

## Variables for probing neutrino oscillation at super-Kamiokande and the Sudbury Neutrino Observatory

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**Abstract.** We propose several new variables, insensitive to the absolute flux of the incident solar or supernova neutrino beam, which probe the shape of the observed spectrum at super-Kamiokande and Sudbury Neutrino Observatory experiments and can sensitively signal neutrino oscillations. One class of such variables involve moments of the distributions recorded at the two facilities while another variable, specific to SNO, utilises the integrated charged and neutral current signals. The utility of these variables in the context of supernova neutrinos both from the collapse epoch and the post-bounce era is also discussed.

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### 1. Introduction

Recent atmospheric neutrino data [1] from super-Kamiokande neutrino detector (SK) [2] supports a non-zero neutrino mass and oscillation. The huge fiducial volume of the super-Kamiokande detector has already enabled the accumulation of solar neutrino data [3]. Another detector of comparable size, the Sudbury Neutrino Observatory (SNO) [4] is shortly expected to be operational. The latter experiment, because of its capability to detect neutrinos *via* both charged current (CC) as well as neutral current (NC) detection channels, will shed light on the nature of the other neutrino – sequential or sterile. The large data from these two experiments will provide an opportunity to examine signals for neutrino oscillation in different ways.

An uncertainty in drawing conclusions from the neutrino data creeps in through the imperfect knowledge of the incident neutrino flux. For example for the solar case though the shape of the neutrino spectra from the  $p - p$  chain, CNO cycle, etc. are known precisely from weak interactions and nuclear physics, their relative and absolute normalizations depend on the physics and astrophysics within the sun and vary from one solar model to another [5]. The boron neutrinos – only which are seen at SK and SNO – are particularly sensitive to the variation of the solar core temperature. It is therefore of importance to formulate methods for the purpose of extracting oscillation information from the observed data in a way such that they are independent of absolute normalization of the initial flux.

Here we examine in detail several variables which depend on (a) moments of distributions seen at SK and SNO and (b) on the ratios of the charged and neutral current signals at SNO in the context of solar neutrinos [6] and supernova neutrinos from both collapse and post bounce phases. These variables are sensitive to the shape of the neutrino spectrum but they are independent of its absolute normalization.

The high statistics data from the new detectors make such a study feasible. We illustrate how our proposed variables yield direct information on the neutrino mixing angles and mass splittings. We show how the variables can further be used to distinguish whether the  $\nu_e$  oscillates to a sequential neutrino or to a sterile one. Some similar ideas have also been advocated in ref. [7,8] where the focus has been on the energy spectrum of the scattered electron neutrino at SNO, the MSW mechanism etc. In this work, we restrict ourselves to two flavour vacuum oscillations. A detailed analysis of actual solar neutrino data given in [3] using these variables for both vacuum and MSW cases are in progress [9].

Neutrinos are emitted from two distinct epochs of a supernova explosion. In the collapse phase, only  $\nu_e$  produced from electron capture on free protons and heavy nuclei, are emitted while in the post-bounce era neutrinos of all three flavours (and their antiparticles) are produced. While the detection of the latter for a supernova within a 10 kpc distance is very likely, those from the former will be observable only if the explosion occurs within a distance of about 1 kpc. Some initial results on these issues have been reported earlier [10].

In the next section we introduce the variables which we propose for probing oscillations of solar and supernova neutrinos to sequential or sterile ones. In §3 we give the results of our analysis. We end in §4 with some discussions.

## 2. The variables

We introduce in this section variables insensitive to the absolute normalization of the incident flux which may be used as diagnostic tools for solar and supernova neutrino oscillations at super-Kamiokande and SNO. We restrict ourselves to the two-flavour vacuum oscillation case.

### 2.1 Neutrino oscillations at super-Kamiokande and SNO

In the two-flavour case, the probability of an electron neutrino of energy  $E_\nu$  to oscillate to a neutrino of a different type,  $\nu_x$ , after the traversal of a distance  $L$  is

$$P_{\nu_e \rightarrow \nu_x} = \sin^2(2\vartheta) \sin^2\left(\frac{\pi L}{\lambda}\right), \quad (1)$$

where  $\vartheta$  is the mixing angle.  $\lambda$  is the oscillation length given, in terms of the mass-squared difference  $\Delta$ , by

$$\lambda = 2.47 \left(\frac{E_\nu}{\text{MeV}}\right) \left(\frac{\text{eV}^2}{\Delta}\right) \text{ m}. \quad (2)$$

From probability conservation:  $P_{\nu_e \rightarrow \nu_e} = 1 - P_{\nu_e \rightarrow \nu_x}$ .

In the above  $\nu_x$  can either be a sequential neutrino,  $\nu_\mu$  or  $\nu_\tau$ , or a sterile neutrino,  $\nu_s$ . At super-Kamiokande the neutrinos are detected via  $\nu - e$  scattering. An electron neutrino is detected through both charged current (CC) and neutral current (NC) weak interactions. For  $\nu_\mu$  or  $\nu_\tau$  the detection is through NC interaction only. As the sterile neutrino has no such interactions with the detector fluid it will pass unaffected. Therefore for oscillation to a sequential neutrino SK will detect it via NC interaction while for oscillation to a sterile one, the neutrino will not be detected at all. At SNO the electron neutrinos will be detected through (a) CC interactions as well as (b) NC interactions. If oscillations to sequential neutrinos occur then the signal in (a) will be appropriately reduced while that in (b) will remain unaffected. On the other hand if the  $\nu_e$  oscillates to a sterile state then both the CC and NC signals will suffer depletions.

At this juncture, one point needs attention. The above discussion holds good for solar neutrinos and neutrinos from collapse phase of a supernova as both are electron type neutrinos. But as stated earlier, neutrinos are emitted from a supernova in two stages; one during the collapse stage when only electron type neutrinos are emitted due to the process of electron capture on free protons and heavy nuclei and then during the post core bounce stage when neutrinos of all three flavours ( $e$ ,  $\mu$  and  $\tau$ ) and their antineutrinos are emitted. Therefore for discussing oscillation for post core bounce neutrinos in the present framework, one has to consider the consequences of two flavour oscillations for all three types of neutrinos and their antineutrinos.

## 2.2 The variables $M_n$ and $r_n$

We propose first a set of variables  $M_n$  for the extraction of oscillation signals. These variables are the normalized  $n$ -th moments of the neutrino distributions under consideration observed at SK and SNO. Specifically,

$$M_n = \frac{\int N_i(E) E^n dE}{\int N_i(E) dE}, \quad (3)$$

where  $i$  stands for SK or SNO. It is seen from the definition that the uncertainty in the overall normalization of the incident neutrino flux cancels out from  $M_n$ .

