the Noonan syndrome (NS), did not find any mutation in *PTPN11* gene in any of the seven NS patients analysed. However, complete mtDNA sequencing revealed all the seven probands and their maternal relatives to be clustered under a major haplogroup R (Rani *et al.* 2010).

In another extensive study, we have shown that the 25bp deletion in the myosin-binding protein-C3 (*MYBPC3*) gene is associated with inheritable cardiomyopathies in India (Dhandapany *et al.* 2009). Forty-five percent of cardiac deaths in India due to sudden heart attack is shown to be caused by this deletion. It is widely distributed (4.5%) across India. Interestingly, it is absent among the Andaman and Nicobar Islanders and Northeastern Indian populations (Dhandapany *et al.* 2009). On extensive screening among worldwide population from 26 countries found this deletion only in the populations of India, Pakistan, Sri Lanka, Indonesia and Malaysia. The time of its origin in these populations was estimated the time of origin of to be about 33,000 years ago (Dhandapany *et al.* 2009).

The unique genetic diversity of the Indian population has frequently yielded surprising results in various association studies. The SNPs/mutations associated with disease among populations of others countries are not usually associated in Indian population. To highlight a few examples: the *POLG-CAG* repeat number variation has been found to be associated with male infertility in several populations but was not associated with Indian infertile men (Rani *et al.* 2009).

Another disagreement with the established association was our study on *SLC11A1* gene polymorphism, which is a strong candidate for infectious disease susceptibility and has been associated with tuberculosis, leprosy and visceral leishmaniasis (VL). Our study on the same gene has shown no association between VL and *SLC11A1* polymorphisms in India (Mehrotra *et al.* 2011).

The admixture event plays a potential role on disease resistance or susceptibility. The admixed Indian Siddis are shown to have remarkable medical and social implications, and has provided a resource for admixture mapping (Narang *et al.* 2011). The Indian Siddis have migrated from Africa and have got admixed with the local Indian populations (Shah *et al.* 2011). A-variant of the *G6PD* gene which is usually found in Africa, is known to give protection against malaria. In spite of the Siddis' African origin, only 10% of the Siddi population was found to harbour the A-variant. This is mainly due to the admixture of Siddis with the local Indian groups ultimately exposing the present-day Siddis to malaria than their African relatives.

10. Conclusions

There are very ancient as well as recent genetic signatures in India. The maternal genepool harbours several deep-rooted lineages, suggesting *in situ* origin of these clades in India. The presence of very ancient lineages in India indicates its major role in early human migration. The genetic studies on Indian population has pointed towards the firm social boundaries, strict endogamy practices and evolutionary forces that have played major role in building the diverse genetic structure of present-day Indian populations. The strict boundaries that prevail at different levels add further to the complexities to the existing diversity, and ultimately raise difficulties in understanding the genetic susceptibility to diseases. A combined inter-disciplinary approach is much needed to explain the precise origin of Indian population as a whole and understanding the disease-associated genetic variants

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