If neutrino oscillations are operative then we have for solar or collapse phase supernova neutrinos,

$$N_{\text{SK}}(E) = \epsilon_{\text{SK}} f(E) \{ P_{\nu_e \rightarrow \nu_e}(E, \Delta, \vartheta) \sigma_{\text{SK}}^e(E) + P_{\nu_e \rightarrow \nu_\mu}(E, \Delta, \vartheta) \sigma_{\text{SK}}^\mu(E) \} N_{\text{SK}}^0 \quad (4)$$

for oscillation to a sequential neutrino indicated by  $\nu_\mu$  in the above. Here,  $f(E)$  stands for the incident boron-neutrino fluence or collapse phase supernova neutrino fluence as the case may be,  $\epsilon_{\text{SK}}$  for the detection efficiency which, for the sake of simplicity, is assumed to be energy independent, and  $N_{\text{SK}}^0$  for the number of electrons in the SK detector of which the neutrinos may scatter.  $\sigma_{\text{SK}}^e(E)$  is the  $\nu_e$  scattering cross-section with both NC and CC contributions whereas  $\sigma_{\text{SK}}^\mu(E)$  is the  $\nu_\mu$  cross-section obtained from the NC interaction alone.

If the oscillation is to a sterile neutrino then eq. (4) will be replaced by

$$N_{\text{SK}}(E) = \epsilon_{\text{SK}} f(E) P_{\nu_e \rightarrow \nu_e}(E, \Delta, \vartheta) \sigma_{\text{SK}}^e(E) N_{\text{SK}}^0. \quad (5)$$

The super-Kamiokande detector uses 32 ktons of light water ( $\text{H}_2\text{O}$ ) in which electrons scattered by  $\nu_e$  are detected via Čerenkov radiation. The  $\nu_e - e^-$  scattering cross-section is  $\sigma_{\text{SK}}^e = 9.4 \times 10^{-44} \text{ cm}^2 (E_\nu/10 \text{ MeV})$  [11]. The neutral current  $\nu_\mu - e^-$  scattering cross-section is  $\sigma_{\text{SK}}^\mu = 1.6 \times 10^{-44} \text{ cm}^2 (E_\nu/10 \text{ MeV})$  [11].

Only the CC contributions are relevant at SNO for the determination of the spectrum and we get

$$N_{\text{SNO}}^{\text{c.c.}}(E) = \epsilon_{\text{SNO}}^{\text{c.c.}} f(E) P_{\nu_e \rightarrow \nu_e}(E, \Delta, \vartheta) \sigma_{\text{SNO}}^{\text{c.c.}}(E) N_{\text{SNO}}^0. \quad (6)$$

$N_{\text{SNO}}^0$  is the number of deuteron nuclei in the SNO detector and  $\epsilon_{\text{SNO}}^{\text{c.c.}}$  represents the CC detection efficiency assumed to be independent of the energy. The above result is valid for oscillation to sequential as well as sterile neutrinos since neither of them can interact via the charged current.

The SNO detector has 1 kton of  $\text{D}_2\text{O}$  and neutrinos are primarily detected through the charged and neutral current disintegration of the deuteron:  $\nu_e + d \rightarrow e^- + p + p$ ,  $\nu + d \rightarrow \nu + p + n$ , respectively. The  $e^-$  in the CC reaction is identified through its Čerenkov radiation. The neutral current detection is calorimetric and only the integrated signal is measured through this channel. Therefore, shape of the signal is measured via the charged current interaction. For the cross-section for this process we use  $\sigma_{\text{SNO}}^{\text{c.c.}} = 1.7 \times 10^{-44} \text{ cm}^2 (E_\nu - 1.44)^{2.3}$  [12].

Unlike for solar and collapse phase neutrinos which are only electron type, several new things are to be taken into consideration for detection of post bounce neutrinos as they are of six varieties (neutrinos of three flavours and their antineutrinos, namely, the  $\nu_e$ , the  $\bar{\nu}_e$  and the  $\nu_x$  where the latter stands for neutrinos as well as anti-neutrinos of the  $\mu$  and  $\tau$  types). For the present analysis we use the spectra for all those six types of neutrinos, extracted from the results presented in [13]. Oscillations of those six neutrinos will therefore give rise to a complicated scenario [14]. We restrict ourselves, as earlier, to two flavour vacuum neutrino oscillations. Thus, for example, if we consider  $\nu_e \leftrightarrow \nu_\mu$  oscillations, then the  $\nu_\tau$  neutrinos and anti-neutrinos will be entirely unaffected while both neutrinos and anti-neutrinos of the electron and muon type undergo oscillations.

If we indicate the initial fluence of  $\nu_e$ ,  $\bar{\nu}_e$  and the neutrinos (and the antineutrinos) of the  $\mu$  and  $\tau$  flavours by  $f^e(E)$ ,  $\bar{f}^e(E)$  and  $f^x(E)$  respectively then the observed signal at SK can be written as

$$\begin{aligned} N_{\text{SK}}(E) = & \epsilon_{\text{SK}} N_{\text{SK}}^0 \\ & \times [\{f^e(E) P_{\nu_e \rightarrow \nu_e}(E, \Delta, \vartheta) + f^x(E) P_{\nu_\mu \rightarrow \nu_e}(E, \Delta, \vartheta)\} \sigma_{\text{SK}}^e(E) \\ & + \{f^e(E) P_{\nu_e \rightarrow \nu_\mu}(E, \Delta, \vartheta) + f^x(E) P_{\nu_\mu \rightarrow \nu_\mu}(E, \Delta, \vartheta) + f^x(E)\} \sigma_{\text{SK}}^\mu(E) \\ & + \{\bar{f}^e(E) P_{\bar{\nu}_e \rightarrow \nu_e}(E, \Delta, \vartheta) + f^x(E) P_{\nu_\mu \rightarrow \nu_e}(E, \Delta, \vartheta)\} \bar{\sigma}_{\text{SK}}^e(E) \\ & + \{\bar{f}^e(E) P_{\bar{\nu}_e \rightarrow \nu_\mu}(E, \Delta, \vartheta) + f^x(E) P_{\nu_\mu \rightarrow \nu_\mu}(E, \Delta, \vartheta) + f^x(E)\} \bar{\sigma}_{\text{SK}}^\mu(E)] \end{aligned} \quad (7)$$

for oscillation to a sequential neutrino indicated by  $\nu_\mu$  in the above. Here  $\bar{\sigma}_{\text{SK}}^x(E)$  is the  $\bar{\nu}_\mu$  or  $\bar{\nu}_\tau$  scattering cross-section of electrons which proceeds via the neutral current and is  $1.3 \times 10^{-44} \text{ cm}^2 p_e E_e / (10 \text{ MeV})$ . For the  $\bar{\nu}_e$ , there is a charged current contribution so that the total  $\bar{\nu}_e - e$  scattering cross-section is  $3.9 \times 10^{-44} \text{ cm}^2 E / (10 \text{ MeV})$ .  $\bar{\sigma}_{\text{SK}}^e(E)$

receives an additional (dominant) contribution from the process  $\bar{\nu}_e + p \rightarrow e^+ + n$  which is  $9.4 \times 10^{-42} \text{cm}^2 p_e E_e / (10 \text{ MeV})^2$  where  $p_e$  is the electron momentum and  $E_e = E - 1.3 \text{ MeV}$  its energy [11].

If instead, oscillations to a sterile neutrino are operative, then eq. (7) will be replaced by

$$N_{\text{SK}}(E) = [f^e(E)P_{\nu_e \rightarrow \nu_e}(E, \Delta, \vartheta)\sigma_{\text{SK}}^e(E) + 2f^x(E)\sigma_{\text{SK}}^\mu(E) + \bar{f}^e(E)P_{\nu_e \rightarrow \nu_e}(E, \Delta, \vartheta)\bar{\sigma}_{\text{SK}}^e(E) + 2f^x(E)\bar{\sigma}_{\text{SK}}^x(E)] \epsilon_{\text{SK}} N_{\text{SK}}^0 \quad (8)$$

Only the CC contributions are relevant at SNO for the extraction of the spectral shape and in this case the relevant formula valid for oscillation to a sequential state is

$$N_{\text{SNO}}^{\text{c.c.}}(E) = \epsilon_{\text{SNO}}^{\text{c.c.}} N_{\text{SNO}}^0 \times [\{f^e(E)P_{\nu_e \rightarrow \nu_e}(E, \Delta, \vartheta) + f^x(E)P_{\nu_\mu \rightarrow \nu_e}(E, \Delta, \vartheta)\} \sigma_{\text{SNO}}^{\text{c.c.}}(E) + \{\bar{f}^e(E)P_{\nu_e \rightarrow \nu_e}(E, \Delta, \vartheta) + f^x(E)P_{\nu_\mu \rightarrow \nu_e}(E, \Delta, \vartheta)\} \bar{\sigma}_{\text{SNO}}^{\text{c.c.}}(E)] \quad (9)$$

while for oscillation to sterile neutrinos it is

$$N_{\text{SNO}}^{\text{c.c.}}(E) = [f^e(E)P_{\nu_e \rightarrow \nu_e}(E, \Delta, \vartheta)\sigma_{\text{SNO}}^{\text{c.c.}}(E) + \bar{f}^e(E)P_{\nu_e \rightarrow \nu_e}(E, \Delta, \vartheta)\bar{\sigma}_{\text{SNO}}^{\text{c.c.}}(E)] \epsilon_{\text{SNO}}^{\text{c.c.}} N_{\text{SNO}}^0. \quad (10)$$

The variable  $r_n$  is defined as ratios of the moments obtained from two experiments namely SK and SNO,

$$r_n = \frac{(M_n)_{\text{SK}}}{(M_n)_{\text{SNO}}}. \quad (11)$$

With high statistics data from both experiments the variables  $r_n$  may be taken as indicative of the oscillation parameters.

### 2.3 The variable $R_{\text{SNO}}$

The variable  $R_{\text{SNO}}$  is defined as the ratio of the total signal in the NC channel,  $\int N_{\text{SNO}}^{\text{n.c.}}$ , to the total (energy integrated) signal in the CC channel,  $\int N_{\text{SNO}}^{\text{c.c.}}$  and it is a good probe for oscillations. Thus

$$R_{\text{SNO}} = \frac{\int N_{\text{SNO}}^{\text{n.c.}}}{\int N_{\text{SNO}}^{\text{c.c.}}}. \quad (12)$$

For oscillation to a sequential neutrino for solar or collapse phase supernova case,

$$\int N_{\text{SNO}}^{\text{n.c.}} = \int \epsilon_{\text{SNO}}^{\text{n.c.}} f(E) \sigma_{\text{SNO}}^{\text{n.c.}}(E) N_{\text{SNO}}^0 dE \quad (13)$$

in which  $\epsilon_{\text{SNO}}^{\text{n.c.}}$  is the detection efficiency for the NC channel and

$$\int N_{\text{SNO}}^{\text{c.c.}} = \int \epsilon_{\text{SNO}}^{\text{c.c.}} f(E) P_{\nu_e \rightarrow \nu_e}(E, \Delta, \vartheta) \sigma_{\text{SNO}}^{\text{c.c.}}(E) N_{\text{SNO}}^0 dE. \quad (14)$$

It is obvious that  $R_{\text{SNO}}$  is independent of the absolute normalization of the incident neutrino flux  $f(E)$  and only depends on its shape.

If oscillations to sterile neutrinos take place then eq. (13) is replaced by

$$\int N_{\text{SNO}}^{\text{n.c.}} = \int \epsilon_{\text{SNO}}^{\text{n.c.}} f(E) P_{\nu_e \rightarrow \nu_e}(E, \Delta, \vartheta) \sigma_{\text{SNO}}^{\text{n.c.}}(E) N_{\text{SNO}}^0 dE \quad (15)$$

and eq. (14) remains unchanged.

For the NC cross-section  $\sigma_{\text{SNO}}^{\text{n.c.}}$  we use  $0.85 \times 10^{-44} \text{cm}^2 (E_\nu - 2.2)^{2.3}$  [12]. For simplicity, we have assumed  $\epsilon_{\text{SNO}}^{\text{n.c.}}$  to be independent of the energy and further equal to the efficiency of the CC reaction  $\epsilon_{\text{SNO}}^{\text{c.c.}}$ . If instead,  $\epsilon_{\text{SNO}}^{\text{n.c.}}/\epsilon_{\text{SNO}}^{\text{c.c.}} = r_\epsilon$  and it can be taken to be independent of the energy to a good approximation, then our results for  $R_{\text{SNO}}$  will be multiplied by this factor.

For post-bounce epoch neutrinos the ratio,  $R_{\text{SNO}}$ , unlike the case of solar or collapse phase, is sensitive to oscillations of an electron neutrino to a sterile state. As before

$$R_{\text{SNO}} = \frac{\int N_{\text{SNO}}^{\text{n.c.}}}{\int N_{\text{SNO}}^{\text{c.c.}}}, \quad (16)$$

where for oscillation to a sequential neutrino

$$\int N_{\text{SNO}}^{\text{n.c.}} = \int [\{f^e(E) + 2f^x(E)\} \sigma_{\text{SNO}}^{\text{n.c.}}(E) + \{\bar{f}^e(E) + 2f^x(E)\} \bar{\sigma}_{\text{SNO}}^{\text{n.c.}}(E)] \epsilon_{\text{SNO}}^{\text{n.c.}} N_{\text{SNO}}^0 dE, \quad (17)$$

where  $\bar{\sigma}_{\text{SNO}}^{\text{n.c.}}(E) = \sigma_{\text{SNO}}^{\text{n.c.}}(E)$ , while for the sterile neutrino alternative

$$\int N_{\text{SNO}}^{\text{n.c.}} = \int [\{f^e(E) P_{\nu_e \rightarrow \nu_e}(E, \Delta, \vartheta) + 2f^x(E)\} \sigma_{\text{SNO}}^{\text{n.c.}}(E) + \{\bar{f}^e(E) P_{\nu_e \rightarrow \nu_e}(E, \Delta, \vartheta) + 2f^x(E)\} \bar{\sigma}_{\text{SNO}}^{\text{n.c.}}(E)] \epsilon_{\text{SNO}}^{\text{n.c.}} N_{\text{SNO}}^0 dE \quad (18)$$

and

$$\int N_{\text{SNO}}^{\text{c.c.}} = \int N_{\text{SNO}}^{\text{c.c.}}(E) dE, \quad (19)$$

where  $N_{\text{SNO}}^{\text{c.c.}}(E)$  is given by eq. (9) or eq. (10) depending on whether oscillation of electron neutrinos takes place to sequential or sterile neutrinos, respectively.

### 3. Results

#### 3.1 Solar case

Some results for  $M_1$  and  $M_2$  for solar neutrinos are presented in table 1 for different values of the mass splitting  $\Delta$  and the mixing angle  $\vartheta$ . Note that at SNO, these variables cannot

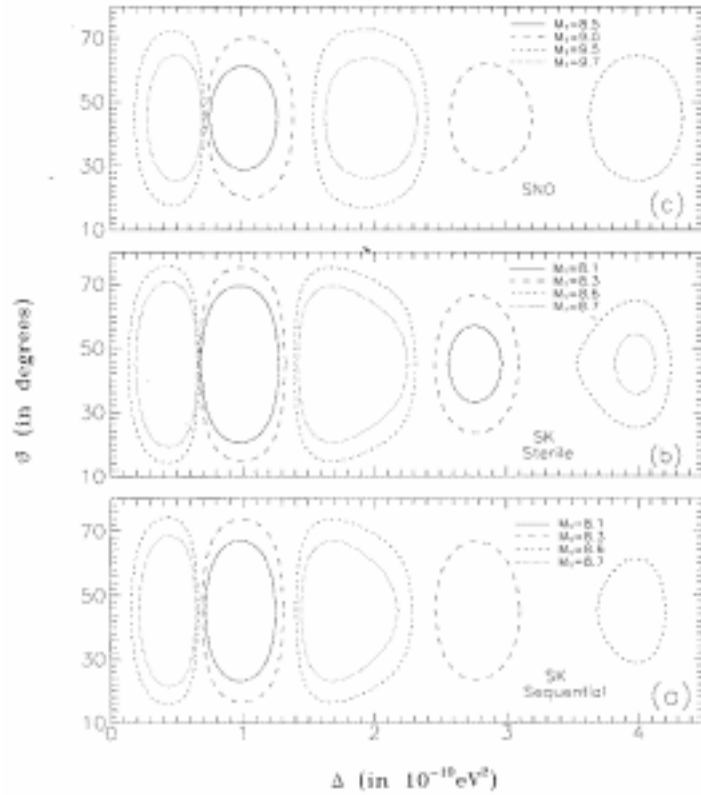
distinguish between the sequential and sterile neutrino alternatives. It is seen from table 1 that at super-Kamiokande for the smaller mixing angle ( $\vartheta = 30^\circ$ ) for any  $\Delta$  the difference between the values of  $M_1$  (as well as  $M_2$ ) for the sequential and sterile neutrino cases does not exceed 5% but the variation from the no oscillation ( $\Delta = 0$ ) limit can be as large as 10%. It is seen from the table that for  $\vartheta = 45^\circ$ , the ranges of variation of  $M_1$  and  $M_2$  are significantly larger and a distinction between the sequential and sterile alternatives ought to be possible. At SNO,  $M_1$  and  $M_2$  vary over larger ranges and, in particular at  $\Delta = 0.6 \times 10^{-10} \text{ eV}^2$  and  $\vartheta = 45^\circ$ , it is as much as 15% for  $M_1$  and 20% for  $M_2$ .

In figure 1 we present the contours of constant  $M_1$  in the  $\Delta - \vartheta$  plane for (a) SK sequential, (b) SK sterile and (c) SNO cases. Using these contours, one may readily get the values of  $\Delta$  and  $\vartheta$  if  $M_1$  is measured precisely. It can also be seen from figure 1 that around  $\Delta = 0.6 \times 10^{-10} \text{ eV}^2$  and  $\vartheta = 45^\circ$ ,  $M_1$  changes rather sharply. A detailed analysis for the variable  $r_n$  is done in our work [15].

In order to study the variable  $R_{\text{SNO}}$ , we have calculated its value for different choices of  $\vartheta$  and  $\Delta$ . In table 2, we present the results for three choices of the mixing angle

**Table 1.**  $M_1$  and  $M_2$  for solar neutrinos for different values of the mass splitting  $\Delta$  for the super-Kamiokande and SNO detectors. For the former, results are presented for oscillation to sequential as well as sterile neutrinos. For SNO the two cases yield the same value of  $M_n$ . Two choices of the mixing angle  $\vartheta = 30^\circ$  and  $45^\circ$  have been considered.

$\Delta$ in $10^{-10}$ $\text{eV}^2$	$M_1$						$M_2$					
	$\vartheta = 30^\circ$			$\vartheta = 45^\circ$			$\vartheta = 30^\circ$			$\vartheta = 45^\circ$		
	SK		SNO	SK		SNO	SK		SNO	SK		SNO
	seq.	st.		seq.	st.		seq.	st.		seq.	st.	
0.0	8.49	8.49	9.35	8.49	8.49	9.35	76.3	76.3	91.4	76.3	76.3	91.4
0.3	8.76	8.83	9.62	8.89	9.03	9.76	80.8	82.1	96.3	83.0	85.3	98.8
0.6	8.73	8.87	9.81	9.01	9.77	10.67	80.9	83.6	100.5	86.31	101.0	117.5
0.9	7.85	7.57	8.52	7.34	6.57	7.09	65.7	61.2	77.4	57.4	44.8	53.1
1.2	8.13	8.02	8.67	7.94	7.74	8.21	69.3	67.2	78.4	65.7	61.9	69.4
1.5	8.71	8.78	9.36	8.82	8.93	9.37	79.2	80.0	90.6	80.6	82.0	90.1
1.8	8.79	8.88	9.75	8.94	9.10	9.95	81.8	83.5	98.6	84.6	87.5	102.2
2.1	8.66	8.71	9.76	8.75	8.85	10.02	80.0	81.2	100.1	82.1	84.5	105.5
2.4	8.47	8.46	9.45	8.46	8.44	9.52	76.3	76.3	94.4	76.3	76.4	96.7
2.7	8.26	8.18	9.02	8.12	7.95	8.74	72.2	70.7	85.6	69.6	66.6	80.8
3.0	8.34	8.29	8.99	8.25	8.15	8.72	73.1	72.0	84.1	71.3	69.1	78.6
3.5	8.54	8.56	9.37	8.57	8.59	9.38	77.0	77.2	91.2	77.4	77.8	91.1
4.0	8.61	8.65	9.56	8.67	8.75	9.69	78.6	79.3	95.8	79.9	81.3	98.5
4.5	8.45	8.44	9.35	8.43	8.40	9.36	75.8	75.6	92.1	75.4	75.1	92.5
5.0	8.45	8.44	9.23	8.43	8.41	9.14	75.4	75.1	88.9	74.8	74.2	87.1
5.5	8.48	8.47	9.29	8.47	8.46	9.26	75.9	75.8	90.1	75.7	75.4	89.2
6.0	8.53	8.54	9.41	8.55	8.57	9.46	77.0	77.3	92.8	77.4	77.9	93.7



**Figure 1.** Contours of constant  $M_1$  for solar neutrinos in the  $\Delta$ - $\vartheta$  plane. For the case of SNO, both the sequential and sterile scenarios give identical results.

$\vartheta = 15^\circ, 30^\circ$  and  $45^\circ$ . In the absence of oscillations we find  $R_{SNO} = 0.382$ . A significantly different  $R_{SNO}$  from its no-oscillation limit will be a clear indication of oscillation to a sequential neutrino. In particular, for  $\Delta = 0.6 \times 10^{-10} \text{ eV}^2$  and  $\vartheta = 45^\circ$ ,  $R_{SNO}$  will be as high as 2.1 for oscillation to sequential neutrinos. A more elaborate discussion on this and the contours of constant  $R_{SNO}$  in the  $\Delta - \vartheta$  plane for oscillation to sequential neutrinos are given in [6,15].

### 3.2 Supernova collapse phase

The collapse phase neutrino spectra from a realistic range of nuclear physics inputs as well as several stellar masses on the main sequence are presented in refs [16,17]. In the present work we use the neutrino spectrum of a  $15M_\odot$  star as presented there and choose the distance of the supernova to be 1 kpc. If the supernova is much further away then the flux of neutrinos will be too weak to be detected with significant statistics.

For this case,  $M_1$  and  $M_2$  for different values of  $\Delta$  are presented in table 3 for maximal mixing ( $\vartheta = 45^\circ$ ). Results for oscillation to sequential and sterile neutrinos are separately

**Table 2.**  $R_{\text{SNO}}$  for solar neutrinos for different values of the mixing angle,  $\vartheta$ , and the mass splitting,  $\Delta$ .

$\Delta$ in $10^{-10}$ $\text{eV}^2$	$R_{\text{SNO}}$					
	$\vartheta = 15^\circ$		$\vartheta = 30^\circ$		$\vartheta = 45^\circ$	
	Sequential	Sterile	Sequential	Sterile	Sequential	Sterile
0.0	0.382	0.382	0.382	0.382	0.382	0.382
0.3	0.422	0.384	0.532	0.389	0.613	0.392
0.6	0.480	0.383	0.991	0.387	2.117	0.396
0.9	0.467	0.378	0.848	0.362	1.428	0.337
1.2	0.438	0.380	0.623	0.375	0.788	0.370
1.5	0.422	0.383	0.537	0.387	0.620	0.390
1.8	0.417	0.383	0.512	0.386	0.577	0.388
2.1	0.431	0.383	0.582	0.387	0.706	0.390
2.4	0.444	0.382	0.660	0.383	0.873	0.384
2.7	0.444	0.380	0.658	0.375	0.867	0.370
3.0	0.444	0.381	0.659	0.379	0.869	0.377
3.5	0.431	0.382	0.582	0.381	0.705	0.380
4.0	0.434	0.383	0.597	0.386	0.735	0.388
4.5	0.435	0.382	0.606	0.381	0.753	0.380
5.0	0.440	0.382	0.634	0.381	0.813	0.381
5.5	0.437	0.382	0.614	0.381	0.770	0.381
6.0	0.434	0.382	0.597	0.382	0.735	0.382

**Table 3.**  $M_1$ , and  $M_2$  for supernova collapse phase neutrinos for different values of the mass splitting  $\Delta$  for the SK and SNO detectors. For SNO, the two cases yield the same value of  $M_n$ . The ratios  $r_1$  and  $r_2$  are also presented. The mixing angle  $\vartheta$  has been chosen to be  $45^\circ$ .

$\Delta$ in $10^{-18}$ $\text{eV}^2$	$M_1$		$r_1$		$M_2$		$r_2$			
	SK	SNO	seq.	st.	SK	SNO	seq.	st.		
	seq.	st.			seq.	st.				
0.0	13.3	13.3	16.1	0.83	0.83	202.4	202.4	281.7	0.72	0.72
0.6	11.3	9.9	13.9	0.81	0.71	152.3	120.6	234.0	0.65	0.52
1.2	13.5	13.6	15.6	0.87	0.87	203.1	203.3	256.5	0.79	0.79
1.8	13.9	14.2	17.5	0.79	0.81	223.9	232.3	328.8	0.68	0.71
2.4	12.7	12.4	14.9	0.85	0.83	182.0	172.9	243.2	0.75	0.71
3.0	13.5	13.5	16.0	0.84	0.84	204.2	205.0	272.8	0.75	0.75
4.0	13.3	13.3	16.1	0.83	0.83	201.1	200.1	284.6	0.71	0.70
5.0	13.4	13.4	16.1	0.83	0.83	203.3	203.7	280.3	0.73	0.73
6.0	13.3	13.3	16.2	0.82	0.82	202.1	201.9	283.5	0.71	0.71

presented for SK. The SNO CC signal does not distinguish between these alternatives. The ratios  $r_1$  and  $r_2$  (see eq. (11)) are also presented in table 3. It is seen from this table that the variation in  $M_1$  can be 15% at both SK and SNO for some values of the oscillation parameters while for  $M_2$  it can be as large as 40% (25%) at SNO (SK). At SK, the variation is larger in the sterile neutrino scenario.

Unlike the solar neutrino case, here, even the shape of the incident neutrino spectrum is not precisely known. In order to assess that whether the uncertainty in initial flux can mimic the effect due to oscillation, we estimate the uncertainties considering the situations in one case, the electron capture only on free protons while in the other, electron capture exclusively on heavy nuclei. Further, we estimate the uncertainties due to the unknown mass of the progenitor by considering a  $15M_\odot$  and a  $25M_\odot$  star. The results are presented in table 4. We found that the uncertainties in  $M_1$  and  $M_2$  are larger than that due to oscillations which were presented in table 3. Thus, for the collapse phase neutrinos,  $M_1$  and  $M_2$  cannot be used with full confidence for probing neutrino oscillations. The situation is somewhat better with the variables  $r_1$  and  $r_2$  in the sense that variation due to uncertainties in the initial spectrum can be at most 0.83 – 0.91 and 0.72 – 0.84 respectively and cannot mask an effect due to oscillations at least for some ranges of the mixing parameters. In figure 2, we present  $r_1$ ,  $r_2$ , and  $r_3$  as a function of  $\Delta$  for two values of the mixing angle  $\vartheta$ . One sees from figure 2 that around  $\Delta = 1 \times 10^{-18} \text{ eV}^2$  these variables can clearly distinguish between oscillation to a sequential or to a sterile neutrino for  $\vartheta = 45^\circ$ .

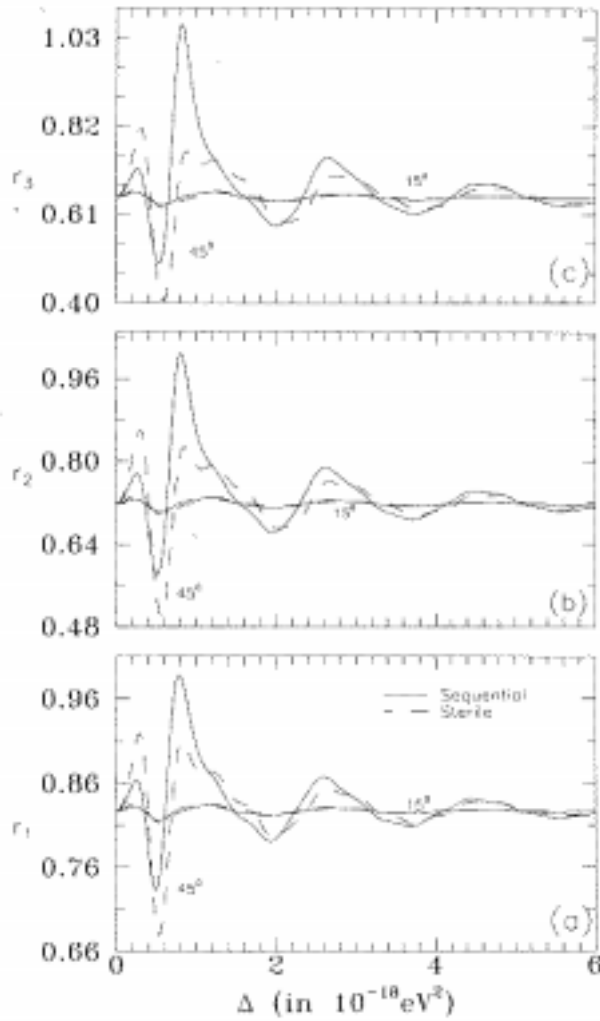
A better variable for the purpose turns out to be  $R_{\text{SNO}}$ . The variation of  $R_{\text{SNO}}$  with the mass splitting  $\Delta$  is shown in table 5 where the mixing angle has been chosen to be  $\vartheta = 45^\circ$ . From these results it is seen that  $R_{\text{SNO}}$  can be increased several times if oscillation to sequential neutrinos occur and can achieve values as high as 1.7. We have done a detailed study of this variable for collapse phase neutrinos and the results are presented in [10].

### 3.3 Supernova post bounce phase

Some results for  $M_1$ ,  $M_2$  and  $r_1$ ,  $r_2$  for post-bounce epoch neutrinos are presented in table 6 for different values of the mass splitting  $\Delta$  for the mixing angle  $\vartheta = 45^\circ$ . Note that for

**Table 4.**  $M_1$ ,  $M_2$ ,  $r_1$ , and  $r_2$  in the absence of oscillations for collapse phase neutrino spectra obtained by changing the parameters for the progenitor star.

Progenitor Spectrum	$15M_\odot$					$25M_\odot$						
	$M_1$		$r_1$	$M_2$		$r_2$	$M_1$		$r_1$	$M_2$		$r_2$
	SK	SNO		SK	SNO		SK	SNO		SK	SNO	
Combined	13.3	16.1	0.83	202	282	0.72	13.3	15.9	0.84	200	275	0.73
Only free protons	15.9	17.5	0.91	270	321	0.84	14.9	16.8	0.89	241	300	0.81
Only heavy nuclei	9.1	10.4	0.87	89	118	0.75	8.9	10.3	0.87	86	115	0.75



**Figure 2.** The variables (a)  $r_1$ , (b)  $r_2$ , and (c)  $r_3$  for supernova collapse phase neutrinos as a function of the mass splitting  $\Delta$  for two values of the mixing angle  $\vartheta = 45^\circ$  and  $15^\circ$ .

post-bounce neutrinos, SNO can distinguish between oscillation to sequential and sterile neutrinos. Since the spectra of the electron- and muon-type neutrinos are different, the CC signal changes differently for oscillation to sequential or sterile neutrinos. Due to the higher energy of the  $\nu_\mu$  and  $\nu_\tau$ , oscillation to sequential neutrinos always increases the signal at both SK and SNO while for the sterile case both larger and smaller values are possible depending on  $\Delta$ . It is seen from table 6 that the effect of oscillations is most pronounced around  $\Delta = 1.2 \times 10^{-10} \text{ eV}^2$ . For example, for the sterile alternative, for  $M_1$  the deviation from the no-oscillation value is about 25% (20%) at SK (SNO), for  $M_2$  it is 45% (39%).

**Table 5.**  $R_{\text{SNO}}$  for collapse phase neutrinos as a function of the mass splitting  $\Delta$  for  $\vartheta = 45^\circ$ .

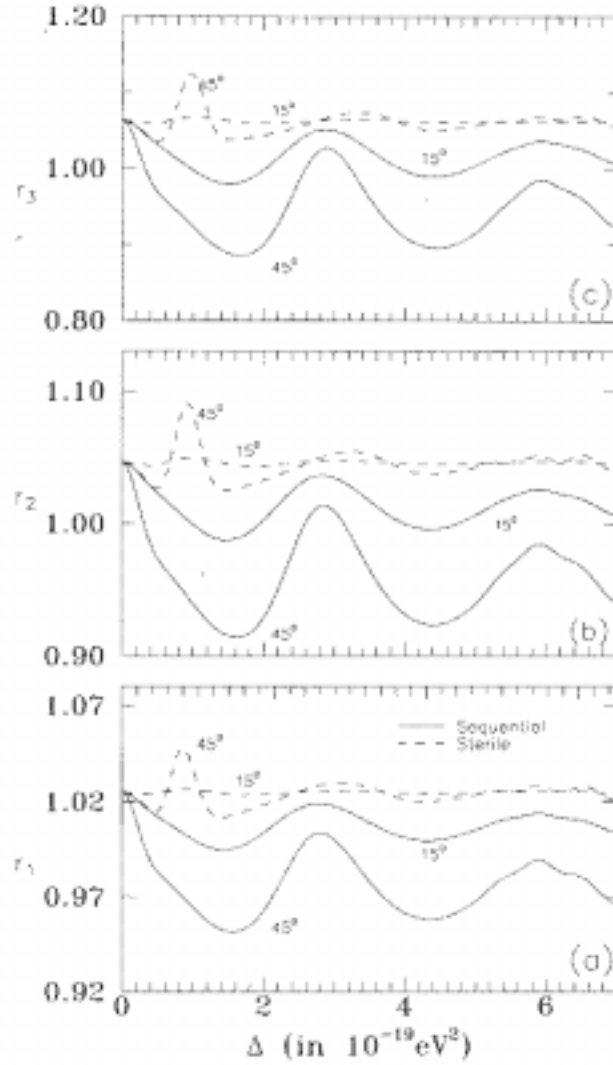
$\Delta$ in $10^{-18}$ $\text{eV}^2$	$R_{\text{SNO}}$	
	Sequential	Sterile
0.0	0.431	0.431
0.3	0.844	0.441
0.6	1.704	0.419
0.9	1.356	0.419
1.2	0.833	0.431
1.5	0.661	0.434
1.8	0.708	0.434
2.1	0.890	0.433
2.4	1.014	0.427
2.7	0.990	0.427
3.0	0.886	0.430
3.5	0.797	0.433
4.0	0.843	0.431
4.5	0.901	0.430
5.0	0.959	0.434
5.5	0.950	0.435
6.0	0.769	0.430
6.5	0.903	0.432

**Table 6.**  $M_1$  and  $M_2$  for post-bounce epoch neutrinos for different values of the mass splitting  $\Delta$  for the SK and SNO detectors. Results are presented for oscillation to sequential as well as sterile neutrinos.  $r_1$  and  $r_2$  are also shown for both cases. The mixing angle  $\vartheta$  has been chosen to be  $45^\circ$ .

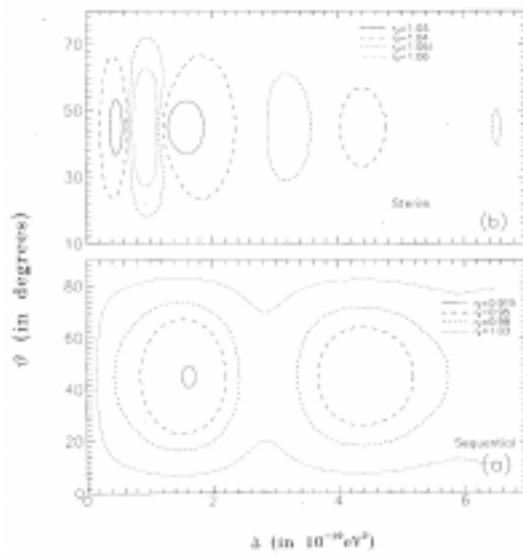
$\Delta$ in $10^{-19}$ $\text{eV}^2$	$M_1$		$r_1$		$M_2$				$r_2$			
	SK		SNO		seq.	st.	SK		SNO		seq.	st.
	seq.	st.	seq.	st.			seq.	st.	seq.	st.		
0.0	23.1	23.1	22.5	22.5	1.02	1.02	599.3	599.3	573.0	573.0	1.05	1.05
0.3	24.3	25.4	24.3	24.9	1.00	1.02	650.1	696.6	649.7	673.1	1.00	1.03
0.6	25.0	26.7	25.5	26.2	0.98	1.02	688.8	792.8	712.8	767.7	0.97	1.03
0.9	25.0	20.0	25.9	19.1	0.97	1.05	696.8	504.4	736.2	462.7	0.95	1.09
1.2	25.3	17.2	26.4	16.9	0.96	1.02	708.8	328.2	764.5	315.1	0.93	1.04
1.5	25.5	19.4	26.8	19.1	0.95	1.01	719.8	400.0	786.5	390.4	0.92	1.02
1.8	25.4	21.9	26.6	21.6	0.95	1.02	717.1	518.0	781.9	503.5	0.92	1.03
2.1	25.0	23.8	25.8	23.4	0.97	1.02	696.6	619.2	742.1	598.7	0.94	1.03
2.4	24.5	24.9	24.7	24.3	0.99	1.02	666.8	685.1	682.4	658.3	0.98	1.04
2.7	24.1	25.1	24.0	24.4	1.00	1.03	643.6	708.1	637.9	676.0	1.01	1.05
3.0	24.0	24.6	24.0	23.9	1.00	1.03	638.8	688.9	633.0	654.4	1.01	1.05
3.5	24.6	22.8	25.1	22.2	0.98	1.03	666.8	596.8	690.7	567.2	0.97	1.05
4.0	25.2	21.6	26.2	21.2	0.96	1.02	702.7	521.0	754.2	500.5	0.93	1.04
4.5	25.4	22.0	26.5	21.5	0.96	1.02	714.5	531.2	773.3	512.0	0.92	1.04
5.0	25.0	23.2	25.9	22.6	0.97	1.02	697.4	594.9	742.4	570.7	0.94	1.04
5.5	24.6	24.0	25.0	23.4	0.98	1.03	669.6	647.8	691.3	619.2	0.97	1.05
6.0	24.3	23.8	24.6	23.1	0.99	1.03	653.3	642.9	664.3	612.4	0.98	1.05
6.5	24.4	22.8	24.9	22.2	0.98	1.03	662.4	596.6	684.3	567.5	0.97	1.05
7.0	25.1	23.0	26.0	22.6	0.97	1.02	696.1	587.8	739.8	564.6	0.94	1.04
7.5	25.2	22.3	26.1	21.8	0.96	1.02	703.2	555.3	753.6	532.8	0.93	1.04

In order to estimate the sensitivity of these variables with the change in input spectra of the neutrinos, we consider a variation of  $\pm 30\%$  in the absolute normalization of each of  $f^e(E)$ ,  $\bar{f}^e(E)$ , and  $f^x(E)$ . It has been found that these variables are remarkably stable [15]. Hence these variables can be powerful tools to probe oscillations.

In figure 3,  $r_1$ ,  $r_2$ , and  $r_3$  are presented as a function of  $\Delta$  for two values of the mixing angle,  $\vartheta = 45^\circ$  and  $15^\circ$ . The different nature of the sequential and sterile cases – due to the presence of the other sequential neutrinos in the parent beam – is noteworthy.



**Figure 3.** The variables (a)  $r_1$ , (b)  $r_2$ , and (c)  $r_3$  for supernova post-bounce phase neutrinos as a function of the mass splitting  $\Delta$  for two values of the mixing angle  $\vartheta = 45^\circ$  and  $15^\circ$ .



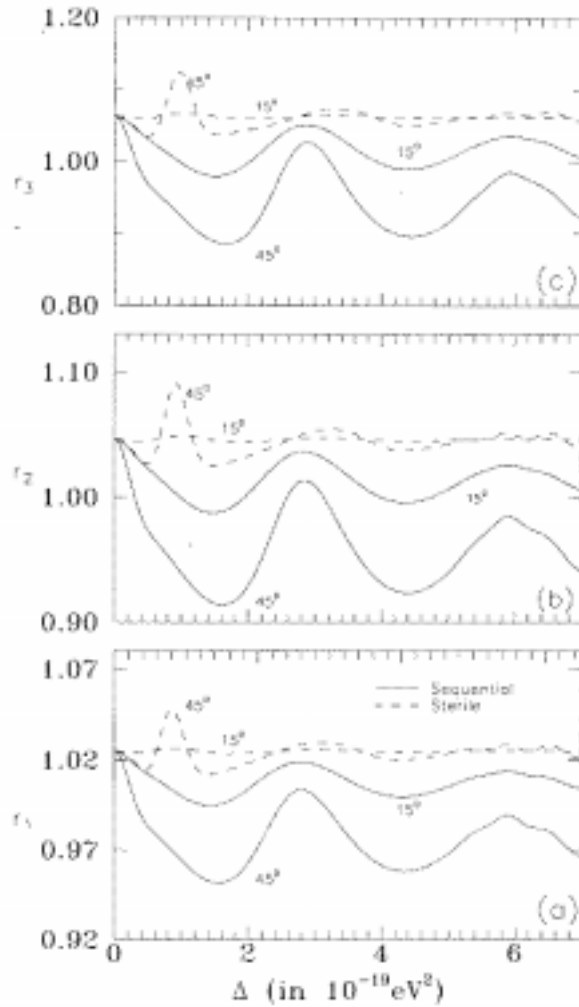
**Figure 4.** Contours of constant  $r_2$  for supernova post-bounce phase neutrinos in the  $\Delta$ - $\vartheta$  plane.

**Table 7.**  $R_{\text{SNO}}$  for post-bounce epoch neutrinos as a function of the mass splitting  $\Delta$  for  $\vartheta = 15^\circ$  and  $45^\circ$ . Results are presented for oscillation to sequential as well as sterile neutrinos.

$\Delta$ in $10^{-19}$ $\text{eV}^2$	$R_{\text{SNO}}$			
	$\vartheta = 15^\circ$		$\vartheta = 45^\circ$	
	Sequential	Sterile	Sequential	Sterile
0.0	1.929	1.929	1.929	1.929
0.3	1.952	2.050	2.024	2.584
0.6	1.894	2.212	1.796	4.837
0.9	1.796	2.247	1.488	6.007
1.2	1.749	2.201	1.368	4.565
1.5	1.765	2.145	1.406	3.552
1.8	1.817	2.108	1.549	3.101
2.1	1.876	2.093	1.733	2.945
2.4	1.915	2.094	1.876	2.951
2.7	1.923	2.104	1.908	3.055
3.0	1.905	2.118	1.836	3.211
3.5	1.850	2.139	1.647	3.469
4.0	1.814	2.145	1.539	3.555
4.5	1.818	2.137	1.552	3.444
5.0	1.851	2.125	1.652	3.292
5.5	1.882	2.119	1.753	3.219
6.0	1.881	2.116	1.751	3.186
6.5	1.856	2.118	1.667	3.210
7.0	1.844	2.143	1.628	3.523
7.5	1.830	2.136	1.585	3.430

In figure 4 we present the contours of constant  $r_2$  in the  $\Delta - \vartheta$  plane for both the sequential and sterile neutrino cases. For sterile case, we have presented contours for two values of the variable larger than the no-oscillation limit while two are smaller. Note that these contours alternate as a function of  $\Delta$  which is a reflection of the oscillating behaviour of  $r_n$  seen in figure 3. For the sequential case however, all the four values of  $r_2$  are less than the no oscillation limit. Such a choice is also indicated by figure 3.

In table 7, we present the effect of oscillations on  $R_{\text{SNO}}$  as a function of  $\Delta$  for  $\vartheta = 15^\circ$  and  $45^\circ$ . It is evident that  $R_{\text{SNO}}$  varies over a wide range in the sterile neutrino alternative while for the case of sequential neutrino, this variation is much less. In figure 5 contours of constant  $R_{\text{SNO}}$  in  $\Delta - \theta$  plane for oscillation to sterile neutrinos are presented. For a more detailed analysis of this variable see our work in [15].



**Figure 5.** Contours of constant  $R_{\text{SNO}}$  in the  $\Delta - \theta$  plane for oscillation of post-bounce phase neutrinos to sterile neutrinos.

#### 4. Discussions and conclusions

The variables that we have discussed can be powerful diagnostic tools to search for neutrino oscillations in solar and supernova neutrino data obtained at SK and SNO. The effectiveness of the variables lies in the fact that they are simple in nature and more importantly they do not depend on the absolute normalization of initial flux. Though, in this work, we have illustrated their utility to signal two flavour vacuum neutrino oscillations only, similar analyses can be readily carried out for MSW resonant flavour conversion, multi-generational mixing, spin precession in a magnetic field, neutrino decay. We hope to return to these issues in subsequent work.

The mass splitting  $\Delta$  that can be searched for via supernova neutrinos is, indeed, very tiny. The energy and length scales associated with supernova neutrinos provide a unique window for very small mass splittings – a point noted earlier in ref. [18]. It has also been speculated that oscillation of neutrinos from active galactic nuclei or gamma ray bursts will also be sensitive to such small  $\Delta$  [19]. Thus, for supernova neutrinos these variables allow us to probe very small values of  $\Delta$ .

#### Acknowledgements

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